

T. Frederick, Fred J. ...

OFFICE OF THE BOARD OF REGENTS  
THE UNIVERSITY OF TEXAS SYSTEM  
201 WEST SEVENTH STREET, AUSTIN, TEXAS 78701-2981  
(512) 499-4402

MEMORANDUM

June 21, 2000

TO: Those Who Receive Official Copies of  
the Regents' Rules and Regulations  
FROM: Francie A. Frederick *Francie Frederick*  
SUBJECT: Regents' Rules and Regulations,  
Amendments to Part One

*Received  
9/22/00  
12:15 PM  
JF*

Amendments to the Regents' Rules and Regulations approved at the May 11, 2000 meeting of the U. T. Board of Regents have been incorporated in the enclosed revised pages.

Further detail on the rationale for the amendments and congressional style displays of the revised text are included in the Material Supporting the Agenda (MSA) for the May 11, 2000 meeting as referenced below.

Please update your copy of the Regents' Rules and Regulations, Part One by discarding the pages listed in Column "A" and substituting in lieu thereof the pages listed in Column "B":

<u>"A"</u>	<u>"B"</u>	<u>MSA Reference</u>
Cover Page	Cover Page	
Page i	Page i	
Pages I-7 through I-15 and I-19, I-20, and I-23	Pages I-7 through I-15 and I-19, I-20, and I-23	Page 6
Page II-23	Page II-23	Page 4
Pages VIII-4 and VIII-7	Pages VIII-4 and VIII-7	Page 113a

FAF/cf

Enclosures

Terr - pls. see me about copying  
att'd today or  
tomorrow. Thanks. May 3, 2000  
6/20

Distribution List for Official Copies of  
Regents' Rules and Regulations

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Office of the Board of Regents	3
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Governor's Budget and Planning Office	1
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State Auditor - U. T. Austin Representative	1
Legislative Reference Library	1
System Administration	9
Chancellor	
Executive Vice Chancellor for Health Affairs	
Executive Vice Chancellor for Business Affairs	
Executive Vice Chancellor for Academic Affairs	
Executive Associate to the Chancellor	
Vice Chancellor and General Counsel	
Vice Chancellor for Governmental Relations	
Vice Chancellor for Development and External Relations	
Vice Chancellor for Federal Relations	
Presidents of Component Institutions	15
Mr. Ralph L. Elder, The University of Texas at Austin	<u>1</u>
TOTAL	42

NOTE: See July 6, 1988 letter from Coordinating Board which requests that only those amendments related to (1) academic work load and (2) faculty development leaves be sent to that office.

Also send intellectual property policy revisions to Coordinating Board per State Statute and post tenure review.

Addresses of State Officials Who Receive Copies  
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Governor's Budget and Planning Office  
711 Sam Houston Building  
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Mr. John Keel  
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Third Floor  
Austin, Texas 78701  
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State Auditor's Office  
Two Commodore Plaza  
206 East 9th Street  
Suite 1900  
Austin, Texas 78701  
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Director  
Legislative Reference Library  
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Room 307, Archives Building  
Texas State Library  
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Dr. Don Brown  
Commissioner, Texas Higher  
Education Coordinating Board  
7745 Chevy Chase Drive, Building 5  
Austin, Texas 78752  
INTERAGENCY MAIL

(NOTE: Send only when there are  
amendments related to (1) academic  
work load, (2) faculty development  
leaves, (3) intellectual property  
policy, or (4) post tenure review.)

Mr. Ralph L. Elder  
Professional Librarian  
The University of Texas at Austin  
SRH 2.111  
FACULTY MAIL  
D1100

\*\*\*\*\*

May 11, 2000

RULES AND REGULATIONS  
OF THE  
BOARD OF REGENTS  
OF  
THE UNIVERSITY OF TEXAS SYSTEM  
FOR THE GOVERNMENT OF  
THE UNIVERSITY OF TEXAS SYSTEM

PART ONE

Part One was completely reissued as of June 1, 1988.  
(Substantive amendments through May 31, 2000,  
have been issued with this cover sheet.)

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Sec. 7. Committees and Other Appointments.

7.1 Standing Committees.--The following committees shall be standing committees of the Board to consider policies for the government of all major areas:  
(a) Executive Committee; (b) Business Affairs and Audit Committee; (c) Academic Affairs Committee; (d) Health Affairs Committee; (e) Facilities Planning and Construction Committee.

7.11 Composition of Standing Committees; Appointment of Chairmen.--

7.111 The Executive Committee is composed of the Chairman and Vice-Chairmen of the Board. The Chairman of the Board is the Chairman of the Executive Committee.

7.112 Each standing committee, other than the Executive Committee, is composed of not less than three members of the Board appointed by the Chairman.

7.113 The Chairman of each standing committee (other than the Executive Committee) shall be appointed by the Chairman of the Board shortly after his or her election, by and with the consent of the Board, and shall remain as Chairman of the standing committee (unless a vacancy shall be caused by death, resignation, or refusal of some member of a committee to act) until the succeeding Board Chairman shall have reconstituted the committees.

7.12 Method of Filling Vacancies in the Chairmanship of Standing Committees.--In case a vacancy shall occur in the chairmanship of any of the standing committees, the Chairman of the Board shall appoint another member of the Board to serve as Chairman of the standing committee, by and with the consent of

the Board, and, if confirmed, the appointment shall stand until the time for appointment of Chairmen of the standing committees as provided in Subdivision 7.113 of this chapter.

- 7.13 Authority of Standing Committees.--The authority of standing committees of the Board shall be subject to action of the whole Board. Except as provided in Subdivision 7.14 of this Section, the committees' actions must be ratified by the Board before they shall become effective.
- 7.14 Duties of the Executive Committee.--Any action taken by the Executive Committee pursuant to authority granted in Part One, Chapter I, Subsection 9.5 of these Rules and Regulations shall be effective at the time the action is taken by the committee unless otherwise stated by the committee and shall be reported to the Board at its next regularly scheduled meeting. The Executive Committee, after appropriate consultation with other members of the Board, shall act for the Board on all other items requiring immediate attention; provided that all actions taken by the Committee that are not authorized by Part One, Chapter I, Subsection 9.5 must be ratified by the Board.
- 7.15 Duties of the Business Affairs and Audit Committee.--The Business Affairs and Audit Committee shall:
- 7.151 Recommend appropriate Board action with respect to any recommendations by the Chancellor related to the appointment, promotion, and dismissal of such System Administration business affairs officers as report directly or indirectly to the Chancellor.
- 7.152 Recommend to the Board compensation for the System Administration and the rates of professional compensation.

- 7.153 Make recommendations to the Board on matters related to employee personnel programs, fringe benefits, retirement programs, and labor relations in the System Administration and the component institutions.
- 7.154 Obtain, review, and report to the Board on State Auditor's Reports and Management Letters and System and institutional internal audit reports.
- 7.155 Approve the System-wide internal audit plan.
- 7.156 Transmit to the Chancellor, subject to the prior approval of the Board, such instructions as it deems necessary for the implementation of appropriate internal auditing practices.
- 7.157 Initiate System and institutional audits as deemed necessary to ensure management control within The University of Texas System. (In this regard, the System Director of Audits reports to the Chairman of the Business Affairs and Audit Committee.)
- 7.158 Make recommendations to the Board on matters relating to the fiscal management of The University of Texas System Administration and each component institution of the System.
- 7.159 Consider and recommend to the Board the operating budgets of The University of Texas System Administration and each component institution of the System.
- 7.15(10) Propose to the Board appropriations of funds and modifications of or additions to such appropriations.

- 7.15(11) Make recommendations to the Board on matters that commit the U. T. System or any component to operating expenditures in future fiscal years.
  - 7.15(12) Consider and make recommendations to the Board concerning the availability of funds for, and application of funds to, capital improvement requests.
  - 7.15(13) Make recommendations on matters relating to management of the lands constituting the permanent endowment of the University, including oversight of the offices of University Lands - Surface and Mineral Interests.
- 7.16 Duties of the Academic Affairs Committee.--  
The Academic Affairs Committee shall:
- 7.161 Report to the Board on the instructional, research, and professional service programs and activities of the general academic components.
  - 7.162 Report to the Board on policies relating to soliciting and securing gifts for the University's general academic institutions.

- 7.163 Consider and report to the Board on matters affecting the libraries of the general academic institutions.
- 7.164 Review proposed substantive changes in the degree program inventory and the academic administrative structure; and recommend to the Board approval or disapproval of such changes.
- 7.165 Report and recommend to the Board approval of matters related to education in the general academic institutions.
- 7.166 Recommend appropriate Board action with respect to any recommendations by the Chancellor related to the appointment, promotion, and dismissal of such institutional officers as may be appropriate in the general academic institutions.
- 7.167 Consider and report to the Board on matters relating to the research, training, and community service activities at the general academic institutions.
- 7.168 Recommend appropriate Board action with respect to any recommendations by the Chancellor related to the appointment, promotion, and dismissal of such System Administration academic affairs officers as report directly or indirectly to the Chancellor.

- 7.169 Make recommendations concerning capital improvement priorities related to the approved missions of the general academic institutions.
- 7.17 Duties of the Health Affairs Committee.--  
The Health Affairs Committee shall:
  - 7.171 Consider and report to the Board on matters concerned with substantive aspects of policies and programs related to the academic philosophy and objectives of the health-related institutions; with University relations; and with health-related academic planning, instruction, and research.
  - 7.172 Report and recommend to the Board approval of matters relating to education in the health-related institutions.

- 7.173 Recommend appropriate Board action with respect to any recommendations by the Chancellor related to the appointment, promotion, and dismissal of such institutional officers as may be appropriate in the health-related institutions.
- 7.174 Consider and report to the Board on matters relating to the research, training, and community service activities of the health-related institutions.
- 7.175 Consider and report to the Board on matters affecting the libraries of the health-related institutions.
- 7.176 Report to the Board on policies relating to soliciting and securing gifts for the University's health-related institutions.
- 7.177 With respect to each Hospital, clinic and patient-care facility owned by The University of Texas System:
  - 7.1771 Counsel with the Executive Vice Chancellor for Health Affairs regarding the bylaws, and rules and regulations of the medical staff;
  - 7.1772 Review and make recommendations to the Board concerning mechanisms and controls for the achievement and maintenance of high standards of professional practices in and at the Hospital, clinic or patient-care facility.

- 7.178 Recommend appropriate Board action with respect to any recommendations by the Chancellor related to the appointment, promotion, and dismissal of such System Administration health affairs officers as report directly or indirectly to the Chancellor.
- 7.179 Make recommendations concerning capital improvement priorities related to the approved missions of the health-related institutions.

- 7.18 Duties of the Facilities Planning and Construction Committee.--The Facilities Planning and Construction Committee shall:
- 7.181 Consider matters relating to the acquisition and use of the grounds and buildings on campus and campus-related real property of The University of Texas System.
  - 7.182 Review proposed construction projects and make decisions on the selection of architects for projects determined to be of special interest to the Board; approve plans and accept bids for construction projects.
  - 7.183 Make recommendations to the Board on the award and execution of construction and equipment contracts.
  - 7.184 Make recommendations to the Board with respect to the naming of University buildings and other major facilities.

a manner as they may deem proper. The regular channel of communication from members of the Board to the faculty, staff and administration is through the Chancellor, the appropriate Executive Vice Chancellor and the president of the institution involved, and a copy of any communication sent by a Regent directly to any member of the faculty, staff or administration should be furnished to the Chancellor, the appropriate Executive Vice Chancellor and the president of the institution involved; however, individual Board members are not precluded when they deem it necessary and proper from direct participation and communication with the presidents, representatives, and personnel of The University of Texas System Administration and its component institutions, faculty members and other groups. All staff and faculty proposals that are to be acted upon by the Regents shall be presented to the System Administration in sufficient time to permit the appropriate officer to consider such proposals, make recommendations thereon to the Chancellor, and transmit them to the Executive Secretary to the Board no later than seventeen days prior to the next meeting of the Board, in order that the calendar, agenda, and supporting material may be prepared in time to mail to the members of the Board so they will receive it at least five days prior to the meeting. Except where emergency proposals are involved, all such proposals not submitted to the Executive Secretary within the time prescribed shall not be considered by the Board but shall automatically be deferred until the next meeting of the Board.

8.52

Except upon invitation of the Board, the Chairman of the Board, the Chancellor, or the appropriate Executive Vice Chancellor, no person shall appear before the Board or any committee thereof unless that person files with the Executive Secretary to the Board a written request explaining the purpose of such appearance at least three days before the date of such appearance

and unless the Chairman of the Board, the appropriate Committee Chairman, or at least three members of the Board, shall approve the request. It is understood, however, that the president of a component institution or his or her delegate and/or the president or chair of the student or faculty governance organization(s) or his or her delegate may appear without prior notice or request before the Board or any committee whenever the matter under consideration directly affects the component institution represented by such person. Persons requesting to appear must identify the subject of their remarks, which must be directly related to a matter on the Agenda for consideration by the Board. Whenever time and other circumstances permit, the person making the request shall first consult with the president, or his or her delegate, of such institution regarding the purpose of the appearance prior to the meeting of the Board or committee. Insofar as possible, any person who appears before the Board shall provide a written statement of the substance of such person's presentation to the Board, and such written statement shall be delivered to the Executive Secretary to the Board in sufficient time for copies to be distributed to the Regents prior to the meeting. Any person appearing before the Board or a committee shall be subject to restrictions on time, place and manner as may be prescribed by the Chairman or a majority of the Board or by the Chairman or a majority of a committee. The Chairman or a majority of the Board may prescribe sanctions against any person exceeding established time, place or manner limits; disrupting a meeting of the Board or a committee of the Board; or violating any provision of the Regents' Rules and Regulations. Sanctions may include the refusal to allow such person to speak again to the Board or committees of the Board for up to one year.

8.53

All official material to be distributed to the Regents shall be transmitted through the Office of the Board of Regents. Copies of all official communications from administrative officers to the Regents shall be

that if sufficient emergency exists requiring immediate action and it appears that the delay was unavoidable, this requirement may be waived by a two-thirds vote of the Board or a standing committee of the Board.

- 8.6 Report to Press on Actions of Board.--Reports on matters of public interest will be given to the press as promptly as possible during or after each meeting. These press reports shall be under the direction of the Chairman of the Board, the Chancellor or their designated representatives.
- 8.7 Political and Otherwise Obviously Controversial Matters.--The Board reserves to itself the responsibility for passing upon matters of a political or obviously controversial nature which represent an official position of the System or any institution or department thereof. Statements on such matters shall be made by the Chairman of the Board or the Chancellor. Without the advance approval of the Board, no Regent, officer, or faculty or staff member shall make or issue any public statement on any political or other subject of an obviously controversial nature which might reasonably be construed as a statement of the official position of the System or any institution or department thereof. It is not the intent of this policy statement to stifle the right of freedom of speech of anyone speaking in a personal capacity where that person makes it clear that he or she is not speaking for the System or any of its component institutions. Statements on matters of an emergency nature shall be cleared by the Chancellor with the Chairman of the Board.

or in accordance with institutional procedures approved by the president)

Not more than two representatives of the component's external constituency who have demonstrated a deep interest in and support of the institution, its programs and its role in community activities to be appointed by the Chairman of the Board of Regents. Where a component institution has a statewide mission; special area programmatic relationships or partnerships with junior or community colleges, private universities or public schools, business/corporate entities, community or public service agencies; or other unique constituencies, the Chairman of the Board of Regents may appoint additional representatives.

Campus constituent groups with responsibility for selection of Advisory Committee representatives are expected to consider diversity, particularly as it relates to minority and female representation. Unless there are unusual delays in faculty, staff or student representative selection which postpone initiation of the committee process, the Chairman of

	<u>Full Title</u>	<u>Short Title</u>
	4.3(11) The University of Texas at Arlington School of Urban and Public Affairs	
4.4	The University of Texas at Austin	U. T. Austin
	4.41 The University of Texas at Austin School of Archi- tecture	
	4.42 The University of Texas at Austin College of Liberal Arts	
	4.43 The University of Texas at Austin College of Natural Sciences	
	4.44 The University of Texas at Austin Red McCombs School of Business	
	4.45 The University of Texas at Austin College of Commu- nication	
	4.46 The University of Texas at Austin College of Educa- tion	
	4.47 The University of Texas at Austin College of Engi- neering	
	4.48 The University of Texas at Austin College of Fine Arts	
	4.49 The University of Texas at Austin School of Law	
	4.4(10) The University of Texas at Austin Graduate School of Library and Information Science	
	4.4(11) The University of Texas at Austin School of Nursing	
	4.4(12) The University of Texas at Austin College of Pharmacy	
	4.4(13) The University of Texas at Austin Lyndon B. Johnson School of Public Affairs	
	4.4(14) The University of Texas at Austin School of Social Work	

	<u>Full Title</u>	<u>Short Title</u>
4.85	The University of Texas - Pan American College of Science and Engineering	
4.86	The University of Texas - Pan American College of Social and Behavioral Sciences	
4.9	The University of Texas of the Permian Basin	U. T. Permian Basin
4.91	The University of Texas of the Permian Basin College of Arts and Sciences	
4.92	The University of Texas of the Permian Basin School of Business	
4.93	The University of Texas of the Permian Basin School of Education	
4.(10)	The University of Texas at San Antonio	U. T. San Antonio
4.(10)1	The University of Texas at San Antonio College of Business	
4.(10)2	The University of Texas at San Antonio College of Fine and Liberal Arts	
4.(10)3	The University of Texas at San Antonio College of Science	
4.(10)4	The University of Texas at San Antonio College of Education and Human Development	
4.(10)5	The University of Texas at San Antonio College of Engineering	
4.(10)6	The University of Texas at San Antonio College of Urban Professional Programs	
4.(10)7	The University of Texas Institute of Texan Cultures at San Antonio	U. T. Institute of Texan Cul- tures - San Antonio
4.(10)8	The University of Texas at San Antonio Downtown Campus	U. T. San Antonio Downtown Campus

5/00  
Minutes

## SPECIAL ITEMS

1. U. T. Board of Regents - Regents' Rules and Regulations, Part One: Amendment to Chapter II, Section 14, Subsection 14.1, Subdivision 14.11 (Advisory Committee for Selection of a President)

The Board amended the Regents' Rules and Regulations, Part One, Chapter II, Section 14, Subsection 14.1, Subdivision 14.11, regarding an advisory committee for selection of a president, as set forth below:

Sec. 14. Presidents of Component Institutions.

- 14.1 The Board selects the president of each component institution.

14.11 When there is a vacancy or it is known that there is to be a vacancy in the office of a president of a component institution having faculty and students and the Board does not have candidate(s) from recent searches at other component institutions, from within the U. T. System, and/or of national prominence from outside the U. T. System to advance for consideration, an Advisory Committee shall be established to recommend candidates to the Board. The Executive Vice Chancellor for Health Affairs or the Executive Vice Chancellor for Academic Affairs shall be chairman of the Advisory Committee. In circumstances where this Executive Vice Chancellor may be a candidate for the office, the chairman of the Advisory Committee shall be the Chancellor or the Chancellor's designee. In addition to the chairman, committee membership is as follows:

...  
Not more than two representatives of the component's external constituency who have demonstrated a deep interest in and support of the institution, its programs and its role in community activities to be appointed by the Chairman of the Board of Regents. Where a component institution has a statewide mission; special area programmatic relationships or partnerships with junior or community colleges, private universities or public schools, business/corporate entities, community or public service

agencies; or other unique constituencies, the Chairman of the Board of Regents may appoint additional representatives.

Campus constituent groups with responsibility for selection of Advisory Committee representatives are expected to consider diversity, particularly as it relates to minority and female representation. Unless there are unusual delays in faculty, staff or student representative selection which postpone initiation of the committee process, the Chairman of the Board will make his or her appointments to the Advisory Committee after campus selections in order to maximize the prospect that the total committee composition reflects diversity.

See the Item for the Record on Page 106 relating to the Advisory Committee for the Selection of a President at The University of Texas Health Science Center at San Antonio.

2. U. T. Board of Regents - Regents' Rules and Regulations, Part One: Approval to Amend Chapter I, Section 7 (Committees and Other Appointments), Subsection 7.1, Subdivisions 7.15 through 7.18; Section 8 (Procedure), Subsection 8.5, Subdivision 8.52 and Subsection 8.6; and Part Two, Chapter II, Section 6 (Legislative Budget Requests)

The Board amended the Regents' Rules and Regulations as set forth below:

- a. Part One, Chapter I, Section 7 (Committees and Other Appointments), Subsection 7.1, Subdivisions 7.15 through 7.18 was amended to read as follows:

Sec. 7. Committees and Other Appointments.

7.15 Duties of the Business Affairs and Audit Committee.--The Business Affairs and Audit Committee shall:

7.151 Recommend appropriate Board action with respect to any recommendations by the Chancellor related to the appointment, promotion, and dismissal of such System Administration business affairs officers as report directly or indirectly to the Chancellor.

- 7.152 Recommend to the Board compensation for the System Administration and the rates of professional compensation.
- 7.153 Make recommendations to the Board on matters related to employee personnel programs, fringe benefits, retirement programs, and labor relations in the System Administration and the component institutions.
- 7.154 Obtain, review, and report to the Board on State Auditor's Reports and Management Letters and System and institutional internal audit reports.
- 7.155 Approve the System-wide internal audit plan.
- 7.156 Transmit to the Chancellor, subject to the prior approval of the Board, such instructions as it deems necessary for the implementation of appropriate internal auditing practices.
- 7.157 Initiate System and institutional audits as deemed necessary to ensure management control within The University of Texas System. (In this regard, the System Director of Audits reports to the Chairman of the Business Affairs and Audit Committee.)
- 7.158 Make recommendations to the Board on matters relating to the fiscal management of The University of Texas System Administration and each component institution of the System.
- 7.159 Consider and recommend to the Board the operating budgets of The University of Texas System Administration and each component institution of the System.
- 7.15(10) Propose to the Board appropriations of funds and modifications of or additions to such appropriations.
- 7.15(11) Make recommendations to the Board on matters that commit the U. T. System or any component to operating expenditures in future fiscal years.
- 7.15(12) Consider and make recommendations to the Board concerning the availability of funds for, and application of funds to, capital improvement requests.
- 7.15(13) Make recommendations on matters relating to management of the lands constituting the permanent endowment of the University, including oversight of the offices of University Lands - Surface and Mineral Interests.



- 7.16 Duties of the Academic Affairs Committee.--The Academic Affairs Committee shall:
- 7.161 Report to the Board on the instructional, research, and professional service programs and activities of the general academic components.
  - 7.162 Report to the Board on policies relating to soliciting and securing gifts for the University's general academic institutions.
  - 7.163 Consider and report to the Board on matters affecting the libraries of the general academic institutions.
  - 7.164 Review proposed substantive changes in the degree program inventory and the academic administrative structure; and recommend to the Board approval or disapproval of such changes.
  - 7.165 Report and recommend to the Board approval of matters related to education in the general academic institutions.
  - 7.166 Recommend appropriate Board action with respect to any recommendations by the Chancellor related to the appointment, promotion, and dismissal of such institutional officers as may be appropriate in the general academic institutions.
  - 7.167 Consider and report to the Board on matters relating to the research, training, and community service activities at the general academic institutions.
  - 7.168 Recommend appropriate Board action with respect to any recommendations by the Chancellor related to the appointment, promotion, and dismissal of such System Administration academic affairs officers as report directly or indirectly to the Chancellor.
  - 7.169 Make recommendations concerning capital improvement priorities related to the approved missions of the general academic institutions.
- 7.17 Duties of the Health Affairs Committee.--The Health Affairs Committee shall:
- 7.171 Consider and report to the Board on matters concerned with substantive aspects of policies and programs related to the academic philosophy and objectives of the health-related institutions; with University relations; and with health-related academic planning, instruction, and research.

- 7.172 Report and recommend to the Board approval of matters relating to education in the health-related institutions.
- 7.173 Recommend appropriate Board action with respect to any recommendations by the Chancellor related to the appointment, promotion, and dismissal of such institutional officers as may be appropriate in the health-related institutions.
- 7.174 Consider and report to the Board on matters relating to the research, training, and community service activities of the health-related institutions.
- 7.175 Consider and report to the Board on matters affecting the libraries of the health-related institutions.
- 7.176 Report to the Board on policies relating to soliciting and securing gifts for the University's health-related institutions.
- 7.177 With respect to each Hospital, clinic and patient-care facility owned by The University of Texas System:
  - 7.1771 Counsel with the Executive Vice Chancellor for Health Affairs regarding the bylaws, and rules and regulations of the medical staff;
  - 7.1772 Review and make recommendations to the Board concerning mechanisms and controls for the achievement and maintenance of high standards of professional practices in and at the Hospital, clinic or patient-care facility.
- 7.178 Recommend appropriate Board action with respect to any recommendations by the Chancellor related to the appointment, promotion, and dismissal of such System Administration health affairs officers as report directly or indirectly to the Chancellor.
- 7.179 Make recommendations concerning capital improvement priorities related to the approved missions of the health-related institutions.
- 7.18 Duties of the Facilities Planning and Construction Committee.--  
The Facilities Planning and Construction Committee shall:
  - 7.181 Consider matters relating to the acquisition and use of the grounds and buildings on campus and campus-related real property of The University of Texas System.

- 7.182 Review proposed construction projects and make decisions on the selection of architects for projects determined to be of special interest to the Board; approve plans and accept bids for construction projects.
- 7.183 Make recommendations to the Board on the award and execution of construction and equipment contracts.
- 7.184 Make recommendations to the Board with respect to the naming of University buildings and other major facilities.

b. Part One, Chapter I, Section 8, Subsection 8.5, Subdivision 8.52, relating to the procedure for addressing the Board of Regents, was amended as follows:

✓ 8.52 Except upon invitation of the Board, the Chairman of the Board, the Chancellor, or the appropriate Executive Vice Chancellor, no person shall appear before the Board or any committee thereof unless that person files with the Executive Secretary to the Board a written request explaining the purpose of such appearance at least three days before the date of such appearance and unless the Chairman of the Board, the appropriate Committee Chairman, or at least three members of the Board, shall approve the request. It is understood, however, that the president of a component institution or his or her delegate and/or the president or chair of the student or faculty governance organization(s) or his or her delegate may appear without prior notice or request before the Board or any committee whenever the matter under consideration directly affects the component institution represented by such person. Persons requesting to appear must identify the subject of their remarks, which must be directly related to a matter on the Agenda for consideration by the Board. Whenever time and other circumstances permit, the person making the request shall first consult with the president, or his or her delegate, of such institution regarding the purpose of the appearance prior to the meeting of the Board or committee. Insofar as possible, any person who appears before the Board shall provide a written statement of the substance of such person's presentation to the Board, and such written statement shall be delivered to the Executive Secretary to the Board in sufficient time for copies to be distributed to the Regents prior to the meeting.

Any person appearing before the Board or a committee shall be subject to restrictions on time, place and manner as may be prescribed by the Chairman or a majority of the Board or by the Chairman or a majority of a committee. The Chairman or a majority of the Board may prescribe sanctions against any person exceeding established time, place or manner limits; disrupting a meeting of the Board or a committee of the Board; or violating any provision of the Regents' Rules and Regulations. Sanctions may include the refusal to allow such person to speak again to the Board or committees of the Board for up to one year.

c. Part One, Chapter I, Section 8, Subsection 8.6 was amended as follows:

✓ 8.6 Report to Press on Actions of Board.--Reports on matters of public interest will be given to the press as promptly as possible during or after each meeting. These press reports shall be under the direction of the Chairman of the Board, the Chancellor or their designated representatives.

d. Part Two, Chapter II, Section 6 (Legislative Budget Requests) was amended as follows:

Sec. 6. Legislative Appropriation Requests.

6.1 Legislative appropriation requests, biennial or otherwise, shall be prepared in conformity with the Detailed Instructions for Agencies of Higher Education, as prescribed by the Legislative Budget Board and the Governor's Office of Budget and Planning.

6.2 Appropriation requests for all component institutions shall be prepared by the president in conformity with these instructions and approved by the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Executive Vice Chancellor for Academic Affairs, and the Chancellor.

6.3 Appropriation requests shall be submitted to the Legislative Budget Board, to the Governor's Budget and Planning Office, and to other State offices as required in the form and at the time prescribed.

The nonsubstantive amendments to the Regents' Rules and Regulations, Part One, Chapter I, Section 7, Subsection 7.1, Subdivisions 7.15 through 7.18 regarding committees and other appointments, streamline and conform this Subsection to current committee processes, following delegation by the U. T. Board of Regents of certain approvals to The University of Texas System and the component institutions in 1997.

The amendments to Part One, Chapter I, Section 8, Subsection 8.5, Subdivision 8.52 of the Rules make the timing of requests to address the Board more flexible for a requestor by requiring that the request be submitted at least three days prior to the desired appearance.

The amendment to the Regents' Rules, Part One, Chapter I, Section 8, Subsection 8.6 authorizes the Office of Development and External Relations to provide press information prior to the end of a regular meeting.

Amendments to Part Two, Chapter II, Section 6 conform the text of the Regents' Rules to current practice related to Legislative Appropriation Requests (LAR) by indicating that each president will prepare the LAR with final approval by the Executive Vice Chancellors for Health Affairs, Business Affairs, and Academic Affairs, and the Chancellor prior to submission.

3. U. T. System: Approval of Goals Statement for Distance Education

Upon recommendation of the Special Committee on Telecommunications and Technology Transfer, chaired by Regent A. R. (Tony) Sanchez, Jr., the Board approved the Goals Statement for Distance Education in The University of Texas System as set forth below. This statement provides a Mission Statement and operating principles for the UT TeleCampus, identifies target market groups, and provides general and specific Distance Education goals.

DISTANCE EDUCATION IN THE UNIVERSITY OF TEXAS SYSTEM

Background

The Board of Regents of The University of Texas System remains unalterably committed to a fundamental principle: access to education for all Texans is

Date: Thursday, May 11, 2000 (continued)

Time: 9:00 a.m. or upon conclusion of Executive Session

Place: Board Room, 9th Floor, Ashbel Smith Hall, 201 W. 7th Street, Austin

J. APPROVAL OF MINUTES OF REGULAR MEETING HELD  
FEBRUARY 9-10, 2000, AND SPECIAL MEETING HELD  
APRIL 14, 2000

5/10/00 MSA

K. SPECIAL ITEMS

1. U. T. Board of Regents: Proposed Amendment to the Regents' Rules and Regulations, Part One, Chapter II, Section 14, Subsection 14.1, Subdivision 14.11 (Advisory Committee for Selection of a President)

#### RECOMMENDATION

Chairman Evans, with the concurrence of the Chancellor, the Executive Vice Chancellor for Academic Affairs, the Executive Vice Chancellor for Business Affairs, the Executive Vice Chancellor for Health Affairs, and the Vice Chancellor and General Counsel, recommends that the Regents' Rules and Regulations, Part One, Chapter II, Section 14, Subsection 14.1, Subdivision 14.11, regarding an advisory committee for selection of a president, be amended as set forth below in congressional style:

Sec. 14. Presidents of Component Institutions.

14.1 The Board selects the president of each component institution.

14.11 When there is a vacancy or it is known that there is to be a vacancy in the office of a president of a component institution having faculty and students and the Board does not have candidate(s) from recent searches at other component institutions, from within the U. T. System, and/or of national prominence from outside the U. T. System to

advance for consideration, an Advisory Committee shall be established to recommend candidates to the Board. The Executive Vice Chancellor for Health Affairs or the Executive Vice Chancellor for Academic Affairs shall be chairman of the Advisory Committee. In circumstances where this Executive Vice Chancellor may be a candidate for the office, the chairman of the Advisory Committee shall be the Chancellor or the Chancellor's designee. In addition to the chairman, committee membership is as follows:

...  
Not more than two representatives of the component's external constituency who have demonstrated a deep interest in and support of the institution, its programs and its role in community activities to be appointed by the Chairman of the Board of Regents. Where a component institution has a statewide mission; special area programmatic relationships or partnerships with junior or community colleges, private universities or public schools, business/corporate entities, community or public service agencies; or other unique constituencies, the Chairman of the Board of Regents may appoint ~~an~~ additional representatives.

Campus constituent groups with responsibility for selection of Advisory Committee representatives are expected to consider diversity, particularly as it relates to minority and female representation. Unless there are unusual delays in faculty, staff or student representative selection which postpone initiation of the committee process, the Chairman of the Board will make his or her appointments to the Advisory Committee after campus selections in order to maximize the prospect that the total committee composition reflects diversity.

BACKGROUND INFORMATION

The proposed amendment to the Regents' Rules and Regulations would allow the Chairman of the U. T. Board of Regents limited authority to appoint additional members to a presidential advisory committee to represent an institution's external constituency. The current language allows the Chairman to appoint one additional representative in situations where the institution has a unique mission or external constituency.

See the Item for the Record on Page 165 relating to the Advisory Committee for the Selection of a President at U. T. Health Science Center - San Antonio.

- 2. U. T. Board of Regents: Proposed Amendments to the Regents' Rules and Regulations, Part One, Chapter I, Section 7 (Committee Structure), Subsection 7.1, Subdivisions 7.15 through 7.18, Section 8 (Procedure), Subsection 8.5, Subdivision 8.52 and Subsection 8.6, and Part Two, Chapter II, Section 6 (Legislative Budget Requests)

RECOMMENDATION

The Chancellor concurs in the recommendation of the Executive Vice Chancellor for Academic Affairs, the Executive Vice Chancellor for Business Affairs, the Executive Vice Chancellor for Health Affairs, and the Vice Chancellor and General Counsel that the Regents' Rules and Regulations be amended as set forth below in congressional style:

- a. Amend Part One, Chapter I, Section 7 (Committee Structure), Subsection 7.1, Subdivisions 7.15 through 7.18 as follows:

Sec. 7. Committees and Other Appointments [~~Committee Structure~~].

...

- 7.15 Duties of the Business Affairs and Audit Committee.--The Business Affairs and Audit Committee shall:
  - 7.151 Recommend [~~Counsel with the Chancellor and recommend~~] appropriate Board action with respect to

- any recommendations by the Chancellor related to the appointment, promotion, and dismissal of such System Administration business affairs officers [~~Officers~~] as report directly or indirectly to the Chancellor.
- 7.152 Recommend to the Board [all] compensation for the System Administration and the rates of professional compensation.
- 7.153 Make recommendations [~~Consider and recommend~~] to the Board on matters related to [all] employee personnel programs, fringe benefits, retirement programs, and labor relations in the System Administration and the component institutions.
- 7.154 Obtain, review, and report to the Board on [all] State Auditor's Reports and Management Letters and System and institutional internal audit reports.
- 7.155 Approve the System-wide internal audit plan.
- 7.156 Transmit to the Chancellor, subject to the prior approval of the Board, such instructions as it deems necessary for the implementation of appropriate internal auditing practices.
- 7.157 Initiate System and institutional audits as deemed necessary to ensure management control within The University of Texas System. (In this regard, the System Director of Audits reports to the Chairman of the Business Affairs and Audit Committee.)
- 7.158 Make [~~Consider and make~~] recommendations to the Board on [all] matters relating to the fiscal management of The University of Texas System Administration and each component institution of the System.
- 7.159 Consider [~~Following consultation with the Academic Affairs Committee and the Health Affairs Committee, consider~~] and recommend to the Board the operating budgets of The University of Texas System Administration and each component institution of the System.
- 7.15(10) [~~Following consultation with the Academic Affairs Committee and the Health Affairs Committee, consider and recommend to the Board biennial submissions of appropriation requests to the Legislative Budget Board and to the Governor as~~

~~prepared by the System Administration and component institutions in accordance with Section 6 of Chapter II of Part Two of these Rules and Regulations.~~

- ~~7.15(14)~~ Propose to the Board [all] appropriations of funds and [all] modifications of or additions to such appropriations.
- ~~7.15(11)~~~~(12)~~ ~~Following consultation with the Academic Affairs Committee and the Health Affairs Committee,~~ recommend] Make recommendations to the Board on matters that [which] commit the U. T. System or any component [thereof] to operating expenditures in future fiscal years.
- ~~7.15(12)~~~~(13)~~ Consider and make recommendations to the Board concerning the availability of funds for, and application of funds to, capital improvement requests.
- ~~7.15(13)~~~~(14)~~ ~~Consider and make~~] Make recommendations on [all] matters relating to management of the lands constituting the permanent endowment of the University, including oversight of the offices of University Lands - Surface and Mineral Interests.
- ~~[7.15 (15)~~ ~~Counsel with the Chancellor and recommend Board action with respect to any recommendations related to the appointment, promotion, and dismissal of such System officers as are responsible for managing the lands of the System or any component thereof.]~~
- 7.16 Duties of the Academic Affairs Committee.--The Academic Affairs Committee shall:
- 7.161 Report to the Board on the instructional, research, and professional service programs and activities of the general academic components [~~Consider and report to the Board on matters concerned with substantive aspects of policies and programs related to the academic philosophy and objectives of the general academic institutions; with University relations; and with general academic planning, instruction, and research~~].
- 7.162 Report [Recommend] to the Board on policies relating to soliciting and securing gifts for the University's general academic institutions.

- 7.163 Consider and report to the Board on [all] matters affecting the libraries of the general academic institutions.
- 7.164 Review proposed substantive changes in the degree program inventory and the academic administrative structure; and recommend to the Board approval or disapproval of such changes [~~Recommend to the Business Affairs and Audit Committee matters which commit the general academic institutions to operating expenditures in future years~~].
- 7.165 [~~Consider and recommend to the Business Affairs and Audit Committee the annual operating budgets of the general academic institutions, including rates of compensation.~~
- 7.166 [~~Consider and recommend to the Business Affairs and Audit Committee biennial submissions of appropriation requests to the Legislative Budget Board and to the Governor as prepared by the System Administration and component institutions in accordance with Section 6 of Chapter II of Part Two of these Rules and Regulations.~~
- 7.167] Report [~~Counsel with the Executive Vice Chancellor for Academic Affairs and the Chancellor~~] and recommend to the Board approval of matters related to education [~~student affairs, fees and housing~~] in the general academic institutions.
- 7.166[8] Recommend [~~Counsel with the Executive Vice Chancellor for Academic Affairs and the Chancellor and recommend to the~~] appropriate Board action with respect to any recommendations by the Chancellor related to [~~approval of~~] the appointment, promotion, and dismissal of such institutional officers as may be appropriate in the general academic institutions.
- 7.167[9] Consider and report to the Board on matters relating to the research, training, and community service activities at the general academic institutions.
- [7.16(10) [~~Consider and recommend to the Board the acceptance and execution of grants and contracts for research, training, and community service at the general academic institutions.~~]

- 7.168~~(11)~~ Recommend ~~[Counsel with the Chancellor and recommend]~~ appropriate Board action with respect to any recommendations by the ~~[Executive Vice]~~ Chancellor ~~[for Academic Affairs]~~ related to the appointment, promotion, and dismissal of such System Administration academic affairs officers ~~[Officers]~~ as report directly or indirectly to the ~~[Executive Vice]~~ Chancellor ~~[for Academic Affairs]~~.
- 7.169~~(12)~~ Consider and make ~~Make~~ recommendations ~~[to the Business Affairs and Audit Committee]~~ concerning capital improvement priorities ~~[requests as]~~ related to the approved missions of the general academic institutions.
- 7.17 Duties of the Health Affairs Committee.--The Health Affairs Committee shall:
  - 7.171 Consider and report to the Board on matters concerned with substantive aspects of policies and programs related to the academic philosophy and objectives of the health-related institutions; with University relations; and with health-related academic planning, instruction~~[s]~~, and research.
  - 7.172 ~~[Recommend to the Business Affairs and Audit Committee matters which commit the health-related institutions to operating expenditures in future years.~~
  - 7.173 ~~Consider and recommend to the Business Affairs and Audit Committee the annual operating budgets of the health-related institutions, including rates of compensation.~~
  - 7.174 ~~Consider and recommend to the Business Affairs and Audit Committee biennial submissions of appropriation requests to the Legislative Budget Board and to the Governor as prepared by the System Administration and component institutions in accordance with Section 6 of Chapter II of Part Two of these Rules and Regulations.~~
  - 7.175] Report ~~[Counsel with the Executive Vice Chancellor for Health Affairs and the Chancellor]~~ and recommend to the Board approval of matters relating to education ~~[student affairs, fees and housing]~~ in the health-related institutions.

- 7.173[6] ~~Recommend [Counsel with the Executive Vice Chancellor for Health Affairs and the Chancellor and recommend to the]~~ appropriate Board action with respect to any recommendations by the Chancellor related to [approval of] the appointment, promotion, and dismissal of such institutional officers as may be appropriate in the health-related institutions.
- 7.174[7] Consider and report to the Board on matters relating to the research, training, and community service activities of the health-related institutions.
- 7.175[8] Consider and report to the Board on ~~[all]~~ matters affecting the libraries of the health-related institutions.
- ~~[7.179 Consider and recommend to the Board the acceptance and execution of grants and contracts for research, training, and community service at the health-related institutions.]~~
- 7.176[(10)] Report [Recommend] to the Board on policies relating to soliciting and securing gifts for the University's health-related institutions.
- 7.177[(14)] With respect to each Hospital, clinic and patient-care facility owned by The University of Texas System:
- 7.177[(14)]1 Counsel with the Executive Vice Chancellor for Health Affairs regarding [Review and make recommendations to the Board concerning] the bylaws, and rules and regulations of the medical staff;
- 7.177[(14)]2 Review and make recommendations to the Board concerning mechanisms and controls for the achievement and maintenance of high standards of *professional practices in and at the Hospital, clinic or patient-care facility.*
- 7.178[(12)] Recommend appropriate [Counsel with the Chancellor and recommend] Board action with respect to any recommendations by the ~~[Executive Vice]~~ Chancellor [for Health Affairs] related to the appointment, promotion, and dismissal of such System Administration health affairs officers [Officers] as report directly or indirectly to the ~~[Executive Vice]~~ Chancellor [for Health Affairs].

7.179~~[(13)]~~ ~~Consider and make]~~ Make recommendations ~~[to the Business Affairs and Audit Committee]~~ concerning capital improvement priorities ~~[requests as]~~ related to the approved missions of the health-related institutions.

7.18 Duties of the Facilities Planning and Construction Committee.--  
The Facilities Planning and Construction Committee shall:

7.181 Consider matters relating to the acquisition and use of the grounds and buildings on ~~[of all]~~ campus and campus-related real property of The University of Texas System.

7.182 Review proposed construction projects and make decisions on ~~[Recommend to the Board]~~ the selection of ~~[award of contracts to consulting and other]~~ architects for projects determined to be of special interest to the Board; approve plans and accept bids for construction projects.

7.183 Make recommendations ~~[Recommend]~~ to the Board on the award and execution of construction and equipment contracts ~~[and approve progress reviews and beneficial occupancy of construction projects].~~

7.184 ~~[Consider capital improvement requests and, with the prior approval of the Academic or Health Affairs Committee, make recommendations to the Board.~~

~~7.185]~~ Make recommendations to the Board with respect to the naming of University buildings ~~[, streets, roads,]~~ and other major facilities ~~[including redesignation of existing facilities].~~

b. Amend Part One, Chapter I, Section 8, Subsection 8.5, Subdivision 8.52, relating to the procedure for addressing the Board of Regents, as follows:

8.52 Except upon invitation of the Board, the Chairman of the Board, the Chancellor, or the appropriate Executive Vice Chancellor, no person shall appear before the Board or any committee thereof unless that person files with the Executive Secretary to the Board a written request explaining the purpose of such appearance at least three ~~[six]~~ days before the date of such appearance and unless the Chairman of the Board, the appropriate Committee Chairman, or at least three members ~~[a majority]~~ of the ~~[whole]~~ Board, shall approve the request. It is understood, however, that the president of a component institution ~~[chief administrative officer]~~ or his or her

delegate and/or the president or chair of the student or faculty governance organization(s) or his or her delegate may appear without prior notice or request before the Board or any committee whenever the matter under consideration directly affects the component institution represented by such person. Persons requesting to appear must identify the subject of their remarks, which must be directly related to a matter on the Agenda for consideration by the Board. Whenever time and other circumstances permit, the person making the request shall first consult with the president [~~chief administrative officer~~], or his or her delegate, of such institution regarding the purpose of the appearance prior to the meeting of the Board or committee. Insofar as possible, any person who appears before the Board shall provide a written statement of the substance of such person's presentation to the Board, and such written statement shall be delivered to the Executive Secretary to the Board in sufficient time for copies to be distributed to the Regents prior to the meeting. Any person appearing before the Board or a committee shall be subject to restrictions on time, place and manner as may be prescribed by the Chairman or a majority of the Board or by the Chairman or a majority of a committee. The Chairman or a majority of the Board may prescribe sanctions against any person exceeding established time, place or manner limits; disrupting a meeting of the Board or a committee of the Board; or violating any provision of the Regents' Rules and Regulations. Sanctions may include the refusal to allow such person to speak again to the Board or committees of the Board for up to one year.

c. Amend Part One, Chapter I, Section 8, Subsection 8.6 as follows:

8.6 Report to Press on Actions of Board.--Reports on matters of public interest will be given to the press as promptly as possible during or after each meeting. These press reports shall be under the direction of the Chairman of the Board, the Chancellor or their designated representatives.

d. Amend Part Two, Chapter II, Section 6 (Legislative Budget Requests) as follows:

Sec. 6. Legislative Appropriation [~~Budget~~] Requests.

6.1 Legislative appropriation [~~budget~~] requests, biennial or otherwise, [~~as may be required~~] shall be prepared in conformity with the Detailed Instructions for Agencies of Higher Education as prescribed by the Legislative Budget Board and the

~~Governor's Office of Budget and Planning [submitted to the Texas Higher Education Coordinating Board, to the Legislative Budget Board, and to the Governor's Budget and Planning Office in the form and at the time prescribed].~~

- 6.2 Appropriation requests for all component institutions shall be prepared by the president in conformity with these instructions and approved by the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Executive Vice Chancellor for Academic Affairs, and the Chancellor ~~[These legislative budget requests shall be prepared in conformity with the same general procedures, as outlined above in Section 5, for the annual budgets in the following sequence:~~
- ~~6.21 Approval of budget request writing policies by the Board upon recommendation of the Chancellor.~~
  - ~~6.22 Preparation of a recommended budget request of expenditures by the component president in conformity with these policies.~~
  - ~~6.23 Approval of the completed budget request by the appropriate Executive Vice Chancellor, the Chancellor and the Board].~~
- 6.3 Appropriation requests shall be submitted to the Legislative Budget Board, to the Governor's Budget and Planning Office, and to other State offices as required in the form and at the time prescribed.

### BACKGROUND INFORMATION

The proposed nonsubstantive amendments to the Regents' Rules and Regulations, Part One, Chapter I, Section 7, Subsection 7.1, Subdivisions 7.15 through 7.18 regarding committee structure, are intended to streamline this Subsection and conform it to current committee processes, following delegation by the U. T. Board of Regents of certain approvals to the U. T. System and the component institutions in 1997. For example, the current text related to the Capital Improvement Plan has been amended to describe the roles of the Health Affairs Committee and Academic

Affairs Committee in the review of priorities related to capital improvement priorities; repetitious language is deleted; and language is limited to committee duties and responsibilities.

The proposed amendments to Part One, Chapter I, Section 8, Subsection 8.5, Subdivision 8.52 of the Rules will make the timing of requests to address the Board more flexible for a requestor by requiring that the request be submitted at least three days prior to the desired appearance. Under the current Regents' Rules, a person who wishes to address the Board of Regents or any committee thereof must file with the Executive Secretary to the Board a written request explaining the purpose of such appearance at least six days before the date of such appearance or have the approval of the Chairman of the Board or a majority of the whole Board for the appearance. As requests for appearance must relate directly to an item on a Board meeting or committee agenda, the proposed amendment allows a potential requestor more advance notice of the meeting agenda prior to the deadline for a request.

The proposed amendment to Part One, Chapter I, Section 8, Subsection 8.6 authorizes the Office of Development and External Relations to provide press information prior to the end of a regular meeting.

The proposed amendments to Part Two, Chapter II, Section 6 conform the text of the Regents' Rules to current practice related to Legislative Appropriation Requests (LAR). Requests are prepared following detailed instructions issued by the Legislative Budget Board and the Governor's Office of Budget and Planning. The proposed changes indicate each president is responsible for preparing the LAR in conformity with the detailed instructions and, upon completion, the Executive Vice Chancellors for Health Affairs, Academic Affairs, and Business Affairs and the Chancellor would review and give final approval prior to submission.

3. U. T. System: Request for Approval of Proposed Goals Statement for Distance Education

### RECOMMENDATION

The Chancellor concurs in the recommendation of the Special Committee on Telecommunications and Technology Transfer, chaired by Regent A. R. (Tony) Sanchez, Jr., that the U. T. Board of Regents approve the Goals Statement for

EXECUTIVE SESSION OF THE BOARD OF REGENTS

5/00  
minutes

Chairman Evans reported that the Board had met in Executive Session to discuss a negotiated gift in accordance with Texas Government Code Section 551.073. In response to an inquiry from Chairman Evans regarding the wishes of the Board, the following action was taken:

U. T. Austin: Approval to Accept a Negotiated Gift from the McCombs Foundation, San Antonio, Texas, for the Benefit of the School of Business (Formerly College of Business Administration and Graduate School of Business) and Approval to Rename the School of Business as the Red McCombs School of Business Effective Immediately

In accordance with the proposal presented in Executive Session, Vice-Chairman Loeffler read the following resolution:

WHEREAS, The McCombs Foundation of San Antonio, Texas, at the direction of Red and Charline McCombs, has offered an extraordinary gift in support of the School of Business at The University of Texas at Austin;

WHEREAS, The scale and nature of the gift are such that the School is enabled by the gift itself to undertake a well-defined series of improvements that can elevate it into a more preeminent group of national peers, consisting of the top five programs among public universities and the top ten among all universities, public or private;

WHEREAS, Red McCombs has established a remarkable record of civic contribution and personal achievement in business over a distinguished career;

WHEREAS, The dean, the faculty leadership, the alumni leadership of the School, and the administrative leadership of the University and System are clearly in agreement that the name and achievements of Red McCombs embody the reputation and aspirations of the School;

WHEREAS, All also agree that it is appropriate to recognize the McCombs family in a distinctive and exceptional manner because their contribution will enable the School of Business to serve Texas at a significantly higher level;

of 6/13

I HEREBY MOVE that the U. T. Board of Regents approve the acceptance of the offered gift from the McCombs Foundation for the benefit of the School of Business at The University of Texas at Austin; and

I FURTHER MOVE that, with enormous appreciation for a great philanthropic commitment by Red and Charline McCombs, the Board name the School of Business at U. T. Austin as the Red McCombs School of Business, effective immediately.

The motions were duly seconded and carried without objection.

It was noted that the Commissioner of Higher Education had approved the renaming of the College of Business Administration and Graduate School of Business at U. T. Austin as the School of Business on May 9, 2000.

SCHEDULED MEETING.--Chairman Evans announced that the next scheduled meeting of the U. T. Board of Regents would be held on August 9-10, 2000, in Austin.

ADJOURNMENT.--There being no further business, the meeting was adjourned at 11:42 a.m.

Francie A. Frederick  
Executive Secretary

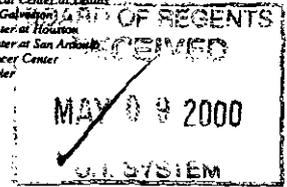
June 13, 2000

BL: FF

Academic Component Institutions:  
The University of Texas at Arlington  
The University of Texas at Austin  
The University of Texas at Brownsville  
The University of Texas at Dallas  
The University of Texas at El Paso  
The University of Texas-Pan American  
The University of Texas of the Permian Basin  
The University of Texas at San Antonio  
Institute of Texas Cultures  
The University of Texas at Tyler



Health Component Institutions:  
The University of Texas Southwestern Medical Center at Dallas  
The University of Texas Medical Branch at Galveston  
The University of Texas Health Science Center at Houston  
The University of Texas M.D. Anderson Cancer Center  
The University of Texas Health Center at Tyler



# THE UNIVERSITY OF TEXAS SYSTEM

601 COLORADO STREET AUSTIN, TEXAS 78701-2982

Office of the Chancellor  
(512) 499-4200

May 8, 2000

Dr. Don Brown  
Commissioner  
Texas Higher Education Coordinating Board  
7745 Chevy Chase Drive  
Agency Code: 781

Dear Don:

I write to ask for Coordinating Board approval for the proposal by The University of Texas at Austin to change the name of one of its colleges. The proposed name change is non-substantive. It does not include any associated organizational changes or financial implications. Please approve the change from "College of Business Administration and Graduate School of Business" to "School of Business."

per file

We also ask that approval of this request be expedited so that publication and related deadlines can be met.

Sincerely,

Edwin R. Sharpe  
Executive Vice Chancellor for Academic Affairs

ERS/mts

cc: Dr. William H. Cunningham  
Dr. Larry R. Faulkner

C7 -  
741 for  
item +  
R/R.

*Draft  
500 minutes*

4. U. T. San Antonio: Authorization to Reorganize the Academic Structure and to Submit the Proposed Organizational Structure to the Coordinating Board for Approval (Catalog Change)

An additional item, which was before the Board on yellow paper, was added to the agenda relating to a proposal to reorganize the academic structure of The University of Texas at San Antonio.

In accordance therewith, the Board granted authorization to reorganize the academic structure of U. T. San Antonio as set forth below, with explanatory notes, and to submit the proposed reorganization to the Texas Higher Education Coordinating Board for review and appropriate action:

**Proposed Alignment of Colleges and Academic Departments or Schools**

**Colleges**

Business

Fine and  
Liberal Arts

**Departments/Schools**

*(Up to three new departments may be created from these existing divisions over the next five years.)*

Accounting and Information Systems\*

Economics and Finance\*

Management and Marketing\*

School of Architecture and Interior Design  
*(Could be relocated into College of Urban Professional Programs)*

Art\*

Communications

Music\*

English, Classics, and Philosophy\*

Foreign Languages\*

History and Anthropology *(May later split into two departments)*

Political Science and Geography

Psychology

Sociology

**Colleges  
(cont.)  
Science**

**Departments/Schools (cont.)**

Education  
and Human  
Development

Engineering

Urban  
Professional  
Programs

Computer Science\*  
Physical Sciences\* (*Includes Chemistry  
and Physics*)  
Environmental Sciences  
Life Sciences\*  
Mathematics\* (*May be divided into two or  
three units*)

Current division of Education\* (*Will later  
split into three departments*)

Bicultural-Bilingual Studies

*(Will later split into three departments)*

*(This would be the home of a future School  
of Social Work.)*

Criminal Justice

Public Administration

\*These are currently divisions which will transition into traditional departments.

Further, the Board authorized the President of U. T. San Antonio to make minor modifications to the academic structure upon approval by the Executive Vice Chancellor for Academic Affairs and subsequent approval by the Commissioner of Higher Education.

U. T. San Antonio currently has four colleges and 17 multidisciplinary divisions within those colleges. The division-based organizational structure was developed in the early 1980s in an attempt to cut administrative costs and to foster interdisciplinary programs. While this academic structure was successful in reducing administrative costs for many years, it was not very successful in fostering interdisciplinary work. As the University has grown in size and complexity, the organizational structure has become a clear disadvantage and no longer provides opportunities for reducing administrative costs.

Four colleges will be replaced with six colleges, and the multidisciplinary academic divisions will be replaced with traditional academic departments or schools. A College of Engineering will be formed from the Engineering Division within the current College of Science and Engineering, and a College of Education and Human Development will be formed from units within the current College of Social and Behavioral Sciences. The current College of Fine Arts will be expanded and become the College of Fine and Liberal Arts. A new College of Urban Professional Programs will be created and housed on the Downtown Campus. The other two colleges will be a College of Business and a College of Science.

Although the restructuring creates two more colleges than currently exist, no significant cost will be incurred. The cost of creating new colleges will be offset by the estimated \$500,000 to be saved in the transition of rotating department chairs rather than division heads. There will be no change in the academic curriculum or in the staffing for teaching and research.

Upon approval by the Coordinating Board, the next appropriate catalog published at U. T. San Antonio will be amended to reflect this new organizational structure.

## INFORMATIONAL REPORTS

### 1. U. T. System: Report on the Strategic Planning Process and Agency Strategic Plans

Dr. Joseph H. Stafford, Associate Vice Chancellor for Academic Affairs, reported on the strategic planning process and the Agency Strategic Plans of all the component institutions of The University of Texas System. The plans are to be submitted to the Legislative Budget Office and the Governor's Office of Budget and Planning by June 1, 2000. Dr. Stafford stated the U. T. System has a good strategic planning process and that he plans to recommend, as part of the legislative program, the simplification of Agency Strategic Plans for public universities. Dr. Stafford noted that these plans include significant items the components are achieving and planning items that the Regents have been advised about during the last biennium. He stated there is more emphasis in this legislative appropriations cycle on accommodating enrollment growth because the State demographics are changing, and K-12 performance is rising with the result being an expected increase in enrollment. He noted the U. T. System continues to emphasize

FF: PS + I have attached some ideas of ~~me~~

Felkel, Carol

From: Frederick, Francie  
Sent: Wednesday, April 12, 2000 3:26 PM  
To: Felkel, Carol  
Subject: RE: Jerry Vernon

requests to  
OB but not  
sure they're  
what you're  
remembering.

i think it is necessary. If it were a proposed building and we changed the name, we'd have to do an item to redesignate, so seems that a name change for a built building should also be subject to same level of approval.

Carol, this reminds me that we've seen 2 requests to Coord. Board for approval of administrative reorganizations....UTSA and one of the health comps/. Would you ask someone to find and let's tickle for needed changes to the schools/colleges part of the R//R? Thanks.

-----Original Message-----

From: Felkel, Carol  
Sent: Wednesday, April 12, 2000 2:39 PM  
To: Frederick, Francie  
Subject: Jerry Vernon

Jerry wonders if an agenda item is necessary for this scenario:

UTPA want to name the Nursing Bldg as the College of Health Sciences East (or something close) (there is a West building). OFPC tries to tie in naming of buildings w/ other more substantive issues but in this case it's just the "un-honorific" naming of a building.

Agenda item necessary?

Thanks.

PS: pls. help. Thanks.

5/8

CA-

see AHX.

Can we discuss?

PS

10/11/00 - W-11  
14 C 3/11/00  
JSA

ACADEMIC AFFAIRS COMMITTEE

ADDITIONAL ITEM

MAY 11, 2000

4. U. T. San Antonio: Request for Authorization to Reorganize the Academic Structure and to Submit the Proposed Organizational Structure to the Coordinating Board for Approval (Catalog Change)

RECOMMENDATION

The Chancellor concurs in the recommendation of the Executive Vice Chancellor for Academic Affairs and President Romo that authorization be granted to reorganize the academic structure of U. T. San Antonio as set forth below, with explanatory notes, and to submit the proposed reorganization to the Texas Higher Education Coordinating Board for review and appropriate action:

**Proposed Alignment of Colleges and Academic Departments or Schools**

<b>Colleges</b>	<b>Departments/Schools</b>
Business	<i>(Up to three new departments may be created from these existing divisions over the next five years.)</i> Accounting and Information Systems* Economics and Finance* Management and Marketing*
Fine and Liberal Arts	School of Architecture and Interior Design <i>(Could be relocated into College of Urban Professional Programs)</i> Art* Communications Music* English, Classics, and Philosophy* Foreign Languages* History and Anthropology <i>(May later split into two departments)</i> Political Science and Geography Psychology Sociology

<b>Colleges (cont.)</b>	<b>Departments/Schools (cont.)</b>
Science	Computer Science* Physical Sciences* <i>(Includes Chemistry and Physics)</i> Environmental Sciences Life Sciences* Mathematics* <i>(May be divided into two or three units)</i>
Education and Human Development	Current division of Education* <i>(Will later split into three departments)</i> Bicultural-Bilingual Studies
Engineering	<i>(Will later split into three departments)</i>
Urban Professional Programs	<i>(This would be the home of a future School of Social Work.)</i> Criminal Justice Public Administration

\*These are currently divisions which will transition into traditional departments.

It is further recommended that the President of U. T. San Antonio may make minor modifications to the proposed structure upon approval by the Executive Vice Chancellor for Academic Affairs and subsequent approval by the Commissioner of Higher Education.

Upon approval by the Coordinating Board, the next appropriate catalog published at U. T. San Antonio will be amended to reflect this new organizational structure.

### BACKGROUND INFORMATION

This item is being submitted as a late item on yellow paper as U. T. San Antonio did not expect for it to be ready for presentation at this meeting.

U. T. San Antonio currently has four colleges and 17 multidisciplinary divisions within those colleges. The division-based organizational structure was developed in the early 1980s in an attempt to cut administrative costs and to foster interdisciplinary programs. While this academic structure was successful in reducing administrative costs for many years, it was not very successful in fostering interdisciplinary work. As the University has grown in size and complexity, the organizational structure has become a clear disadvantage. It no longer provides opportunities for reducing administrative costs.

Four colleges will be replaced with six colleges, and the multidisciplinary academic divisions will be replaced with traditional academic departments or schools. A College of Engineering will be formed from the Engineering Division within the current College of Science and Engineering, and a College of Education and Human Development will be formed from units within the current College of Social and Behavioral Sciences. The current College of Fine Arts will be expanded and become the College of Fine and Liberal Arts. A new College of Urban Professional Programs will be created and housed on the Downtown Campus. The other two colleges will be a College of Business and a College of Science.

Although the proposed restructuring creates two more colleges than currently exist, no significant cost will be incurred. The cost of creating new colleges will be offset by the estimated \$500,000 to be saved in the transition to rotating department chairs rather than division heads. There will be no change in the academic curriculum or in the staffing for teaching and research.

Felkel, Carol

SPC

From: Frederick, Francie  
Sent: Friday, May 05, 2000 4:03 PM  
To: Smith, Pam (Johnson)  
Cc: Felkel, Carol  
Subject: draft letter from DLE to Mr. Krier

Importance: High

Dear Joe:

I appreciate your letter informing us of the strong support of The Greater San Antonio Chamber of Commerce for the establishment of a free-standing College of Engineering at The University of Texas at San Antonio. An item seeking approval of the proposed academic reorganization of UTSA has just been added to the May 11 meeting agenda of the Board of Regents at the request of President Romo. The recommendation includes free-standing status for a College of Engineering and we look forward to considering this issue.

We appreciate your interest and support and I will be sure that all members of the Board are aware of The Chamber's resolution.

Your friend,

DLE

xc: Vice-Chairman Tom Loeffler (w/attachment)  
Members, U. T. Board of Regents (w/attachment)  
Chancellor Cunningham  
Dr. Sharpe  
Dr. Romo

I've run letter by ERS. We may end up distributing these to the BOR on Wed. or Thurs. or faxing to them on TUESd.

DS -  
Handled?  
5/10

5/10  
DLE  
ERS  
Cof

6/14 ✓ HW PS let me know when you're ready to orally proof.  
PS  
Angliose  
OS

Pls. proof RRR amendments. Please glance thru Minutes to make sure I've picked up all applicable amendments.

Done by  
PS/MLJ  
6-15-00

✓ Then orally proof against MO's.

IF no major edits, please forward to Francie for her review.

Done  
6/15

Thanks

cf  
6/14/00

①  
✓ thanks -  
??  
6/16/2000  
② let's finalize

OFFICE OF THE BOARD OF REGENTS  
THE UNIVERSITY OF TEXAS SYSTEM  
201 WEST SEVENTH STREET, AUSTIN, TEXAS 78701-2981  
(512) 499-4402

MEMORANDUM

May 22, 2000

TO: Those Who Receive Official Copies of  
the Regents' Rules and Regulations

FROM: Francie A. Frederick *J.F.*

SUBJECT: Regents' Rules and Regulations,  
Amendments to Part One

*mailed  
5/24/00  
for list  
attached  
J.F.*

Enclosed are revised pages containing amendments to the Regents' Rules and Regulations approved at the February 10, 2000 meeting of the U. T. Board of Regents, as well as editorial amendments to adjust page breaks and clarify references.

Further detail on the rationale for the amendments and congressional style displays of the revised text are included in the Material Supporting the Agenda (MSA) for the February 10, 2000 meeting as referenced below.

Please update your copy of the Regents' Rules and Regulations, Part One by discarding the pages listed in Column "A" and substituting in lieu thereof the pages listed in Column "B":

<u>"A"</u>	<u>"B"</u>	<u>MSA Reference</u>
Cover Page	Cover Page	
Page I-17	Page I-17	Page 17
Page II-25	Page II-25	
Pages III-5 and III-6	Pages III-5 and III-6	Page 64
Page VIII-2	Page VIII-2	

FAF/cf  
Enclosures

May 3, 2000

Distribution List for Official Copies of  
Regents' Rules and Regulations

Board of Regents	9
Office of the Board of Regents	3
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Governor's Budget and Planning Office	1
Publications Clearing House	1
State Auditor - U. T. Austin Representative	1
Legislative Reference Library	1
System Administration	9
Chancellor	
Executive Vice Chancellor for Health Affairs	
Executive Vice Chancellor for Business Affairs	
Executive Vice Chancellor for Academic Affairs	
Executive Associate to the Chancellor	
Vice Chancellor and General Counsel	
Vice Chancellor for Governmental Relations	
Vice Chancellor for Development and External Relations	
Vice Chancellor for Federal Relations	
Presidents of Component Institutions	15
Mr. Ralph L. Elder, The University of Texas at Austin	<u>1</u>
TOTAL	42

NOTE: See July 6, 1988 letter from Coordinating Board which requests that only those amendments related to (1) academic work load and (2) faculty development leaves be sent to that office.

Also send intellectual property policy revisions to Coordinating Board per State Statute and post tenure review.

Addresses of State Officials Who Receive Copies  
of the Regents' Rules and Regulations

Governor's Budget and Planning Office  
711 Sam Houston Building  
Austin, Texas 78711  
INTERAGENCY MAIL

Mr. John Keel  
Director, Legislative Budget Board  
John H. Reagan Building  
Third Floor  
Austin, Texas 78701  
INTERAGENCY MAIL

State Auditor's Office  
Two Commodore Plaza  
206 East 9th Street  
Suite 1900  
Austin, Texas 78701  
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Ms. Sally Reynolds  
Director  
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Room 207-B, Capitol Building  
Austin, Texas 78711  
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Publications Clearing House  
Room 307, Archives Building  
Texas State Library  
Austin, Texas 78711  
INTERAGENCY MAIL

Dr. Don Brown  
Commissioner, Texas Higher  
Education Coordinating Board  
7745 Chevy Chase Drive, Building 5  
Austin, Texas 78752  
INTERAGENCY MAIL

(NOTE: Send only when there are  
amendments related to (1) academic  
work load, (2) faculty development  
leaves, (3) intellectual property  
policy, or (4) post tenure review.)

Mr. Ralph L. Elder  
Professional Librarian  
The University of Texas at Austin  
SRH 2.111  
FACULTY MAIL  
D1100

\*\*\*\*\*

✓HW 5/9  
LOS 5/15  
Pls. proof  
5/9

OFFICE OF THE BOARD OF REGENTS  
THE UNIVERSITY OF TEXAS SYSTEM  
201 WEST SEVENTH STREET, AUSTIN, TEXAS 78701-2981  
(512) 499-4402

MEMORANDUM

May \_\_, 2000

**TO:** Those Who Receive Official Copies of  
the Regents' Rules and Regulations

**FROM:** Francie A. Frederick

**SUBJECT:** Regents' Rules and Regulations,  
Amendments to Part One

Enclosed are revised pages containing amendments to the Regents' Rules and Regulations approved at the February 10, 2000 meeting of the U. T. Board of Regents, as well as editorial amendments to adjust page breaks and clarify references.

Further detail on the rationale for the amendments and congressional style displays of the revised text are included in the Material Supporting the Agenda (MSA) for the February 10, 2000 meeting as referenced below.

Please update your copy of the Regents' Rules and Regulations, Part One by discarding the pages listed in Column "A" and substituting in lieu thereof the pages listed in Column "B":

"A"	"B"	MSA Reference
Cover Page	Cover Page	
Page I-17 X	Page I-17 X	Page 17 X
Page II-25 X	Page II-25 X	
Pages III-5 X and III-6 X	Pages III-5 X and III-6 X	Page 64 X
Page VIII-2 X	Page VIII-2 X	

FAF/cf  
Enclosures

FF  
Pls.  
check  
wording  
to vague  
a little  
can we  
just  
more  
examples?

Feb. 10, 2000

RULES AND REGULATIONS  
OF THE  
BOARD OF REGENTS  
OF  
THE UNIVERSITY OF TEXAS SYSTEM  
FOR THE GOVERNMENT OF  
THE UNIVERSITY OF TEXAS SYSTEM

PART ONE

Part One was completely reissued as of June 1, 1988.  
(Substantive amendments through February 10, 2000,  
and editorial amendments through April 2000  
have been issued with this cover sheet.)

- 7.3 The University of Texas Investment Management Company (UTIMCO).--Pursuant to Section 66.08 of the Texas Education Code, the U. T. Board of Regents shall appoint the nine directors of The University of Texas Investment Management Company (UTIMCO). At least three members of the UTIMCO Board of Directors and the Chancellor shall be appointed by the Chairman of the U. T. Board of Regents, by and with the consent of the U. T. Board of Regents, as directors of UTIMCO. At least one director will be selected from a list of candidates with substantial background and expertise in investments that is submitted to the U. T. Board of Regents by the Board of Regents of The Texas A&M University System.
- 7.4 Special Committees.--The Chairman of the Board shall appoint such special committees of the Board as the Board may authorize.

Sec. 8. Procedure.

- 8.1 Rules of Order.--Robert's Rules of Order, when not in conflict with any of the provisions of this Chapter, shall be the rules of parliamentary procedure when the Board or any of its committees is in session.
- 8.2 Order of Business.--Customarily, the order of business at a regular meeting of the Board shall be as follows:
- 8.21 Executive Session of the Board.
  - 8.22 Correction and approval of Minutes of preceding meeting(s).
  - 8.23 Consideration of Special Items referred directly to the Board.
  - 8.24 Consideration of items relating to The University of Texas Investment Management Company (UTIMCO).
  - 8.25 Consideration of approval of items contained in reports of standing committees:
    - (a) Executive Committee
    - (b) Business Affairs and Audit Committee
    - (c) Academic Affairs Committee
    - (d) Health Affairs Committee
    - (e) Facilities Planning and Construction Committee
  - 8.26 Reports of special committees.

consistent with that usually provided by an Advisory Committee. The Special Committee shall be composed as specified above for the Advisory Committee but will not include members of the Board of Regents. The advice of the Special Committee shall be provided to the Board through the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Academic Affairs, or the Chancellor. The Special Committee shall not extend its consideration beyond those nominees referred to it by the U. T. Board of Regents without specific concurrence from the Board.

- 14.2 Each president reports to and is responsible to the Executive Vice Chancellor having responsibility for the institution and serves without fixed term, subject to the pleasure of the appropriate Executive Vice Chancellor and approval by the Chancellor and the Board. The president has access to the Chancellor and is expected to consult with the appropriate Executive Vice Chancellor and the Chancellor on significant issues on an as needed basis.
  
- 14.3 Within the policies and regulations of the Board and under the supervision and direction of the appropriate Executive Vice Chancellor, the president has general authority and responsibility for the administration of that institution.
  - 14.31 Specifically, the president is expected, with the appropriate participation of the staff, to:
    - 14.311 Develop and administer plans and policies for the program, organization, and operation of the institution.
    - 14.312 Interpret the System policy to the staff, and interpret the institution's programs and needs to the System Administration and to the public.
    - 14.313 Develop and administer policies relating to students, and where applicable, to the proper management of services to patients.

(i) Persons appointed to full-time positions for the primary purpose of research activities, patient care, and other service activities shall be given one of the following titles, even though the individuals may be assigned teaching responsibilities:

(1) Professor of

\_\_\_\_\_  
(title of specialty)

(2) Associate Professor of

\_\_\_\_\_  
(title of specialty)

(3) Assistant Professor of

\_\_\_\_\_  
(title of specialty)

(4) Instructor in

\_\_\_\_\_  
(title of specialty)

For persons appointed to positions pursuant to this Item, all appointment letters, personnel forms, budgets and other official documents shall state in parenthesis following the name and title of the individual either "(nontenure-track clinical appointment)" or "(nontenure-track research appointment)," as appropriate, and service in such positions shall not be credited as faculty service toward calculation of any maximum probationary period.

1.84 Prefixes to academic and staff positions in which tenure cannot be acquired:

- (a) Visiting Professor, Visiting Associate Professor, and Visiting Assistant Professor. These titles are used only for temporary appointments of persons either visiting from other institutions where they hold similar ranks or who are brought to the University on a trial basis. Such appointments are limited to two years unless an exception is approved in writing by the appropriate Executive Vice Chancellor.

Sec. 2. Flags at Half Mast.--Flags at any of the component institutions of the System shall be placed at half mast on the death of a Regent, the death of a member of the teaching or non-teaching staff, the death of a student in residence at the institution, or at such other times as may be deemed appropriate by the president.

Sec. 3. Medical and Hospital Services.--With exceptions as outlined below, no health care services shall be provided by any component institution of the System to any person without compensation or reimbursement to the System. In the case of health care facilities operated by the System, which under the law are open to the general public, free or partly free health care services may be rendered to persons who are indigent and who are able to offer proof that they are not financially able to pay either all or any part of their health care expenses. In the case of health care services provided by faculty of a general academic component in the conduct of an educational program, persons receiving such services need not be charged for such services.

Health components may accept patients for acute or continuing, or both, care without referral by another physician or agency. The patients are accepted for total and continuing care including the obligation to obtain the services of other physicians when indicated.

Sec. 4. Institutions and Entities Composing the System.--The System is composed of the component institutions and entities set forth below in paragraph 4.1 et seq. and such other component institutions and activities as may from time to time be assigned to the governance, control, jurisdiction, or management of the Board of the System. To insure uniformity and

2/00 Minutes

- 2. U. T. Board of Regents: Authorization to Amend the Fund Distributions Section of the Permanent University Fund (PUF) Investment Policy Statement and PUF Distribution for the Fiscal Year Ending August 31, 2000

See Item 1 on Page 1 for approval of this item on February 9, 2000.

3.

- U. T. Board of Regents - Regents' Rules and Regulations: Approval to Amend Part One, Chapter I, Section 7 (Committee Structure) to Add New Subsection 7.3

The Board amended the Regents' Rules and Regulations, Part One, Chapter I, Section 7 to add a new Subsection 7.3, relating to the Board of Directors of The University of Texas Investment Management Company (UTIMCO), as set forth below and directed that former Subsection 7.3 be renumbered as Subsection 7.4.

7.3 The University of Texas Investment Management Company (UTIMCO).--Pursuant to Section 66.08 of the Texas Education Code, the U. T. Board of Regents shall appoint the nine directors of The University of Texas Investment Management Company (UTIMCO). At least three members of the UTIMCO Board of Directors and the Chancellor shall be appointed by the Chairman of the U. T. Board of Regents, by and with the consent of the U. T. Board of Regents, as directors of UTIMCO. At least one director will be selected from a list of candidates with substantial background and expertise in investments that is submitted to the U. T. Board of Regents by the Board of Regents of The Texas A&M University System.

STU

This amendment to the Regents' Rules and Regulations, Part One, Chapter I, Section 7 conforms the Rules to the Texas Education Code Section 66.08 (d) and (e) relating to the membership of the Board of Directors.

See Item 4 on Page 26 relating to an amendment to Article III (Board of Directors) of the UTIMCO Bylaws.

200 MSA

3. U. T. Board of Regents: Proposed Amendment to the Regents' Rules and Regulations, Part One, Chapter I, Section 7 (Committee Structure)

RECOMMENDATION

It is recommended that the Regents' Rules and Regulations, Part One, Chapter I, Section 7 be amended as set forth below in congressional style to add a new Subsection 7.3, relating to the Board of Directors of The University of Texas Investment Management Company (UTIMCO), and to renumber present Subsection 7.3 as Subsection 7.4:

7.3 The University of Texas Investment Management Company (UTIMCO).--Pursuant to Section 66.08 of the Texas Education Code, the U. T. Board of Regents shall appoint the nine directors of The University of Texas Investment Management Company (UTIMCO). At least three members of the UTIMCO Board of Directors and the Chancellor shall be appointed by the Chairman of the U. T. Board of Regents, by and with the consent of the U. T. Board of Regents, as directors of UTIMCO. At least one director will be selected from a list of candidates with substantial background and expertise in investments that is submitted to the U. T. Board of Regents by the Board of Regents of The Texas A&M University System.

BACKGROUND INFORMATION

This proposed amendment to the Regents' Rules and Regulations, Part One, Chapter I, Section 7 will conform the Rules to the Texas Education Code Section 66.08 (d) and (e) relating to the membership of the Board of Directors. The proposed amendment has been reviewed and approved by the Vice Chancellor and General Counsel.

See Item 4 on Page 18 relating to a proposed amendment to Article III (Board of Directors) of the UTIMCO Bylaws.

*Part One*

consistent with that usually provided by an Advisory Committee. The Special Committee shall be composed as specified above for the Advisory Committee but will not include members of the Board of Regents. The advice of the Special Committee shall be provided through the Executive Vice President for Health Affairs, the Executive Vice President for Academic Affairs, or the Special Committee shall not have authority beyond those nominated by the U. T. Board of Regents without specific concurrence from

*editorial*  
*reports to*  
*and*

14.2 Each president is responsible to the Executive Vice Chancellor having responsibility for the institution and serves without fixed term, subject to the pleasure of the appropriate Executive Vice Chancellor and approval by the Chancellor and the Board. The president has access to the Chancellor and is expected to consult with the appropriate Executive Vice Chancellor and the Chancellor on significant issues on an as needed basis.

14.3 Within the policies and regulations of the Board and under the supervision and direction of the appropriate Executive Vice Chancellor, the president has general authority and responsibility for the administration of that institution.

14.31 Specifically, the president is expected, with the appropriate participation of the staff, to:

14.311 Develop and administer plans and policies for the program, organization, and operation of the institution.

14.312 Interpret the System policy to the staff, and interpret the institution's programs and needs to the System Administration and to the public.

14.313 Develop and administer policies relating to students, and where applicable, to the proper management of services to patients.

2/00  
minutes

REPORT AND RECOMMENDATIONS OF THE ACADEMIC AFFAIRS COMMITTEE (Pages 58 - 70).--Committee Chairman Miller reported that the Academic Affairs Committee met in open session to consider those matters on its agenda and to formulate recommendations for the U. T. Board of Regents. Unless otherwise indicated, the actions set forth in the Minute Orders which follow were recommended by the Academic Affairs Committee and approved in open session and without objection by the U. T. Board of Regents:

1. U. T. Board of Regents - Regents' Rules and Regulations, Part One: Amendments to Chapter III, Section 1, Subsection 1.8, Subdivision 1.83(i) (Academic Titles)

Upon recommendation of the Academic Affairs and Health Affairs Committees, the Board amended the Regents' Rules and Regulations, Part One, Chapter III, Section 1, Subsection 1.8, Subdivision 1.83(i), relating to academic titles, to read as set forth below:

- (i) Persons appointed to full-time positions for the primary purpose of research activities, patient care, and other service activities shall be given one of the following titles, even though the individuals may be assigned teaching responsibilities:
  - (1) Professor of \_\_\_\_\_  
(title of specialty)
  - (2) Associate Professor of \_\_\_\_\_  
(title of specialty)
  - (3) Assistant Professor of \_\_\_\_\_  
(title of specialty)
  - (4) Instructor in \_\_\_\_\_  
(title of specialty)

For persons appointed to positions pursuant to this Item, all appointment letters, personnel forms, budgets and other official documents shall state in parenthesis following the name and title of the individual either "(nontenure-track clinical appointment)" or "(nontenure-track research appointment)," as appropriate, and service in such positions shall not be credited as faculty service toward calculation of any maximum probationary period.



2/00 Minutes

These amendments to the Regents' Rules and Regulations, Part One, Chapter III, Section 1, Subsection 1.8, Subdivision 1.83(i), relating to appointments to positions with academic titles in which tenure cannot be awarded, provide authority for the academic component institutions to give these titles to persons primarily engaged in research and provide uniformity in the use of titles at the academic and health component institutions.

2. U. T. Board of Regents - Regents' Rules and Regulations, Part Two: Approval to Amend Chapter I, Section 4 (Assessment, Collection, and Waiver of Tuition and Fees) to Add New Subsection 4.3

The Board, upon recommendation of the Academic Affairs and Health Affairs Committees, amended the Regents' Rules and Regulations, Part Two, Chapter I, Section 4, relating to assessment, collection, and waiver of tuition and fees, by adding Subsection 4.3 as set forth below:

Sec. 4. Assessment, Collection, and Waiver of Tuition and Fees.-- Tuition, fees, and other charges will be fixed as prescribed or as authorized by statute and will be published in the institutional catalog with a description of the criteria for any authorized exemptions and waivers.

4.1 The authority of the U. T. Board of Regents to grant exemptions and waivers from tuition, fees, and other charges in accordance with statute, is delegated to the presidents of the U. T. System component institutions.

4.2 The Board delegates to the presidents of the component institutions the authority to approve changes in the amounts of incidental fees authorized by Section 54.504, Texas Education Code, for those fees that have previously been approved by the Board. As a condition to approval of any increase in such fees, the president must find that such increase is required in order for the incidental fee to reasonably reflect the actual cost to the component institution of the materials or services to be provided.

1. U. T. Board of Regents: Proposed Amendments to the Regents' Rules and Regulations, Part One, Chapter III, Section 1, Subsection 1.8 (Academic Titles)

2/00  
MSA

RECOMMENDATION

The Chancellor concurs in the recommendation of the Executive Vice Chancellor for Academic Affairs, the Executive Vice Chancellor for Health Affairs, and the Vice Chancellor and General Counsel that the Regents' Rules and Regulations, Part One, Chapter III, Section 1, Subsection 1.8, relating to academic titles, be amended as set forth below in congressional style:

(i) ~~[In the general academic institutions with health related clinical programs, persons appointed to full-time positions for the primary purpose of patient care and other service activities shall be given one of the following titles, even though the individuals may be assigned teaching or research responsibilities:~~

~~(1) Professor of Clinical~~

~~(title of specialty)~~

~~(2) Associate Professor of Clinical~~

~~(title of specialty)~~

~~(3) Assistant Professor of Clinical~~

~~(title of specialty)~~

~~(4) Instructor in Clinical~~

~~(title of specialty)~~

~~For persons appointed to positions pursuant to this Item, all appointment letters, personnel forms, budgets and other official documents shall state in parenthesis following the name and title of the individual either "(nontenure track clinical appointment)" or "(nontenure track appointment)," as appropriate, and service in such positions shall not be credited as faculty service toward calculation of any maximum probationary period.~~

(j) Persons [~~In the health components, persons~~] appointed to full-time positions for the primary purpose of [~~either~~] research activities, [~~or~~] patient care, and other service activities shall be given one of the following titles, even though the individuals may be assigned teaching responsibilities:

(1) Professor of

\_\_\_\_\_

(title of specialty)

(2) Associate Professor of

\_\_\_\_\_

(title of specialty)

(3) Assistant Professor of

\_\_\_\_\_

(title of specialty)

(4) Instructor in

\_\_\_\_\_

(title of specialty)

For persons appointed to positions pursuant to this Item, all appointment letters, personnel forms, budgets and other official documents shall state in parenthesis following the name and title of the individual either "(nontenure-track clinical appointment)" or "(nontenure-track research appointment)," as appropriate, and service in such positions shall not be credited as faculty service toward calculation of any maximum probationary period.

This item requires the concurrence of the Health Affairs Committee.

### BACKGROUND INFORMATION

The proposed amendments to the Regents' Rules and Regulations, Part One, Chapter III, Section 1, Subsection 1.8, relating to appointments to positions with academic titles in which tenure cannot be awarded, will provide authority for the academic components to give these titles to persons primarily engaged in research and will provide uniformity in the use of titles at the academic and health component institutions.

← Paragraph  
singular

Mast.--Flags at any of the component institu-  
system shall be placed at half mast on the death  
the death of a member of the teaching or non-  
, the death of a student in residence at the  
or at such other times as may be deemed appro-  
chief administrative officer.

Hospital Services.--With exceptions as outlined  
th care services shall be provided by any com-  
tion of the System to any person without com-  
reimbursement to the System. In the case of  
ilities operated by the System, which under  
pen to the general public, free or partly free  
health care services may be rendered to persons who are  
indigent and who are able to offer proof that they are not  
financially able to pay either all or any part of their  
health care expenses. In the case of health care services  
provided by faculty of a general academic component in the  
conduct of an educational program, persons receiving such  
services need not be charged for such services.

Health components may accept patients for acute or continu-  
ing, or both, care without referral by another physician or  
agency. The patients are accepted for total and continuing  
care including the obligation to obtain the services of other  
physicians when indicated.

Sec. 4. Institutions and Entities Composing the System.--The System  
is composed of the component institutions and entities set  
forth below in paragraphs 4.1 et seq. and such other compo-  
nent institutions and activities as may from time to time be  
assigned to the governance, control, jurisdiction, or manage-  
ment of the Board of the System. To insure uniformity and

Sec. 2. Flags at Half Mast.--Flags at any of the component institutions of the System shall be placed at half mast on the death of a Regent, the death of a member of the teaching or non-teaching staff, the death of a student in residence at the institution, or at such other times as may be deemed appropriate by the chief administrative officer.

Sec. 3. Medical and Hospital Services.--No health care services shall be provided by any component institution of the System to any person without compensation or reimbursement to the System, except that in the case of health care facilities operated by the System, which under the law are open to the general public, free or partly free health care services may be rendered to persons who are indigent and who are able to offer proof that they are not financially able to pay either all or any part of their health care expenses.

Health components may accept patients for acute or continuing, or both, care without referral by another physician or agency. The patients are accepted for total and continuing care including the obligation to obtain the services of other physicians when indicated.

Sec. 4. Institutions and Entities Composing the System.--The System is composed of the component institutions and entities set forth below in paragraphs 4.1 et seq. and such other component institutions and activities as may from time to time be assigned to the governance, control, jurisdiction, or management of the Board of the System. To insure uniformity and

*keep in page content only - no substantive amendments 11/19/96*

*② 1 x c of this to me pg. ★*

*FF: word is currently underscored but wasn't in 1994 attached. leave as is?*

*① let's delete under w/ 5/9*

August 11, 1994

Board as required in Part One, Chapter VII, Subsection 2.44 of the Regents' Rules and Regulations. Recommendations by the Chancellor, appropriate Executive Vice Chancellor and chief administrative officer regarding the naming of each facility included in a fund development campaign shall subsequently be submitted to the Board of Regents for approval.

Sec. 2. Flags at Half Mast.--Flags at any of the component institutions of the System shall be placed at half mast on the death of a Regent, the death of a member of the teaching or non-teaching staff, the death of a student in residence at the institution, or at such other times as may be deemed appropriate by the chief administrative officer.

Sec. 3. Medical and Hospital Services.--No health care services shall be provided by any component institution of the System to any person without compensation or reimbursement to the System, except that in the case of health care facilities operated by the System, which under the law are open to the general public, free or partly free health care services may be rendered to persons who are indigent and who are able to offer proof that they are not financially able to pay either all or any part of their health care expenses.

Health components may accept patients for acute or continuing, or both, care without referral by another physician or agency. The patients are accepted for total and continuing care including the obligation to obtain the services of other physicians when indicated.

Sec. 4.  
Amended  
8/10/94

Institutions and Entities Composing the System.--The System is composed of the component institutions and entities set forth below in paragraphs 4.1 et seq. and such other component institutions and activities as may from time to time be assigned to the governance, control, jurisdiction, or management of the Board of the System. To insure uniformity and

I. 1. 1.  
Part One

**OFFICE OF THE BOARD OF REGENTS**  
**THE UNIVERSITY OF TEXAS SYSTEM**  
201 WEST SEVENTH STREET, AUSTIN, TEXAS 78701-2981  
(512) 499-4402

**MEMORANDUM**

March 2, 2000

**TO:** Those Who Receive Official Copies of  
the Regents' Rules and Regulations (List att'd)

**FROM:** Francie A. Frederick *Francie*

**SUBJECT:** Regents' Rules and Regulations,  
Amendments to Part One *Francie 3/2/00*

Enclosed are revised pages containing amendments to the Regents' Rules and Regulations approved at the November 11, 1999 meeting of the U. T. Board of Regents, as well as editorial amendments to:

- Change references to Vice Chancellor for Academic Affairs to the Executive Vice Chancellor for Academic Affairs and change text, as appropriate, concerning related responsibilities
- Amend references to Vice Chancellor for Telecommunications and Information Technology to new title, Vice Chancellor for Information Technology and Distance Education
- Include the Associate Vice Chancellor for Governmental and Community Relations and the Associate Vice Chancellor for Governmental Relations and Planning as Executive Officers of The University of Texas System
- Update the formal names of the component institutions in Chapter VIII
- Adjust page breaks as necessary
- Replace reference to "chief administrative officer" with "president" on those pages being amended for another reason.

Further detail on the rationale for the amendments and congressional style displays of the revised text are included in the Material Supporting the Agenda (MSA) for the November 11, 1999 meeting as referenced below.

Please update your copy of the Regents' Rules and Regulations, Part One by discarding the pages listed in Column "A" and substituting in lieu thereof the pages listed in Column "B":

<u>"A"</u>	<u>"B"</u>	<u>MSA Reference</u>
Cover Page	Cover Page	
Page i	Page i	
Pages I-11 and I-12	Pages I-11 and I-12	
Pages II-1, II-4, II-5, II-6, II-8, II-9, II-15, II-16, and II-18 through II-28	Pages II-1, II-4, II-5, II-6, II-8, II-9, II-15, II-16, and II-18 through II-28	
Pages III-1, III-6, III-8, III-10, III-23, III-31, and III-35	Pages III-1, III-6, III-8, III-10, III-23, III-31, and III-35	
Pages IV-2 and IV-3	Pages IV-2 and IV-3	
Pages V-1 and V-2	Pages V-1 and V-2	
Pages VI-1, VI-3, VI-12, VI-13, VI-14, VI-23, and VI-31a	Pages VI-1, VI-3, VI-12, VI-13, VI-14, VI-23*, VI-23a*, and VI-31a	*Page 47
Pages VII-1, VII-2, VII-3, VII-4, VII-5, VII-6, VII-8 and VII-10	Pages VII-1, VII-2, VII-3, VII-4, VII-5, VII-6, VII-8 and VII-10	
Pages VIII-1, VIII-1a, VIII-3, VIII-4, VIII-5, VIII-6, VIII-7, VIII-7a, VIII-8, VIII-9, and VIII-10	Pages VIII-1, VIII-1a, VIII-3, VIII-4, VIII-5, VIII-6, VIII-7, VIII-8, VIII-9, and VIII-10	

OFFICE OF THE BOARD OF REGENTS  
THE UNIVERSITY OF TEXAS SYSTEM  
201 West 7th Street, Suite 820  
Austin, Texas 78701-2981  
512/499-4402  
Fax: 512/499-4425

TRANSMITTAL MARCH 9, 2000

**TO:** Chancellor Cunningham  
Executive Vice Chancellor Burck  
Executive Vice Chancellor Mullins  
Executive Vice Chancellor Sharpe

*mailed  
3/15/00  
JF*

**FROM:** Francie Frederick *JF*

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| <input type="checkbox"/> Per Your Request                | <input type="checkbox"/> For Your Approval  |
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**REMARKS:**

Editorial amendments to the Regents' Rules, Part One, Chapter II, as highlighted, have been made to acknowledge the functions of the Executive Vice Chancellor for Academic Affairs and to conform language concerning the responsibilities of the Executive Vice Chancellor's between similar sections to the extent possible.

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December 3, 1999

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Executive Vice Chancellor for Business Affairs	
<del>Exec</del> Vice Chancellor for Academic Affairs	
Executive Associate to the Chancellor	
Vice Chancellor and General Counsel	
Vice Chancellor for Governmental Relations	
Vice Chancellor for Development and External Relations	
Vice Chancellor for Federal Relations	
<del>Chief Administrative Officers of Component Institutions</del>	<u>15</u>
<del>Presidents</del>	
TOTAL	42

NOTE: See July 6, 1988 letter from Coordinating Board which requests that only those amendments related to (1) academic work load and (2) faculty development leaves be sent to that office.

Also send intellectual property policy revisions to Coordinating Board per State Statute and post tenure review.

Addresses of State Officials Who Receive Copies  
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Dr. Don Brown  
Commissioner, Texas Higher  
Education Coordinating Board  
7745 Chevy Chase Drive, Building 5  
Austin, Texas 78752  
INTERAGENCY MAIL

(NOTE: Send only when there are  
amendments related to (1) academic  
work load, (2) faculty development  
leaves, (3) intellectual property  
policy, or (4) post tenure review.)

\*\*\*\*\*

Nov. 11, 1999

RULES AND REGULATIONS  
OF THE  
BOARD OF REGENTS  
OF  
THE UNIVERSITY OF TEXAS SYSTEM  
FOR THE GOVERNMENT OF  
THE UNIVERSITY OF TEXAS SYSTEM

PART ONE

Part One was completely reissued as of June 1, 1988.  
(Substantive amendments through November 11, 1999,  
and editorial amendments through January 2000  
have been issued with this cover sheet.)

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- 7.162 Recommend to the Board policies relating to soliciting and securing gifts for the University's general academic institutions.
- 7.163 Consider and report to the Board on all matters affecting the libraries of the general academic institutions.
- 7.164 Recommend to the Business Affairs and Audit Committee matters which commit the general academic institutions to operating expenditures in future years.
- 7.165 Consider and recommend to the Business Affairs and Audit Committee the annual operating budgets of the general academic institutions, including rates of compensation.
- 7.166 Consider and recommend to the Business Affairs and Audit Committee biennial submissions of appropriation requests to the Legislative Budget Board and to the Governor as prepared by the System Administration and component institutions in accordance with Section 6 of Chapter II of Part Two of these Rules and Regulations.
- 7.167 Counsel with the Executive Vice Chancellor for Academic Affairs and the Chancellor and recommend to the Board approval of matters related to student affairs, fees and housing in the general academic institutions.
- 7.168 Counsel with the Executive Vice Chancellor for Academic Affairs and the Chancellor and recommend to the Board approval of the appointment, promotion, and dismissal of such institutional officers as may be appropriate in the general academic institutions.

- 7.169 Consider and report to the Board on matters relating to the research, training, and community service activities at the general academic institutions.
- 7.16(10) Consider and recommend to the Board the acceptance and execution of grants and contracts for research, training, and community service at the general academic institutions.
- 7.16(11) Counsel with the Chancellor and recommend appropriate Board action with respect to any recommendations by the Executive Vice Chancellor for Academic Affairs related to the appointment, promotion, and dismissal of such System Administration Officers as report directly or indirectly to the Executive Vice Chancellor for Academic Affairs.
- 7.16(12) Consider and make recommendations to the Business Affairs and Audit Committee concerning capital improvement requests as related to the approved missions of the general academic institutions.
- 7.17 Duties of the Health Affairs Committee.--  
The Health Affairs Committee shall:
  - 7.171 Consider and report to the Board on matters concerned with substantive aspects of policies and programs related to the academic philosophy and objectives of the health-related institutions; with University relations; and with health-related academic planning, instructions, and research.
  - 7.172 Recommend to the Business Affairs and Audit Committee matters which commit the health-related institutions to operating expenditures in future years.

CHAPTER II

ADMINISTRATION

Sec. 1. General Provisions.

1.1 Administration.

The "System Administration" is the administration of The University of Texas System.

1.2 The University of Texas System.

The University of Texas System (herein sometimes called the "System") is composed of the System Administration and those institutions assigned by the Constitution or by the Legislature to be governed by the Board of Regents of The University of Texas System. The System Administration shall be based in Austin.

Sec. 2. Board's Exercise of Authority.

The Board of Regents exercises its powers and authorities in the governance of The University of Texas System through the System Administration, headed by the Chancellor.

Sec. 3. Chancellor.

The Chancellor is the chief executive officer of The University of Texas System. The Chancellor reports to and is responsible to the Board of Regents of The University of Texas System. The Chancellor has direct line responsibility for all aspects of the U. T. System's operations.

3.1 Appointment and Tenure.

The Chancellor shall be elected by the affirmative vote of a majority of the Regents in office and shall hold office without fixed term, subject to the pleasure of the Board.

- 3.32 The System internal auditors are the internal auditors for the System and augment the audit work of the institutional internal auditor and the State Auditors at the component institutions.

Sec. 4. Executive Vice Chancellor for Health Affairs.

The Executive Vice Chancellor for Health Affairs has line responsibility for the conduct of health-related education and the delivery of health services in the System. The president of each health-related institution in the System reports to and is responsible to the Executive Vice Chancellor for Health Affairs. The Executive Vice Chancellor for Health Affairs reports to and is responsible to the Chancellor. The Executive Vice Chancellor for Health Affairs has direct access to the Board of Regents and is expected to work directly with the appropriate committees of the Board in discharging the duties of the office.

4.1 Appointment and Tenure.

The Executive Vice Chancellor for Health Affairs shall be appointed by the Board after nomination by the Chancellor. The Executive Vice Chancellor for Health Affairs shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions concerning the Executive Vice Chancellor for Health Affairs are subject to review and approval by the Board.

4.2 Duties and Responsibilities.

The Executive Vice Chancellor for Health Affairs shall have as a prime responsibility the maintenance of high academic quality in the health-related teaching institutions and high quality health services in the health-care delivery institutions of the System. The Board through its policies, procedures, and Rules and Regulations maintains its governance responsibilities, and acknowledges the importance of maintaining accreditation for hospital, clinic and other patient-care facilities. Because of the complexity and diversity of the System, the Executive Vice Chancellor for Health Affairs is delegated the responsibility for ensuring the governance requirements for accreditation not specifically covered elsewhere in these policies, procedures, and Rules and Regulations are met. The Executive Vice Chancellor for Health

Affairs will report the accreditation status to the Board on a periodic basis. Through the presidents of the component institutions, he or she shall have responsibility for the budgets, academic planning and programs, facilities planning and construction, and personnel (both academic and nonacademic) of those components. In consultation with the Chancellor, the Executive Vice Chancellor for Health Affairs shall prepare recommendations and supporting information on such matters for consideration by the appropriate standing committees of the Board and the Board of Regents. The Executive Vice Chancellor for Health Affairs is responsible and accountable for ensuring that appropriate internal controls are implemented and monitored in the health-related teaching institutions and the health-care delivery institutions.

Sec. 5. Executive Vice Chancellor for Business Affairs.

The Executive Vice Chancellor for Business Affairs reports to the Chancellor and is responsible for the direction of those offices and supervision of those areas of responsibility set forth in Subsection 5.2(10) of this Chapter. The Executive Vice Chancellor for Business Affairs has direct access to the Board of Regents and is expected to work directly with the appropriate committees of the Board in discharging the duties of the office.

5.1 Appointment and Tenure.

The Executive Vice Chancellor for Business Affairs shall be appointed by the Board after nomination by the Chancellor. The Executive Vice Chancellor for Business Affairs shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions regarding the Executive Vice Chancellor for Business Affairs are subject to review and approval by the Board.

5.2 Duties and Responsibilities.

The primary responsibilities of the Executive Vice Chancellor for Business Affairs include:

- 5.21 The provision of staff assistance to the Chancellor and the Executive Vice Chancellors in the execution of their responsibilities.
- 5.22 Submitting recommendations to the Chancellor and the Executive Vice Chancellors on business operations of the components of the System.
- 5.23 Reviewing and making recommendations on uniform business systems and management.
- 5.24 Submitting recommendations relating to programs for the most efficient management of personnel and resources.
- 5.25 Submitting recommendations for program development for training of personnel in nonacademic areas.
- 5.26 Reviewing and making recommendations on programs of long-range planning for physical facilities and financial resources.
- 5.27 Reviewing and making recommendations relating to police and security matters within the System.
- 5.28 Coordinating the business affairs of the System with other officers and members of the System Administration staff.
- 5.29 In consultation with the appropriate Executive Officer, coordinating the activities of business administrative operations of the component institutions.
- 5.2(10) Managing the operations of the offices listed below through such internal administrative organization as he/she deems to be appropriate:
  - Office of the Associate Vice Chancellor for Business Affairs
    - Office of Historically Underutilized Business Development
    - Office of the Director of Police
  - Office of the Assistant Vice Chancellor and Controller
    - Budget Office
    - Office of Information Resources

- 5.2(13) Directing the management of the System-wide insurance programs (except the System Plan for Professional Medical Liability Self-Insurance), including approval of all policies and coverages, such programs to include:
  - Fire and Extended Coverage;
  - Liability;
  - Health;
  - Life;
  - Accidental Death and Dismemberment;
  - Income Replacement; and
  - Retirement.
- 5.2(14) Implementing policy for the receipt, disbursement, and custody of non-investment funds and for terms of depository agreements with banks.
- 5.2(15) Forwarding debt issues for approval by the Board of Regents.
- 5.2(16) Implementing and monitoring appropriate internal controls in all internal administrative operations listed in 5.2(10).
- 5.2(17) Performing such other duties as may be assigned by the Chancellor.

Sec. 6. Executive Vice Chancellor for Academic Affairs.

The Executive Vice Chancellor for Academic Affairs has line responsibility for the conduct of the academic affairs of the System. The presidents of the general academic institutions in the System report to and are responsible to the Executive Vice Chancellor for Academic Affairs. The Executive Vice Chancellor for Academic Affairs reports to and is responsible to the Chancellor. The Executive Vice Chancellor for Academic Affairs is responsible for the programs of the System related to academic affairs. The Executive Vice Chancellor for Academic Affairs has direct access to the Board of Regents and is expected to work directly with the appropriate committees of the Board in discharging the duties of the office.

6.1 Appointment and Tenure.

The Executive Vice Chancellor for Academic Affairs shall be appointed by the Board after nomination by the Chancellor. The Executive Vice Chancellor for Academic Affairs shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions concerning the Executive Vice Chancellor for Academic Affairs are subject to review and approval by the Board.

- 6.2 Duties and Responsibilities.  
The primary responsibilities of the Executive Vice Chancellor for Academic Affairs include:
- 6.21 The provision of staff assistance to the Chancellor and the Executive Vice Chancellors in the execution of their responsibilities.
  - 6.22 Submitting recommendations to the Chancellor regarding the maintenance of high academic quality in the general academic components of the System.
  - 6.23 Reviewing and making recommendations on the budgets, academic planning and programs, facilities planning and construction, and personnel (both academic and nonacademic) of the academic components.
  - 6.24 In consultation with the Chancellor, ensuring that appropriate internal controls are implemented and monitored in the general academic components of the System.
  - 6.25 Ensuring that the governance requirements for accreditation not specifically covered elsewhere in these policies, procedures, and Rules and Regulations are met.
  - 6.26 Reporting the accreditation status of the academic institutions to the Chancellor and to the Board of Regents periodically.
  - 6.27 Performing such other duties as may be assigned by the Chancellor.

- 9.23 The formal acceptance, following review and approval by the appropriate Executive Vice Chancellor, and the processing and administration (excluding investment management) of gifts as assigned which conform to all relevant laws and Board policies.
- 9.24 Promulgating the "U. T. System Gift Acceptance Procedures" and preparing other guidance documents relating to acceptance, processing, and administration (excluding investment management) of gifts for the U. T. System and the component institutions.
- 9.25 Making recommendations with respect to the acceptance of all proposed gifts and approval of all other actions related to the processing and administration of gifts managed by the Office of Development and External Relations, the terms of which do not conform to all relevant laws and Board policies.
- 9.26 Reporting to the Board on gifts processed and administered by the Office of Development and External Relations.
- 9.27 The organization and administration of programs for providing information to the public relating to the System and coordinating those programs with the public information programs of the component institutions.
- 9.28 Directing the administration of the System Office of Special Services.
- 9.29 Directing the administration of the System Office of Estates and Trusts.
- 9.2(10) To assist in the development, organization, and administration of programs and activities related to alumni of System component institutions in coordination with appropriate personnel of the component institutions.
- 9.2(11) Coordinating the policies and activities of the System and the component institutions related to internal and external foundations that provide support for the System and the component institutions.
- 9.2(12) The performance of such other duties and responsibilities as may be assigned by the Chancellor.

Sec. 10. Vice Chancellor for Information Technology and Distance Education.

The Vice Chancellor for Information Technology and Distance Education reports to the Chancellor and will lead the System-wide efforts in all aspects of information technology initiatives and activities. The Vice Chancellor for Information Technology and Distance Education provides staff assistance to the Chancellor and the Executive Vice Chancellors in the exercise of their responsibilities.

10.1 Appointment and Tenure.

The Vice Chancellor for Information Technology and Distance Education shall be appointed by the Board after nomination by the Chancellor. The Vice Chancellor for Information Technology and Distance Education shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions regarding the Vice Chancellor for Information Technology and Distance Education are subject to review and approval by the Board.

10.2 Duties and Responsibilities.

The primary responsibilities of the Vice Chancellor for Information Technology and Distance Education include:

- 10.21 The implementation of an infrastructure that will permit information technology to enhance the effectiveness of initiatives related to the basic missions of the U. T. System, including standards for creation, distribution, and storage of information.
- 10.22 The management of the U. T. System Network to include planned growth, standards, and operating procedures and the coordination of administrative videoconferencing.
- 10.23 The coordination of training workshops and seminars, activities related to a virtual university, purchase of System-wide software licenses, assessment of distance education effectiveness, and evaluation of pilot projects related to information technology.
- 10.24 The formation of a U. T. System information technology committee.
- 10.25 The performance of such other duties and responsibilities as may be assigned by the Chancellor.

- 11.27 Directing the administration of the U. T. System Office of Federal Relations and defining the job responsibilities, the assignment of duties, and supervising staff members employed in or assigned to work in the federal relations area.
- 11.28 Performing such other duties and responsibilities for the efficient operation of the System as shall be assigned by the Chancellor.

Sec. 12. Officers of System Administration and Other Code 1000 Staff.

- 12.1 Executive Officers.--For purposes of these Rules and Regulations, the Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Executive Vice Chancellor for Academic Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Information Technology and Distance Education, the Vice Chancellor for Special Engineering Programs, the Executive Associate to the Chancellor, the Associate Vice Chancellor for Business Affairs, the Associate Vice Chancellor for Governmental and Community Relations, and the Associate Vice Chancellor for Governmental Relations and Planning are the Executive Officers of The University of Texas System.
- 12.2 Other Code 1000 Staff and Officers of System Administration.--Staff and officers of System Administration designated as code 1000 shall be appointed by the Chancellor, the Executive Vice Chancellors, or Vice Chancellors to whom they will report, and shall have appropriate job descriptions on file with the Office of Human Resources. Persons so appointed shall not have tenure by virtue of their respective positions. They shall serve without fixed term, subject to the pleasure of the officer to whom they report. The actions of the appointing officer concerning such positions are in turn subject to review and approval by the Chancellor or the Board as required by the Regents' Rules and Regulations.

Sec. 13. Councils of the System.

13.1 The System Council.

The System Council is composed of the Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Executive Vice Chancellor for Academic Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Information Technology and Distance Education, the Executive Associate to the Chancellor, and the presidents of all the component institutions of The University of Texas System. The Chancellor shall serve as the Council's permanent chairman and shall conduct regular meetings to discuss those matters of general concern to the operation of The University of Texas System.

13.2 The Council of Academic Institutions.

The Council of Academic Institutions is composed of the Executive Vice Chancellor for Academic Affairs and the presidents of the general academic institutions of the System. The Chancellor, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Information Technology and Distance Education, and the Executive Associate to the Chancellor serve as ex officio members of this Council. The Executive Vice Chancellor for Academic Affairs shall serve as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

13.3 The Council of Health Institutions.

The Council of Health Institutions is composed of the Executive Vice Chancellor for Health Affairs and the presidents of the component institutions of the System concerned directly with health affairs. The Chancellor, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General

Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Information Technology and Distance Education, and the Executive Associate to the Chancellor serve as ex officio members of this Council. The Executive Vice Chancellor for Health Affairs acts as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

13.4 The Business Management Council.

The Business Management Council advises the System Administration in the areas of component budgeting, business management, data processing, physical plant operations, planning, construction, and accounting systems development. The Council is composed of the Executive Vice Chancellor for Business Affairs and the chief business officers of the component institutions. The Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Academic Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Information Technology and Distance Education, and the Executive Associate to the Chancellor, or their delegates, serve as ex officio members of this Council. The Executive Vice Chancellor for Business Affairs shall serve as the Council's permanent chairman and shall conduct regular meetings of the Council.

Sec. 14. Presidents of Component Institutions.

14.1 The Board selects the president of each component institution.

14.11 When there is a vacancy or it is known that there is to be a vacancy in the office of a president of a component institution having faculty and students and the Board does not have candidate(s) from recent searches at other component institutions, from within the U. T. System, and/or of national prominence from outside the U. T. System to advance for consideration, an Advisory Committee shall be established to recommend candidates to the Board. The Executive Vice Chancellor for Health Affairs or the Executive Vice Chancellor for Academic Affairs shall be chairman of the Advisory Committee. In circumstances where this Executive Vice Chancellor may be a candidate for the office, the chairman of the Advisory Committee shall be the Chancellor or the Chancellor's designee. In addition to the chairman, committee membership is as follows:

The Chancellor;

Two Presidents;

(to be appointed by the  
Chairman of the Board  
from two of the component  
institutions)

Two Regents;

(to be appointed by the  
Chairman of the Board)

Three Faculty members of the  
institution involved, at  
least two of whom shall  
have the rank of asso-  
ciate professor or  
higher; (method of  
selection to be deter-  
mined by the General  
Faculty of the campus)

- One Dean;  
(for academic institutions to be selected by Dean's Council of the institution involved)  
(for health-related institutions to be the Dean of the Medical School involved or in the absence of that position a senior representative of the teaching program of the component selected by the president)
- Two Students from the institution involved; (method of selection to be determined by the Student Government of the campus involved or, if there be no Student Government, by the president of the institution; if the component does not have students, this category of representation shall be omitted)
- President of the Ex-Students' Association of the campus involved; (if institution does not have an active alumni organization, then an alumnus of the component selected by the Chairman of the Board of Regents; if the component does not have degree granting authority, this category shall be omitted)
- Two non-faculty employees of the institution involved, one in a classified position and one in an administrative position; (to be selected by the president

or in accordance with institutional procedures approved by the president)

Not more than two representatives of the component's external constituency who have demonstrated a deep interest in and support of the institution, its programs and its role in community activities to be appointed by the Chairman of the Board of Regents. Where a component institution has a statewide mission; special area programmatic relationships or partnerships with junior or community colleges, private universities or public schools, business/corporate entities, community or public service agencies; or other unique constituencies, the Chairman of the Board of Regents may appoint an additional representative.

Campus constituent groups with responsibility for selection of Advisory Committee representatives are expected to consider diversity, particularly as it relates to minority and female representation. Unless there are unusual delays in faculty, staff or student representative selection which postpone initiation of the committee process, the Chairman of

the Board will make his or her appointments to the Advisory Committee after campus selections in order to maximize the prospect that the total committee composition reflects diversity.

- 14.12 The Advisory Committee shall set up selection criteria that relate to the needs of the individual component, initiate mechanisms to develop an appropriate candidate pool, seek information on the several candidates and inquire from competent sources as to the candidates' academic, administrative and business ability. The Committee may also interview candidates as a part of its selection process keeping in mind that the confidentiality of the process is important to its ultimate success.
- 14.13 Finally, the Advisory Committee shall submit, through its Chairman, a recommended list of not less than five or more than ten candidates with no preference indicated. If fewer names are provided, the Committee shall provide reasons and the submission of less than five candidates is to be with the concurrence of the Regental representatives on the Committee. Candidates submitted shall have received a majority vote of the Committee. The recommended list should be developed and submitted without regard to the Advisory Committee's assessment of the potential availability of any candidate. If none of the names submitted in the report of the Advisory Committee is satisfactory to the Board, then the Board in its discretion may either name a new committee or proceed to select a president under such other procedures as in its discretion it may deem proper and appropriate.
- 14.14 When the Board has candidate(s) from recent searches at other component institutions, from within the U. T. System, and/or of national prominence from outside the U. T. System to advance for consideration, the Chairman of the Board of Regents shall appoint a Special Committee to provide the Board of Regents with advice and evaluation

consistent with that usually provided by an Advisory Committee. The Special Committee shall be composed as specified above for the Advisory Committee but will not include members of the Board of Regents. The advice of the Special Committee shall be provided to the Board through the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Academic Affairs, or the Chancellor. The Special Committee shall not extend its consideration beyond those nominees referred to it by the U. T. Board of Regents without specific concurrence from the Board.

- 14.2 Each president is responsible to the Executive Vice Chancellor having responsibility for the institution and serves without fixed term, subject to the pleasure of the appropriate Executive Vice Chancellor and approval by the Chancellor and the Board. The president has access to the Chancellor and is expected to consult with the appropriate Executive Vice Chancellor and the Chancellor on significant issues on an as needed basis.
  
- 14.3 Within the policies and regulations of the Board and under the supervision and direction of the appropriate Executive Vice Chancellor, the president has general authority and responsibility for the administration of that institution.
  - 14.31 Specifically, the president is expected, with the appropriate participation of the staff, to:
    - 14.311 Develop and administer plans and policies for the program, organization, and operation of the institution.
    - 14.312 Interpret the System policy to the staff, and interpret the institution's programs and needs to the System Administration and to the public.
    - 14.313 Develop and administer policies relating to students, and where applicable, to the proper management of services to patients.

- 14.314 Recommend appropriate operating budgets and supervise expenditures under approved budgets.
- 14.315 Nominate all members of the faculty and staff, maintain efficient personnel programs, and recommend staff members for promotion, retention, or dismissal for cause.
- 14.316 Insure efficient management of business affairs and physical property; recommend additions and alterations to the physical plant.
- 14.317 Serve as presiding officer at official meetings of faculty and staff of the institution, and as ex officio member of each college or school faculty (if any) within the institution.
- 14.318 Appoint, or establish procedures for the appointment of, all faculty, staff, and student committees.
- 14.319 Cause to be prepared and submitted to the Executive Vice Chancellor for Health Affairs or the Executive Vice Chancellor for Academic Affairs, as appropriate, the rules and regulations for the governance of the institution. When such rules and regulations have been finally approved by the Chancellor, the Executive Vice Chancellor for Health Affairs, or the Executive Vice Chancellor for Academic Affairs, as appropriate, they shall thereafter constitute the Handbook of Operating Procedures for that institution. Provided, however, that whether or not finally approved by the Chancellor, any rule or regulation in any such institutional Handbook of Operating Procedures that is in conflict with

any rule or regulation in the Regents' Rules and Regulations, is null and void and has no effect, and whenever any such conflict is detected, the Chancellor and the president of the component institution shall immediately make such amendments to the institutional Handbook of Operating Procedures as may be necessary to eliminate such conflict.

- 14.31(10) Assume initiative in developing long-range plans for the program and physical facilities of the institution.
- 14.31(11) Assume active leadership in developing private fund support for the institution in accordance with policies and procedures established in the Regents' Rules and Regulations.
- 14.31(12) Develop and implement plans and policies to ensure that the institution remains in compliance with any accreditation requirements appropriate to the component or its programs, including, for the health components and those academic components with student health services, the accreditation of hospitals, clinics and patient-care facilities.

Sec. 15. Appointment of Other Officers and Staff.

- 15.1 The Board delegates to the Chancellor and the Executive Vice Chancellor having responsibility for the institution and they, in turn, delegate to the president of each component institution the responsibility for the appointment and dismissal of all other administrative officers of each component institution, including vice presidents, deans, directors and their equivalents. However, prior approval of the appropriate Executive Vice Chancellor shall be necessary for each such permanent

or acting appointment and for each such dismissal whether from a permanent or acting appointment. All such other administrative officers serve without fixed terms and subject to the pleasure of the president of the institution and the approval of the appropriate Executive Vice Chancellor.

15.2 The Board delegates to the Chancellor and the Executive Vice Chancellor having responsibility for the institution and they, in turn, delegate to the president of each component institution the responsibility for the permanent or acting appointment of department chairmen, department heads, and their equivalents. Such department chairmen, department heads and their equivalents serve without fixed terms and subject to the pleasure of the president of the institution.

15.3 The Board endorses the principle of reasonable faculty and student consultation in the selection of administrative officers of the component institutions, and the primary operating units, and expects the president, as he or she deems appropriate, to consult in the selection process with the representatives of the faculty and student body. However, the president of the component institution is responsible for executing the duties of the office and consequently shall not be bound by nominations to administrative positions by campus selection committees, and the Handbook of Operating Procedures of each component institution shall so state.

15.4 Honorary Titles.  
The titles Chancellor Emeritus, President Emeritus and similar honorary designations shall be conferred only by appropriate action of the Board on individuals who are fully retired. No person is authorized to use any such title unless it has been bestowed by the Board.

CHAPTER III

PERSONNEL

Sec. 1. Appointments.

- 1.1 The Board, upon the recommendation of the Chancellor, shall elect or appoint, as the case may be, all of the officers, faculty members, and other employees of the System and of the component institutions and agencies of the System, fixing, subject to state and federal laws, the duties, rights, and privileges of each employee or each class or group of employees; provided, however, that the Board may delegate to the Chancellor, and the Chancellor, through the appropriate Executive Vice Chancellor, may delegate to the presidents of the component institutions, authority to appoint employees in certain designated classes or categories.
- 1.2 All appointments shall be made on the basis of merit.
- 1.3 The presidents of the component institutions shall investigate thoroughly the character, integrity, scholastic attainment, and other qualifications of prospective members of their faculties and staffs before exercising any delegated authority for making appointments.
- 1.4 The presidents of the component institutions shall not nominate or appoint, nor will the Board approve the appointment of, any person whose qualifications and conduct are not exemplary.

given one of the following titles, even though the individuals may be assigned teaching responsibilities:

(1) Professor of

\_\_\_\_\_  
(title of specialty)

(2) Associate Professor of

\_\_\_\_\_  
(title of specialty)

(3) Assistant Professor of

\_\_\_\_\_  
(title of specialty)

(4) Instructor in

\_\_\_\_\_  
(title of specialty)

For persons appointed to positions pursuant to this Item, all appointment letters, personnel forms, budgets and other official documents shall state in parenthesis following the name and title of the individual either "(nontenure-track clinical appointment)" or "(nontenure-track research appointment)," as appropriate, and service in such positions shall not be credited as faculty service toward calculation of any maximum probationary period.

1.84 Prefixes to academic and staff positions in which tenure cannot be acquired:

(a) Visiting Professor, Visiting Associate Professor, and Visiting Assistant Professor. These titles are used only for temporary appointments of persons either visiting from other institutions where they hold similar ranks or who are brought to the University on a trial basis. Such appointments are limited to two years unless an exception is approved in writing by the appropriate Executive Vice Chancellor.

- 1.86           Honorific Titles.
- (a)           Regental Professor. Any faculty member who is awarded the Nobel Prize or who has in the past been awarded the Nobel Prize may, upon recommendation of the president of the component institution, the appropriate Executive Vice Chancellor and the Chancellor, be given the title Regental Professor. Because of the great honor associated with the award of a Nobel Prize, institutional tenure is awarded to Regental Professors by virtue of the appointment to this rank.
- (b)           Distinguished Teaching Professor. Members of the U. T. Austin Academy of Distinguished Teachers or a similar approved academy may use the honorific title Distinguished Teaching Professor to recognize faculty members who have made significant contributions to education.
- 1.87           Administrative and academic (faculty) titles, duties, and pay rates for individuals who hold both administrative and academic appointments are distinct and severable. Tenured or tenure-track academic appointments and promotions in academic rank for administrators are subject to the same requirements and approval processes as for other faculty and are to include the establishment of an appropriate academic rate (whether or not any pay is to be generated from that rate) at the time of approval of the academic appointment. Departure or removal from an administrative position does not impair the individual's rights and responsibilities as a faculty member. Upon return to faculty service, whether on a part-time or full-time basis, salary for general academic component faculty is to be based on the approved academic rate, and salary for health component faculty is at the rate established pursuant to salary practices for faculty.

- 4.7 No employee shall accept other employment or compensation which could reasonably be expected to impair the employee's independence of judgment in the performance of the employee's public duties.
- 4.8 Sexual Harassment and Misconduct: The educational and working environments of the System and its component institutions should be free from inappropriate conduct of a sexual nature. Sexual misconduct and sexual harassment are unprofessional and unacceptable. The components of the U. T. System shall adopt policies prohibiting sexual harassment and sexual misconduct and procedures for review of complaints. These policies and procedures shall be reviewed by the Executive Vice Chancellor for Health Affairs or the Executive Vice Chancellor for Academic Affairs, as appropriate, and shall be published in the Handbook of Operating Procedures of each component.

The System has a series of policies concerning ethical and legal standards of conduct for employees of System Administration and component institutions. Pertinent materials are contained in the Standards of Conduct Digest and should be referenced in the instance of possible conflicts of interest, ethics questions, and compliance with related laws.

Sec. 5. Appointment of Relatives (Nepotism Rule).

- 5.1 Whenever an appointment is made, either on a full-time or part-time basis, it shall be made solely with regard to the special fitness of the appointee, subject to applicable statutes; and subject also to the provisions of this Section of the Regents' Rules and Regulations.
- 5.2 In accordance with the prohibition of Section 573.001 et seq., Texas Government Code, no person related to any member of the Board of Regents within the second degree by affinity or within the third degree by consanguinity shall be eligible for appointment to any office, position, employment, or duty with The

- 6.(10) Each faculty member shall keep the president of the component institution or his or her delegate notified of his or her current mailing address. The written notice required by Section 6.23, 6.7, or 6.8 shall be sent by certified mail, return receipt requested, to the last address given by the faculty member.
- 6.(11) The president of a component institution has the responsibility for determining when to eliminate occupied academic positions, the titles of which are given in Subsection 1.8 of this Chapter, or abandon academic programs or both, subject to approval by the appropriate Executive Vice Chancellor. The decision of the president and the implementation of that decision shall be subject to the following minimum procedural requirements:
- 6.(11)1 An academic program under consideration for abandonment or an academic position that is under consideration for elimination for bona fide academic reasons should be reviewed in depth through procedures determined by the president;
- 6.(11)2 Tenured faculty in a program that is under consideration for abandonment or in an academic position that is under consideration for elimination will be notified and afforded an opportunity to contribute to the review process and have those contributions fairly considered;
- 6.(11)3 Upon completion of the review process, a recommendation with supporting rationale should be submitted to the academic vice president for review and recommendation to the president;
- 6.(11)4 If the president determines that an academic program should be abandoned or that one or more academic positions occupied by tenured faculty should be eliminated, or both, a request for approval with supporting documentation should be forwarded to the appropriate Executive Vice Chancellor;

10.12 When a proposal has been approved or amended by the appropriate institutional officials, faculties, and the component president, it shall then go to the appropriate Executive Vice Chancellor and the Chancellor for recommendation to the Board if such action is required.

10.13 When a proposal has been approved or amended or rejected by the appropriate institutional officials, faculties, and the component president, any member or group of members of the staff may present an appeal in opposition to the action of the majority or in opposition to the recommendation of the institutional official or the component president, and this appeal, accompanied by reasons for and against the proposal, shall go through the prescribed administrative channels and shall be presented through the appropriate Executive Vice Chancellor to the Chancellor and thence to the Board for final action. The deans and other institutional officials, the component president, the appropriate Executive Vice Chancellor, the Chancellor and the Board may invite both sides for personal conferences and discussions.

10.14 An authorized routine for proposals, with the right of appeal, having been herein fixed, it follows that proposals shall always be made in accordance therewith.

10.2 Every voting member of any faculty has the right and the duty to propose changes and to participate in debate in faculty meetings.

Sec. 11. Communications with the Legislature and Other State Agencies or Officials.--The Board is the only proper channel through which recommendations concerning the administration of the System, as a whole or in any of its parts, should reach the Legislature or other state agencies or officials.

- 15.2 An employee who resigns, is dismissed, or separates from university employment shall be entitled to be paid in "lump sum" for all vacation leave entitlement duly accrued at the date of termination provided the employee has had continuous employment with the state for six months. The payment shall be calculated at the rate of compensation being paid the employee at the time of termination.
- 15.3 In the case of death of an employee who has accumulated vacation leave, his or her estate will be paid for all accumulated vacation leave. The payment shall be calculated at a rate of compensation being paid the employee at the time of his or her death.

Sec. 16. Leaves of Absence Without Pay.

- 16.1 With the interest of the institution being given first consideration and for good cause, leaves of absence without pay may be granted for a period within the term of appointment of a member of any faculty or staff, subject to the general conditions included herein. Leaves of absence for a first year or portion thereof or a second consecutive year's leave may be granted by the president. Leaves of absence for a third consecutive year will be granted only for the reasons outlined in this Subsection, Subsection 16.3, and Subsection 16.4, following review and approval by the Executive Vice Chancellor for Health Affairs or the Executive Vice Chancellor for Academic Affairs, as appropriate.
- 16.2 The maximum period for which a leave of absence will be granted is the end of the fiscal year in which the leave begins. In the case of faculty, the date for return to duty will generally coincide with the beginning of the next semester, following the period of absence.
- 16.3 Except in unusual circumstances, such as military service, reasons of health, continued graduate study, and public service or other activity that reflects credit on the institution and enhances an individual's ability to make subsequent contributions to the institution, a second consecutive year of leave will not be granted.

- 2.14 Honors and scholastic performance generally.
  - 2.15 Approval of candidates for degrees.
  - 2.16 Faculty rules of procedure.
- 2.2 Necessity of Approval by Regents.--Legislation recommended by an institutional faculty, or legislative body thereof, requiring approval of the Board, shall not be effective unless and until approved by the Board. Such legislation by a college or school faculty shall not be presented to the Board until it has been approved by the institutional faculty, either directly or through its legislative body, and has received the consideration and recommendation of the institutional president, the appropriate Executive Vice Chancellor and the Chancellor. The faculty affected will be notified by the Board, through administrative channels, of its action on recommended faculty legislation.
- 2.3 When Legislation is Effective.--Except where the Board has specifically authorized procedure for placing into effect emergency faculty legislation without prior Board approval, institutional faculty legislation shall become effective only upon approval by the Board or at such later time as the Board shall specify. The secretary of the institutional faculty shall be notified of Board action, and notice of such action shall be included in the official minutes of the faculty.
- 2.4 Approval of Degree Candidates.--It shall be the duty of the several institutional faculties to recommend approval or disapproval of all candidates for degrees. This duty may be delegated by affirmative vote of the institutional faculty, or its legislative body, to the respective deans or other appropriate official. Should this duty not be delegated, then the institutional registrar, or his or her equivalent, shall furnish to the members of the institutional faculty a complete list of the degree candidates for recommendation.

- 2.5 List of Degree Candidates in Minutes.--The institutional registrar, as soon as possible after each commencement, shall provide the secretary of his or her institutional faculty, or its legislative body, with a complete list of all successful degree candidates.
- 2.6 Changes in Degree Requirements.--Changes in degree requirements shall not become effective until approved by the Board and published in the appropriate catalogue; provided, however, that students may be given the benefit of any action reducing or modifying the requirements for their degree immediately upon Board approval.
- 2.7 Faculty Minutes.--Copies of institutional faculty minutes, or of their legislative bodies, shall be available for use of members of the particular faculties individually, if desired; and shall be filed (1) in the offices of the secretaries to the faculty, (2) in the office of the presidents, (3) in the office of the Executive Vice Chancellor for Health Affairs or the Executive Vice Chancellor for Academic Affairs, as appropriate, and (4) in the institutional libraries.

Sec. 3. Faculty Advisory Council.--At the discretion of the Chairman of the Board of Regents and the Chancellor, a faculty advisory council representing component institutions in the U. T. System may be formed to facilitate the flow of ideas and information between and among the Board of Regents, the System Administration, and the component institutions. The Chairman and Chancellor will promulgate guidelines for the selection of faculty advisory council representatives. Representatives of the faculty advisory council may from time to time address the Board at meetings of the Board and may recommend action to the Board through the Chancellor. At least once each year, a meeting will be arranged between the faculty advisory council executive committee and the Board.

CHAPTER V

GRADUATE EDUCATION IN THE UNIVERSITY OF TEXAS SYSTEM

Sec. 1. The various component institutions of the System authorized to offer graduate degrees shall provide and maintain an appropriate faculty and administrative organization for such graduate degrees. The president of each component institution of the System shall be responsible through the appropriate Executive Vice Chancellor to the Chancellor, and through him or her, to the Board for policies and administration of the graduate programs.

"Graduate programs," as the term is used in these Rules, does not include the programs that lead to the M.D., D.D.S., M.P.H., Dr. P.H., J.D., LL.M., and M.C.J. degrees, or others that may be excluded upon recommendation by the president of the component institution and concurrence by the appropriate Executive Vice Chancellor and the Chancellor.

Sec. 2. Within the general academic components, the designation "Graduate School" will be used if (a) there is a minimum of three distinct programs approved for doctoral degrees and thirty such doctoral degrees have been awarded; or (b) there is a minimum of ten distinct programs approved for master's degrees and fifty master's degrees have been awarded. In component institutions that do not meet these requirements, graduate study will be in a "Division of Graduate Studies."

Sec. 3. Graduate programs in the health components shall be administered as follows:

3.1 In the health-related institutions at Dallas, Galveston, Houston, and San Antonio, the graduate programs will be administered through a Graduate School of Biomedical Sciences.

3.2 In the Nursing Schools of component institutions, graduate education will be administered as specific graduate programs coordinated by an administrative officer designated by the president of the component institution.

3.3 In the U. T. M. D. Anderson Cancer Center research and graduate instruction will be offered in cooperation with other components which are authorized to award graduate degrees.

Sec. 4. Component institutions which offer graduate degrees and elect an administrative organization and designations different from those authorized above may do so only upon the recommendation of the president, the concurrence of the appropriate Executive Vice Chancellor, and the Chancellor and the approval of the Board.

Sec. 5. The policies and procedures for staffing and administering the graduate programs at all component institutions shall be set forth in the institutional Handbook of Operating Procedures. These policies and procedures shall include qualifications for faculty members assigned to teach graduate courses, supervise graduate programs, and advise graduate students.

Where two or more components of the System are authorized to conduct joint or cooperative degree programs, the presidents of the cooperating institutions shall be authorized to establish, subject to the approval of the appropriate Executive Vice Chancellor, special procedures and organizations for the administration of such programs.

CHAPTER VI

STUDENT SERVICES AND ACTIVITIES INCLUDING FACILITIES USE

Sec. 1. General Provisions.

- 1.1 These policies and regulations apply to all component institutions of the System and shall be implemented appropriately in the Handbook of Operating Procedures for each institution.
- 1.2 When the designation "chief student affairs officer" appears in this Chapter, reference is made to the administrative officer or officers directly responsible for student affairs at each component institution. The designation "Dean of Students" or "Dean" in the context of this Chapter shall refer to the administrative officer or officers responsible for the administration of the disciplinary process at each component institution.
- 1.3 All authority held and exercised by a chief student affairs officer is delegated to that officer by the chief administrative officer. Any action taken by the chief student affairs officer is subject to review by the component president.
- 1.4 The chief student affairs officer shall be the administrative officer primarily responsible for the development and administration of policies relating to students, for the development and implementation of services to students, and for the initial preparation of institutional regulations that will implement the policies and regulations set forth in this Chapter.
- 1.5 Any individual student, group of students, or student organization may petition the Board on any matter relating to these policies and regulations (other than a disciplinary action) through the chief student affairs officer, the president, the appropriate Executive Vice Chancellor, and the Chancellor.

- 2.4 Weekday.--Monday through Friday, excluding any day that is an official holiday of the component institution.
- 2.5 Day.--A calendar day.

Sec. 3. Student Conduct and Discipline.

- 3.1 The component institutions shall adopt rules and regulations concerning student conduct and discipline. Such rules shall be in compliance with the Regents' Rules and Regulations and shall become effective upon review and approval by the Executive Vice Chancellor for Health Affairs or the Executive Vice Chancellor for Academic Affairs. Each student is responsible for notice of and compliance with the provisions of the Regents' Rules and Regulations and the rules of the component institution.
- 3.2 All students are expected and required to obey federal, state, and local laws, to comply with the Regents' Rules and Regulations, with System and institutional rules and regulations, with directives issued by an administrative official of the System or component institution in the course of his or her authorized duties, and to observe standards of conduct appropriate for an academic institution.
  - 3.21 Any student who engages in conduct that violates the Regents' Rules and Regulations, the System or institutional rules and regulations, specific instructions issued by an administrative official of the institution or the System acting in the course of his or her authorized duties, or federal, state, or local laws is subject to discipline whether such conduct takes place on or off campus or whether civil or criminal penalties are also imposed for such conduct.
  - 3.22 Any student who commits an act of scholastic dishonesty is subject to discipline. Scholastic dishonesty includes but is not limited to cheating, plagiarism, collusion, the submission for credit of any work or materials that are attributable in whole or in part to another person, taking an examination for another person, any act designed to give unfair advantage to a student or the attempt to commit such acts.

whether registered or not, may use any facility of any component institution of the System if it has as a member any person who is not either a student or a member of the faculty or staff of the institution.

4.73 At the beginning of each semester, each registered student organization or group must file with the appropriate institutional officer a written statement that the organization or group does not, and will not during the semester, have as a member any person who is not either a student or a member of the faculty or staff of the institution. If an organization or group fails or refuses to file the required statement, or if the Dean of Students determines that the statement is or has become false, the Dean of Students, after providing notice, shall begin disciplinary proceedings. The Dean or Hearing Officer may cancel the registered status of the organization or group or impose other appropriate penalties.

4.74 No organization or group, whether registered or not, may use the facilities of any component institution as long as it owes a monetary debt to the institution and the debt is considered delinquent by the crediting institutional agency.

4.8 No component institution shall register any student organization or group whose actions or activities, in the opinion of the president or the appropriate Executive Vice Chancellor, are inimical to the educational purpose and work of the institution.

4.9 A registered student organization whose registered status has been cancelled by the Dean of Students pursuant to Subdivisions 4.73 or 6.63 of this Chapter may apply for re-registration not less than six months following the date of such cancellation.

Sec. 5. Participation in Student Government.

5.1 Students' Associations.--Students' associations currently authorized at the component institutions of the System are hereby approved. They shall have such jurisdictions and shall exercise such powers as the Board may now or hereafter delegate to them.

- 5.11 Constitutions and Bylaws Approved.--The constitutions and bylaws of the several associations in force at the date of adoption of these Rules and Regulations are hereby approved.
- 5.12 Mode of Amending Constitutions and Bylaws.--An amendment to the constitution or bylaws of a students' association may be adopted by an association, in accordance with its constitution and bylaws, but the change shall not become effective until transmitted to and acted upon by the chief student affairs officer, the president and the Executive Vice Chancellor for Health Affairs or the Executive Vice Chancellor for Academic Affairs. Such amendment shall be included in the institutional docket for ratification by the Board. Amendments to internal rules of procedure shall not become effective until transmitted to and acted upon by the chief student affairs officer and the president.
- 5.13 Amendment or Repeal by Regents.--The Board shall amend or repeal any portion of the constitution and bylaws of a students' association when, in the judgment of the Board, the interests of the particular institution shall require it.
- 5.14 Amendment or Repeal by the Chief Student Affairs Officer.--The chief student affairs officer shall have the power, when in his or her judgment the interests of the institution require it, to amend or repeal any provision in the constitution or bylaws of the particular association, but such action shall be in force only until the next meeting of the Board when Subdivision 5.13, above, shall become applicable.
- 5.15 Salaried Employees.--All persons officially employed on salary by or under the direct supervision of a students' association shall be subject to approval by the chief student affairs officer and the president.
- 5.16 Annual Financial Reports.--Every officially recognized students' association shall make annually a complete financial report to the institutional chief business officer and shall make such special reports as may be

called for by such business officer. A duplicate copy of each report shall be filed with the chief student affairs officer. Committees and administrative units of a students' association shall make such interim reports of financial condition as may be required by the chief student affairs officer.

- 5.2 The students' association on each campus shall be a recognized forum of student opinion.
  - 5.21 When a students' association takes a position with reference to issues directly related to a component institution and its operations, its recommendations to the Board shall go through the chief student affairs officer, the president, the appropriate Executive Vice Chancellor and the Chancellor.
  - 5.22 When a students' association takes a position on non-University issues, it shall make clear the fact that it does not speak for the institution.
  - 5.23 A students' association may conduct polls, initiate petitions, and/or establish forums for debate or discussion under conditions approved by the chief student affairs officer.
  - 5.24 Officers of a students' association may so identify themselves when they express their personal views, but they shall then make it clear that they are not speaking for the institution, or for the student body, and they shall make it clear they are not speaking for the students' association unless the legislative body of that association has authorized the statement in advance.

Sec. 6. Use of University Facilities. This Section applies only to property, buildings, and facilities owned or controlled by the U. T. System or component institutions that are maintained and used for programs and activities related to the role and mission of the U. T. System or component institutions.

- (o) The display and demonstration by manufacturers and distributors of personal computer hardware and software suitable for use by students and faculty in the academic programs of a component institution and the presentation of information and demonstrations related to remote networks for personal computers by entities that provide access to such networks. Such activities may be conducted once each academic year upon invitation by the president of the component institution or his or her delegate and must be limited to the time and place designated by and under guidelines specified and controlled by the component institution. A manufacturer or distributor of personal computer hardware or software or an entity that provides access to remote networks for personal computers may not make sales or take orders.
- (p) The distribution or presentation to officers and employees of the U. T. System and component institutions of material related to health benefit plans, life insurance, tax sheltered annuities, retirement plans or programs, or other benefits that are available to such officers and employees through employee benefit plans or programs offered by or approved by the U. T. System. Such material may be distributed or presented only at the Office of Human Resources of the U. T. System or component institutions, at the benefits office of a health profession practice plan of a health component institution, or at meetings scheduled for that purpose pursuant to guidelines established by the U. T. System Office of Human Resources. Those companies that are currently authorized Optional Retirement Program vendors may, at the invitation of the

U. T. System and component institutions, be permitted to engage in on-campus group seminars for the purpose of providing education, product marketing, and participant enrollment, subject to such rules and limitations as the U. T. System and component institutions may deem necessary and appropriate. Currently authorized Optional Retirement Program vendors may advertise such on-campus meetings throughout the institution, subject to prior approval by the institution. The term "currently authorized Optional Retirement Program vendor" includes a company that has a current contractual agreement with the U. T. System to provide Optional Retirement Program products to officers and employees of the U. T. System but does not include those companies with grandfathered Optional Retirement Program participation or vendors of Tax Sheltered Annuity products or services.

- (g) Solicitation by the officers or employees of the U. T. System or component institution acting in the course and scope of their authority.

- 6.(12) Use of the UT TeleCampus Internet Web Site by Universities and Other Entities Outside the U. T. System.-- The UT TeleCampus internet web site established and maintained by the U. T. System Office of Information Technology and Distance Education shall be for the primary purpose of providing access to distance learning courses offered by the U. T. System component institutions and providing students participating in on-campus or distance learning courses of the U. T. System component institutions with access to student support services such as registration, admission, financial aid, course advising, and library resources. The UT TeleCampus internet web site shall also be utilized by the U. T. System Office of Information Technology and Distance Education to provide training and support to faculty of the U. T. System component institutions in the development of distance learning courses and degree programs. The U. T. System Office of Information Technology and Distance Education may establish links to the UT TeleCampus internet web site for distance learning courses and information concerning such courses offered by universities and other entities outside the U. T. System under the following conditions:
- 6.(12)1 The course or courses must not be competitive with a course of a U. T. System component institution that may be accessed through the UT TeleCampus.
  - 6.(12)2 The U. T. System Office of Information Technology and Distance Education, with the advice of qualified U. T. System faculty, will determine whether the content and quality of each course are appropriate for the UT TeleCampus.
  - 6.(12)3 The establishment of the link from the UT TeleCampus internet web site must be pursuant to an approved written agreement that (i) provides for appropriate compensation to the U. T. System Office of Information Technology and Distance Education and (ii) permits the termination of the agreement with respect to any course or courses upon completion by students currently enrolled, if the U. T. System Office of Information Technology and Distance Education determines that another source offers a higher quality course or if it is determined that a U. T. System component institution will provide access to a competitive course through the UT TeleCampus internet web site.

CHAPTER VII

THE SYSTEM PRIVATE-FUND DEVELOPMENT AND FOUNDATIONS

Sec. 1. The System Private-Fund Development.

- 1.1 Responsibilities of the Board and Administrative Officials of the System in Private-Fund Development.
- 1.11 Among the most important responsibilities of the Board are those of establishing policies and procedures by which the developmental needs of the System and its component institutions can be determined and of directing vigorous efforts to attract private-fund support for meeting these needs.
- 1.12 The Chancellor is to serve as the chief executive officer for fund development and as the agent of the Board for the discharge of development responsibilities. The Chancellor shall define for the Board, at periodic intervals, descriptions of current and future needs, as determined by the presidents and System Administration, taking into account such needs as each component institution development board may have recommended.

Sec. 2. The Development Board of a Component Institution for Private-Fund Development.

- 2.1 With the approval of the president of a component institution, the appropriate Executive Vice Chancellor, the Chancellor, and the Board of Regents, any component institution may have a development board dedicated to its own unique interests. Such a development board is the agency responsible through the president to the Chancellor, and through him or her to the Board of Regents, for all private-fund development for that component institution. Each component institution development board shall determine its development needs and shall direct the formulation of plans and the promotion of support for its programs.

- 2.2 Responsibilities and duties of the component institution development boards:
  - 2.21 The component institution development board is the agency responsible to the president of each institution for all private-fund development for that institution.
  - 2.22 The component institution development board shall recommend through the component president, the appropriate Executive Vice Chancellor, and the Chancellor to the Board of Regents the approval of current and long-range policies which shall govern activities and responsibilities in cultivating and securing private funds for the institution.
  - 2.23 The component institution development board shall have responsibility:
    - 2.231 For studying and developing total development needs of the component institution.
    - 2.232 For coordinating and formulating plans and actively promoting support for both current and long-range programs to meet the developmental needs of the component institution.
    - 2.233 For developing gift possibilities not related to predetermined projects.
    - 2.234 For periodic reports of progress to the president and the Chancellor on the plans and programs of the component institution development board. It is expected that a continuing program of the component institution will include collection and dissemination of information regarding gifts and endowments.
    - 2.235 At periodic intervals, the president shall lay before his or her development board descriptions of current development needs.

2.3 Composition and Operation of the Component Institution Development Board.--The component institution development board shall consist of members recommended and appointed by the president of each component institution with final review and approval of the membership delegated by the Board of Regents to the Chancellor following consultation with the Executive Vice Chancellor for Health Affairs or the Executive Vice Chancellor for Academic Affairs. Presidents of component institutions shall adopt guidelines for the appointment and/or reappointment of the members of the component institution development board. The guidelines shall make clear each individual's term of office and the expectations and responsibilities of membership. Consideration shall be directed to appropriate balance in board membership, including concerns relating to gender, ethnicity, range of experience, geographical distribution, and the special needs of the institution and the board. Component institutions shall forward an accurate roster of development board membership to the Chancellor via the Vice Chancellor for Development and External Relations no later than July 15 of each year. All terms shall officially begin on September 1. Official rosters of board membership shall be maintained in the Office of the Vice Chancellor for Development and External Relations. The president of the component institution and the Chancellor (or his or her delegate) shall be ex officio members with voting privileges. The component institution development board will elect a Chairman and such other officers as are appropriate from among its membership.

2.4 General Policies of the Component Institution Development Boards:

2.41 A component institution development board shall seek to enlist the aid of numerous friends of the institution in fund-development efforts, and may establish such committees and other groups as seem desirable in carrying out its program.

with the approval of the appropriate Executive Vice Chancellor, the Chancellor and the Board of Regents. The president of each component institution shall be responsible for stimulating, guiding, and assisting the component institution development board and internal foundations of the component institution he or she heads and he or she may require suitable annual reports from each. All such activities shall be coordinated through the office of the president and the director of the component institution development board.

- 2.44 From time to time, special campaigns for specific objectives may be conducted, with or without the assistance of private fund-raising counsel. Such campaigns may originate upon recommendation by the Board of Regents, the Chancellor, the president with the concurrence of the Chancellor, or the component institution development boards. No such campaign shall be authorized or undertaken, however, until the Chancellor has advised the Board of Regents of his or her approval or disapproval and the campaign has been approved by the Board of Regents.
- 2.45 Notwithstanding the provisions hereof conferring authority upon and placing responsibility with the Chancellor for fund development and fund raising, it is understood that ex-students' associations of the System component institutions may engage in fund raising for their own support through dues and payments for memberships, both annual and life. However, it is expected that no such ex-students' association will sponsor or participate in any other organized fund-raising effort without first consulting and advising with the president and the Chancellor, and then receiving the approval of the Board of Regents.

- 2.5 Executive Office of a Component Institution Development Board:
- 2.51 Within the office of the president there may be appointed by the president, with the approval of the appropriate Executive Vice Chancellor and the Chancellor, an executive director of the component institution development board. He or she is to be charged solely with fund-development activity, and subject to supervision, evaluation, and termination of employment by the president.
- 2.52 The executive director of the component institution development board shall have such staff and such operating funds as shall be determined from time to time by budgets recommended by the president, endorsed by the appropriate Executive Vice Chancellor and the Chancellor, and adopted by the Board of Regents. Duties of staff members shall be established by the executive director.
- 2.6 Finances.--Financial support of the component institution development board and the executive director shall be provided exclusively by the budget of the institution. Such budgets will be made through established budgetary procedures. The Board of Regents may accept gifts designated for use by the component institution development board and may make such funds available for expenditure by said board.
- 2.7 Under the provisions of Section 4 of this Chapter, a component institution development board may, but need not, have an associated internal foundation.

Sec. 3. The Advisory Councils of a Component Institution.

- 3.1 Establishment and Organization:
- 3.11 With the approval of the president of a component institution, the appropriate Executive Vice Chancellor, the Chancellor, and the Board of Regents, any school, college, or other comparable teaching or research unit may have an advisory council dedicated to its own unique interests. Such an advisory council is responsible through the dean or director to the president of the component and through him or her to the component institution development board for all private funds developed for that school, college, or comparable unit. Each advisory

council shall determine its development needs and shall direct the formulation of plans and the promotion of support for its programs.

- 3.12 An advisory council shall consist of members recommended by the dean or director of the school, college, or other approved unit and appointed by the president of the component institution with final approval of the membership delegated by the Board of Regents to the Chancellor following consultation with the appropriate Executive Vice Chancellor. Presidents shall, in consultation with the dean or director of the school, college, or other approved unit, adopt guidelines for the appointment and/or reappointment of the members of the component's advisory council(s). The guidelines shall make clear each individual's term of office and the expectations and responsibilities of membership. Consideration shall be directed to appropriate balance in advisory council membership, including concerns relating to gender, ethnicity, years of involvement or experience with the college, school, or unit, geographical distribution, and the special needs of the school, college, or unit. Component institutions shall

inter vivos trusts. An internal foundation is an accounting and administrative mechanism in the name of which development boards and advisory councils may approach prospective donors. Internal foundations are under the jurisdiction and control of the Board of Regents and are governed by rules, regulations, policies and procedures promulgated by the Board.

4.2 Policies on Initiation and Operation.

4.21 An internal foundation may be established to benefit a component institution, or a school, college or other comparable teaching or research unit within a component institution upon the recommendation of a development board or an advisory council, after approval of the president of the component institution, the appropriate Executive Vice Chancellor, the Chancellor, and the Board of Regents.

4.22 Divisions and departments below the level of a school, college, or other comparable teaching or research unit may effectively work as separate groups within the framework of the advisory council and internal foundation which represents a school or college or comparable teaching or research unit. Provisions for the designation of special funds to be used for specific activities within the framework of the various internal foundations and advisory councils will be made in order to avoid stifling the interest and initiative of these groups.

4.23 There shall be an annual review of the activities of each internal foundation to determine its effectiveness; and, if it is unproductive for an unreasonable length of time, it shall be dissolved.

4.24 Every effort shall be made to permit a free range of initiative within the internal foundations, and their associated development boards and advisory councils, but activities must be in

1. The funds of the Foundation shall be devoted solely to the enrichment of the academic programs of the ((name of school, college, or other component unit)) of The University of Texas ((name of component)) and shall not be used for the ordinary operating expenses of the ((name of school, college, or other component unit)).

2. A donation to the Foundation may be made for a specific purpose and may be given in the name of the donor or other designation specified by the donor or may be given as unrestricted funds.

3. The Board of Regents shall hold, manage, control, sell, exchange, lease, convey, mortgage or otherwise encumber, invest or reinvest, and generally shall have power to dispose of in any manner and for any consideration and on any terms the said gifts, funds, or property in their discretion and shall from time to time pay out of the income, or if the income be insufficient, out of the principal, all expenses of trust and all expenditures incurred in furthering the purposes of the trust.

4. Neither any donation to the ((name)) Foundation nor any fund or property arising therefrom in whatever form it may take shall ever be any part of the Permanent University Fund nor shall the Legislature have power or be in any way authorized to change the purposes thereof or to divert such donation, fund or property from those designated purposes.

5. As in the case of other University funds, authorization for expenditure of all funds from the Foundation shall be vested in the Board of Regents and recommendations for such expenditures shall be made by the ((president)) through the appropriate Executive Vice Chancellor to the Chancellor and by the Chancellor to the Board of Regents of The University of Texas System.

4.32 Upon Regental approval of the standard resolution creating an internal foundation, the name of the foundation will be added to the list contained in Section 4.33 of this Chapter. The Executive Secretary to the Board of Regents shall prepare a copy of the standard resolution in appropriate form for the Chairman's signature and shall distribute a copy to the appropriate Executive Vice Chancellor, the Chancellor and to the president of the component institution involved.

CHAPTER VIII

MISCELLANEOUS

Sec. 1. Honorific Namings.

- 1.1 The naming of buildings; other major facilities, such as wings of buildings, major components of buildings, large auditoria, concert halls, atriums, prominent outdoor spaces, and clinics; and other major entities, such as colleges, schools, academic departments, and major academic centers, programs and institutes of the U. T. System and its component institutions, whether for an individual or with a functional or historical designation, is the prerogative and responsibility of the Board of Regents and can be initiated by the Board when circumstances warrant. When recommendations for naming of buildings or other major facilities or entities originate at other than the level of the Board, such recommendations shall be forwarded to the Board of Regents with recommendations of the Chancellor, the Executive Vice Chancellor for Health Affairs or the Executive Vice Chancellor for Academic Affairs, the Vice Chancellor for Development and External Relations, and the president of a component institution, accompanied by reasons for the recommendation, and following campus consultations where appropriate. Recommendations for naming of buildings or major facilities or entities require Board of Regents' approval via the agenda. For less prominent facilities such as laboratories, classrooms, seminar rooms, and patient rooms or for less prominent academic centers, programs, and institutes, naming authority is delegated to each component president based on a set of general guidelines which are reviewed and approved by the Chancellor. An annual report of such namings will be included with the annual operating budget submission or updated Capital Improvement Program.
- 1.2 Facilities and other entities may be named to memorialize or otherwise recognize substantial gifts and significant donors or individuals designated by donors. Such designation may be for a single gift, multiple gifts over time, or for a combination of gifts and other contributions. Buildings and other

entities may also be named for individuals who have made exemplary or meritorious contributions to the System, component institution, or society.

- 1.3 Each component institution will develop guidelines for what constitutes substantial and significant donations to warrant a naming. These guidelines may vary from campus to campus and sometimes within a campus dependent upon the nature and purpose of the facility or entity or other factors. Institutional donor guidelines are subject to prior administrative review and approval procedures for inclusion in the institutional Handbook of Operating Procedures. Exceptions to any approved guidelines are subject to the same approval process.
- 1.4 Namings in honor of campus administrative officials, faculty, or staff or elected or appointed public officials shall normally occur only after the campus employment or public service has concluded.
- 1.5 When the naming of buildings or other major facilities or entities is contemplated as part of a special private-fund development campaign, that campaign, the proposed naming, and the associated private-fund contributions to be sought shall have prior approval of the component president, the Executive Vice Chancellor for Health Affairs or the Executive Vice Chancellor for Academic Affairs, the Vice Chancellor for Development and External Relations, the Chancellor, and the Board as required in Part One, Chapter VII, Section 2, Subdivision 2.44 of the Regents' Rules and Regulations.
- 1.6 The Chancellor will arrange for the Board of Regents to be briefed periodically by component presidents and System administrative officials via the annual budget process or other appropriate forum regarding buildings or other major facilities or entities to be named and the private-fund contributions to be sought. Unexpected naming opportunities not covered in such briefings should be reviewed with the Board via regular Board of Regents' briefings. No commitment regarding the naming of a building or major facility or entity is to be made prior to the briefings and approvals required by this Section.

consistence of usage throughout the System, the component institutions and their respective entities shall be listed in the following order and the following titles shall be used:

	<u>Full Title</u>	<u>Short Title</u>
4.1	The University of Texas System Board of Regents	U. T. Board of Regents
4.2	The University of Texas System Administration	U. T. System Administration
4.3	The University of Texas at Arlington	U. T. Arlington
4.31	The University of Texas at Arlington School of Architecture	
4.32	The University of Texas at Arlington College of Business Administration	
4.33	The University of Texas at Arlington College of Engineering	
4.34	The University of Texas at Arlington College of Liberal Arts	
4.35	The University of Texas at Arlington School of Nursing	
4.36	The University of Texas at Arlington College of Science	
4.37	The University of Texas at Arlington School of Social Work	
4.38	The University of Texas at Arlington Graduate School	
4.39	The University of Texas at Arlington School of Education	
4.3(10)	The University of Texas at Arlington Honors College	

	<u>Full Title</u>	<u>Short Title</u>
	4.3(11) The University of Texas at Arlington School of Urban and Public Affairs	
4.4	The University of Texas at Austin	U. T. Austin
	4.41 The University of Texas at Austin School of Archi- tecture	
	4.42 The University of Texas at Austin College of Liberal Arts	
	4.43 The University of Texas at Austin College of Natural Sciences	
	4.44 The University of Texas at Austin College of Business Administration	
	4.45 The University of Texas at Austin College of Commu- nication	
	4.46 The University of Texas at Austin College of Educa- tion	
	4.47 The University of Texas at Austin College of Engi- neering	
	4.48 The University of Texas at Austin College of Fine Arts	
	4.49 The University of Texas at Austin School of Law	
	4.4(10) The University of Texas at Austin Graduate School of Library and Information Science	
	4.4(11) The University of Texas at Austin School of Nursing	
	4.4(12) The University of Texas at Austin College of Pharmacy	
	4.4(13) The University of Texas at Austin Lyndon B. Johnson School of Public Affairs	
	4.4(14) The University of Texas at Austin School of Social Work	

	<u>Full Title</u>	<u>Short Title</u>
	4.4(15) The University of Texas at Austin Graduate School	
	4.4(16) The University of Texas at Austin Marine Science Institute	U. T. Austin Marine Science Institute
	4.4(17) The University of Texas at Austin McDonald Observatory at Mount Locke	U. T. Austin McDonald Observatory
4.5	The University of Texas at Brownsville	U. T. Brownsville
	4.51 The University of Texas at Brownsville School of Business	
	4.52 The University of Texas at Brownsville School of Education	
	4.53 The University of Texas at Brownsville School of Health Sciences	
	4.54 The University of Texas at Brownsville College of Liberal Arts	
	4.55 The University of Texas at Brownsville College of Science, Mathematics and Technology	
	4.56 The University of Texas at Brownsville Division of Continuing Education	
4.6	The University of Texas at Dallas	U. T. Dallas
	4.61 The University of Texas at Dallas School of Arts and Humanities	
	4.62 The University of Texas at Dallas Erik Jonsson School of Engineering and Computer Science	
	4.63 The University of Texas at Dallas School of General Studies	
	4.64 The University of Texas at Dallas School of Human Development	
	4.65 The University of Texas at Dallas School of Management	

	<u>Full Title</u>	<u>Short Title</u>
4.66	The University of Texas at Dallas School of Natural Sciences and Mathematics	
4.67	The University of Texas at Dallas School of Social Sciences	
4.68	The University of Texas at Dallas Callier Center for Communication Disorders	
4.7	The University of Texas at El Paso	U. T. El Paso
4.71	The University of Texas at El Paso College of Business Administration	
4.72	The University of Texas at El Paso College of Education	
4.73	The University of Texas at El Paso College of Engineering	
4.74	The University of Texas at El Paso College of Liberal Arts	
4.75	The University of Texas at El Paso College of Health Sciences	
4.76	The University of Texas at El Paso College of Science	
4.77	The University of Texas at El Paso Graduate School	
4.8	The University of Texas - Pan American	U. T. Pan American
4.81	The University of Texas - Pan American College of Arts and Humanities	
4.82	The University of Texas - Pan American College of Business Administration	
4.83	The University of Texas - Pan American College of Education	
4.84	The University of Texas - Pan American College of Health Sciences and Human Services	

	<u>Full Title</u>	<u>Short Title</u>
4.85	The University of Texas - Pan American College of Science and Engineering	
4.86	The University of Texas - Pan American College of Social and Behavioral Sciences	
4.9	The University of Texas of the Permian Basin	U. T. Permian Basin
4.91	The University of Texas of the Permian Basin College of Arts and Sciences	
4.92	The University of Texas of the Permian Basin School of Business	
4.93	The University of Texas of the Permian Basin School of Education	
4.(10)	The University of Texas at San Antonio	U. T. San Antonio
4.(10)1	The University of Texas at San Antonio College of Business	
4.(10)2	The University of Texas at San Antonio College of Fine Arts and Humanities	
4.(10)3	The University of Texas at San Antonio College of Social and Behavioral Sciences	
4.(10)4	The University of Texas at San Antonio College of Sciences and Engineering	
4.(10)5	The University of Texas Institute of Texan Cultures at San Antonio	U. T. Institute of Texan Cul- tures - San Antonio
4.(10)6	The University of Texas at San Antonio Downtown Campus	U. T. San Antonio Downtown Campus

	<u>Full Title</u>	<u>Short Title</u>
4.(11)	The University of Texas at Tyler	U. T. Tyler
4.(11)1	The University of Texas at Tyler College of Business Administration	
4.(11)2	The University of Texas at Tyler College of Education and Psychology	
4.(11)3	The University of Texas at Tyler College of Engineering	
4.(11)4	The University of Texas at Tyler College of Liberal Arts	
4.(11)5	The University of Texas at Tyler College of Sciences and Mathematics	
4.(11)6	The University of Texas at Tyler College of Nursing	
4.(12)	The University of Texas Southwestern Medical Center at Dallas	U. T. Southwestern Medical Center - Dallas
4.(12)1	The University of Texas Southwestern Medical School at Dallas	U. T. Southwestern Medical School - Dallas
4.(12)2	The University of Texas Southwestern Graduate School of Biomedical Sciences at Dallas	U. T. Southwestern G.S.B.S. - Dallas
4.(12)3	The University of Texas Southwestern Allied Health Sciences School at Dallas	U. T. Southwestern A.H.S.S. - Dallas
4.(13)	The University of Texas Medical Branch at Galveston	U. T. Medical Branch - Galveston
4.(13)1	The University of Texas Medical School at Galveston	U. T. Medical School - Galveston
4.(13)2	The University of Texas Graduate School of Biomedical Sciences at Galveston	U. T. G.S.B.S. - Galveston
4.(13)3	The University of Texas School of Allied Health Sciences at Galveston	U. T. Allied Health Sciences School - Galveston
4.(13)4	The University of Texas Marine Biomedical Institute at Galveston	U. T. Marine Biomedical Institute - Galveston

<u>Full Title</u>	<u>Short Title</u>
4.(13)5 The University of Texas Institute for the Medi- cal Humanities at Galveston	U. T. Medical Humanities Insti- tute - Galveston
4.(13)6 The University of Texas Hospitals at Galveston	U. T. Hospitals - Galveston
4.(13)7 The University of Texas School of Nursing at Galveston	U. T. Nursing School - Galveston
4.(14) The University of Texas Health Science Center at Houston	U. T. Health Science Center - Houston
4.(14)1 The University of Texas Medical School at Houston	U. T. Medical School - Houston
4.(14)2 The University of Texas Dental Branch at Houston	U. T. Dental Branch - Houston
4.(14)3 The University of Texas Graduate School of Bio- medical Sciences at Houston	U. T. G.S.B.S. - Houston
4.(14)4 The University of Texas School of Allied Health Sciences at Houston	U. T. Allied Health Sciences School - Houston
4.(14)5 The University of Texas School of Public Health at Houston	U. T. Public Health School - Houston
4.(14)6 The University of Texas School of Nursing at Houston	U. T. Nursing School - Houston
4.(15) The University of Texas Health Science Center at San Antonio	U. T. Health Science Center - San Antonio
4.(15)1 The University of Texas Medical School at San Antonio	U. T. Medical School - San Antonio
4.(15)2 The University of Texas Dental School at San Antonio	U. T. Dental School - San Antonio
4.(15)3 The University of Texas Graduate School of Bio- medical Sciences at San Antonio	U. T. G.S.B.S. - San Antonio
4.(15)4 The University of Texas School of Allied Health Sciences at San Antonio	U. T. Allied Health Sciences School - San Antonio

<u>Full Title</u>	<u>Short Title</u>
4.(15)5 The University of Texas School of Nursing at San Antonio	U. T. Nursing School - San Antonio
4.(16) The University of Texas M. D. Anderson Cancer Center	U. T. M. D. Anderson Cancer Center
4.(16)1 The University of Texas M. D. Anderson Hospital	U. T. M. D. Anderson Hospital
4.(16)2 The University of Texas M. D. Anderson Tumor Institute	U. T. M. D. Anderson Tumor Institute
4.(16)3 The University of Texas M. D. Anderson Science Park	U. T. M. D. Anderson Science Park
4.(17) The University of Texas Health Center at Tyler	U. T. Health Center - Tyler
4.(18) The University of Texas System Bauer House	U. T. Bauer House

HW

Could you **glance** at attached RRR amendments please before I date each page to be sure I picked up all edits correctly. I didn't check my work very carefully.

Part One coming tomorrow.

att'd 2/23 <sup>2-23-00</sup> see yellow tabs. <sup>1/10/00</sup> 2/23

Don't worry about line-up of right margin unless it's really bad.

chw

You'll note sometimes we used "appropriate EVC" and sometimes we spelled out EVC/AA and EVC/HA. Should be okay as is.

We'll orally proof the November amendments again before mailing. Done 2/11

Thanks.

cf  
2/22

2-23-00  
CF:  
see 3 yellow tabs.

Thx chw

(PS: has  
seen) 1. PS  
2. Hw

> pls. proof carefully. Thx.  
File copy 1998 changes  
att'd in case you  
need -- maybe only  
for last few pages -  
2/25

FF -- a few q. @ yellow slips pls.  
3/1/00

OFFICE OF THE BOARD OF REGENTS  
THE UNIVERSITY OF TEXAS SYSTEM  
201 WEST SEVENTH STREET, AUSTIN, TEXAS 78701-2981  
(512) 499-4402

MEMORANDUM

date

**TO:** Those Who Receive Official Copies of  
the Regents' Rules and Regulations

**FROM:** Francie A. Frederick

**SUBJECT:** Regents' Rules and Regulations,  
Amendments to Part One

Enclosed are revised pages containing amendments to the Regents' Rules and Regulations approved at the November 11, 1999 meeting of the U. T. Board of Regents, as well as editorial amendments to:

- Change references to Vice Chancellor for Academic Affairs to the Executive Vice Chancellor for Academic Affairs and change text, as appropriate, concerning related responsibilities
- Amend references to Vice Chancellor for Telecommunications and Information Technology to new title, Vice Chancellor for Information Technology and Distance Education
- Include the Associate Vice Chancellor for Governmental and Community Relations and the Associate Vice Chancellor for Governmental Relations <sup>and Planning</sup> as Executive Officers of the U. T. System *thanks*
- Update the formal names of the component institutions in Chapter VIII

- Adjust page breaks as necessary

- *Replace* Amend reference to ~~the~~ chief administrative officer <sup>"</sup> as ~~the~~ <sup>"</sup> president *with* on those pages being amended for another reason.  
(FF: *ok to add as above?*)

Further detail on the rationale for the amendments and congressional style displays of the revised text are included in the Material Supporting the Agenda (MSA) for the November 11, 1999 meeting as referenced below.

... will complete

Will add  
to Part Two  
memo  
to

**OFFICE OF THE BOARD OF REGENTS**  
**THE UNIVERSITY OF TEXAS SYSTEM**  
 201 WEST SEVENTH STREET, AUSTIN, TEXAS 78701-2981  
 (512) 499-4402

FF: it may be we can add 2/00 R/R amendments to this packet.

**MEMORANDUM**

FF - pls. review carefully. I made changes @ liberty w/ 3/98 amendments

date

Let's go ahead w/ 11/99

2/21

**TO:** Those Who Receive Official Copies of the Regents' Rules and Regulations

**FROM:** Francie A. Frederick

**SUBJECT:** Regents' Rules and Regulations, Amendments to Part One

Enclosed are revised pages containing amendments to the Regents' Rules and Regulations approved at the November 11, 1999 meeting of the U. T. Board of Regents, as well as editorial amendments to:

- *Change references to*  
~~Amend the title of the~~ Vice Chancellor for Academic Affairs to ~~the~~ Executive Vice Chancellor for Academic Affairs and related responsibilities
- *reference to*  
~~Amend the title of the~~ Vice Chancellor for Telecommunications and Information Technology to ~~the~~ Vice Chancellor for Information Technology and Distance Education *new title,*
- Include the Associate Vice Chancellor for Governmental and Community Relations and the Associate Vice Chancellor for Governmental Relations as Executive Officers of the U. T. System
- Update the formal names of the component institutions in Chapter VIII
- Adjust page breaks as necessary.

*Change text, as appropriate, concerning*

Further detail on the rationale for the amendments and congressional style displays of the revised text are included in the Material Supporting the Agenda (MSA) for the November 11, 1999 meeting as referenced below.

Please update your copy of the Regents' Rules and Regulations, Part One by discarding the pages listed in Column "A" and substituting in lieu thereof the pages listed in Column "B":

*want to list for each pg or only minutes for Nov.*

"A"	"B"	MSA Reference
Cover Page	Cover Page	

*all complete*

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*won't change unit do we do comprehensive a ment ment to entire R/C then will do search + replace?*

*pre 1998 order was Ac.; Hlt. Business.*

*President*

*I changed to i Pres on those pages editorially amended*

- 7.162 Recommend to the Board policies relating to soliciting and securing gifts for the University's general academic institutions.
- 7.163 Consider and report to the Board on all matters affecting the libraries of the general academic institutions.
- 7.164 Recommend to the Business Affairs and Audit Committee matters which commit the general academic institutions to operating expenditures in future years.
- 7.165 Consider and recommend to the Business Affairs and Audit Committee the annual operating budgets of the general academic institutions, including rates of compensation.
- 7.166 Consider and recommend to the Business Affairs and Audit Committee biennial submissions of appropriation requests to the Legislative Budget Board and to the Governor as prepared by the System Administration and component institutions in accordance with Section 6 of Chapter II of Part Two of these Rules and Regulations.
- 7.167 ~~Counsel with the Chancellor and the Vice Chancellor for Academic Affairs and recommend to the Board approval of matters related to student affairs, fees and housing in the general academic institutions.~~ *Exec*
- 7.168 ~~Counsel with the Chancellor and the Vice Chancellor for Academic Affairs and recommend to the Board approval of the appointment, promotion, and dismissal of such institutional officers as may be appropriate in the general academic institutions.~~ *Exec*

2/22  
 suggest  
 this order  
 for mega  
 item  
 FF  
 noted  
 2/28/00

*Hebron case  
 in context  
 amendment for  
 5/2000*

7.169 Consider and report to the Board on matters relating to the research, training, and community service activities at the general academic institutions.

7.16(10) Consider and recommend to the Board the acceptance and execution of grants and contracts for research, training, and community service at the general academic institutions.

7.16(11) Counsel with the Chancellor and recommend appropriate Board action related to the appointment, promotion, and dismissal of such System Administration ~~academic affairs~~ officers as report directly or indirectly to the ~~Chancellor~~. *EW/RA*

7.16(12) Consider and make recommendations to the Business Affairs and Audit Committee concerning capital improvement requests as related to the approved missions of the general academic institutions.

7.17 Duties of the Health Affairs Committee.--  
The Health Affairs Committee shall:

7.171 Consider and report to the Board on matters concerned with substantive aspects of policies and programs related to the academic philosophy and objectives of the health-related institutions; with University relations; and with health-related academic planning, instructions, and research.

7.172 Recommend to the Business Affairs and Audit Committee matters which commit the health-related institutions to operating expenditures in future years.

*w/ respect to any rec.s re: the EVC/RA*

*leave ?  
not in EVC/RA item.*

*noted leave in Rec-  
2/22 but let's  
take out.  
in mega-item*

CHAPTER II

ADMINISTRATION

Sec. 1. General Provisions.

1.1 Administration.

The "System Administration" is the administration of The University of Texas System.

1.2 The University of Texas System.

The University of Texas System (herein sometimes called the "System") is composed of the System Administration and those institutions assigned by the Constitution or by the Legislature to be governed by the Board of Regents of The University of Texas System. The System Administration shall be based in Austin.

Sec. 2. Board's Exercise of Authority.

The Board of Regents exercises its powers and authorities in the governance of The University of Texas System through the System Administration, headed by the Chancellor.

Sec. 3. Chancellor.

The Chancellor is the chief executive officer of The University of Texas System. The Chancellor reports to and is responsible to the Board of Regents of The University of Texas System. The Chancellor has direct line responsibility for all aspects of the U. T. System's operations and ~~has direct operational responsibility for the management and conduct of the academic affairs of the System. The chief administrative officers of the general academic institutions shall report to and are responsible to the Chancellor.~~

3.1 Appointment and Tenure.

The Chancellor shall be elected by the affirmative vote of a majority of the Regents in office and shall hold office without fixed term, subject to the pleasure of the Board.

CHAPTER II

ADMINISTRATION

Sec. 1. General Provisions.

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3.1 Appointment and Tenure.

The Chancellor shall be elected by the affirmative vote of a majority of the Regents in office and shall hold office without fixed term, subject to the pleasure of the Board.

3.32 The System internal auditors are the internal auditors for the System and augment the audit work of the institutional internal auditor and the State Auditors at the component institutions.

Sec. 4. Executive Vice Chancellor for Health Affairs.

*prob*  
The Executive Vice Chancellor for Health Affairs has line responsibility for the conduct of health-related education and the delivery of health services in the System. The ~~chief administrative officer~~ of each health-related institution in the System reports to and is responsible to the Executive Vice Chancellor for Health Affairs. The Executive Vice Chancellor for Health Affairs reports to and is responsible to the Chancellor. The Executive Vice Chancellor for Health Affairs has direct access to the Board of Regents and is expected to work directly with the appropriate committees of the Board in discharging the duties of the office.

4.1 Appointment and Tenure.

The Executive Vice Chancellor for Health Affairs shall be selected by an affirmative vote of a majority of the Regents in office upon nomination by the Chancellor. The Executive Vice Chancellor for Health Affairs shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions concerning the Executive Vice Chancellor for Health Affairs are subject to review and approval by the Board.

*appointed by the Board after*  
4.2 Duties and Responsibilities.

The Executive Vice Chancellor for Health Affairs shall have as a prime responsibility the maintenance of high academic quality in the health-related teaching institutions and high quality health services in the health-care delivery institutions of the System. The Board through its policies, procedures, and Rules and Regulations maintains its governance responsibilities, and acknowledges the importance of maintaining accreditation for hospital, clinic and other patient-care facilities. Because of the complexity and diversity of the System, the Executive Vice Chancellor for Health Affairs is delegated the responsibility for ensuring the governance requirements for accreditation not specifically covered elsewhere in these policies, procedures, and Rules and Regulations are met. The Executive Vice Chancellor for Health

Affairs will report the accreditation status to the Board on a periodic basis. Through the presidents of the component institutions, he or she shall have responsibility for the budgets, academic planning and programs, facilities planning and construction, and personnel (both academic and nonacademic) of those components. In consultation with the Chancellor, the Executive Vice Chancellor for Health Affairs shall prepare recommendations and supporting information on such matters for consideration by the appropriate standing committees of the Board and the Board of Regents. The Executive Vice Chancellor for Health Affairs is responsible and accountable for ensuring that appropriate internal controls are implemented and monitored in the health-related teaching institutions and the health-care delivery institutions.

Sec. 5. Executive Vice Chancellor for Business Affairs.

The Executive Vice Chancellor for Business Affairs reports to the Chancellor and is responsible for the direction of those offices and supervision of those areas of responsibility set forth in Subsection 5.2(10) of this Chapter. The Executive Vice Chancellor for Business Affairs has direct access to the Board of Regents [of The University of Texas System] and is expected to work directly with appropriate committees of the Board in discharge of the office.

5.1 Appointment *not in Section*  
The Executive Vice Chancellor for Business Affairs shall be appointed by the Chancellor after nomination by the Chancellor for Business Affairs. The term, subject to the approval of the Chancellor, shall be for a period of four years, subject to the Chancellor's actions regarding the Executive Vice Chancellor for Business Affairs are subject to review and approval by the Board.

pres

Affairs will report the accreditation status to the Board on a periodic basis. Through the ~~chief administrative officers~~ of the component institutions, he or she shall have responsibility for the budgets, academic planning and programs, facilities planning and construction, and personnel (both academic and nonacademic) of those components. In consultation with the Chancellor, the Executive Vice Chancellor for Health Affairs shall prepare recommendations and supporting information on such matters for consideration by the appropriate standing committees of the Board and the Board of Regents. The Executive Vice Chancellor for Health Affairs is responsible and accountable for ensuring that appropriate internal controls are implemented and monitored in the health-related teaching institutions and the health-care delivery institutions.

Sec. 5. Executive Vice Chancellor for Business Affairs.

✓  
The Executive Vice Chancellor for Business Affairs reports to the Chancellor and is responsible for the direction of those offices and supervision of those areas of responsibility set forth in Subsection 5.2(10) of this Chapter. ~~The Executive Vice Chancellor for Business Affairs provides staff assistance to the Chancellor and the Executive Vice Chancellors for Health Affairs in the exercise of their responsibilities.~~ The Executive Vice Chancellor for Business Affairs has direct access to the Board of Regents of The University of Texas System and is expected to work directly with the appropriate committees of the Board in discharging the duties of the office.

5.1 Appointment and Tenure.

always  
in  
5.21  
The Executive Vice Chancellor for Business Affairs shall be appointed by the Board after nomination by the Chancellor. The Executive Vice Chancellor for Business Affairs shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions regarding the Executive Vice Chancellor for Business Affairs are subject to review and approval by the Board.

5.2 Duties and Responsibilities.

The primary responsibilities of the Executive Vice Chancellor for Business Affairs include:

5.21 The provision of staff assistance to the Chancellor and the Executive Vice Chancellors [for Health Affairs] in the execution of their responsibilities. ✓

5.22 Submitting recommendations to the Chancellor and to the Executive Vice Chancellors [for Health Affairs] on business operations of the components of the System.

5.23 Reviewing and making recommendations on uniform business systems and management.

5.24 Submitting recommendations relating to programs for the most efficient management of personnel and resources.

5.25 Submitting recommendations for program development for training of personnel in nonacademic areas.

5.26 Reviewing and making recommendations on programs of long-range planning for physical facilities and financial resources.

5.27 Reviewing and making recommendations relating to police and security matters within the System.

5.28 Coordinating the business affairs of the System with other officers and members of the System Administration staff.

5.29 In consultation with the appropriate Executive Officer, coordinating the activities of business administrative operations of the component institutions.

5.2(10) Managing the operations of the offices listed below through such internal administrative organization as he/she deems to be appropriate:

Office of the Associate Vice  
Chancellor for Business Affairs

Office of Historically  
Underutilized Business  
Development

Office of the Director of  
Police

Office of the Assistant Vice  
Chancellor and Controller

Budget Office

Office of Information

Resources

Comment

FF -- will change for EVC/HA need to be via agenda item (beyond editorial authority) ?

would we ever in close related sentence for EVC/HA ?

e

FF

sh us is

- 5.2(14) receipt, dis-investment, ory agreements
- 5.2(15) roval by the
- 5.2(16) propriate nal adminis-.2(10).
- 5.2(17) s may be assign...

for the part this job's done for the EVC/HA

Sec. 6. Vice Chancellor for Academic Affairs.

insert re line resp. + pres. responsible

The Vice Chancellor for Academic Affairs reports to and is responsible to the Chancellor. The Vice Chancellor for Academic Affairs is responsible for the programs of the System related to academic affairs. The Vice Chancellor for Academic Affairs has direct access to the Board of Regents and is expected to work directly with the appropriate committees of the Board in discharging the duties of the office.

FF: leave in? was not in 97 version nor do I believe it's in EVC for HA Affairs of 2/3 00

6.1 Appointment and Tenure. The Vice Chancellor for Academic Affairs shall be appointed by the Board after nomination by the Chancellor. The Vice Chancellor for Academic Affairs shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions concerning the Vice Chancellor for Academic Affairs are subject to review and approval by the Board.

The Executive Vice Chancellor for Academic Affairs has line responsibility for the conduct of the academic affairs of the System. The Chief administrative officers of the general academic institutions in the System report to and are responsible to the Executive Vice Chancellor for Academic Affairs.

... elected by an affirmative vote of a majority of the Regents in office upon

check

AA - P's. review  
ENC for AA  
R/R attached to  
for "editorial"  
Changes in  
point of view  
for duties & text  
responsibilities?  
tx

2/3

get's tx  
as marked  
then compare  
skill

Basic  
to 4

compare  
carefully to  
ENC / HA.  
Tx -  
3.



FF: attached  
copy of Parts One  
+ two w/ hilited  
VC for AA -- also  
President (vs CAO) -- this is  
if you'd like to  
change now plus  
a few other editorial  
amendments  
marked.

RULES AND RI

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BOARD OF

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FOR THE GOVE

THE UNIVERSITY O

*Carol -*  
Let's go ahead  
w/ these edits  
w/ out CAO (unless  
it's on a page  
already has doublechecked  
amended.) Pls  
that all changes  
made in 1998  
are "reversed"  
7.

*CJ*  
*11/21*

Pls. note: there may  
be other places where  
EVC for AA or  
pres. need to be

PART ONE

changed where computer didn't pick up on a "Find"

Part One was completely reissued as of June 1, 1988.  
(Substantive amendments through August 12, 1999,  
and editorial amendments through September 1999  
have been issued with this cover sheet.)

or per att'd R/R amendments made in 1998  
re VC for AA.

3.32 The System internal auditors are the internal auditors for the System and augment the audit work of the institutional internal auditor and the State Auditors at the component institutions.

Sec. 4. Executive Vice Chancellor for Academic Affairs.

The Executive Vice Chancellor for Academic Affairs has line responsibility for the conduct of the academic affairs of the System. The chief administrative officers of the general academic institutions in the System report to and are responsible to the Executive Vice Chancellor for Academic Affairs. The Executive Vice Chancellor for Academic Affairs reports to

*deleted  
8/1/97  
minutes*

*Former*

and is responsible to the Chancellor. The Executive Vice Chancellor for Academic Affairs has direct access to the Board of Regents and is expected to work directly with the appropriate committees of the Board in discharging the duties of the office.

4.1 Appointment and Tenure.

The Executive Vice Chancellor for Academic Affairs shall be elected by an affirmative vote of a majority of the Regents in office upon nomination by the Chancellor. The Executive Vice Chancellor for Academic Affairs shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions concerning the Executive Vice Chancellor for Academic Affairs are subject to review and approval by the Board.

4.2 Duties and Responsibilities.

The Executive Vice Chancellor for Academic Affairs shall have as a prime responsibility the maintenance of high academic quality in the general academic components of the System. Through the chief administrative officers of the component institutions, he or she shall have responsibility for the budgets, academic planning and programs, facilities planning and construction, and personnel (both academic and non-academic) of those components. In consultation with the Chancellor, the Executive Vice Chancellor for Academic Affairs shall prepare recommendations and supporting information on such matters for consideration by the appropriate standing committees of the Board and the Board of Regents. The Executive Vice Chancellor for Academic Affairs is responsible and accountable for ensuring that appropriate internal controls are implemented and monitored in the general academic components of the System. The Board through its policies, procedures, and Rules and Regulations maintains its governance responsibilities and acknowledges the importance of maintaining accreditation for the general components and academic programs, as appropriate. Because of the complexity and diversity of the System, the Executive Vice Chancellor for Academic Affairs is delegated the responsibility for ensuring the governance requirements for accreditation not specifically covered elsewhere in these policies, procedures, and Rules and Regulations are met. The Executive Vice Chancellor for Academic Affairs will report the accreditation status to the Board on a periodic basis.

*a delete*

6.2 Duties and Responsibilities. E

The primary responsibilities of the Vice Chancellor for Academic Affairs include:

- 6.21 The provision of staff assistance to the Chancellor and the Executive Vice Chancellors in the execution of their responsibilities.
- 6.22 Submitting recommendations to the Chancellor regarding the maintenance of high academic quality in the general academic components of the System.
- 6.23 Reviewing and making recommendations on the budgets, academic planning and programs, facilities planning and construction, and personnel (both academic and nonacademic) of the academic components.
- 6.24 In consultation with the Chancellor, ensuring that appropriate internal controls are implemented and monitored in the general academic components of the System.
- 6.25 Ensuring that the governance requirements for accreditation not specifically covered elsewhere in these policies, procedures, and Rules and Regulations are met.
- 6.26 Reporting the accreditation status of the academic institutions to the Chancellor and to the Board of Regents periodically.
- 6.27 Performing such other duties as may be assigned by the Chancellor.

approp.

9.23 The formal acceptance, following review and approval by the ~~Chancellor and the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs~~, and the processing and administration (excluding investment management) of gifts as assigned which conform to all relevant laws and Board policies.

*Exec*

Promulgating the "U. T. System Gift Acceptance Procedures" and preparing other guidance documents relating to acceptance, processing, and administration (excluding investment management) of gifts for the U. T. System and the component institutions. Making recommendations with respect to the acceptance of all proposed gifts and approval of all other actions related to the processing and administration of gifts managed by the Office of Development and External Relations, the terms of which do not conform to all relevant laws and Board policies.

*Just did we amend 9.23 in February? NO, only Feb 2000 Chp. 1 + 17 re*

Reporting to the Board on gifts processed and administered by the Office of Development and External Relations.

9.27 The organization and administration of programs for providing information to the public relating to the System and coordinating those programs with the public information programs of the component institutions.

9.28 Directing the administration of the System Office of Special Services.

9.29 Directing the administration of the System Office of Estates and Trusts.

9.2(10) To assist in the development, organization, and administration of programs and activities related to alumni of System component institutions in coordination with appropriate personnel of the component institutions.

9.2(11) Coordinating the policies and activities of the System and the component institutions related to internal and external foundations that provide support for the System and the component institutions.

9.2(12) The performance of such other duties and responsibilities as may be assigned by the Chancellor.

...ing the job responsibilities, the assignment of duties, and supervising staff members employed in or assigned to work in the governmental affairs area. Performing such other duties and responsibilities for the efficient operation of the System as shall be assigned by the Chancellor.

Chancellor for Development and External Relations.

The Vice Chancellor for Development and External Relations reports to the Chancellor and is responsible for the programs of the System related to private sector support, alumni relations, public information, and external constituencies as set out in Subsection 9.2 of this Chapter. The Vice Chancellor for Development and External Relations provides staff assistance to the Chancellor and the Executive Vice Chancellors in the exercise of their responsibilities. The Vice Chancellor for Development and External Relations has direct access to the Board of Regents of The University of Texas System and is expected to work directly with appropriate committees of the Board in discharging the duties of the office.

W (OK)

9.1 Appointment and Tenure.

The Vice Chancellor for Development and External Relations shall be appointed by the Board after nomination by the Chancellor. The Vice Chancellor for Development and External Relations shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions regarding the Vice Chancellor for Development and External Relations are subject to review and approval by the Board.

9.2 Duties and Responsibilities.

The primary responsibilities of the Vice Chancellor for Development and External Relations include:

9.21 The provision of staff assistance to the Chancellor and the Executive Vice Chancellors in the execution of their responsibilities.

W (OK)

9.22 The development, organization, and administration of activities to obtain private sector funding for programs of the System.

9.23 The formal acceptance, following review and approval by the appropriate Executive Vice Chancellor, and the processing and administration (excluding investment management) of gifts as assigned which conform to all relevant laws and Board policies.

EDIT

CHANCELLOR AND THE

cf 3/10

fr. HA or VC for AA

Handwritten checkmark and scribbles

11/14/96

Sec. 10. Vice Chancellor for Telecommunications and Information Technology + Distance Ed

The Vice Chancellor for ~~Telecommunications and Information Technology~~ reports to the Chancellor and will lead the System-wide efforts in all aspects of information technology initiatives and activities. The Vice Chancellor for ~~Telecommunications and Information Technology~~ provides staff assistance to the Chancellor and the Executive Vice Chancellors in the exercise of their responsibilities.

10.1 Appointment and Tenure.

The Vice Chancellor for ~~Telecommunications and Information Technology~~ shall be appointed by the Board after nomination by the Chancellor. The Vice Chancellor for ~~Telecommunications and Information Technology~~ shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions regarding the Vice Chancellor for ~~Telecommunications and Information Technology~~ are subject to review and approval by the Board.

10.2 Duties and Responsibilities.

The primary responsibilities of the Vice Chancellor for ~~Telecommunications and Information Technology~~ include:

- 10.21 The implementation of an infrastructure that will permit information technology to enhance the effectiveness of initiatives related to the basic missions of the U. T. System, including standards for creation, distribution, and storage of information.
- 10.22 The management of the U. T. System Network to include planned growth, standards, and operating procedures and the coordination of administrative videoconferencing.
- 10.23 The coordination of training workshops and seminars, activities related to a virtual university, purchase of System-wide software licenses, assessment of distance education effectiveness, and evaluation of pilot projects related to information technology.
- 10.24 The formation of a U. T. System information technology committee.
- 10.25 The performance of such other duties and responsibilities as may be assigned by the Chancellor.

*H replacement*

- 11.27 Directing the administration of the U. T. System Office of Federal Relations and defining the job responsibilities, the assignment of duties, and supervising staff members employed in or assigned to work in the federal relations area.
- 11.28 Performing such other duties and responsibilities for the efficient operation of the System as shall be assigned by the Chancellor.

Sec. 12. Officers of System Administration and Other Code 1000 Staff.

12.1 Executive Officers.--For purposes of these Rules and Regulations, the Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for ~~Telecommunications and Information Technology~~, the Vice Chancellor for Special Engineering Programs, the Executive Associate to the Chancellor, and the Associate Vice Chancellor for Business Affairs are the Executive Officers of The University of Texas System.

*and Distance Education.*

*add Diaz + T Scott files*

12.2 Other Code 1000 Staff and Officers of System Administration.--Staff and officers of System Administration designated as code 1000 shall be appointed by the Chancellor, the Executive Vice Chancellors, or Vice Chancellors to whom they will report, and shall have appropriate job descriptions on file with the Office of Human Resources. Persons so appointed shall not have tenure by virtue of their respective positions. They shall serve without fixed term, subject to the pleasure of the officer to whom they report. The actions of the appointing officer concerning such positions are in turn subject to review and approval by the Chancellor or the Board as required by the Regents' Rules and Regulations.



# The University of Texas System

## Office of Governmental Relations

210 West 6th Street, Suite 1.100 ♦ Austin, Texas 78701

(512) 499-4255 ♦ FAX: (512) 499-4259

BOARD OF REGENTS RECEIVED SEP 07 1999 U.T. SYSTEM
--

✓  
 TF ✓  
 PS ✓  
 HW ✓  
 CF ✓

FAX Transmittal Cover Sheet

September 7, 1999

TO:

FROM:

<p>U. T. System Component Presidents  <i>Executive Officers</i>  <i>Administration Officials</i>  <i>Chief Business Officers</i></p> <p>Phone:          Fax: Speed Dial</p>	<p>Esther Orsborn, Administrative Assistant          The University of Texas System          Office of Governmental Relations          210 West Sixth Street          Austin, Texas 78701</p> <p>Phone: (512) 499-4387          RIGHT FAX: (512) 494-3507</p>
---	---

### MESSAGE

Following are two memorandums sent on behalf of Dr. William Cunningham announcing Armando Diaz's and Tom Scott's new title.

Please call Dr. William Cunningham's office at (512) 499-4201 if you have any questions.

Number of pages (including this sheet): 3

Hard Copy Follows: Yes  No

Please call at (512) 499-4255 if you do not receive all pages of this fax.

#### CONFIDENTIALITY NOTICE

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*The University of Texas at Arlington  
 The University of Texas at Austin  
 The University of Texas at Brownsville  
 The University of Texas at Dallas  
 The University of Texas at El Paso  
 The University of Texas at San Antonio  
 The University of Texas at Permian Basin  
 The University of Texas at San Antonio  
 Institute of Texas Culture  
 The University of Texas at Tyler*



*Health Component Institutions  
 The University of Texas System Health System Center at Dallas  
 The University of Texas System Health System Center at Houston  
 The University of Texas Health Science Center at Houston  
 The University of Texas Health Science Center at San Antonio  
 The University of Texas M.D. Anderson Cancer Center  
 The University of Texas Health Center at Tyler*

**THE UNIVERSITY OF TEXAS SYSTEM**

601 COLORADO STREET AUSTIN, TEXAS 78701-2982

September 7, 1999

Office of the Chancellor  
 (512) 499-4200

**MEMORANDUM**

TO: Presidents, The University of Texas System

- |                        |                             |
|------------------------|-----------------------------|
| Dr. Robert E. Witt     | Dr. Kern Wildenthal         |
| Dr. Larry R. Faulkner  | Dr. John D. Stobo           |
| Dr. Juliet V. Garcia   | Dr. M. David Low            |
| Dr. Franklyn Jenifer   | Dr. John Prentice Howe, III |
| Dr. Diana S. Natalicio | Dr. John Mendelsohn         |
| Dr. Miguel Nevarez     | Dr. Ronald F. Garvey        |
| Dr. Charles A. Sorber  |                             |
| Dr. Ricardo Romo       |                             |
| Dr. Rodney H. Mabry    |                             |

FROM: William H. Cunningham

*Bill*

Effective September 1, 1999, Mr. Tom Scott's title has been changed from Assistant Vice Chancellor for Governmental Relations to Associate Vice Chancellor for Governmental Relations and Planning. While Tom will continue his duties as a member of the Office of Governmental Relations, I am pleased to appoint him as an Executive Officer of The University of Texas System as well. In this new capacity, he will report directly to me and to Mike Millsap.

Please join me in congratulating Tom Scott on this appointment. Best personal regards.

WHC:fw

- cc: U. T. System Executive Officers  
 U. T. System Administration Officials  
 U. T. System Chief Business Officers

**Felkel, Carol**

---

**From:** Frederick, Francie  
**Sent:** Tuesday, September 07, 1999 5:00 PM  
**To:** Smith, Pam (Johnson); Felkel, Carol; Wright, Holly  
**Subject:** FW: Executive Officers Group

fyi.

-----Original Message-----

**From:** Boyer, Becky  
**Sent:** Tuesday, September 07, 1999 4:58 PM  
**To:** Ragland, Kimberley  
**Cc:** Scott, Tom; Diaz, Armando; GRP-Executive Officers  
**Subject:** Executive Officers Group

Please add Tom Scott and Armando Diaz to the e-mail group for Executive Officers (grp-executive officers) so that they may receive all e-mail sent to the U. T. System Executive Officers.

By copy of this note to the Executive Officers, this is a reminder to you and your staff that Tom and Armando should be included in all distribution of materials sent to the Executive Officers. Please share this information with others on your staff who need to know. Thank you.

Sec. 13. Councils of the System.

13.1 The System Council.

The System Council is composed of the Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, the Executive Associate to the Chancellor, and the chief administrative officers of all the component institutions of The University of Texas System. The Chancellor shall serve as the Council's permanent chairman and shall conduct regular meetings to discuss those matters of general concern to the operation of The University of Texas System.

✓ pres

Dist. Ed.

13.2 The Council of Academic Institutions.

The Council of Academic Institutions is composed of the Chancellor and the chief administrative officers of the general academic institutions of the System. The Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, and the Executive Associate to the Chancellor serve as ex officio members of this Council. The Chancellor shall serve as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represent

✓ Chancellor? Exec

pres

Dist. Ed.

13.3 The Council of Health Insti

The Council of Health Insti Executive Vice Chancellor f chief administrative office tutions of the System conce affairs. The Chancellor, t lor for Business Affairs, t Health Affairs, the Vice Ch

✓ pres

FF - pls. check against backup

FF

12.2

The Council of Academic Institutions.

(The Chancellor)

The Council of Academic Institutions is composed of ~~the Executive Vice Chancellor for Academic Affairs~~ and the chief administrative officers of the general academic institutions of the System, ~~The Chancellor~~, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Development and External Relations, and the Executive Associate to the Chancellor serve as ex officio members of this Council. The ~~Executive Vice Chancellor for Academic Affairs~~ shall serve as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

EDIT

VC for AA, 7

EDIT

12.3

The Council of Health Institutions.

The Council of Health Institutions is composed of the Executive Vice Chancellor for Health Affairs and the chief administrative officers of the component institutions of the System concerned directly with health affairs. The Chancellor, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Development and External Relations, and the Executive Associate to the Chancellor serve as ex officio members of this Council. The Executive Vice Chancellor for Health Affairs acts as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

12.4

The Business Management Council.

The Business Management Council advises the System Administration in the areas of component budgeting, business management, data processing, physical plant operations, planning, construction, and accounting systems development. The Council is composed of the Executive Vice Chancellor for Business Affairs and the chief business officers of the component institutions. The Chancellor, ~~the Executive Vice Chancellor for Academic Affairs~~, the Executive Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Development and External

~~VC for AA~~

VC for IT

~~VC for AA~~

VC for AA

Act-let's

all

the VC for AA

At (A) on Pages II-18 & II-19  
Insert: VC for Telecommunication  
and Information Technology

**Felkel, Carol**

---

**From:** Boyer, Becky  
**Sent:** Thursday, February 24, 2000 7:23 AM  
**To:** Felkel, Carol  
**Subject:** R&R Changes

*Done  
2/24*

The only change I would suggest to the R&R pages you faxed to me is under 13.2, The Council of Academic Institutions.

In the second sentence, delete reference to "the Executive Vice Chancellor for Academic Affairs" in the listing of those who serve as ex officio members of this Council.

Otherwise, all else looks okay to me. Let me know if I can help in any other way.

BOARD OF REGENTS  
THE UNIVERSITY OF TEXAS SYSTEM

FAX MESSAGE

DATE: February 23, 2000

TO: Ms. Becky Boyer

FAX #: 4215

FROM: Carol Felkel

Per conversation, pls. review attached pages from RRR, Part One. (I need to add dates to upper & lower corners.)

Thanks.

*Faxed  
2/23/00  
CF*

NUMBER  
OF PAGES: 4 (INCLUDING COVER SHEET)

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The University of Texas System Board of Regents, 201 West Seventh Street, Austin, Texas 78701-2981.

- 11.27 Directing the administration of the U. T. System Office of Federal Relations and defining the job responsibilities, the assignment of duties, and supervising staff members employed in or assigned to work in the federal relations area.
- 11.28 Performing such other duties and responsibilities for the efficient operation of the System as shall be assigned by the Chancellor.

Sec. 12. Officers of System Administration and Other Code 1000 Staff.

- 12.1 Executive Officers.--For purposes of these Rules and Regulations, the Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Executive Vice Chancellor for Academic Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Information Technology and Distance Education, the Vice Chancellor for Special Engineering Programs, the Executive Associate to the Chancellor, the Associate Vice Chancellor for Business Affairs, the Associate Vice Chancellor for Governmental and Community Relations, and the Associate Vice Chancellor for Governmental Relations and Planning are the Executive Officers of The University of Texas System.
- 12.2 Other Code 1000 Staff and Officers of System Administration.--Staff and officers of System Administration designated as code 1000 shall be appointed by the Chancellor, the Executive Vice Chancellors, or Vice Chancellors to whom they will report, and shall have appropriate job descriptions on file with the Office of Human Resources. Persons so appointed shall not have tenure by virtue of their respective positions. They shall serve without fixed term, subject to the pleasure of the officer to whom they report. The actions of the appointing officer concerning such positions are in turn subject to review and approval by the Chancellor or the Board as required by the Regents' Rules and Regulations.

Sec. 13. Councils of the System.

13.1 The System Council.

The System Council is composed of the Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Executive Vice Chancellor for Academic Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Information Technology and Distance Education, the Executive Associate to the Chancellor, and the presidents of all the component institutions of The University of Texas System. The Chancellor shall serve as the Council's permanent chairman and shall conduct regular meetings to discuss those matters of general concern to the operation of The University of Texas System.

13.2 The Council of Academic Institutions.

The Council of Academic Institutions is composed of the Executive Vice Chancellor for Academic Affairs and the presidents of the general academic institutions of the System. The Chancellor, the Executive Vice Chancellor for Business Affairs, ~~the Executive Vice Chancellor for Academic Affairs,~~ the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Information Technology and Distance Education, and the Executive Associate to the Chancellor serve as ex officio members of this Council. The Executive Vice Chancellor for Academic Affairs shall serve as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

13.3 The Council of Health Institutions.

The Council of Health Institutions is composed of the Executive Vice Chancellor for Health Affairs and the presidents of the component institutions of the System concerned directly with health affairs. The Chancellor, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General

Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Information Technology and Distance Education, and the Executive Associate to the Chancellor serve as ex officio members of this Council. The Executive Vice Chancellor for Health Affairs acts as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

13.4

The Business Management Council.

The Business Management Council advises the System Administration in the areas of component budgeting, business management, data processing, physical plant operations, planning, construction, and accounting systems development. The Council is composed of the Executive Vice Chancellor for Business Affairs and the chief business officers of the component institutions. The Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Academic Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Information Technology and Distance Education, and the Executive Associate to the Chancellor, or their delegates, serve as ex officio members of this Council. The Executive Vice Chancellor for Business Affairs shall serve as the Council's permanent chairman and shall conduct regular meetings of the Council.

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**BOARD OF REGENTS**  
**THE UNIVERSITY OF TEXAS SYSTEM**

**FAX MESSAGE**

**DATE:** February 23, 2000  
**TO:** Ms. Becky Boyer **FAX #:** 4215  
**FROM:** Carol Felkel

Per conversation, pls. review attached pages from RRR, Part One. (I need to add dates to upper & lower corners.)  
 Thanks.

Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for ~~Telecommunications and Information Technology~~ and the Executive Associate to the Chancellor serve as ex officio members of this Council. The Executive Vice Chancellor for Health Affairs acts as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

13.4 The Business Management Council.

The Business Management Council advises the System Administration in the areas of component budgeting, business management, data processing, physical plant operations, planning, construction, and accounting systems development. The Council is composed of the Executive Vice Chancellor for Business Affairs and the chief business officers of the component institutions. The Chancellor, the Executive Vice Chancellor for Health Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for ~~Telecommunications and Information Technology~~, and the Executive Associate to the Chancellor, or their delegates, serve as ex officio members of this Council. The Executive Vice Chancellor for Business Affairs shall serve as the Council's permanent chairman and shall conduct regular meetings of the Council.

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Presidents

Sec. 14. Chief Administrative Officers of Component Institutions.

14.1 The Board selects the chief administrative officer of each component institution.

14.11 When there is a vacancy or it is known that there is to be a vacancy in the office of a chief administrative officer of a component institution having faculty and students and the Board does not have candidate(s) from recent searches at other component institutions, from within the U. T. System, and/or of national prominence from outside the U. T. System to advance for consideration, an Advisory Committee shall be established to recommend candidates to the Board. The Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs shall be chairman of the Advisory Committee. In circumstances where this Executive Vice Chancellor ~~or Vice Chancellor~~ may be a candidate for the office, the chairman of the Advisory Committee shall be the Chancellor or the Chancellor's designee. In addition to the chairman, committee membership is as follows:

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- The Chancellor;
- Two ~~Chief Administrative Officers~~ <sup>Presidents</sup> ~~of component institutions~~;

(to be appointed by the Chairman of the Board from two of the component institutions)

- Two Regents;
- (to be appointed by the Chairman of the Board)

- Three Faculty members of the institution involved, at least two of whom shall have the rank of associate professor or higher; (method of selection to be determined by the General Faculty of the campus)

One Dean;

(for academic institutions to be selected by Dean's Council of the institution involved)  
(for health-related institutions to be the Dean of the Medical School involved or in the absence of that position a senior representative of the teaching program of the component selected by the chief administrative officer)

*pres.*

Two Students from the institution involved; (method of selection to be determined by the Student Government of the campus involved or, if there be no Student Government, by the chief administrative officer of the institution; if the component does not have students, this category of representation shall be omitted)

*pres.*

President of the Ex-Students' Association of the campus involved; (if institution does not have an active alumni organization, then an alumnus of the component selected by the Chairman of the Board of Regents; if the component does not have degree granting authority, this category shall be omitted)

Two non-faculty employees of the institution involved, one in a classified position and one in an administrative position; (to be selected by the chief administrative officer or

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 Not more than two representatives of the component's external constituency who have demonstrated a deep interest in and support of the institution, its programs and its role in community activities to be appointed by the Chairman of the Board of Regents. Where a component institution has a statewide mission; special area programmatic relationships or partnerships with junior or community colleges, private universities or public schools, business/corporate entities, community or public service agencies; or other unique constituencies, the Chairman of the Board of Regents may appoint an additional representative.

Campus constituent groups with responsibility for selection of Advisory Committee representatives are expected to consider diversity, particularly as it relates to minority and female representation. Unless there are unusual delays in faculty, staff or student representative selection which postpone initiation of the committee process, the Chairman of

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the Board will make his or her appointments to the Advisory Committee after campus selections in order to maximize the prospect that the total committee composition reflects diversity.

14.12 The Advisory Committee shall set up selection criteria that relate to the needs of the individual component, initiate mechanisms to develop an appropriate candidate pool, seek information on the several candidates and inquire from competent sources as to the candidates' academic, administrative and business ability. The Committee may also interview candidates as a part of its selection process keeping in mind that the confidentiality of the process is important to its ultimate success.

14.13 Finally, the Advisory Committee shall submit, through its Chairman, a recommended list of not less than five or more than ten candidates with no preference indicated. If fewer names are provided, the Committee shall provide reasons and the submission of less than five candidates is to be with the concurrence of the Regental representatives on the Committee. Candidates submitted shall have received a majority vote of the Committee. The recommended list should be developed and submitted without regard to the Advisory Committee's assessment of the potential availability of any candidate. If none of the names submitted in the report of the Advisory Committee is satisfactory to the Board, then the Board in its discretion may either name a new committee or proceed to select a chief administrative officer under such other procedures as in its discretion it may deem proper and appropriate.

pres

14.14 When the Board has candidate(s) from recent searches at other component institutions, from within the U. T. System, and/or of national prominence from outside the U. T. System to advance for consideration, the Chairman of the Board of Regents shall appoint a Special Committee to provide the Board of Regents with advice and evaluation

consistent with that usually provided by an Advisory Committee. The Special Committee shall be composed as specified above for the Advisory Committee but will not include members of the Board of Regents. The advice of the Special Committee shall be provided to the Board through the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Academic Affairs, or the Chancellor. The Special Committee shall not extend its consideration beyond those nominees referred to it by the U. T. Board of Regents without specific concurrence from the Board.

14.2 Each president is responsible to the Executive Vice Chancellor having responsibility for the institution and serves without fixed term, subject to the pleasure of the appropriate Executive Vice Chancellor and approval by the Chancellor and the Board. The president has access to the Chancellor and is expected to consult with the appropriate Executive Vice Chancellor and the Chancellor on significant issues on an as needed basis.

14.3 Within the policies and regulations of the Board and under the supervision and direction of the appropriate Executive Vice Chancellor, the president has general authority and responsibility for the administration of that institution.

14.31 Specifically, the president is expected, with the appropriate participation of the staff, to:

14.311 Develop and administer plans and policies for the program, organization, and operation of the institution.

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FF - I don't think 14.2 reads right -- it was amended 5/98 but previously was 1 paragraph - att'd

consistent with that usually provided by an Advisory Committee. The Special Committee shall be composed as specified above for the Advisory Committee but will not include members of the Board of Regents. The advice of the Special Committee shall be provided to the Board through the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Academic Affairs, or the Chancellor. The Special Committee shall not extend its consideration beyond those nominees referred to it by the U. T. Board of Regents without specific concurrence from the Board.

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Each president of every health-related institution ~~reports to~~ and is responsible to the Executive Vice Chancellor for Health Affairs and serves without fixed term, subject to the pleasure of the Executive Vice Chancellor for Health Affairs and approval by the Chancellor and the Board. The president has access to the Chancellor and is expected to consult with the Executive Vice Chancellor for Health Affairs and the Chancellor on significant issues on an as needed basis.

Each president of every general academic institution reports to and is responsible to the Executive Vice Chancellor for Academic Affairs and serves without fixed term, subject to the pleasure of the Executive Vice Chancellor for Academic Affairs and approval by the Chancellor and the Board. The president is expected to consult with the Chancellor on significant issues on an as needed basis.

14.3

Within the policies and regulations of the Board, and under the supervision and direction of the appropriate Executive Vice Chancellor, the president has general authority and responsibility for the administration of that institution.

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14.31 Specifically, the president is expected, with the appropriate participation of the staff, to:

14.311 Develop and administer plans and policies for the program, organization, and operation of the institution.

consistent with that usually provided by an Advisory Committee. The Special Committee shall be composed as specified above for the Advisory Committee but will not include members of the Board of Regents. The advice of the Special Committee shall be provided to the Board through the Executive Vice Chancellor for Health Affairs, the Vice Chancellor for Academic Affairs, or the Chancellor. The Special Committee shall not extend its consideration beyond those nominees referred to it by the U. T. Board of Regents without specific concurrence from the Board.

14.2 Each chief administrative officer of every health-related institution reports to and is responsible to the Executive Vice Chancellor for Health Affairs and serves without fixed term, subject to the pleasure of the Executive Vice Chancellor for Health Affairs and approval by the Chancellor and the Board. The chief administrative officer has access to the Chancellor and is expected to consult with the Executive Vice Chancellor for Health Affairs and the Chancellor on significant issues on an as needed basis.

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Each chief administrative officer of every general academic institution reports to and is responsible to ~~the Chancellor~~, and serves without fixed term, subject to the pleasure of the ~~Chancellor~~ and approval by the Board. The chief administrative officer is expected to consult with the Chancellor on significant issues on an as needed basis.

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14.3 Within the policies and regulations of the Board, and under the supervision and direction of the Chancellor or the Executive Vice Chancellor for Health Affairs, the chief administrative officer has and responsibility for the administration of the institution. Additionally, the chief administrative officer is expected, with the appropriate authorization of the staff, to:  
Develop and administer plans and policies for the program, organization, and operation of the institution.  
Interpret the System policy to the staff, and interpret the institution's programs and needs to the System Administration and to the public.

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consistent with that usually provided by an Advisory Committee. The Special Committee shall be composed as specified above for the Advisory Committee but will not include members of the Board of Regents. The advice of the Special Committee shall be provided to the Board through the appropriate Executive Vice Chancellor and the Chancellor. The Special Committee shall not extend its consideration beyond those nominees referred to it by the U. T. Board of Regents without specific concurrence from the Board.

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~~Each chief administrative officer reports to and is responsible to the Executive Vice Chancellor having responsibility for the institution, and serves without fixed term, subject to the pleasure of the appropriate Executive Vice Chancellor and approval by the Chancellor and the Board. The chief administrative officer has access to the Chancellor and is expected to consult with the appropriate Executive Vice Chancellor and the Chancellor on significant issues on an as needed basis.~~

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13.3

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Within the policies and regulations of the Board, and under the supervision and direction of the Executive Vice Chancellor ~~having responsibility for the institution,~~ the chief administrative officer has general authority and responsibility for the administration of that institution.

13.31

Specifically, the chief administrative officer is expected, with the appropriate participation of the staff, to:

13.311

Develop and administer plans and policies for the program, organization, and operation of the institution.

13.312

Interpret the System policy to the staff, and interpret the institution's programs and needs to the System Administration and to the public.

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- 14.313 Develop and administer policies relating to students, and where applicable, to the proper management of services to patients.
- 14.314 Recommend appropriate operating budgets and supervise expenditures under approved budgets.
- 14.315 Nominate all members of the faculty and staff, maintain efficient personnel programs, and recommend staff members for promotion, retention, or dismissal for cause.
- 14.316 Insure efficient management of business affairs and physical property; recommend additions and alterations to the physical plant.
- 14.317 Serve as presiding officer at official meetings of faculty and staff of the institution, and as ex officio member of each college or school faculty (if any) within the institution.
- 14.318 Appoint, or establish procedures for the appointment of, all faculty, staff, and student committees.
- 14.319 Cause to be prepared and submitted to the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs, as appropriate, the rules and regulations for the governance of the institution. When such rules and regulations have been finally approved by the Chancellor, the Executive Vice Chancellor for Health Affairs, or the Vice Chancellor for Academic Affairs, as appropriate, they shall thereafter constitute the Handbook of Operating Procedures for that institution. Provided, however, that whether or not finally approved by the Chancellor, any rule or regulation in any such institutional Handbook of Operating Procedures that is in conflict with

any rule or regulation in the Regents' Rules and Regulations, is null and void and has no effect, and whenever any such conflict is detected, the Chancellor and the chief administrative officer of the component institution shall immediately make such amendments to the institutional Handbook of Operating Procedures as may be necessary to eliminate such conflict.

- 14.31(10) Assume initiative in developing long-range plans for the program and physical facilities of the institution.
- 14.31(11) Assume active leadership in developing private fund support for the institution in accordance with policies and procedures established in the Regents' Rules and Regulations.
- 14.31(12) Develop and implement plans and policies to ensure that the institution remains in compliance with any accreditation requirements appropriate to the component or its programs, including, for the health components and those academic components with student health services, the accreditation of hospitals, clinics and patient-care facilities.

Sec. 15. Appointment of Other Officers and Staff.

- 15.1 The Board delegates to the Chancellor and the Executive Vice Chancellor having responsibility for the institution and they, in turn, delegate to the president of each component institution the responsibility for the appointment and dismissal of all other administrative officers of each component institution, including vice presidents, deans, directors and their equivalents. However, prior approval of the ~~Chancellor or~~ the Executive Vice Chancellor for ~~Health Affairs~~ shall be necessary for each such permanent or acting appointment and for each such dismissal whether from a permanent or acting appointment. All such other administrative officers serve without fixed terms and subject to the pleasure of the president of the institution and the ~~aforsaid~~ approval of the Executive Vice Chancellor.

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any rule or regulation in the Regents' Rules and Regulations, is null and void and has no effect, and whenever any such conflict is detected, the Chancellor and the chief administrative officer of the component institution shall immediately make such amendments to the institutional Handbook of Operating Procedures as may be necessary to eliminate such conflict.

- 14.31(10) Assume initiative in developing long-range plans for the program and physical facilities of the institution.
- 14.31(11) Assume active leadership in developing private fund support for the institution in accordance with policies and procedures established in the Regents' Rules and Regulations.
- 14.31(12) Develop and implement plans and policies to ensure that the institution remains in compliance with any accreditation requirements appropriate to the component or its programs, including, for the health components and those academic components with student health services, the accreditation of hospitals, clinics and patient-care facilities.

Sec. 15. Appointment of Other Officers and Staff.

*having responsibility for the institution*

15.1 The Board delegates to the Chancellor ~~and the Executive Vice Chancellor for Health Affairs~~, as appropriate, and they, in turn, delegate to the ~~chief~~ <sup>pres</sup> ~~administrative officer~~ of each component institution the responsibility for the appointment and dismissal of all other administrative officers of each component institution, including vice presidents, deans, directors and their equivalents. However, prior approval of the Chancellor ~~or the Executive Vice Chancellor for Health Affairs~~ shall be necessary for each such permanent or acting appointment and for each such dismissal whether from a permanent or acting appointment. All such other administrative officers serve without fixed terms and subject to the pleasure

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ately make such amendments to the  
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eliminate such conflict.

- 13.31(10) Assume initiative in developing long-range plans for the program and physical facilities of the institution.
- 13.31(11) Assume active leadership in developing private fund support for the institution in accordance with policies and procedures established in the Regents' Rules and Regulations.
- 13.31(12) Develop and implement plans and policies to ensure that the institution remains in compliance with any accreditation requirements appropriate to the component or its programs, including, for the health components and those academic components with student health services, the accreditation of hospitals, clinics and patient-care facilities.

Sec. 14. Appointment of Other Officers and Staff.

- 14.1 The Board delegates to the Chancellor and the Executive Vice Chancellor, ~~having responsibility for the institution~~ and they, in turn, delegate to the chief administrative officer of each component institution the responsibility for the appointment and dismissal of all other administrative officers of each component institution, including vice presidents, deans, directors and their equivalents. However, prior approval of the ~~appropriate~~ Executive Vice Chancellor shall be necessary for each such permanent or acting appointment and for each such dismissal whether from a permanent or acting appointment. All such other administrative officers serve without fixed terms and subject to the pleasure of the chief administrative officer of the institution and the aforesaid approval of the Executive Vice Chancellor ~~for NA or the Chancellor,~~ <sup>for NA or the Chancellor,</sup> as appropriate.

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of the chief administrative officer of the institution and the aforesaid approval of the Executive Vice Chancellor for Health Affairs or the Chancellor, as appropriate.

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15.2 The Board delegates to the Chancellor and the Executive Vice Chancellor for Health Affairs, as appropriate, and they, in turn, delegate to the chief administrative officer of each component institution the responsibility for the permanent or acting appointment of department chairmen, department heads, and their equivalents. Such department chairmen, department heads and their equivalents serve without fixed terms and subject to the pleasure of the chief administrative officer of the institution.

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15.3 The Board endorses the principle of reasonable faculty and student consultation in the selection of administrative officers of the component institutions, and the primary operating units, and expects the chief administrative officer, as he or she deems appropriate, to consult in the selection process with the representatives of the faculty and student body. However, the chief administrative officer of the component institution is responsible for executing the duties of the office and consequently shall not be bound by nominations to administrative positions by campus selection committees, and the Handbook of Operating Procedures of each component institution shall so state.

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15.4 Honorary Titles.

The titles Chancellor Emeritus, President Emeritus and similar honorary designations shall be conferred only by appropriate action of the Board on individuals who are fully retired. No person is authorized to use any such title unless it has been bestowed by the Board.

CHAPTER III

PERSONNEL

Sec. 1. Appointments.

1.1 The Board, upon the recommendation of the Chancellor, shall elect or appoint, as the case may be, all of the officers, faculty members, and other employees of the System and of the component institutions and agencies of the System, fixing, subject to state and federal laws, the duties, rights, and privileges of each employee or each class or group of employees; provided, however, that the Board may delegate to the Chancellor, and the Chancellor may delegate to the ~~chief administrative officers~~ <sup>pres</sup> of the component institutions, authority to appoint employees in certain designated classes or categories.

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1.2 All appointments shall be made on the basis of merit.

1.3 The ~~chief administrative officers~~ <sup>pres</sup> of the component institutions shall investigate thoroughly the character, integrity, scholastic attainment, and other qualifications of prospective members of their faculties and staffs before exercising any delegated authority for making appointments.

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1.4 The ~~chief administrative officers~~ <sup>pres</sup> of the component institutions shall not nominate or appoint, nor will the Board approve the appointment of, any person whose qualifications and conduct are not exemplary.

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CHAPTER III

PERSONNEL

Sec. 1. Appointments.

1.1 The Board, upon the recommendation of the Chancellor, shall elect or appoint, as the case may be, all of the officers, faculty members, and other employees of the System and of the component institutions and agencies of the System, fixing, subject to state and federal laws, the duties, rights, and privileges of each employee or each class or group of employees; provided, however, that the Board may delegate to the Chancellor, and the Chancellor, ~~through the appropriate Executive Vice Chancellor,~~ may delegate to the chief administrative officers of the component institutions, authority to appoint employees in certain designated classes or categories.

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1.2 All appointments shall be made on the basis of merit.

1.3 The chief administrative officers of the component institutions shall investigate thoroughly the character, integrity, scholastic attainment, and other qualifications of prospective members of their faculties and staffs before exercising any delegated authority for making appointments.

1.4 The chief administrative officers of the component institutions shall not nominate or appoint, nor will the Board approve the appointment of, any person whose qualifications and conduct are not exemplary.

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given one of the following titles, even though the individuals may be assigned teaching responsibilities:

- (1) Professor of \_\_\_\_\_  
(title of specialty)
- (2) Associate Professor of \_\_\_\_\_  
(title of specialty)
- (3) Assistant Professor of \_\_\_\_\_  
(title of specialty)
- (4) Instructor in \_\_\_\_\_  
(title of specialty)

For persons appointed to positions pursuant to this Item, all appointment letters, personnel forms, budgets and other official documents shall state in parenthesis following the name and title of the individual either "(nontenure-track clinical appointment)" or "(nontenure-track research appointment)," as appropriate, and service in such positions shall not be credited as faculty service toward calculation of any maximum probationary period.

1.84 Prefixes to academic and staff positions in which tenure cannot be acquired:

- (a) Visiting Professor, Visiting Associate Professor, and Visiting Assistant Professor. These titles are used only for temporary appointments of persons either visiting from other institutions where they hold similar ranks or who are brought to the University on a trial basis. Such appointments are limited to two years unless an exception is approved in writing by the Executive Vice Chancellor ~~for Health Affairs or the Vice Chancellor for Academic Affairs,~~ as appropriate.

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1.86

Honorific Titles.

(a) Regental Professor. Any faculty member who is awarded the Nobel Prize or who has in the past been awarded the Nobel Prize may, upon recommendation of the chief administrative officer of the component institution, the ~~Executive Vice Chancellor for Health Affairs,~~ <sup>or</sup> the Chancellor, be given the title Regental Professor.

Because of the great honor associated with the award of a Nobel Prize, institutional tenure is awarded to Regental Professors by virtue of the appointment to this rank.

(b) Distinguished Teaching Professor. Members of the U. T. Austin Academy of Distinguished Teachers or a similar approved academy may use the honorific title Distinguished Teaching Professor to recognize faculty members who have made significant contributions to education.

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1.87

Administrative and academic (faculty) titles, duties, and pay rates for individuals who hold both administrative and academic appointments are distinct and severable. Tenured or tenure-track academic appointments and promotions in academic rank for administrators are subject to the same requirements and approval processes as for other faculty and are to include the establishment of an appropriate academic rate (whether or not any pay is to be generated from that rate) at the time of approval of the academic appointment. Departure or removal from an administrative position does not impair the individual's rights and responsibilities as a faculty member. Upon return to faculty service, whether on a part-time or full-time basis, salary for general academic component faculty is to be based on the approved academic rate, and salary for health component faculty is at the rate established pursuant to salary practices for faculty.

1.86

Honorific Titles.

(a) Regental Professor. Any faculty member who is awarded the Nobel Prize or who has in the past been awarded the Nobel Prize may, upon recommendation of the chief administrative officer of the component institution, the ~~appropriate~~ Executive Vice Chancellor, ~~and~~ the Chancellor, be given the title Regental Professor. Because of the great honor associated with the award of a Nobel Prize, institutional tenure is awarded to Regental Professors by virtue of the appointment to this rank.

(b) Distinguished Teaching Professor. Members of the U. T. Austin Academy of Distinguished Teachers or a similar approved academy may use the honorific title Distinguished Teaching Professor to recognize faculty members who have made significant contributions to education.

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Administrative and academic (faculty) titles, duties, and pay rates for individuals who hold both administrative and academic appointments are distinct and severable. Tenured or tenure-track academic appointments and promotions in academic rank for administrators are subject to the same requirements and approval processes as for other faculty and are to include the establishment of an appropriate academic rate (whether or not any pay is to be generated from that rate) at the time of approval of the academic appointment. Departure or removal from an administrative position does not impair the individual's rights and responsibilities as a faculty member. Upon return to faculty service, whether on a part-time or full-time basis, salary for general academic component faculty is to be based on the approved academic rate, and salary for health component faculty is at the rate established pursuant to salary practices for faculty.

- 4.7 No employee shall accept other employment or compensation which could reasonably be expected to impair the employee's independence of judgment in the performance of the employee's public duties.
- 4.8 Sexual Harassment and Misconduct: The educational and working environments of the System and its component institutions should be free from inappropriate conduct of a sexual nature. Sexual misconduct and sexual harassment are unprofessional and unacceptable. The components of the U. T. System shall adopt policies prohibiting sexual harassment and sexual misconduct and procedures for review of complaints. These policies and procedures shall be reviewed by the Executive Vice Chancellor for Health Affairs or the *Exec* Vice Chancellor for Academic Affairs, as appropriate, and shall be published in the Handbook of Operating Procedures of each component.

The System has a series of policies concerning ethical and legal standards of conduct for employees of System Administration and component institutions. Pertinent materials are contained in the Standards of Conduct Digest and should be referenced in the instance of possible conflicts of interest, ethics questions, and compliance with related laws.

Sec. 5. Appointment of Relatives (Nepotism Rule).

- 5.1 Whenever an appointment is made, either on a full-time or part-time basis, it shall be made solely with regard to the special fitness of the appointee, subject to applicable statutes; and subject also to the provisions of this Section of the Regents' Rules and Regulations.
- 5.2 In accordance with the prohibition of Section 573.001 et seq., Texas Government Code, no person related to any member of the Board of Regents within the second degree by affinity or within the third degree by consanguinity shall be eligible for appointment to any office, position, employment, or duty with The

6.(10) Each faculty member shall keep the chief administrative officer of the component institution or his or her delegate notified of his or her current mailing address. The written notice required by Section 6.23, 6.7, or 6.8 shall be sent by certified mail, return receipt requested, to the last address given by the faculty member.

pres

6.(11) The chief administrative officer of a component institution has the responsibility for determining when to eliminate occupied academic positions, the titles of which are given in Subsection 1.8 of this Chapter, or abandon academic programs or both, subject to approval by the Executive Vice Chancellor ~~for Health Affairs or Chancellor, as appropriate.~~ The decision of the chief administrative officer and the implementation of that decision shall be subject to the following minimum procedural requirements:

pres

pres

AA for EVC

6.(11)1 An academic program under consideration for abandonment or an academic position that is under consideration for elimination for bona fide academic reasons should be reviewed in depth through procedures determined by the chief administrative officer;

pres

6.(11)2 Tenured faculty in a program that is under consideration for abandonment or in an academic position that is under consideration for elimination will be notified and afforded an opportunity to contribute to the review process and have those contributions fairly considered;

6.(11)3 Upon completion of the review process, a recommendation with supporting rationale should be submitted to the academic vice president for review and recommendation to the chief administrative officer;

pres

6.(11)4 If the chief administrative officer determines that an academic program should be abandoned or that one or more academic positions occupied by tenured faculty should be eliminated, or both, a request for approval with supporting documentation should be forwarded to the Executive Vice Chancellor ~~for Health Affairs or the Chancellor, as appropriate;~~

pres

per 98

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The EVC for AA

10.12 When a proposal has been approved or amended by the appropriate institutional officials, faculties, and the component ~~chief administrative officer~~, it shall then go to the Chancellor for recommendation to the Board if such action is required. *pro*

*de approved*  
10.13  
*EVL ad*

When a proposal has been approved or amended or rejected by the appropriate institutional officials, faculties, and the component ~~chief administrative officer~~, any member or group of members of the staff may present an appeal in opposition to the action of the majority or in opposition to the recommendation of the institutional official or the component ~~chief administrative officer~~, and this appeal, accompanied by reasons for and against the proposal, shall go through the prescribed administrative channels and shall be presented to the Chancellor and thence to the Board for final action. The deans and other institutional officials, the component ~~chief administrative officer~~, the Chancellor and the Board may invite both sides for personal conferences and discussions.

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10.14 An authorized routine for proposals, with the right of appeal, having been herein fixed, it follows that proposals shall always be made in accordance therewith.

10.2 Every voting member of any faculty has the right and the duty to propose changes and to participate in debate in faculty meetings.

Sec. 11. Communications with the Legislature and Other State Agencies or Officials.--The Board is the only proper channel through which recommendations concerning the administration of the System, as a whole or in any of its parts, should reach the Legislature or other state agencies or officials.

10.12 When a proposal has been approved or amended by the appropriate institutional officials, faculties, and the component chief administrative officer, it shall then go to the ~~appropriate Executive Vice Chancellor and~~ Chancellor for recommendation to the Board if such action is required.

cf  
3/10 EDIT

10.13 When a proposal has been approved or amended or rejected by the appropriate institutional officials, faculties, and the component chief administrative officer, any member or group of members of the staff may present an appeal in opposition to the action of the majority or in opposition to the recommendation of the institutional official or the component chief administrative officer, and this appeal, accompanied by reasons for and against the proposal, shall go through the prescribed administrative channels and shall be presented ~~through the appropriate Executive Vice Chancellor~~ to the Chancellor and thence to the Board for final action. The deans and other institutional officials, the component chief administrative officer, ~~the appropriate Executive Vice Chancellor,~~ the Chancellor and the Board may invite both sides for personal conferences and discussions.

cf  
3/10 EDIT

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- 15.2 An employee who resigns, is dismissed, or separates from university employment shall be entitled to be paid in "lump sum" for all vacation leave entitlement duly accrued at the date of termination provided the employee has had continuous employment with the state for six months. The payment shall be calculated at the rate of compensation being paid the employee at the time of termination.
- 15.3 In the case of death of an employee who has accumulated vacation leave, his or her estate will be paid for all accumulated vacation leave. The payment shall be calculated at a rate of compensation being paid the employee at the time of his or her death.

Sec. 16. Leaves of Absence Without Pay.

- 16.1 With the interest of the institution being given first consideration and for good cause, leaves of absence without pay may be granted for a period within the term of appointment of a member of any faculty or staff, subject to the general conditions included herein. Leaves of absence for a first year or portion thereof or a second consecutive year's leave may be granted by the chief administrative officer. Leaves of absence for a third consecutive year will be granted only for the reasons outlined in this Subsection, Subsection 16.3, and Subsection 16.4, following review and approval by the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs, as appropriate.
- 16.2 The maximum period for which a leave of absence will be granted is the end of the fiscal year in which the leave begins. In the case of faculty, the date for return to duty will generally coincide with the beginning of the next semester, following the period of absence.
- 16.3 Except in unusual circumstances, such as military service, reasons of health, continued graduate study, and public service or other activity that reflects credit on the institution and enhances an individual's ability to make subsequent contributions to the institution, a second consecutive year of leave will not be granted.

12/1/94

- 2.14 Honors and scholastic performance generally.
- 2.15 Approval of candidates for degrees.
- 2.16 Faculty rules of procedure.

2.2 Necessity of Approval by Regents.--Legislation recommended by an institutional faculty, or legislative body thereof, requiring approval of the Board, shall not be effective unless and until approved by the Board. Such legislation by a college or school faculty shall not be presented to the Board until it has been approved by the institutional faculty, either directly or through its legislative body, and has received the consideration and recommendation of the ~~appropriate~~ president (S), the appropriate Executive Vice Chancellor and the Chancellor. The faculty affected will be notified by the Board, through administrative channels, of its action on recommended faculty legislation.

*institutional*

2.3 When Legislation is Effective.--Except where the Board has specifically authorized procedure for placing into effect emergency faculty legislation without prior Board approval, institutional faculty legislation shall become effective only upon approval by the Board or at such later time as the Board shall specify. The secretary of the institutional faculty shall be notified of Board action, and notice of such action shall be included in the official minutes of the faculty.

2.4 Approval of Degree Candidates.--It shall be the duty of the several institutional faculties to recommend approval or disapproval of all candidates for degrees. This duty may be delegated by affirmative vote of the institutional faculty, or its legislative body, to the respective deans or other appropriate official. Should this duty not be delegated, then the institutional registrar, or his or her equivalent, shall furnish to the members of the institutional faculty a complete list of the degree candidates for recommendation.

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*Handwritten notes:*  
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- 2.5 List of Degree Candidates in Minutes.--The institutional registrar, as soon as possible after each commencement, shall provide the secretary of his or her institutional faculty, or its legislative body, with a complete list of all successful degree candidates.
- 2.6 Changes in Degree Requirements.--Changes in degree requirements shall not become effective until approved by the Board and published in the appropriate catalogue; provided, however, that students may be given the benefit of any action reducing or modifying the requirements for their degree immediately upon Board approval.
- 2.7 Faculty Minutes.--Copies of institutional faculty minutes, or of their legislative bodies, shall be available for use of members of the particular faculties individually, if desired; and shall be filed (1) in the offices of the secretaries to the faculty, (2) in the office of the chief administrative officers, (3) in the office of the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs, as appropriate, and (4) in the institutional libraries. *pres.*  
*Exec*

Sec. 3. Faculty Advisory Council.--At the discretion of the Chairman of the Board of Regents and the Chancellor, a faculty advisory council representing component institutions in the U. T. System may be formed to facilitate the flow of ideas and information between and among the Board of Regents, the System Administration, and the component institutions. The Chairman and Chancellor will promulgate guidelines for the selection of faculty advisory council representatives. Representatives of the faculty advisory council may from time to time address the Board at meetings of the Board and may recommend action to the Board through the Chancellor. At least once each year, a meeting will be arranged between the faculty advisory council executive committee and the Board.

*Awaiting  
response  
JW  
MS*

CHAPTER V

GRADUATE EDUCATION IN THE UNIVERSITY OF TEXAS SYSTEM

*thru the  
appro. EVC*

Sec. 1. The various component institutions of the System authorized to offer graduate degrees shall provide and maintain an appropriate faculty and administrative organization for such graduate degrees. The ~~chief administrative officer~~ of each ~~academic~~ component institution of the System shall be responsible to the Chancellor, and through him or her, to the Board for policies and administration of the graduate programs. The ~~chief administrative officer~~ of each health component institution of the System shall be responsible through the Executive Vice Chancellor for Health Affairs to the Chancellor, and through him or her, to the Board for policies and administration of the graduate programs.

"Graduate programs," as the term is used in these Rules, does not include the programs that lead to the M.D., D.D.S., M.P.H., Dr. P.H., J.D., LL.M., and M.C.J. degrees, or others that may be excluded upon recommendation by the ~~chief administrative officer~~ *pres* of the component institution and concurrence by the Chancellor.

*thru EVC + the*

Sec. 2. Within the general academic components, the designation "Graduate School" will be used if (a) there is a minimum of three distinct programs approved for doctoral degrees and thirty such doctoral degrees have been awarded; or (b) there is a minimum of ten distinct programs approved for master's degrees and fifty master's degrees have been awarded. In component institutions that do not meet these requirements, graduate study will be in a "Division of Graduate Studies."

Sec. 3. Graduate programs in the health components shall be administered as follows:

3.1 In the health-related institutions at Dallas, Galveston, Houston, and San Antonio, the graduate programs will be administered through a Graduate School of Biomedical Sciences.

CHAPTER V

GRADUATE EDUCATION IN THE UNIVERSITY OF TEXAS SYSTEM

*Aut - Ms*  
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*gras*  
*edit*

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EDIT

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EDIT

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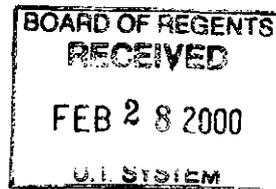
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BOARD OF REGENTS  
THE UNIVERSITY OF TEXAS SYSTEM

FAX MESSAGE



DATE: February 22, 2000

*FF - delete editorially?*

*From*  
TO: Dr. Stafford

~~FAX #: 4240~~

*cf  
2/28*

*To*  
FROM: Francie Frederick *4425*

Any changes/update needed to Section 1, paragraph 2 of the Regents' Rules, Part One, Chapter V?

Thank you.

*As we discussed, this whole section should be eliminated.*

NUMBER OF PAGES: 2 (INCLUDING COVER SHEET)

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*RRR  
Part One*

CHAPTER V

GRADUATE EDUCATION IN THE UNIVERSITY OF TEXAS SYSTEM

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BOARD OF REGENTS  
THE UNIVERSITY OF TEXAS SYSTEM

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DATE: February 22, 2000

TO: Dr. Stafford

FAX #: 4240

FROM: Francie Frederick

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Part One, Chapter V?

Thank you.

NUMBER  
OF PAGES: 2 (INCLUDING COVER SHEET)

*Francie Frederick  
2/22/00*

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The University of Texas System Board of Regents, 201 West Seventh Street, Austin, Texas 78701-2981.

RRR  
Part One

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**BOARD OF REGENTS**  
**THE UNIVERSITY OF TEXAS SYSTEM**

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DATE: February 22, 2000

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FAX #: 4240

FROM: Francie Frederick

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Thank you.

John's out  
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any changes

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needed?

Thx J.

3.2 In the Nursing Schools of component institutions, graduate education will be administered as specific graduate programs coordinated by an administrative officer designated by the chief administrative officer of the component institution. *pres*

3.3 In the U. T. M.D. Anderson Cancer Center research and graduate instruction will be offered in cooperation with other components which are authorized to award graduate degrees.

*per '98*  
Sec. 4. Component institutions which offer graduate degrees and elect an administrative organization and designations different from those authorized above may do so only upon the recommendation of the chief administrative officer, the concurrence of the Executive Vice Chancellor for Health Affairs, <sup>*pres*</sup> if ~~appropriate~~, and the Chancellor and the approval of the Board.

Sec. 5. The policies and procedures for staffing and administering the graduate programs at all component institutions shall be set forth in the institutional Handbook of Operating Procedures. These policies and procedures shall include qualifications for faculty members assigned to teach graduate courses, supervise graduate programs, and advise graduate students.

Where two or more components of the System are authorized to conduct joint or cooperative degree programs, the chief administrative <sup>*pres*</sup> officers of the cooperating institutions shall be authorized to establish, subject to the approval of the Executive Vice Chancellor for Health Affairs or the Vice ~~Chancellor for Academic Affairs~~, <sup>*Exec appropriate*</sup> as appropriate, special procedures and organizations for the administration of such programs.

CHAPTER VI

5/8/97

STUDENT SERVICES AND ACTIVITIES INCLUDING FACILITIES USE

Sec. 1. General Provisions.

1.1 These policies and regulations apply to all component institutions of the System and shall be implemented appropriately in the Handbook of Operating Procedures for each institution.

1.2 When the designation "chief student affairs officer" appears in this Chapter, reference is made to the administrative officer or officers directly responsible for student affairs at each component institution. The designation "Dean of Students" or "Dean" in the context of this Chapter shall refer to the administrative officer or officers responsible for the administration of the disciplinary process at each component institution.

1.3 All authority held and exercised by a chief student affairs officer is delegated to that officer by the chief administrative officer. Any action taken by the chief student affairs officer is subject to review by the ~~chief administrative officer~~.

*camp pres.*

1.4 The chief student affairs officer shall be the administrative officer primarily responsible for the development and administration of policies relating to students, for the development and implementation of services to students, and for the initial preparation of institutional regulations that will implement the policies and regulations set forth in this Chapter.

1.5 Any individual student, group of students, or student organization may petition the Board on any matter relating to these policies and regulations (other than a disciplinary action) through the chief student affairs officer, the ~~chief administrative officer~~, the appropriate Executive Vice Chancellor ~~for Health Affairs, if appropriate~~, and the Chancellor.

- 2.4 Weekday.--Monday through Friday, excluding any day that is an official holiday of the component institution.
- 2.5 Day.--A calendar day.

Sec. 3. Student Conduct and Discipline.

- 3.1 The component institutions shall adopt rules and regulations concerning student conduct and discipline. Such rules shall be in compliance with the Regents' Rules and Regulations and shall become effective upon review and approval by the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs. Each student is responsible for notice of and compliance with the provisions of the Regents' Rules and Regulations and the rules of the component institution.
- 3.2 All students are expected and required to obey federal, state, and local laws, to comply with the Regents' Rules and Regulations, with System and institutional rules and regulations, with directives issued by an administrative official of the System or component institution in the course of his or her authorized duties, and to observe standards of conduct appropriate for an academic institution.
  - 3.21 Any student who engages in conduct that violates the Regents' Rules and Regulations, the System or institutional rules and regulations, specific instructions issued by an administrative official of the institution or the System acting in the course of his or her authorized duties, or federal, state, or local laws is subject to discipline whether such conduct takes place on or off campus or whether civil or criminal penalties are also imposed for such conduct.
  - 3.22 Any student who commits an act of scholastic dishonesty is subject to discipline. Scholastic dishonesty includes but is not limited to cheating, plagiarism, collusion, the submission for credit of any work or materials that are attributable in whole or in part to another person, taking an examination for another person, any act designed to give unfair advantage to a student or the attempt to commit such acts.

4/11/2190

whether registered or not, may use any facility of any component institution of the System if it has as a member any person who is not either a student or a member of the faculty or staff of the institution.

4.73 At the beginning of each semester, each registered student organization or group must file with the appropriate institutional officer a written statement that the organization or group does not, and will not during the semester, have as a member any person who is not either a student or a member of the faculty or staff of the institution. If an organization or group fails or refuses to file the required statement, or if the Dean of Students determines that the statement is or has become false, the Dean of Students, after providing notice, shall begin disciplinary proceedings. The Dean or Hearing Officer may cancel the registered status of the organization or group or impose other appropriate penalties.

4.74 No organization or group, whether registered or not, may use the facilities of any component institution as long as it owes a monetary debt to the institution and the debt is considered delinquent by the crediting institutional agency.

4.8 No component institution shall register any student organization or group whose actions or activities, in the opinion of the ~~chief administrative officer or the Executive Vice Chancellor for Health Affairs or the Chancellor, as appropriate,~~ are inimical to the educational purpose and work of the institution.

4.9 A registered student organization whose registered status has been cancelled by the Dean of Students pursuant to Subdivisions 4.73 or 6.63 of this Chapter may apply for re-registration not less than six months following the date of such cancellation.

Sec. 5. Participation in Student Government.

5.1 Students' Associations.--Students' associations currently authorized at the component institutions of the System are hereby approved. They shall have such jurisdictions and shall exercise such powers as the Board may now or hereafter delegate to them.

- 5.11 Constitutions and Bylaws Approved.--The constitutions and bylaws of the several associations in force at the date of adoption of these Rules and Regulations are hereby approved.
- 5.12 Mode of Amending Constitutions and Bylaws.--An amendment to the constitution or bylaws of a students' association may be adopted by an association, in accordance with its constitution and bylaws, but the change shall not become effective until transmitted to and acted upon by the chief student affairs officer, the ~~chief administrative officer~~ <sup>pres</sup> and the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs. Such amendment shall be included in the institutional docket for ratification by the Board. Amendments to internal rules of procedure shall not become effective until transmitted to and acted upon by the chief student affairs officer and the ~~chief administrative officer~~. <sup>pres</sup>
- 5.13 Amendment or Repeal by Regents.--The Board shall amend or repeal any portion of the constitution and bylaws of a students' association when, in the judgment of the Board, the interests of the particular institution shall require it.
- 5.14 Amendment or Repeal by the Chief Student Affairs Officer.--The chief student affairs officer shall have the power, when in his or her judgment the interests of the institution require it, to amend or repeal any provision in the constitution or bylaws of the particular association, but such action shall be in force only until the next meeting of the Board when Subdivision 5.13, above, shall become applicable.
- 5.15 Salaried Employees.--All persons officially employed on salary by or under the direct supervision of a students' association shall be subject to approval by the chief student affairs officer and the ~~chief administrative officer~~. <sup>pres</sup>
- 5.16 Annual Financial Reports.--Every officially recognized students' association shall make annually a complete financial report to the institutional chief business officer and shall make such special reports as may be

5/9/92

called for by such business officer. A duplicate copy of each report shall be filed with the chief student affairs officer. Committees and administrative units of a students' association shall make such interim reports of financial condition as may be required by the chief student affairs officer.

- 5.2 The students' association on each campus shall be a recognized forum of student opinion.
- 5.21 When a students' association takes a position with reference to issues directly related to a component institution and its operations, its recommendations shall go through the chief student affairs officer, the president, the appropriate Executive Vice Chancellor and the Chancellor, ~~to the Board.~~ *to the Board*
- 5.22 When a students' association takes a position on non-University issues, it shall make clear the fact that it does not speak for the institution.
- 5.23 A students' association may conduct polls, initiate petitions, and/or establish forums for debate or discussion under conditions approved by the chief student affairs officer.
- 5.24 Officers of a students' association may so identify themselves when they express their personal views, but they shall then make it clear that they are not speaking for the institution, or for the student body, and they shall make it clear they are not speaking for the students' association unless the legislative body of that association has authorized the statement in advance.

Sec. 6. Use of University Facilities. This Section applies only to property, buildings, and facilities owned or controlled by the U. T. System or component institutions that are maintained and used for programs and activities related to the role and mission of the U. T. System or component institutions.

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- 5.24 Officers of a students' association may so identify themselves when they express their personal views, but they shall then make it clear that they are not speaking for the institution, or for the student body, and they shall make it clear they are not speaking for the students' association unless the legislative body of that association has authorized the statement in advance.

Sec. 6. Use of University Facilities. This Section applies only to property, buildings, and facilities owned or controlled by the U. T. System or component institutions that are maintained and used for programs and activities related to the role and mission of the U. T. System or component institutions.

(o) The display and demonstration by manufacturers and distributors of personal computer hardware and software suitable for use by students and faculty in the academic programs of a component institution and the presentation of information and demonstrations related to remote networks for personal computers by entities that provide access to such networks. Such activities may be conducted once each academic year upon invitation by the ~~chief administrative officer~~ of the component institution or his or her delegate and must be limited to the time and place designated by and under guidelines specified and controlled by the component institution. A manufacturer or distributor of personal computer hardware or software or an entity that provides access to remote networks for personal computers may not make sales or take orders.

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(p) The distribution or presentation to officers and employees of the U. T. System and component institutions of material related to health benefit plans, life insurance, tax sheltered annuities, retirement plans or programs, or other benefits that are available to such officers and employees through employee benefit plans or programs offered by or approved by the U. T. System. Such material may be distributed or presented only at the Office of Human Resources of the U. T. System or component institutions, at the benefits office of a health profession practice plan of a health component institution, or at meetings scheduled for that purpose pursuant to guidelines established by the U. T. System Office of Human Resources. Those companies that are currently authorized Optional Retirement Program vendors may, at the invitation of the

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high levels of performance. The programs will also identify areas where employees need assistance in adapting to and adopting change and improved procedures and programs.

- 3. U. T. Board of Regents: Approval to Amend the Regents' Rules and Regulations, Part One, Chapter VI, Section 6, Subsection 6.6 (Solicitation).— Authorization was given to amend the Regents' Rules and Regulations, Part One, Chapter VI, Section 6, Subsection 6.6 read as set forth below:

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6.6 Solicitation.—The term "solicitation" r offer for sale, lease, rental of any pr lication, or service, whether for immi statement or the distribution or displ dise, or products that is designed to rental of any property, product, mer the oral or written appeal or request other than a registered student, fac receipt of or request for any gift or c port or oppose or to vote for or agai proposition appearing on the ballot state or federal law or local ordinan

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 6.6 wasn't changed @ 11/99 BOR mtg. so I did not amend that pg of RR which is dtd Aug. 14/97. (K?)

- (p) The distribution or presentation to officers and employees of the U. T. System and component institutions of material related to health benefit plans, life insurance, tax sheltered annuities, retirement plans or programs, or other benefits that are available to such officers and employees through employee benefit plans or programs offered by or approved by the U. T. System. Such material may be distributed or presented only at the Office of Human Resources of the U. T. System or component institutions, at the benefits office of a health profession practice plan of a health component institution, or at meetings scheduled for that purpose pursuant to guidelines established by the U. T. System Office of Human Resources. Those companies that are currently authorized Optional Retirement Program vendors may, at

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extending invitations to vendors, promoting the seminars, selecting time and location of seminars, and identifying the general rules under which seminars are held.

4. U. T. System: Approval of the System-wide Internal Audit Plan for Fiscal Year 2000.--Upon recommendation of the Business Affairs and Audit Committee, the Board approved The University of Texas System-wide Internal Audit Plan for Fiscal Year 2000. Development of the Internal Audit Plan is based on risk assessments that were performed at each component institution and implementation of the Plan will be coordinated with the institutional auditors. The U. T. System Administration and component institution Audit Plans and the Summarized Audit Plans are on file in the Office of the Board of Regents.
  
5. U. T. System: Amendments to the U. T. System Environmental Review Policy for Acquisitions of Real Property Assets.--In order to lower the risk associated with enforcement of the Endangered Species Act and the Clean Water Act, the Business Affairs and Audit Committee recommended and the Board revised The University of Texas System Environmental Review Policy for Acquisitions of Real Property Assets as set forth below in its entirety:

#### U. T. SYSTEM ENVIRONMENTAL REVIEW POLICY FOR ACQUISITIONS OF REAL PROPERTY ASSETS

##### Statement of Policy

It is the policy of The University of Texas System to minimize its potential for exposure to claims made under the applicable laws governing the environment and hazardous substances by making all appropriate inquiry with regard to the environmental condition of real property assets, including leaseholds, prior to acquisition.

##### Scope of the Policy

To reduce the risk of liability, the U. T. System will complete an environmental site assessment (ESA) prior to acquisition of any real property asset, except as specifically provided in this policy. For purposes of this policy, the term "real property asset" means any interest in real property except a mineral

- 6.(12) Use of the UT TeleCampus Internet Web Site by Universities and Other Entities Outside the U. T. System.-- The UT TeleCampus internet web site established and maintained by the U. T. System Office of ~~Telecommunications and Information Technology~~<sup>Distance Ed</sup> shall be for the primary purpose of providing access to distance learning courses offered by the U. T. System component institutions and providing students participating in on-campus or distance learning courses of the U. T. System component institutions with access to student support services such as registration, admission, financial aid, course advising, and library resources. The UT TeleCampus internet web site shall also be utilized by the U. T. System Office of ~~Telecommunications and Information Technology~~<sup>Distance Ed</sup> to provide training and support to faculty of the U. T. System component institutions in the development of distance learning courses and degree programs. The U. T. System Office of ~~Telecommunications and Information Technology~~<sup>Distance Ed</sup> may establish links to the UT TeleCampus internet web site for distance learning courses and information concerning such courses offered by universities and other entities outside the U. T. System under the following conditions:
- 6.(12)1 The course or courses must not be competitive with a course of a U. T. System component institution that may be accessed through the UT TeleCampus.
- 6.(12)2 The U. T. System Office of ~~Telecommunications and Information Technology~~<sup>Distance Ed</sup>, with the advice of qualified U. T. System faculty, will determine whether the content and quality of each course are appropriate for the UT TeleCampus.
- 6.(12)3 The establishment of the link from the UT TeleCampus internet web site must be pursuant to an approved written agreement that (i) provides for appropriate compensation to the U. T. System Office of ~~Telecommunications and Information Technology~~<sup>Distance Ed</sup> and (ii) permits the termination of the agreement with respect to any course or courses upon completion by students currently enrolled, if the U. T. System Office of ~~Telecommunications and Information Technology~~<sup>Distance Ed</sup> determines that another source offers a higher quality course or if it is determined that a U. T. System component institution will provide access to a competitive course through the UT TeleCampus internet web site.

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CHAPTER VII

THE SYSTEM PRIVATE-FUND DEVELOPMENT AND FOUNDATIONS

Sec. 1. The System Private-Fund Development.

- 1.1 Responsibilities of the Board and Administrative Officials of the System in Private-Fund Development.
  - 1.11 Among the most important responsibilities of the Board are those of establishing policies and procedures by which the developmental needs of the System and its component institutions can be determined and of directing vigorous efforts to attract private-fund support for meeting these needs.
  - 1.12 The Chancellor is to serve as the chief executive officer for fund development and as the agent of the Board for the discharge of development responsibilities. The Chancellor shall define for the Board, at periodic intervals, descriptions of current and future needs, as determined by the ~~chief administrative officers~~ <sup>chief pres</sup> and System Administration, taking into account such needs as each component institution development board may have recommended.

Sec. 2. The Development Board of a Component Institution for Private-Fund Development.

- 2.1 With the approval of the ~~chief administrative officer~~ <sup>pres of a comp inst</sup>, the ~~Executive Vice Chancellor for Health Affairs, if appropriate,~~ the Chancellor, and the Board of Regents, any component institution may have a development board dedicated to its own unique interests. Such a development board is the agency responsible through the ~~chief administrative officer~~ <sup>pres</sup> to the Chancellor, and through him or her to the Board of Regents, for all private-fund development for that component institution. Each component institution development board shall determine its development needs and shall direct the formulation of plans and the promotion of support for its programs.

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- 2.2 Responsibilities and duties of the component institution development boards:
- 2.21 The component institution development board is the agency responsible to the ~~chief administrative officer~~ <sup>pres</sup> of each institution for all private-fund development for that institution.
- 2.22 The component institution development board shall recommend through the ~~chief administrative officer~~ <sup>pres</sup>, the Executive Vice Chancellor ~~for Health Affairs, if appropriate,~~ and the Chancellor to the Board of Regents the approval of current and long-range policies which shall govern activities and responsibilities in cultivating and securing private funds for the institution.
- 2.23 The component institution development board shall have responsibility:
- 2.231 For studying and developing total development needs of the component institution.
- 2.232 For coordinating and formulating plans and actively promoting support for both current and long-range programs to meet the developmental needs of the component institution.
- 2.233 For developing gift possibilities not related to predetermined projects.
- 2.234 For periodic reports of progress to the ~~chief administrative officer~~ <sup>pres</sup> and the Chancellor on the plans and programs of the component institution development board. It is expected that a continuing program of the component institution will include collection and dissemination of information regarding gifts and endowments.
- 2.235 At periodic intervals, the ~~chief administrative officer~~ <sup>pres</sup> shall lay before his or her development board descriptions of current development needs.

2.3 Composition and Operation of the Component Institution Development Board.--The component institution development board shall consist of members recommended and appointed by the ~~chief administrative officer~~ <sup>pres</sup> of each component institution with final review and approval of the membership delegated by the Board of Regents to the Chancellor following consultation with the Executive Vice Chancellor for Health Affairs or the ~~Vice Chancellor for Academic Affairs~~ <sup>pres</sup>. ~~Chief administrative officers~~ <sup>pres</sup> shall adopt guidelines for the appointment and/or reappointment of the members of the component institution development board. The guidelines shall make clear each individual's term of office and the expectations and responsibilities of membership. Consideration shall be directed to appropriate balance in board membership, including concerns relating to gender, ethnicity, range of experience, geographical distribution, and the special needs of the institution and the board. Component institutions shall forward an accurate roster of development board membership to the Chancellor via the Vice Chancellor for Development and External Relations no later than July 15 of each year. All terms shall officially begin on September 1. Official rosters of board membership shall be maintained in the Office of the Vice Chancellor for Development and External Relations. The ~~chief administrative officer~~ <sup>pres</sup> and the Chancellor (or his or her delegate) shall be ex officio members with voting privileges. The component institution development board will elect a Chairman and such other officers as are appropriate from among its membership.

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2.4 General Policies of the Component Institution Development Boards:

2.41 A component institution development board shall seek to enlist the aid of numerous friends of the institution in fund-development efforts, and may establish such committees and other groups as seem desirable in carrying out its program.

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with the approval of the Executive Vice Chancellor ~~for Health Affairs, if appropriate,~~ the Chancellor and the Board of Regents. The ~~chief administrative officer~~ <sup>pres</sup> of each component institution shall be responsible for stimulating, guiding, and assisting the component institution development board and internal foundations of the component institution he or she heads and he or she may require suitable annual reports from each. All such activities shall be coordinated through the office of the ~~chief administrative officer~~ <sup>pres</sup> and the director of the component institution development board.

2.44 From time to time, special campaigns for specific objectives may be conducted, with or without the assistance of private fund-raising counsel. Such campaigns may originate upon recommendation by the Board of Regents, the Chancellor, the ~~chief administrative officer~~ <sup>pres</sup> with the concurrence of the Chancellor, or the component institution development boards. No such campaign shall be authorized or undertaken, however, until the Chancellor has advised the Board of Regents of his or her approval or disapproval and the campaign has been approved by the Board of Regents.

2.45 Notwithstanding the provisions hereof conferring authority upon and placing responsibility with the Chancellor for fund development and fund raising, it is understood that ex-students' associations of the System component institutions may engage in fund raising for their own support through dues and payments for memberships, both annual and life. However, it is expected that no such ex-students' association will sponsor or participate in any other organized fund-raising effort without first consulting and advising with the ~~chief administrative officer~~ <sup>pres</sup> and the Chancellor, and then receiving the approval of the Board of Regents.

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2.5 Executive Office of a Component Institution Development Board:

2.51 Within the office of the ~~chief administrative officer~~ <sup>pres</sup> there may be appointed by the ~~chief administrative officer~~ <sup>pres</sup>, with the approval of the Executive Vice Chancellor ~~for Health Affairs, if appropriate~~ <sup>pres</sup>, and the Chancellor, an executive director of the component institution development board. He or she is to be charged solely with fund-development activity, and subject to supervision, evaluation, and termination of employment by the ~~chief administrative officer~~ <sup>pres</sup>.

2.52 The executive director of the component institution development board shall have such staff and such operating funds as shall be determined from time to time by budgets recommended by the ~~chief administrative officer~~ <sup>pres</sup>, endorsed by the Executive Vice Chancellor ~~for Health Affairs, if appropriate~~ <sup>pres</sup>, and the Chancellor, and adopted by the Board of Regents. Duties of staff members shall be established by the executive director.

2.6 Finances.--Financial support of the component institution development board and the executive director shall be provided exclusively by the budget of the institution. Such budgets will be made through established budgetary procedures. The Board of Regents may accept gifts designated for use by the component institution development board and may make such funds available for expenditure by said board.

2.7 Under the provisions of Section 4 of this Chapter, a component institution development board may, but need not, have an associated internal foundation.

Sec. 3. The Advisory Councils of a Component Institution.

3.1 Establishment and Organization:

3.11 With the approval of the ~~chief administrative officer~~ <sup>pres</sup> of a component institution, the Executive Vice Chancellor ~~for Health Affairs, if appropriate~~ <sup>pres</sup>, the Chancellor, and the Board of Regents, any school, college, or other comparable teaching or research

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unit may have an advisory council dedicated to its own unique interests. Such an advisory council is responsible through the dean or director to the ~~chief administrative officer~~ of the component and through him or her to the component institution development board for all private funds developed for that school, college, or comparable unit. Each advisory council shall determine its development needs and shall direct the formulation of plans and the promotion of support for its programs.

3.12

An advisory council shall consist of members recommended by the dean or director of the school, college, or other approved unit and appointed by the ~~chief administrative officer~~ of the component institution with final approval of the membership delegated by the Board of Regents to the Chancellor following consultation with the ~~Executive Vice Chancellor for Health Affairs, if appropriate.~~ ~~Chief administrative officers~~ shall, in consultation with the dean or director of the school, college, or other approved unit, adopt guidelines for the appointment and/or reappointment of the members of the component's advisory council(s). The guidelines shall make clear each individual's term of office and the expectations and responsibilities of membership. Consideration shall be directed to appropriate balance in advisory council membership, including concerns relating to gender, ethnicity, years of involvement or experience with the college, school, or unit, geographical distribution, and the special needs of the school, college, or unit. Component institutions shall

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inter vivos trusts. An internal foundation is an accounting and administrative mechanism in the name of which development boards and advisory councils may approach prospective donors. Internal foundations are under the jurisdiction and control of the Board of Regents and are governed by rules, regulations, policies and procedures promulgated by the Board.

4.2 Policies on Initiation and Operation.

4.21 An internal foundation may be established to benefit a component institution, or a school, college or other comparable teaching or research unit within a component institution upon the recommendation of a development board or an advisory council, after approval of the ~~chief administrative officer~~ <sup>approv</sup> of the component institution, the ~~Executive Vice Chancellor for Health Affairs~~, <sup>if</sup> ~~appropriate~~, the Chancellor, and the Board of Regents.

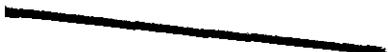
4.22 Divisions and departments below the level of a school, college, or other comparable teaching or research unit may effectively work as separate groups within the framework of the advisory council and internal foundation which represents a school or college or comparable teaching or research unit. Provisions for the designation of special funds to be used for specific activities within the framework of the various internal foundations and advisory councils will be made in order to avoid stifling the interest and initiative of these groups.

4.23 There shall be an annual review of the activities of each internal foundation to determine its effectiveness; and, if it is unproductive for an unreasonable length of time, it shall be dissolved.

4.24 Every effort shall be made to permit a free range of initiative within the internal foundations, and their associated development boards and advisory councils, but activities must be in

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The funds of the Foundation shall be devoted solely to the of the academic programs of the ((name of school, college, component unit)) of The University of Texas ((name of component shall not be used for the ordinary operating expenses of of school, college, or other component unit)).

2. A donation to the Foundation may be made for a specific purpose and may be given in the name of the donor or other designation specified by the donor or may be given as unrestricted funds.

3. The Board of Regents shall hold, manage, control, sell, exchange, lease, convey, mortgage or otherwise encumber, invest or reinvest, and generally shall have power to dispose of in any manner and for any consideration and on any terms the said gifts, funds, or property in their discretion and shall from time to time pay out of the income, or if the income be insufficient, out of the principal, all expenses of trust and all expenditures incurred in furthering the purposes of the trust.

4. Neither any donation to the ((name)) Foundation nor any fund or property arising therefrom in whatever form it may take shall ever be any part of the Permanent University Fund nor shall the Legislature have power or be in any way authorized to change the purposes thereof or to divert such donation, fund or property from those designated purposes.

5. As in the case of other University funds, authorization for expenditure of all funds from the Foundation shall be vested in the Board of Regents and recommendations for such expenditures shall be made by the ~~(((appropriate title of president)))~~ through the appropriate Executive Vice Chancellor to the Chancellor and by the Chancellor to the Board of Regents of The University of Texas System.

4.32 Upon Regental approval of the standard resolution creating an internal foundation, the name of the foundation will be added to the list contained in Section 4.33 of this Chapter. The Executive Secretary to the Board of Regents shall prepare a copy of the standard resolution in appropriate form for the Chairman's signature and shall distribute a copy to the appropriate Executive Vice Chancellor, the Chancellor and to the president of the component institution involved.

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1. The funds of the Foundation shall be devoted solely to the enrichment of the academic programs of the ((name of school, college, or other component unit)) of The University of Texas ((name of component)) and shall not be used for the ordinary operating expenses of the ((name of school, college, or other component unit)).

2. A donation to the Foundation may be made for a specific purpose and may be given in the name of the donor or other designation specified by the donor or may be given as unrestricted funds.

3. The Board of Regents shall hold, manage, control, sell, exchange, lease, convey, mortgage or otherwise encumber, invest or reinvest, and generally shall have power to dispose of in any manner and for any consideration and on any terms the said gifts, funds, or property in their discretion and shall from time to time pay out of the income, or if the income be insufficient, out of the principal, all expenses of trust and all expenditures incurred in furthering the purposes of the trust.

4. Neither any donation to the ((name)) Foundation nor any fund or property arising therefrom in whatever form it may take shall ever be any part of the Permanent University Fund nor shall the Legislature have power or be in any way authorized to change the purposes thereof or to divert such donation, fund or property from those designated purposes.

5. As in the case of other University funds, authorization for expenditure of all funds from the Foundation shall be vested in the Board of Regents and recommendations for such expenditures shall be made by the <sup>app</sup>(((appropriate title of ~~chief administrative officer~~))) <sup>pres</sup> through the ~~Executive Vice Chancellor for Health Affairs, if appropriate,~~ to the Chancellor and by the Chancellor to the Board of Regents of The University of Texas System.

4.32 Upon Regental approval of the standard resolution creating an internal foundation, the name of the foundation will be added to the list contained in Section 4.33 of this Chapter. The Executive Secretary to the Board of Regents shall prepare a copy of the standard resolution in appropriate form for the Chairman's signature and shall distribute a copy to the <sup>dep</sup>Executive Vice Chancellor ~~for Health Affairs,~~ ~~if appropriate,~~ the Chancellor and to the ~~chief administrative officer~~ <sup>pres</sup> of the component institution involved.

CHAPTER VIII

MISCELLANEOUS

Sec. 1. Honorific Namings.

- 1.1 The naming of buildings; other major facilities, such as wings of buildings, major components of buildings, large auditoria, concert halls, atriums, prominent outdoor spaces, and clinics; and other major entities, such as colleges, schools, academic departments, and major academic centers, programs and institutes of the U. T. System and its component institutions, whether for an individual or with a functional or historical designation, is the prerogative and responsibility of the Board of Regents and can be initiated by the Board when circumstances warrant. When recommendations for naming of buildings or other major facilities or entities originate at other than the level of the Board, such recommendations shall be forwarded to the Board of Regents with recommendations of the Chancellor, ~~the~~ Executive Vice Chancellor for Health Affairs or the ~~Vice~~ Vice Chancellor for Academic Affairs, the Vice Chancellor for Development and External Relations, and the ~~chief~~ ~~administrative officer~~, accompanied by reasons for the recommendation, and following campus consultations where appropriate. Recommendations for naming of buildings or major facilities or entities require Board of Regents' approval via the agenda. For less prominent facilities such as laboratories, classrooms, seminar rooms, and patient rooms or for less prominent academic centers, programs, and institutes, naming authority is delegated to each component ~~chief~~ ~~administrative officer~~ *pres* based on a set of general guidelines which are reviewed and approved by the Chancellor. An annual report of such namings will be included with the annual operating budget submission or updated Capital Improvement Program.
- 1.2 Facilities and other entities may be named to memorialize or otherwise recognize substantial gifts and significant donors or individuals designated by donors. Such designation may be for a single gift, multiple gifts over time, or for a combination of gifts and other contributions. Buildings and other

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entities may also be named for individuals who have made exemplary or meritorious contributions to the System, component institution, or society.

- 1.3 Each component institution will develop guidelines for what constitutes substantial and significant donations to warrant a naming. These guidelines may vary from campus to campus and sometimes within a campus dependent upon the nature and purpose of the facility or entity or other factors. Institutional donor guidelines are subject to prior administrative review and approval procedures for inclusion in the institutional Handbook of Operating Procedures. Exceptions to any approved guidelines are subject to the same approval process.
- 1.4 Namings in honor of campus administrative officials, faculty, or staff or elected or appointed public officials shall normally occur only after the campus employment or public service has concluded.
- 1.5 When the naming of buildings or other major facilities or entities is contemplated as part of a special private-fund development campaign, that campaign, the proposed naming, and the associated private-fund contributions to be sought shall have prior approval of the ~~chief administrative officer~~, the Executive Vice Chancellor for Health Affairs or the ~~Vice Chancellor for Academic Affairs~~, the Vice Chancellor for Development and External Relations, the Chancellor, and the Board as required in Part One, Chapter VII, Section 2, Subdivision 2.44 of the Regents' Rules and Regulations.
- 1.6 The Chancellor will arrange for the Board of Regents to be briefed periodically by component ~~chief administrative officers~~ <sup>pres</sup> and System administrative officials via the annual budget process or other appropriate forum regarding buildings or other major facilities or entities to be named and the private-fund contributions to be sought. Unexpected naming opportunities not covered in such briefings should be reviewed with the Board via regular Board of Regents' briefings. No commitment regarding the naming of a building or major facility or entity is to be made prior to the briefings and approvals required by this Section.

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consistence of usage throughout the System, the component institutions and their respective entities shall be listed in the following order and the following titles shall be used:

	<u>Full Title</u>	<u>Short Title</u>
4.1	The University of Texas System Board of Regents, <del>including The University of Texas Permanent University Fund</del>	U.T. Board of Regents <del>Permanent University Fund</del>
4.2	The University of Texas System Administration	U.T. System Administration
4.3	The University of Texas at Arlington	U.T. Arlington
4.31	The University of Texas at Arlington School of Architecture	
4.32	The University of Texas at Arlington College of Business Administration	
4.33	The University of Texas at Arlington College of Engineering	
4.34	The University of Texas at Arlington College of Liberal Arts	
4.35	The University of Texas at Arlington School of Nursing	
4.36	The University of Texas at Arlington College of Science	
4.37	The University of Texas at Arlington School of Social Work	
4.38	The University of Texas at Arlington Graduate School	
4.39	The University of Texas at Arlington School of Education	
4.3(10)	The University of Texas at Arlington Honors College	

*Backup responses from components @ back*

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	<u>Full Title</u>	<u>Short Title</u>
	4.3(11) The University of Texas at Arlington School of Urban and Public Affairs	U.T. <del>Arlington</del> <del>School of Urban</del> <del>and Public</del> <del>Affairs</del>
4.4	The University of Texas at Austin	U.T. Austin
	4.41 The University of Texas at Austin School of Archi- tecture	↑ Original Unit
	4.42 The University of Texas at Austin College of Liberal Arts	
	4.43 The University of Texas at Austin College of Natural Sciences	
	4.44 The University of Texas at Austin College of Business Administration	if separate why short name for this one?
	4.45 The University of Texas at Austin College of Commu- nication	
	4.46 The University of Texas at Austin College of Educa- tion	
	4.47 The University of Texas at Austin College of Engi- neering	
	4.48 The University of Texas at Austin College of Fine Arts	
	4.49 The University of Texas at Austin School of Law	
	4.4(10) The University of Texas at Austin Graduate School of Library and Information Science	
	4.4(11) The University of Texas at Austin School of Nursing	
	4.4(12) The University of Texas at Austin College of Pharmacy	
	4.4(13) The University of Texas at Austin Lyndon B. Johnson School of Public Affairs	
	4.4(14) The University of Texas at Austin School of Social Work	

	<u>Full Title</u>	<u>Short Title</u>
	4.4(15) The University of Texas at Austin Graduate School	
	4.4(16) The University of Texas at Austin Marine Science Institute	U.T. Austin Marine Science Institute
	4.4(17) The University of Texas at Austin McDonald Observatory at Mount Locke	U.T. Austin McDonald Observatory
4.5	The University of Texas at Brownsville	U.T. Brownsville
	4.51 The University of Texas at Brownsville School of Business	
	4.52 The University of Texas at Brownsville School of Education	
	4.53 The University of Texas at Brownsville School of Health Sciences	
	4.54 The University of Texas at Brownsville College of Liberal Arts	
	4.55 The University of Texas at Brownsville College of Science, Mathematics and Technology	
	4.56 The University of Texas at Brownsville Division of Continuing Education	
4.6	The University of Texas at Dallas	U.T. Dallas
	4.61 The University of Texas at Dallas School of Arts and Humanities	
	4.62 The University of Texas at Dallas Erik Jonsson School of Engineering and Computer Science	
	4.63 The University of Texas at Dallas School of General Studies	
	4.64 The University of Texas at Dallas School of Human Development	
	4.65 The University of Texas at Dallas School of Management	

	<u>Full Title</u>	<u>Short Title</u>
4.66	The University of Texas at Dallas School of Natural Sciences and Mathematics	
4.67	The University of Texas at Dallas School of Social Sciences	
4.68	The University of Texas at Dallas Callier Center for Communication Disorders	
4.7	The University of Texas at El Paso	U.T. El Paso
4.71	The University of Texas at El Paso College of Business Administration	
4.72	The University of Texas at El Paso College of Education	
4.73	The University of Texas at El Paso College of Engineering	
4.74	The University of Texas at El Paso College of Liberal Arts	
4.75	The University of Texas at El Paso College of Health Sciences	
4.76	The University of Texas at El Paso College of Science	
4.77	The University of Texas at El Paso Graduate School	
4.8	The University of Texas - Pan American	U.T. Pan American
4.81	The University of Texas - Pan American College of Arts and Humanities	
4.82	The University of Texas - Pan American College of Business Administration	
4.83	The University of Texas - Pan American College of Education	
4.84	The University of Texas - Pan American College of Health Sciences and Human Services	

*Stanford College*  
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	<u>Full Title</u>	<u>Short Title</u>
4.85	The University of Texas - Pan American College of Science and Engineering	
4.86	The University of Texas - Pan American College of Social and Behavioral Sciences	
4.9	The University of Texas of the Permian Basin	U.T. Permian Basin
4.91	The University of Texas of the Permian Basin College of Arts and Sciences	
4.92	The University of Texas of the Permian Basin School of Business	
4.93	The University of Texas of the Permian Basin School of Education	
4.(10)	The University of Texas at San Antonio	U.T. San Antonio
4.(10)1	The University of Texas at San Antonio College of Business	
4.(10)2	The University of Texas at San Antonio College of Fine Arts and Humanities	
4.(10)3	The University of Texas at San Antonio College of Social and Behavioral Sciences	
4.(10)4	The University of Texas at San Antonio College of Sciences and Engineering	
4.(10)5	The University of Texas Institute of Texan Cultures at San Antonio	U.T. Institute of Texan Cul- tures - San Antonio
4.(10)6	The University of Texas at San Antonio Downtown Campus	U.T. San Antonio Downtown Campus

	<u>Full Title</u>	<u>Short Title</u>
4.(11)	The University of Texas at Tyler	U.T. Tyler
4.(11)1	The University of Texas at Tyler College of Business Administration	
4.(11)2	The University of Texas at Tyler College of Education and Psychology	
4.(11)3	The University of Texas at Tyler College of Engineering	

*amended  
8/11/94*

*UTT  
3 changes*

*to next PS.*

Full Title

Short Title

*amended  
8/11/94*

*UT Tyler  
3 changes*

4.(11)4	The University of Texas at Tyler College of Liberal Arts	
4.(11)5	The University of Texas at Tyler College of Sciences and Mathematics	
4.(11)6	The University of Texas at Tyler College of Nursing	
4.(12)	The University of Texas Southwestern Medical Center at Dallas	U.T. Southwestern Medical Center - Dallas
4.(12)1	The University of Texas Southwestern Medical School at Dallas	U.T. Southwestern Medical School - Dallas
4.(12)2	The University of Texas Southwestern Graduate School of Biomedical Sciences at Dallas	U.T. Southwestern G.S.B.S. - Dallas
4.(12)3	The University of Texas Southwestern Allied Health Sciences School at Dallas	U.T. Southwestern A.H.S.S. - Dallas
4.(13)	The University of Texas Medical Branch at Galveston	U.T. Medical Branch - Galveston
4.(13)1	The University of Texas Medical School at Galveston	U.T. Medical School - Galveston
4.(13)2	The University of Texas Graduate School of Biomedical Sciences at Galveston	U.T. G.S.B.S. - Galveston
4.(13)3	The University of Texas School of Allied Health Sciences at Galveston	U.T. Allied Health Sciences School - Galveston
4.(13)4	The University of Texas Marine Biomedical Institute at Galveston	U.T. Marine Biomedical Institute - Galveston
4.(13)5	The University of Texas Institute for the Medical Humanities at Galveston	U.T. Medical Humanities Institute - Galveston
4.(13)6	The University of Texas Hospitals at Galveston	U.T. Hospitals - Galveston

Full Title

Short Title

4.(13)7	The University of Texas School of Nursing at Galveston	U.T. Nursing School - Galveston
4.(14)	The University of Texas Health Science Center at Houston	U.T. Health Science Center - Houston
4.(14)1	The University of Texas Medical School at Houston	U.T. Medical School - Houston
4.(14)2	The University of Texas Dental Branch at Houston	U.T. Dental Branch - Houston
4.(14)3	The University of Texas Graduate School of Bio- medical Sciences at Houston	U.T. G.S.B.S. - Houston
4.(14)4	The University of Texas School of Allied Health Sciences at Houston	U.T. Allied Health Sciences School - Houston
4.(14)5	The University of Texas School of Public Health at Houston	U.T. Public Health School - Houston
4.(14)6	The University of Texas School of Nursing at Houston	U.T. Nursing School - Houston
4.(15)	The University of Texas Health Science Center at San Antonio	U.T. Health Science Center - San Antonio
4.(15)1	The University of Texas Medical School at San Antonio	U.T. Medical School - San Antonio
4.(15)2	The University of Texas Dental School at San Antonio	U.T. Dental School - San Antonio
4.(15)3	The University of Texas Graduate School of Bio- medical Sciences at San Antonio	U.T. G.S.B.S. - San Antonio
4.(15)4	The University of Texas School of Allied Health Sciences at San Antonio	U.T. Allied Health Sciences School - San Antonio

*Amend  
6/10/93*

<u>Full Title</u>	<u>Short Title</u>
4.(15)5 The University of Texas School of Nursing at San Antonio	U.T. Nursing School - San Antonio
4.(16) The University of Texas M.D. Anderson Cancer Center	U.T. M.D. Anderson Cancer Center
4.(16)1 The University of Texas M.D. Anderson Hospital	U.T. M.D. Anderson Hospital
4.(16)2 The University of Texas M.D. Anderson Tumor Institute	U.T. M.D. Anderson Tumor Institute
4.(16)3 The University of Texas M.D. Anderson Science Park	U.T. M.D. Anderson Science Park
4.(17) The University of Texas Health Center at Tyler	U.T. Health Center - Tyler
4.(18) The University of Texas System Bauer House	U.T. Bauer House

**Felkel, Carol**

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**From:** Felkel, Carol  
**Sent:** Thursday, September 02, 1999 3:43 PM  
**To:** Boyer, Becky  
**Subject:** RE: New Title for Gonzalez

Francie indicates she'll do editorial amendments of RRR for both Gonzalez & Sharpe consistent w/ Budget approval.

-----Original Message-----

**From:** Boyer, Becky  
**Sent:** Thursday, September 02, 1999 9:31 AM  
**To:** Felkel, Carol  
**Subject:** RE: New Title for Gonzalez

Knew his title had changed but had not planned to do an agenda item unless Francie thinks it is necessary. Doesn't she have the authority to update R&R with title changes without having to go to the Board? Anyway, if it is necessary to do an agenda item, I will ask Josie to handle it so let me know if one is needed. Thanks. I guess there are other R&R changes related to Dr. Sharpe's change in title and responsibilities, too. Do you know if Academic Affairs is planning to prepare an agenda item for such or is it necessary to do so? Just something to think about?????

-----Original Message-----

**From:** Felkel, Carol  
**Sent:** Thursday, September 02, 1999 8:48 AM  
**To:** Boyer, Becky  
**Subject:** FW: New Title for Gonzalez

FYI re November agenda item you're (?) preparing.

-----Original Message-----

**From:** Wright, Holly  
**Sent:** Thursday, September 02, 1999 8:41 AM  
**To:** Smith, Pam (Johnson); Felkel, Carol  
**Subject:** New Title for Gonzalez

Josie Valenzuela indicates Mario Gonzalez' new title is "Vice Chancellor for Office of Information Technology and Distance Education." I will update needed lists, etc. accordingly.

**Felkel, Carol**

---

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**Sent:** Thursday, September 02, 1999 9:31 AM  
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**Subject:** RE: New Title for Gonzalez

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**To:** Smith, Pam (Johnson); Felkel, Carol  
**Subject:** New Title for Gonzalez

Josie Valenzuela indicates Mario Gonzalez' new title is "Vice Chancellor for Office of Information Technology and Distance Education." I will update needed lists, etc. accordingly.

FF: Still want an agenda item  
re change in name of  
Dr. Gonzalez' shop +  
title ?)

But just editorially amend  
EVC Sharpe's title.

let's  
do  
editorially also  
consistent  
w/ Budget  
approval.

9/2

7.

**Felkel, Carol**

---

**From:** Frederick, Francie  
**Sent:** Thursday, August 26, 1999 9:00 AM  
**To:** Felkel, Carol  
**Subject:** FW: Attached Memo

in line with what we discussed re changing the R/R back to the way they were.

-----Original Message-----

**From:** Boyer, Becky  
**Sent:** Thursday, August 26, 1999 8:52 AM  
**To:** GRP-Executive Officers; GRP-Sys Admin Officials (-EO's); GRP-Chief Business Officers  
**Subject:** Attached Memo



EVCAAsharpe.doc

The attached memorandum has been faxed to the U. T. System Presidents. The copies are being distributed via this e-mail. Thank you.

August 26, 1999

MEMORANDUM

TO: Presidents, The University of Texas System  
Dr. Robert E. Witt  
Dr. Larry R. Faulkner  
Dr. Juliet V. Garcia  
Dr. Franklyn Jenifer  
Dr. Diana S. Natalicio  
Dr. Miguel Nevárez  
Dr. Charles A. Sorber  
Dr. Ricardo Romo  
Dr. Rodney H. Mabry  
Dr. Kern Wildenthal  
Dr. John D. Stobo  
Dr. M. David Low  
Dr. John Prentice Howe, III  
Dr. John Mendelsohn  
Dr. Ronald F. Garvey

FROM: William H. Cunningham

Effective September 1, 1999, the U. T. Board of Regents approved a change in title for Dr. Edwin R. Sharpe to Executive Vice Chancellor for Academic Affairs. Dr. Sharpe will have line responsibility for the academic components. Please join me in congratulating Dr. Sharpe on this appointment.

Best personal regards.

WHC:bb

cc: U. T. System Executive Officers  
U. T. System Administration Officials  
U. T. System Chief Business Officers

**Felkel, Carol**

---

**From:** Frederick, Francie  
**Sent:** Thursday, July 08, 1999 5:16 PM  
**To:** Felkel, Carol  
**Subject:** MSA cover memo

*NOV per FF  
+ att'd*

Carol, somewhere in the cover memo for August, I would like to note that the proposed operating budget contains the new name for the Office of Information Technology and Distance Education which is to be effective September 1, 1999. Changes to the Regents' Rules and Regulations necessary to reflect this name change will be included in the Agenda for the November meeting.

~~\_\_\_\_\_~~

Members of the Board of Regents  
July 30, 1999  
Page 4

*MSA memo  
Nov 98*

Please note the budget includes a change of name from the Office of Telecommunications and Information Technology to the Office of Information Technology and Distance Education approved by the Chancellor to be effective September 1, 1999.]

CA - pl see  
it BB will  
do Ag. (a  
for Aug. (a  
now) 7.  
~~Handwritten scribbles~~



Health Component Institutions:  
The University of Texas Southwestern Medical Center at Dallas  
The University of Texas Medical Branch at Galveston  
The University of Texas Health Science Center at Houston  
The University of Texas Health Science Center at San Antonio  
The University of Texas M.D. Anderson Cancer Center  
The University of Texas Health Center at Tyler

*Almi*

at San Antonio

**UNIVERSITY OF TEXAS SYSTEM**  
700 COLORADO STREET AUSTIN, TEXAS 78701-2982

Office of the Chancellor  
(512) 499-4200

**Dr. Mario J. Gonzalez, Jr.**  
**Vice Chancellor for Telecommunications**  
**and Information Technology**  
**The University of Texas System**  
**Ashbel Smith Hall 418**

Dear Mario:

In response to your memorandum of June 24, 1999, I am approving your request to change the name of the Office of Telecommunications and Information Technology to Office of Information Technology and Distance Education effective September 1, 1999. All references to your office name, including your title, should be changed accordingly.

Please let me know if there are any questions. Best personal regards.

Sincerely,

**William H. Cunningham**  
**Chancellor**

WHC:jm

cc: Executive Officers

bec: Budget Office

Academic Component Institutions:  
The University of Texas at Arlington  
The University of Texas at Austin  
The University of Texas at Brownsville  
The University of Texas at Dallas  
The University of Texas at El Paso  
The University of Texas-Pan American  
The University of Texas of the Permian Basin  
The University of Texas at San Antonio  
Institute of Texas Culturas  
The University of Texas at Tyler



Health Component Institutions:  
The University of Texas Southwestern Medical Center at Dallas  
The University of Texas Medical Branch at Galveston  
The University of Texas Health Science Center at Houston  
The University of Texas Health Science Center at San Antonio  
The University of Texas M.D. Anderson Cancer Center  
The University of Texas Health Center at Tyler

THE UNIVERSITY OF TEXAS SYSTEM  
201 WEST SEVENTH STREET AUSTIN, TEXAS 78701-2981

M. SYSTEM- Chancellor's Office	
LOG <u>8339</u>	REF _____
JUN 24 1999	
TO _____	PLEASE ADVISE ME
TO _____	PLEASE HANDLE
TO _____	FILE OR DISCARD

June 24, 1999

MEMORANDUM

To: William H. Cunningham

From: Mario J. Gonzalez 

Subject: Name Change

I request authorization to change the name of this office from Office of Telecommunications and Information Technology to Office of Information Technology and Distance Education. I make this request for the following reasons:

1. As a result of many misdirected telephone calls, we have discovered that many people associate "telecommunications" with telephones. From our perspective, we initially recommended the inclusion of "telecommunications" in our name to reflect the fact telecommunications infrastructure is the focus of our first strategic initiative. We did not foresee the possible misunderstanding of our intent.
2. Distance education has become the most prominent of our information technology initiatives. An explicit inclusion of distance education in our name is more likely to attract individuals who seek distance education venues and use directories or browsers to find providers of distance education.
3. By leaving "Information Technology" in our name, we acknowledge our involvement in a wide variety of technology issues.

Please let me know if you need additional information.

MJG/jv

cc: Darcy Hardy



Office of the Board of Regents  
THE UNIVERSITY OF TEXAS SYSTEM

11/18/74

MEMORANDUM

REFERRED TO

*Sam Burch*

- PER OUR CONVERSATION
- FOR YOUR INFORMATION
- FOR YOUR APPROVAL
- FOR YOUR SIGNATURE
- FOR YOUR COMMENTS
- FOR DISCUSSION
- PLEASE RETURN
- PER YOUR REQUEST
- FOR HANDLING
- FOR DISTRIBUTION
- NO NEED TO RETURN
- FOR YOUR FILE

7  
10/18/74  
[unclear]  
[unclear]

REMARKS:

*We included the Item  
for the Record re the  
Office of Human Resources in  
the October Minutes.*

SIGNATURE

*CB*

DATE

*10/18*

*Sam Burch  
EUC for Business Affairs  
ASH 713*

MINUTES  
10/7/94

4. U. T. System: Report on Redesignation of System Personnel Office as Office of Human Resources Effective September 1, 1994.--The Chancellor reported for the record that he had approved the recommendation of the Executive Vice Chancellor for Business Affairs that the System Personnel Office within The University of Texas System Administration be redesignated as the Office of Human Resources effective September 1, 1994.

In keeping with this redesignation, the Executive Secretary to the Board of Regents will make appropriate editorial amendments to the Regents' Rules and Regulations.

#### EXECUTIVE SESSION OF THE BOARD OF REGENTS

Chairman Rapoport reported that the Board had met in Executive Session in Room 1.304 of the Conference Center at U. T. Dallas to discuss matters in accordance with Texas Government Code, Chapter 551, Sections 551.071, 551.072, and 551.074. In response to Chairman Rapoport's inquiry regarding the wishes of the Board, the following action was taken:

U. T. Southwestern Medical Center - Dallas: Settlements of Medical Liability Litigation/Claim.--Regent Ramirez reported that the Board heard presentations from The University of Texas System Administration officials concerning the two medical liability matters listed in the agenda.

Based on these presentations, Regent Ramirez moved that the Chancellor and the Office of General Counsel be authorized to settle the following medical liability matters on behalf of The University of Texas Southwestern Medical Center at Dallas in accordance with the individual proposals presented in Executive Session:

- The medical liability litigation filed by Ron and Eva Greene, Parents and Administrators of the Estate of Andrew C. Greene vs. Children's Medical Center, et al.
- The medical liability claim filed by Alicia Starr Wilson.

Vice-Chairman Lebermann seconded the motion which prevailed without objection.

The University of Texas M. D. Anderson  
Cancer Center  
The University of Texas Health Center  
at Tyler

These budgets are a part of the Minutes of this meeting and the official copy is in bound Volume LIV entitled Annual Budget for 1999-2000.

Further, Permanent University Fund Bond Proceeds in the amount of \$18,000,000 were appropriated from reserves to fund Library, Equipment, Repair and Rehabilitation Projects for Fiscal Year 2000.

In addition, the U. T. System component institutions were authorized to purchase approved equipment items and library materials and to contract for repair and rehabilitation projects following standard purchasing and contracting procedures within approved dollar limits. Substitute equipment purchases are to receive prior approval by the Chancellor and appropriate Executive Vice Chancellor or Vice Chancellor and, where required, the U. T. Board of Regents. Transfers by the U. T. System Administration of allocated funds to institutional control or to vendors will coincide with vendor payment requirements. Final approval of specific repair and rehabilitation projects will be in accordance with procedures for construction projects established by the Board.

Fiscal Year 2000 funds from these reserves not expended or obligated by contract/purchase order within six months after the close of Fiscal Year 2000 are to be available for future System-wide reallocation unless specific authorization to continue obligating the funds is given by the Executive Vice Chancellor for Business Affairs on recommendation of the chief administrative officer and the appropriate Executive Vice Chancellor or Vice Chancellor.

Upon motion of Regent Riter, the Board also approved the personnel aspects of the Operating Budgets for the Fiscal Year ending August 31, 2000. See Page 3 related to approval of the personnel aspects of the Operating Budgets.

Note: The Operating Budget for the U. T. System Administration includes title changes from Vice Chancellor for Academic Affairs to Executive Vice Chancellor for Academic Affairs and from Vice Chancellor for Telecommunications and Information Technology to Vice Chancellor for Information Technology and Distance Education.

**EXCERPT FROM THE MINUTES**

AUG 12 1999

6. U. T. System: Approval of Non-Personnel Aspects of the Operating Budgets for the Fiscal Year Ending August 31, 2000, Including Auxiliary Enterprises, Grants and Contracts, Designated Funds, Restricted Current Funds, and Medical and Dental Services, Research and Development Plans and Authorization for the Chancellor to Make Editorial Corrections Therein; and Approval of Permanent University Fund Bond Proceeds Reserve Allocation for Library, Equipment, Repair and Rehabilitation Projects.—Committee Chairman Riter called on Chancellor Cunningham who, with the aid of a PowerPoint slide presentation, presented a comprehensive overview of the proposed Operating Budgets for The University of Texas System for the Fiscal Year ending August 31, 2000. A copy of Chancellor Cunningham's report entitled "U. T. System Operating Budget Fiscal Year 2000" is on file in the Office of the Board of Regents.

Following Dr. Cunningham's presentation and upon recommendation of the Academic Affairs, Health Affairs, and Business Affairs and Audit Committees, the Board approved the non-personnel aspects of the U. T. System Operating Budgets as listed below for the Fiscal Year ending August 31, 2000, Including Auxiliary Enterprises, Grants and Contracts, Designated Funds, Restricted Current Funds, and Medical and Dental Services, Research and Development Plans and authorized the Chancellor to make editorial corrections therein with subsequent adjustments to be ratified by the U. T. Board of Regents through the institutional dockets:

The University of Texas System Administration  
(including the Available University Fund)  
The University of Texas at Arlington  
The University of Texas at Austin  
The University of Texas at Brownsville  
The University of Texas at Dallas  
The University of Texas at El Paso  
The University of Texas - Pan American  
The University of Texas of the Permian Basin  
The University of Texas at San Antonio  
The University of Texas at Tyler  
The University of Texas Southwestern Medical  
Center at Dallas  
The University of Texas Medical Branch  
at Galveston  
The University of Texas Health Science  
Center at Houston  
The University of Texas Health Science  
Center at San Antonio



**Felkel, Carol**

---

**From:** Frederick, Francie  
**Sent:** Thursday, June 24, 1999 11:33 AM  
**To:** Felkel, Carol; Johnson, Pam; Wright, Holly  
**Subject:** terminology change

With the change in title for the chief administrative officer at UTHC-Tyler from director to president, the Chancellor's Office is moving to use "presidents" rather than CAO, per Shirley Perry. Let's be alert to this when appropriate, but I'm not proposing any wholesale amendments to the R/R at this time....or maybe ever.

- 6.2 The Unemployment Compensation Insurance Fund (U.C.I. Fund) is established by the U. T. Board of Regents to be deposited or invested in the same manner as other local institutional funds as specified in Part Two, Chapter III, Section 4 of these Rules and Regulations. The Office of Human Resources shall administer funding by assessment on all salary sources other than State General Revenue Funds as provided in Sub-section 6.24 below.
  - 6.21 The System will reimburse the State General Revenue Fund from the U.C.I. Fund for claims charge-backs paid by the State Comptroller for former employees paid from funds other than State General Revenue Funds.
  - 6.22 Claims charge-backs for former employees paid from State General Revenue Funds shall be referred to the State Comptroller for payment.
  - 6.23 The U.C.I. Fund shall at all times operate under principles established by the U. S. Department of Health and Human Services.
  - 6.24 Assessment rates shall be calculated to provide minimum U.C.I. Fund balance fluctuations and maximum rate stability. Each institutional chief business officer shall be responsible for the transmission of such assessed amounts, as instructed by the Office of Human Resources, for receipt into the U.C.I. Fund no later than 20 days after the end of the month.
  - 6.25 Expenditures from the U.C.I. Fund shall be limited to direct costs in accordance with Office of Management and Budget Circular A-21.
- 6.3 The Office of Human Resources, working in coordination with appropriate System and component institution offices, shall administer the U.C.I. Program and represent the System in appeals to the Texas Employment Commission (T.E.C.).

*Handwritten notes:*  
 27-12  
 check w/  
 K. Kennedy's  
 office  
 "95 this  
 still a good  
 referral"  
 yes per  
 Susan Trant  
 Brad 2/22/00

BOARD OF REGENTS  
THE UNIVERSITY OF TEXAS SYSTEM

FAX MESSAGE

DATE: February 21, 2000

TO: Ms. Susan Trant

FAX #: 4550

FROM: Carol Felkel

Could you help answer question attached re Regents' Rules and Regulations, Part Two, Chapter VI? Thanks.

NUMBER  
OF PAGES: 2 (INCLUDING COVER SHEET)

*Carol Felkel  
2/21/00*

CONFIDENTIALITY NOTICE

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If there are any problems in receiving this message, please call (512) 499-4402 (fax: 512/499-4425) -  
The University of Texas System Board of Regents, 201 West Seventh Street, Austin, Texas 78701-2981.

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*****
*                                                                 P.01 *
*              TRANSACTION REPORT                               *
*                                                                 FEB-21-00 MON 11:50 AM *
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**BOARD OF REGENTS**  
**THE UNIVERSITY OF TEXAS SYSTEM**

**FAX MESSAGE**

DATE: February 21, 2000

TO: Ms. Susan Trant                      FAX #: 4550

FROM: Carol Felkel

Could you help answer question attached re Regents' Rules and Regulations, Part Two, Chapter VI? Thanks.



Academic Component Institutions  
 The University of Texas at Arlington  
 The University of Texas at Austin  
 The University of Texas at Brownsville  
 The University of Texas at Dallas  
 The University of Texas at El Paso  
 The University of Texas - Pan American  
 The University of Texas at the Permian Basin  
 The University of Texas at San Antonio  
 Institute of Texas Cultures  
 The University of Texas at Tyler



Health Component Institutions  
 The University of Texas Southwestern Medical Center at Dallas  
 The University of Texas Medical Branch at Galveston  
 The University of Texas Health Science Center at Houston  
 The University of Texas Health Science Center at San Antonio  
 The University of Texas M.D. Anderson Cancer Center  
 The University of Texas Health Center at Tyler

BOARD OF REGENTS  
 of  
 THE UNIVERSITY OF TEXAS SYSTEM

Francie A. Frederick, Executive Secretary  
 201 West Seventh Street, Suite 820  
 Austin, Texas 78701-2981  
 (512) 499-4402  
 Fax: (512) 499-4425

November 22, 1999

MEMORANDUM

TO: Executive Vice Chancellor Mullins  
 Executive Vice Chancellor Sharpe

FROM: Francie A. Frederick *Francie*

SUBJECT: Name of Component Institutions and Entities

*mailed  
 11/22/99  
 cf*

The Regents' Rules and Regulations, Part One, Chapter VIII lists the institutions and entities composing the U. T. System. It appears that several of the college and school names may need updating. Would you please review, and ask the components to review, the listing (copy attached) and provide any corrections by December 15? Thank you.

FAF/cf  
 Attachment

*Responded:*

<i>✓ UTAR</i>	<i>UTSAC-0</i>
<i>✓ UTA</i>	<i>UTAR-6</i>
<i>✓ UTE</i>	<i>UTMHC-H</i>
<i>✓ UTB</i>	<i>UTMHC-SP</i>
<i>✓ UTDP</i>	<i>✓ UTMHC-CC</i>
<i>✓ UTPA</i>	<i>UTHC-7</i>
<i>✓ UTSA</i>	
<i>✓ UTIC</i>	
<i>UTT</i>	

Sec. 2. Flags at Half Mast.--Flags at any of the component institutions of the System shall be placed at half mast on the death of a Regent, the death of a member of the teaching or non-teaching staff, the death of a student in residence at the institution, or at such other times as may be deemed appropriate by the chief administrative officer.

Sec. 3. Medical and Hospital Services.--With exceptions as outlined below, no health care services shall be provided by any component institution of the System to any person without compensation or reimbursement to the System. In the case of health care facilities operated by the System, which under the law are open to the general public, free or partly free health care services may be rendered to persons who are indigent and who are able to offer proof that they are not financially able to pay either all or any part of their health care expenses. In the case of health care services provided by faculty of a general academic component in the conduct of an educational program, persons receiving such services need not be charged for such services.

Health components may accept patients for acute or continuing, or both, care without referral by another physician or agency. The patients are accepted for total and continuing care including the obligation to obtain the services of other physicians when indicated.

Sec. 4. Institutions and Entities Composing the System.--The System is composed of the component institutions and entities set forth below in paragraphs 4.1 et seq. and such other component institutions and activities as may from time to time be assigned to the governance, control, jurisdiction, or management of the Board of the System. To insure uniformity and

consistence of usage throughout the System, the component institutions and their respective entities shall be listed in the following order and the following titles shall be used:

	<u>Full Title</u>	<u>Short Title</u>
4.1	The University of Texas System Board of Regents, including The University of Texas Permanent University Fund	U.T. Board of Regents Permanent University Fund
4.2	The University of Texas System Administration	U.T. System Administration
4.3	The University of Texas at Arlington	U.T. Arlington
4.31	The University of Texas at Arlington School of Architecture	
4.32	The University of Texas at Arlington College of Business Administration	
4.33	The University of Texas at Arlington College of Engineering	
4.34	The University of Texas at Arlington College of Liberal Arts	
4.35	The University of Texas at Arlington School of Nursing	
4.36	The University of Texas at Arlington College of Science	
4.37	The University of Texas at Arlington School of Social Work	
4.38	The University of Texas at Arlington Graduate School	

	<u>Full Title</u>	<u>Short Title</u>
	4.39 The University of Texas at Arlington School of Urban and Public Affairs	U.T. Arlington School of Urban and Public Affairs
4.4	The University of Texas at Austin	U.T. Austin
	4.41 The University of Texas at Austin School of Archi- tecture	
	4.42 The University of Texas at Austin College of Liberal Arts	
	4.43 The University of Texas at Austin College of Natural Sciences	
	4.44 The University of Texas at Austin College of Business Administration	
	4.45 The University of Texas at Austin College of Commu- nication	
	4.46 The University of Texas at Austin College of Educa- tion	
	4.47 The University of Texas at Austin College of Engi- neering	
	4.48 The University of Texas at Austin College of Fine Arts	
	4.49 The University of Texas at Austin School of Law	
	4.4(10) The University of Texas at Austin Graduate School of Library and Information Science	
	4.4(11) The University of Texas at Austin School of Nursing	
	4.4(12) The University of Texas at Austin College of Pharmacy	
	4.4(13) The University of Texas at Austin Lyndon B. Johnson School of Public Affairs	
	4.4(14) The University of Texas at Austin School of Social Work	

	<u>Full Title</u>	<u>Short Title</u>
	4.4(15) The University of Texas at Austin Graduate School	
	4.4(16) The University of Texas at Austin Marine Science Institute	U.T. Austin Marine Science Institute
	4.4(17) The University of Texas at Austin McDonald Observatory at Mount Locke	U.T. Austin McDonald Observatory
4.5	The University of Texas at Brownsville	U.T. Brownsville
	4.51 The University of Texas at Brownsville School of Business	
	4.52 The University of Texas at Brownsville School of Education	
	4.53 The University of Texas at Brownsville School of Health Sciences	
	4.54 The University of Texas at Brownsville College of Liberal Arts	
	4.55 The University of Texas at Brownsville College of Science, Mathematics and Technology	
	4.56 The University of Texas at Brownsville Division of Continuing Education	
4.6	The University of Texas at Dallas	U.T. Dallas
	4.61 The University of Texas at Dallas School of Arts and Humanities	
	4.62 The University of Texas at Dallas Erik Jonsson School of Engineering and Computer Science	
	4.63 The University of Texas at Dallas School of General Studies	
	4.64 The University of Texas at Dallas School of Human Development	
	4.65 The University of Texas at Dallas School of Management	

	<u>Full Title</u>	<u>Short Title</u>
	4.66 The University of Texas at Dallas School of Natural Sciences and Mathematics	
	4.67 The University of Texas at Dallas School of Social Sciences	
	4.68 The University of Texas at Dallas Callier Center for Communication Disorders	
4.7	The University of Texas at El Paso	U.T. El Paso
	4.71 The University of Texas at El Paso College of Business Administration	
	4.72 The University of Texas at El Paso College of Education	
	4.73 The University of Texas at El Paso College of Engineering	
	4.74 The University of Texas at El Paso College of Liberal Arts	
	4.75 The University of Texas at El Paso College of Nursing and Health Sciences	
	4.76 The University of Texas at El Paso College of Science	
	4.77 The University of Texas at El Paso Graduate School	
4.8	The University of Texas - Pan American	U.T. Pan American
	4.81 The University of Texas - Pan American College of Liberal and Performing Arts	
	4.82 The University of Texas - Pan American College of Business Administration	
	4.83 The University of Texas - Pan American College of Education	
	4.84 The University of Texas - Pan American College of Health Sciences and Human Services	

	<u>Full Title</u>	<u>Short Title</u>
4.85	The University of Texas - Pan American College of Science and Engineering	
4.86	The University of Texas - Pan American College of Social and Behavioral Sciences	
4.9	The University of Texas of the Permian Basin	U.T. Permian Basin
4.91	The University of Texas of the Permian Basin College of Arts and Sciences	
4.92	The University of Texas of the Permian Basin School of Business	
4.93	The University of Texas of the Permian Basin School of Education	
4.(10)	The University of Texas at San Antonio	U.T. San Antonio
4.(10)1	The University of Texas at San Antonio College of Business	
4.(10)2	The University of Texas at San Antonio College of Fine Arts and Humanities	
4.(10)3	The University of Texas at San Antonio College of Social and Behavioral Sciences	
4.(10)4	The University of Texas at San Antonio College of Sciences and Engineering	
4.(10)5	The University of Texas Institute of Texan Cultures at San Antonio	U.T. Institute of Texan Cul- tures - San Antonio
4.(10)6	The University of Texas at San Antonio Downtown Campus	U.T. San Antonio Downtown Campus

	<u>Full Title</u>	<u>Short Title</u>
4.(11)	The University of Texas at Tyler	U.T. Tyler
4.(11)1	The University of Texas at Tyler School of Business Administration	
4.(11)2	The University of Texas at Tyler School of Education and Psychology	

August 11, 1994

	<u>Full Title</u>	<u>Short Title</u>
	4.(11)3 The University of Texas at Tyler School of Liberal Arts	
	4.(11)4 The University of Texas at Tyler School of Sciences and Mathematics	
	4.(11)5 The University of Texas at Tyler Division of Nursing	
4.(12)	The University of Texas Southwestern Medical Center at Dallas	U.T. Southwestern Medical Center - Dallas
	4.(12)1 The University of Texas Southwestern Medical School at Dallas	U.T. Southwestern Medical School - Dallas
	4.(12)2 The University of Texas Southwestern Graduate School of Biomedical Sciences at Dallas	U.T. Southwestern G.S.B.S. - Dallas
	4.(12)3 The University of Texas Southwestern Allied Health Sciences School at Dallas	U.T. Southwestern A.H.S.S. - Dallas
4.(13)	The University of Texas Medical Branch at Galveston	U.T. Medical Branch - Galveston
	4.(13)1 The University of Texas Medical School at Galveston	U.T. Medical School - Galveston
	4.(13)2 The University of Texas Graduate School of Biomedical Sciences at Galveston	U.T. G.S.B.S. - Galveston
	4.(13)3 The University of Texas School of Allied Health Sciences at Galveston	U.T. Allied Health Sciences School - Galveston
	4.(13)4 The University of Texas Marine Biomedical Institute at Galveston	U.T. Marine Biomedical Institute - Galveston
	4.(13)5 The University of Texas Institute for the Medical Humanities at Galveston	U.T. Medical Humanities Institute - Galveston
	4.(13)6 The University of Texas Hospitals at Galveston	U.T. Hospitals - Galveston

June 10, 1993

	<u>Full Title</u>	<u>Short Title</u>
	4.(13)7 The University of Texas School of Nursing at Galveston	U.T. Nursing School - Galveston
4.(14)	The University of Texas Health Science Center at Houston	U.T. Health Science Center - Houston
	4.(14)1 The University of Texas Medical School at Houston	U.T. Medical School - Houston
	4.(14)2 The University of Texas Dental Branch at Houston	U.T. Dental Branch - Houston
	4.(14)3 The University of Texas Graduate School of Bio- medical Sciences at Houston	U.T. G.S.B.S. - Houston
	4.(14)4 The University of Texas School of Allied Health Sciences at Houston	U.T. Allied Health Sciences School - Houston
	4.(14)5 The University of Texas School of Public Health at Houston	U.T. Public Health School - Houston
	4.(14)6 The University of Texas School of Nursing at Houston	U.T. Nursing School - Houston
4.(15)	The University of Texas Health Science Center at San Antonio	U.T. Health Science Center - San Antonio
	4.(15)1 The University of Texas Medical School at San Antonio	U.T. Medical School - San Antonio
	4.(15)2 The University of Texas Dental School at San Antonio	U.T. Dental School - San Antonio
	4.(15)3 The University of Texas Graduate School of Bio- medical Sciences at San Antonio	U.T. G.S.B.S. - San Antonio
	4.(15)4 The University of Texas School of Allied Health Sciences at San Antonio	U.T. Allied Health Sciences School - San Antonio

August 10, 1989

<u>Full Title</u>	<u>Short Title</u>
4.(15)5 The University of Texas School of Nursing at San Antonio	U.T. Nursing School - San Antonio
4.(16) The University of Texas M.D. Anderson Cancer Center	U.T. M.D. Anderson Cancer Center
4.(16)1 The University of Texas M.D. Anderson Hospital	U.T. M.D. Anderson Hospital
4.(16)2 The University of Texas M.D. Anderson Tumor Institute	U.T. M.D. Anderson Tumor Institute
4.(16)3 The University of Texas M.D. Anderson Science Park	U.T. M.D. Anderson Science Park
4.(17) The University of Texas Health Center at Tyler	U.T. Health Center - Tyler
4.(18) The University of Texas System Bauer House	U.T. Bauer House

**Felkel, Carol**

**From:** Frederick, Francie  
**Sent:** Wednesday, April 28, 1999 9:45 AM  
**To:** Felkel, Carol  
**Subject:** FW: RAHC component names

*to print  
RAHC  
Ret 0.0  
UTII*

would you ~~pls print~~ this note and the 2 attachments for me? This is something we may end up with an Agenda Item on (for August?). Thanks.

**From:** Vernon, Jerry  
**Sent:** Friday, April 23, 1999 9:09 AM  
**To:** Frederick, Francie  
**Subject:** RAHC component names

Francie - This bill came to my attention from the GRAC videoconference yesterday. Just wanted you to be aware that this bill is out there and may eventually effect the names of our RAHC sites.



SB 0893 Analysis.cfm



SB 0893.rtf.doc

*attached - was able to print it today*

Jerry L. Vernon  
Associate Director for Facilities Management  
Office of Facilities Planning and Construction  
The University of Texas System

*couldn't open. Saw your email to JV asking to resend.*

*GF  
4/29*

*7-26-99  
need for  
Nov. ps. \**

A BILL TO BE ENTITLED

AN ACT

relating to the naming of components and facilities of the regional academic health center.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:

SECTION 1. Section 74.613, Education Code, is amended to read as follows:

Sec. 74.613. FACILITIES. (a) The physical facilities of the regional academic health center used in its teaching and research programs, including libraries, auditoriums, research facilities, and medical education buildings, may be provided by a public or private entity. A physical facility may be constructed, maintained, or operated with state money appropriated for that purpose.

(b) The facilities and associated programs operated by the regional academic health center in or near any municipality in the counties served by the center shall be designated as "the University of Texas Regional Academic Health Center at (insert name of municipality)."

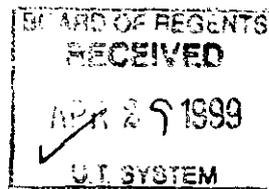
SECTION 2. The importance of this legislation and the crowded condition of the calendars in both houses create an emergency and an imperative public necessity that the constitutional rule requiring bills to be read on three several days in each house be suspended, and this rule is hereby suspended, and that this Act take effect and be in force from and after its passage, and it is so enacted.

FAXFAXFAXFAXFAXFAXFAXFAX FAXFAXFAXFAXFAXFAXFAXFAX FAXFAXFAXFAX

# THE UNIVERSITY OF TEXAS SYSTEM

Office of Facilities Planning and Construction  
702 Colorado Street, Suite 400  
Austin, Texas 78701

Telephone: (512) 499-4600  
FAX: (512) 499-4604



Destination Fax Number ( )	4425
Number of Pages (including this cover sheet):	4
If there are any problems in receiving this message, please call (512) 499-4600.	

DATE: 4/29/99

TO: FRANCIE FREDERICK

FROM: JERRY VERNON

SUBJECT: RATC COMPONENT NAMES / ROSANSE TO E-MAIL

SINCE MY E-MAIL TRANSMISSION HAVE  
RECEIVED A COMMITTEE SUBSTITUTE FOR SB. 893 (ATTACHED)  
WITH ANALYSIS.

Confidentiality Notice: The documents accompanying this telefax contain certain confidential information, belonging to the sender that is legally privileged. This information is intended only for the use of the individual or entity named above. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution, or the taking of any action in reliance on the contents of this telefaxed information is strictly prohibited. If you have received this telefax in error, please notify us by telephone at (512) 499-4600 immediately to arrange for the return of the original documents to us.

1-1 By: Lucio S.B. No. 893  
1-2 (In the Senate - Filed March 4, 1999; March 8, 1999, read  
1-3 first time and referred to Committee on Education; April 26, 1999,  
1-4 reported adversely, with favorable Committee Substitute by the  
1-5 following vote: Yeas 7, Nays 0; April 26, 1999, sent to printer.)  
1-6 COMMITTEE SUBSTITUTE FOR S.B. No. 893 By: Zaffirini  
1-7 A BILL TO BE ENTITLED  
1-8 AN ACT  
1-9 relating to the components and facilities of the regional academic  
1-10 health center of The University of Texas System in the Lower Rio  
1-11 Grande Valley region.  
1-12 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:  
1-13 SECTION 1. Subsection (a), Section 65.02, Education Code, is  
1-14 amended to read as follows:  
1-15 (a) The University of Texas System is composed of the  
1-16 following institutions and entities:  
1-17 (1) The University of Texas at Arlington, including  
1-18 (A) The University of Texas Institute of Urban  
1-19 Studies at Arlington; and  
1-20 (B) The University of Texas School of Nursing at  
1-21 Arlington;  
1-22 (2) The University of Texas at Austin, including  
1-23 (A) The University of Texas Marine Science  
1-24 Institute;  
1-25 (B) The University of Texas McDonald Observatory  
1-26 at Mount Locke; and  
1-27 (C) The University of Texas School of Nursing at  
1-28 Austin;  
1-29 (3) The University of Texas at Dallas;  
1-30 (4) The University of Texas at El Paso, including The  
1-31 University of Texas School of Nursing at El Paso;  
1-32 (5) The University of Texas of the Permian Basin;  
1-33 (6) The University of Texas at San Antonio, including  
1-34 the University of Texas Institute of Texan Cultures at San Antonio;  
1-35 (7) The University of Texas Southwestern Medical  
1-36 Center at Dallas, including  
1-37 (A) The University of Texas Southwestern Medical  
1-38 School at Dallas;  
1-39 (B) The University of Texas Southwestern  
1-40 Graduate School of Biomedical Sciences at Dallas; and  
1-41 (C) The University of Texas Southwestern Allied  
1-42 Health Sciences School at Dallas;  
1-43 (8) The University of Texas Medical Branch at  
1-44 Galveston, including  
1-45 (A) The University of Texas Medical School at  
1-46 Galveston;  
1-47 (B) The University of Texas Graduate School of  
1-48 Biomedical Sciences at Galveston;  
1-49 (C) The University of Texas School of Allied  
1-50 Health Sciences at Galveston;  
1-51 (D) The University of Texas Marine Biomedical  
1-52 Institute at Galveston;  
1-53 (E) The University of Texas Hospitals at  
1-54 Galveston; and  
1-55 (F) The University of Texas School of Nursing at  
1-56 Galveston;  
1-57 (9) The University of Texas Health Science Center at  
1-58 Houston, including  
1-59 (A) The University of Texas Medical School at  
1-60 Houston;  
1-61 (B) The University of Texas Dental Branch at  
1-62 Houston;  
1-63 (C) The University of Texas Graduate School of  
1-64 Biomedical Sciences at Houston;  
2-1 (D) The University of Texas School of Allied

2-2 Health Sciences at Houston;

2-3 (E) The University of Texas School of Public

2-4 Health at Houston;

2-5 (F) The University of Texas Speech and Hearing

2-6 Institute at Houston; [and]

2-7 (G) The University of Texas School of Nursing at

2-8 Houston; and

2-9 (H) The University of Texas Health Science

2-10 Center at Houston Regional Academic Health Center at Brownsville;

2-11 (10) The University of Texas Health Science Center at

2-12 San Antonio, including

2-13 (A) The University of Texas Medical School at

2-14 San Antonio;

2-15 (B) The University of Texas Dental School at San

2-16 Antonio;

2-17 (C) The University of Texas Graduate School of

2-18 Biomedical Sciences at San Antonio;

2-19 (D) The University of Texas School of Allied

2-20 Health Sciences at San Antonio; [and]

2-21 (E) The University of Texas School of Nursing at

2-22 San Antonio;

2-23 (F) The University of Texas Health Science

2-24 Center at San Antonio Regional Academic Health Center at Edinburg;

2-25 and

2-26 (G) The University of Texas Health Science

2-27 Center at San Antonio Regional Academic Health Center at Harlingen;

2-28 and

2-29 (11) The University of Texas M. D. Anderson Cancer

2-30 Center, including

2-31 (A) The University of Texas M. D. Anderson

2-32 Hospital;

2-33 (B) The University of Texas M. D. Anderson Tumor

2-34 Institute; and

2-35 (C) The University of Texas M. D. Anderson

2-36 Science Park.

2-37 SECTION 2. Section 74.613, Education Code, is amended to

2-38 read as follows:

2-39 Sec. 74.613. FACILITIES. (a) The physical facilities of the

2-40 regional academic health center used in its teaching and research

2-41 programs, including libraries, auditoriums, research facilities,

2-42 and medical education buildings, may be provided by a public or

2-43 private entity. A physical facility may be constructed,

2-44 maintained, or operated with state money appropriated for that

2-45 purpose.

2-46 (b) The facilities and associated programs operated by the

2-47 regional academic health center in or near any municipality in the

2-48 counties served by the center shall be designated as "The

2-49 University of Texas (insert name of appropriate component

2-50 institution) Regional Academic Health Center at (insert name of

2-51 municipality)."

2-52 SECTION 3. The importance of this legislation and the

2-53 crowded condition of the calendars in both houses create an

2-54 emergency and an imperative public necessity that the

2-55 constitutional rule requiring bills to be read on three several

2-56 days in each house be suspended, and this rule is hereby suspended,

2-57 and that this Act take effect and be in force from and after its

2-58 passage, and it is so enacted.

2-59 \* \* \* \* \*

## SRC-ARR C.S.S.B. 893 76(R)BILL ANALYSIS

Senate Research CenterC.S.S.B. 893  
76R12258 JSA-FBy: Lucio  
Education  
4/23/1999  
Committee Report (Substituted)

## DIGEST

Currently, Texas law does not provide for the naming of the University of Texas Regional Academic Health Centers. C.S.S.B. 893 would require each University of Texas Regional Academic Health Center to carry the name of the community served by the center.

## PURPOSE

As proposed, C.S.S.B. 893 regulates the naming of components and facilities of the regional academic health center.

## RULEMAKING AUTHORITY

This bill does not grant any additional rulemaking authority to a state officer, institution, or agency.

## SECTION BY SECTION ANALYSIS

SECTION 1. Amends Section 65.02(a), Education Code, to provide that the University of Texas System is composed of certain institutions, including the University of Texas Health Science Center at Houston Regional Academic Health Center at Brownsville, the University of Texas Health Science Center at San Antonio Regional Academic Health Center at Edinburg, and the University of Texas Health Science Center at San Antonio Regional Academic Health Center at Harlingen.

SECTION 2. Amends Section 74.613, Education Code, to require the facilities and associated programs operated by the regional academic health center in or near any municipality in the counties served by the center to be designated as "the University of Texas (insert name of appropriate component institution) Regional Academic Health Center at (insert name of municipality)."

SECTION 2. Emergency clause.

Effective date: upon passage.

## SUMMARY OF COMMITTEE CHANGES

## SECTION 1.

Amends Section 65.02(a), Education Code, to add certain institutions to the University of Texas System.

## SECTION 2.

Amends Section 74.613, Education Code, to set forth the requirements for designating the name of certain component institutions in the University of Texas System.

# PRESIDENT'S OFFICE

THE UNIVERSITY OF TEXAS AT ARLINGTON

BOARD OF REGENTS  
RECEIVED

NOV 29 1999

SYSTEM

## FACSIMILE TRANSMITTAL SHEET

TO:	FROM:
<b>Francie Frederick</b>	Robert E. Witt
COMPANY:	DATE:
	11-27-99
FAX NUMBER:	TOTAL NO. OF PAGES INCLUDING COVER:
(512) 499-4425	5
PHONE NUMBER:	RE:

URGENT   
  FOR REVIEW   
  PLEASE COMMENT   
  PLEASE REPLY   
  PLEASE RECYCLE

NOTES/COMMENTS:

UTA BOX 19125  
 ARLINGTON, TX 76019  
 (817) 272-2101  
 (817) 272-5656 FAX

Official Copy

August 10, 1989

consistency of usage throughout the System, the component institutions and their respective entities shall be listed in the following order and the following titles shall be used:

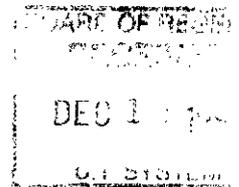
	<u>Full Title</u>	<u>Short Title</u>
4.1	The University of Texas System Board of Regents, including The University of Texas Permanent University Fund	U.T. Board of Regents Permanent University Fund
4.2	The University of Texas System Administration	U.T. System Administration
4.3	The University of Texas at Arlington	U.T. Arlington
4.31	The University of Texas at Arlington School of Architecture	
4.32	The University of Texas at Arlington College of Business Administration	
4.33	The University of Texas at Arlington College of Engineering	
4.34	The University of Texas at Arlington College of Liberal Arts	
4.35	The University of Texas at Arlington School of Nursing	
4.36	The University of Texas at Arlington College of Science	
4.37	The University of Texas at Arlington School of Social Work	
4.38	The University of Texas at Arlington Graduate School	
4.39	The University of Texas at Arlington School of Education	✓
4.40	The University of Texas at Arlington Honors College	✓

Editorial Amendment  
Issued March 1992

Official Copy  
April 11, 1991

<u>Full Title</u>	<u>Short Title</u>
4.39 The University of Texas at Arlington School of Urban and Public Affairs	U.T. Arlington School of Urban and Public Affairs
4.4 The University of Texas at Austin	U.T. Austin
4.41 The University of Texas at Austin School of Archi- tecture	
4.42 The University of Texas at Austin College of Liberal Arts	
4.43 The University of Texas at Austin College of Natural Sciences	
4.44 The University of Texas at Austin College of Business Administration	
4.45 The University of Texas at Austin College of Commu- nication	
4.46 The University of Texas at Austin College of Educa- tion	
4.47 The University of Texas at Austin College of Engi- neering	
4.48 The University of Texas at Austin College of Fine Arts	
4.49 The University of Texas at Austin School of Law	
4.4(10) The University of Texas at Austin Graduate School of Library and Information Science	
4.4(11) The University of Texas at Austin School of Nursing	
4.4(12) The University of Texas at Austin College of Pharmacy	
4.4(13) The University of Texas at Austin Lyndon B. Johnson School of Public Affairs	
4.4(14) The University of Texas at Austin School of Social Work	

**THE UNIVERSITY OF TEXAS AT AUSTIN**  
**Office of the President**  
**Main Building 400**  
**P. O. Box T**  
**Austin, Texas 78713-8920**



**URGENT FAX**

**To:** Ms. Francie Frederick  
Executive Secretary to the Board  
of Regents

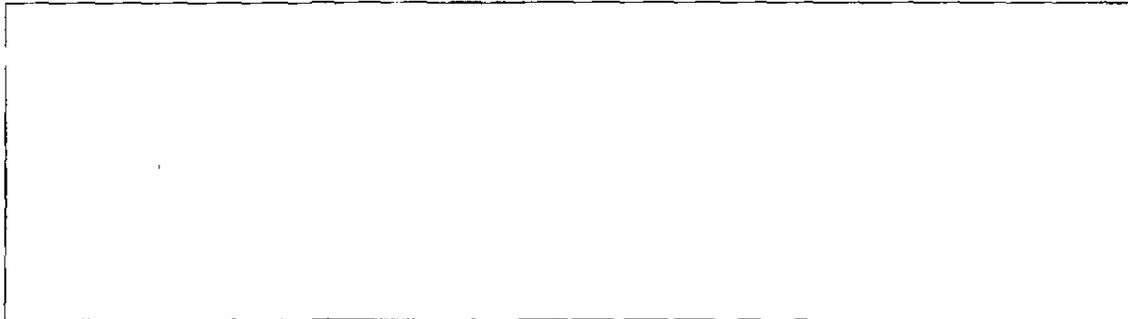
**Fax #:** 6-4425

**# Pages:** 4 (incl. cover)

**From:** Patricia C. Ohlendorf  
Vice President for Administration and Legal Affairs  
Phone: 512-471-1241, fax: 512-471-8102

**Date:** December 15, 1999

**Message:**



**If you need a "resend" of any page, please call (512) 471-1241 as soon as possible. Thank you.**

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To: Francie Fredericki

OFFICE OF ACADEMIC AFFAIRS

THE UNIVERSITY OF TEXAS SYSTEM

601 Colorado Street  
Austin, Texas 78701-2982

Edwin R. Sharpe  
Executive Vice Chancellor  
for Academic Affairs  
512/499-4233  
512/499-4240 fax

November 23, 1999

FACSIMILE TRANSMISSION

TO: Dr. Larry R. Faulkner  
Dr. Juliet V. Garcia  
Dr. Rodney H. Mabry  
Dr. Franklyn G. Jenifer  
Dr. Ricardo Romo

Dr. Diana S. Natalicio  
Dr. Miguel A. Nevarez  
Dr. Charles A. Scott  
Dr. Robert E. Witt

PRESIDENT'S OFFICE	
U. T. AUSTIN	
85663	
NOV 24 1999	
REC'D	
REFER TO	FO
HANDLE	
COMMENT & RETURN	
FILE OR DISCARD	

# of Pages: 12 including cover sheet

RE: Board request for update

COMMENTS:

Please see attached and respond to the Board office at 512/499-4425.

Francie -  
The UT Austin  
information is correct.

Sharon,

FO

12/15/99

Academic Component Institutions  
 The University of Texas at Arlington  
 The University of Texas at Austin  
 The University of Texas at Brownsville  
 The University of Texas at Dallas  
 The University of Texas at El Paso  
 The University of Texas - Pan American  
 The University of Texas of the Permian Basin  
 The University of Texas at San Antonio  
 Institute of Texas Culture  
 The University of Texas at Tyler



Health Component Institutions  
 The University of Texas Southwestern Medical Center at Dallas  
 The University of Texas Medical Branch at Galveston  
 The University of Texas Health Science Center at Houston  
 The University of Texas M.D. Anderson Cancer Center  
 The University of Texas Health Center at San Antonio

THE UNIVERSITY OF TEXAS SYSTEM ACADEMIC AFFAIRS  
 REC'D NOV 23 1999

TO \_\_\_\_\_ FOR INFO RETURN  
 TO \_\_\_\_\_ PLEASE ADVISE ME  
 TO \_\_\_\_\_ PLEASE HANDLE

BOARD OF REGENTS  
 of  
 THE UNIVERSITY OF TEXAS SYSTEM

Francie A. Frederick, Executive Secretary  
 201 West Seventh Street, Suite 820  
 Austin, Texas 78701-2981  
 (512) 499-4402  
 Fax: (512) 499-4425

November 22, 1999

MEMORANDUM

TO: Executive Vice Chancellor Mullins  
 Executive Vice Chancellor Sharpe

FROM: Francie A. Frederick *Francie*

SUBJECT: Name of Component Institutions and Entities

The Regents' Rules and Regulations, Part One, Chapter VIII lists the institutions and entities composing the U. T. System. It appears that several of the college and school names may need updating. Would you please review, and ask the components to review, the listing (copy attached) and provide any corrections by December 15? Thank you.

FAF/cf  
 Attachment

Regents' Rules and Regulations  
Part One

Official Copy  
Nov. 13, 1997

Sec. 2. Flags at Half Mast.--Flags at any of the component institutions of the System shall be placed at half mast on the death of a Regent, the death of a member of the teaching or non-teaching staff, the death of a student in residence at the institution, or at such other times as may be deemed appropriate by the chief administrative officer.

Sec. 3. Medical and Hospital Services.--With exceptions as outlined below, no health care services shall be provided by any component institution of the System to any person without compensation or reimbursement to the System. In the case of health care facilities operated by the System, which under the law are open to the general public, free or partly free health care services may be rendered to persons who are indigent and who are able to offer proof that they are not financially able to pay either all or any part of their health care expenses. In the case of health care services provided by faculty of a general academic component in the conduct of an educational program, persons receiving such services need not be charged for such services.

Health components may accept patients for acute or continuing, or both, care without referral by another physician or agency. The patients are accepted for total and continuing care including the obligation to obtain the services of other physicians when indicated.

Sec. 4. Institutions and Entities Composing the System.--The System is composed of the component institutions and entities set forth below in paragraphs 4.1 et seq. and such other component institutions and activities as may from time to time be assigned to the governance, control, jurisdiction, or management of the Board of the System. To insure uniformity and



The University of Texas at Brownsville/TSC  
80 Fort Brown  
Brownsville, TX 78520, (956) 544-8209  
FAX (956) 548-6522

**Academic Affairs**

BOARD OF TRUSTEES  
UNIVERSITY OF TEXAS AT BROWNSVILLE  
NOV 30 1999  
OFFICE OF THE SECRETARY

# Fax

To: Francie Frederick From: Raymond J. Rodrigues

Fax: 512 499-4425 Pages: 2

Phone: \_\_\_\_\_ Date: 11/30/99

Re: \_\_\_\_\_ CC: \_\_\_\_\_

- Urgent
- For Review
- Please Comment
- Please Reply
- Please Recycle

● Comments: \_\_\_\_\_

\_\_\_\_\_

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\_\_\_\_\_

*FF - Progress!  
Several responses  
attached re  
R/R corp. names*

*allent!*  
*11/29*



DIVISION OF ACADEMIC AFFAIRS

THE UNIVERSITY OF TEXAS AT BROWNSVILLE and TEXAS SOUTHMOST COLLEGE

80 Fort Brown • Brownsville, Texas 78520 • (956) 544-8266 • Fax: (956) 548-6522

Raymond J. Rodrigues  
Provost & Vice President

November 30, 1999

Francie A. Frederick, Executive Secretary  
University of Texas System  
201 West Seventh Street, Suite 820  
Austin, Texas 78701-2981

Dear Ms. Frederick:

This is to confirm that the college and school names for the University of Texas at Brownsville are correct.

Sincerely,

A handwritten signature in cursive script that reads 'Raymond J. Rodrigues'. The signature is written in black ink and is positioned above the printed name.

Raymond J. Rodrigues  
Provost and Vice President for Academic Affairs

Cc: Hector Castillo



THE UNIVERSITY of TEXAS at EL PASO

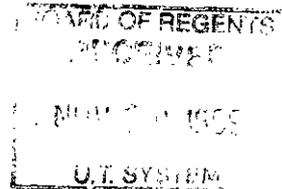


Office of the President  
Administration Building, Room 500  
El Paso, TX 79968-0500

**Fax Transmittal Cover Sheet**

Date: November 30, 1999

To: Francie Frederick  
Board of Regents Office  
FAX # 512-499-4425



From: Diana Natalicio  
President  
FAX # 915-747-5069

Subject: The only change is the new name: College of  
Health Sciences.

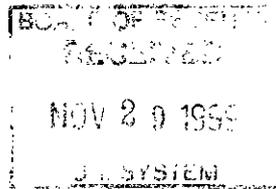
Number of Pages  
Including This Page 3

If you have questions, call Irene at 915-747-5555

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Official Copy  
Nov. 9, 1995

	<u>Full Title</u>	<u>Short Title</u>
	4.66 The University of Texas at Dallas School of Natural Sciences and Mathematics	
	4.67 The University of Texas at Dallas School of Social Sciences	
	4.68 The University of Texas at Dallas Callier Center for Communication Disorders	
4.7	The University of Texas at El Paso	U.T. El Paso
	4.71 The University of Texas at El Paso College of Business Administration	
	4.72 The University of Texas at El Paso College of Education	
	4.73 The University of Texas at El Paso College of Engineering	
	4.74 The University of Texas at El Paso College of Liberal Arts	
	4.75 The University of Texas at El Paso College of <del>Nursing</del> Health Sciences	
	4.76 The University of Texas at El Paso College of Science	
	4.77 The University of Texas at El Paso Graduate School	
4.8	The University of Texas - Pan American	U.T. Pan American
	4.81 The University of Texas - Pan American College of Liberal and Performing Arts	
	4.82 The University of Texas - Pan American College of Business Administration	
	4.83 The University of Texas - Pan American College of Education	
	4.84 The University of Texas - Pan American College of Health Sciences and Human Services	



**THE UNIVERSITY OF TEXAS-PAN AMERICAN**  
 Office of Undergraduate Studies  
 1201 West University Drive  
 Edinburg, Texas 78539-2999

Phone: (956) 381-2111

Fax: (956) 381-2114

DATE: 11-29-99

TO: Ms. Francis A. Frederick  
 \_\_\_\_\_  
 \_\_\_\_\_

FAX NO: (512) 499-4425

MESSAGE:

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

FROM: Dr. Kichoon Yang

DEPT: Undergraduate Studies

Total number of pages (including this cover sheet): 2

Operator: LUDY



ASSISTANT VICE PRESIDENT FOR  
UNDERGRADUATE STUDIES

**THE UNIVERSITY OF TEXAS - PAN AMERICAN**

1201 West University Drive • Edinburg, Texas 78539-2999 • (956) 381-2111 Office • (956) 381-2214 Fax

November 29, 1999

To: Ms. Francie A. Frederick, Executive Secretary  
The UT System, Office of the Board of Regents  
(512) 499-4425 (Fax)

From: Kichoon Yang *KY*  
Academic Affairs, UTPA

Re: Requested Update

Cc: Dr. Miguel Nevárez, President  
UTPA

---

I have reviewed the college listing in the Regents' Rules and Regulations, Part One, Chapter VIII, and notifying you of the following update:

4.81 The University of Texas-Pan American College of Liberal and Performing Arts

should be changed to

**4.81 The University of Texas-Pan American College of Arts and Humanities**

*✓*  
*12/13*

Please let me know if you have any questions about this.

**Felkel, Carol**

---

**From:** Wright, Holly  
**Sent:** Tuesday, December 07, 1999 2:31 PM  
**To:** Felkel, Carol  
**Subject:** Call from Carla Nelson

Carla Nelson from President Sorber's Office called and U. T. Permian Basin has no changes to the Regents' Rules.

Academic Component Institutions  
 The University of Texas at Arlington  
 The University of Texas at Austin  
 The University of Texas at Dallas  
 The University of Texas at El Paso  
 The University of Texas - Pan American  
 The University of Texas of the Permian Basin  
 The University of Texas at San Antonio  
 Institute of Texas Culture  
 The University of Texas at Tyler



Health Component Institutions  
 The University of Texas at Dallas  
 The University of Texas at Austin  
 The University of Texas Health Science Center at Houston  
 The University of Texas Health Science Center at San Antonio  
 The University of Texas M.D. Anderson Cancer Center  
 The University of Texas at San Antonio

RECEIVED  
 NOV 29 1999  
 TO \_\_\_\_\_ FOR INFO RETURN  
 TO \_\_\_\_\_ PLEASE ADVISE ME  
 TO \_\_\_\_\_ PLEASE HANDLE

**BOARD OF REGENTS**  
 of  
**THE UNIVERSITY OF TEXAS SYSTEM**

Francis A. Frederick, Executive Secretary  
 201 West Seventh Street, Suite 820  
 Austin, Texas 78701-2981  
 (512) 499-4402  
 Fax: (512) 499-4425

November 22, 1999

**MEMORANDUM**

**TO:** Executive Vice Chancellor Mullins  
 Executive Vice Chancellor Sharpe

**FROM:** Francis A. Frederick *Frederick*

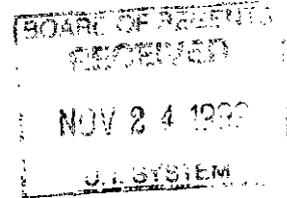
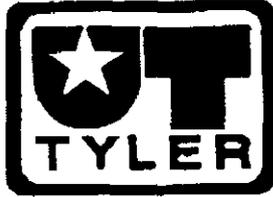
**SUBJECT:** Name of Component Institutions and Entities

The Regents' Rules and Regulations, Part One, Chapter VIII lists the institutions and entities composing the U. T. System. It appears that several of the college and school names may need updating. Would you please review, and ask the components to review, the listing (copy attached) and provide any corrections by December 15? Thank you.

FAF/af  
 Attachment

12-1-99

listing for U.T. San Antonio  
 is correct.



The University of Texas at Tyler  
FAX number: 903-566-8368

**Date:** November 24, 1999

**To:** Mrs. Francie Frederick

**Fax Number:** \_\_\_\_\_

**From:** Rod Mabry

**Number of pages including this cover sheet:** 3

**Message:**

As requested, attached is an updated listing of colleges at U. T. Tyler.

**If you have difficulty receiving this transmittal, please phone 903-566-7100.**

Official Copy  
August 11, 1994

	<u>Full Title</u>	<u>Short Title</u>
4.(11)	The University of Texas at Tyler	U.T. Tyler
4.(11)1	The University of Texas at Tyler <del>School of Business Administration</del> College ✓	
4.(11)2	The University of Texas at Tyler <del>School of Education and Psychology</del> College ✓	
4.(11)3	The University of Texas at Tyler College of Engineering ✓	

Editorial Amendment  
Issued November 1995

Official Copy

August 11, 1994

<u>Full Title</u>	<u>Short Title</u>
4.(11) <del>4</del> The University of Texas at Tyler <del>School of Liberal Arts</del> <i>College</i> ✓	
4.(11) <del>5</del> The University of Texas at Tyler <del>School of Sciences and Mathematics</del> <i>College</i> ✓	
4.(11) <del>6</del> The University of Texas at Tyler <del>Division of Nursing</del> <i>College</i> ✓	
4.(12) The University of Texas Southwestern Medical Center at Dallas	U.T. Southwestern Medical Center - Dallas
4.(12)1 The University of Texas Southwestern Medical School at Dallas	U.T. Southwestern Medical School - Dallas
4.(12)2 The University of Texas Southwestern Graduate School of Biomedical Sciences at Dallas	U.T. Southwestern G.S.B.S. - Dallas
4.(12)3 The University of Texas Southwestern Allied Health Sciences School at Dallas	U.T. Southwestern A.H.S.S. - Dallas
4.(13) The University of Texas Medical Branch at Galveston	U.T. Medical Branch - Galveston
4.(13)1 The University of Texas Medical School at Galveston	U.T. Medical School - Galveston
4.(13)2 The University of Texas Graduate School of Biomedical Sciences at Galveston	U.T. G.S.B.S. - Galveston
4.(13)3 The University of Texas School of Allied Health Sciences at Galveston	U.T. Allied Health Sciences School - Galveston
4.(13)4 The University of Texas Marine Biomedical Institute at Galveston	U.T. Marine Biomedical Institute - Galveston
4.(13)5 The University of Texas Institute for the Medical Humanities at Galveston	U.T. Medical Humanities Institute - Galveston
4.(13)6 The University of Texas Hospitals at Galveston	U.T. Hospitals - Galveston

**THE UNIVERSITY OF TEXAS SYSTEM  
OFFICE OF HEALTH AFFAIRS**

601 Colorado Street  
Austin, Texas 78701-2982  
(512) 499-4226  
FAX: (512) 499-4313

**MEMORANDUM**

December 15, 1999

TO: Ms. Francie Frederick

FROM: Charles B. Mullins, M.D. *CM*

SUBJECT: Name of Component Institutions and Entities

Per your request of November 22, 1999, the health-related institutions have reviewed the list of names from the Regents' Rules and Regulations. The only change is to add a space between the M. and D. in M. D. Anderson Cancer Center.

ejc

**Felkel, Carol**

---

**From:** Frederick, Francie  
**Sent:** Friday, August 13, 1999 7:42 AM  
**To:** Boyer, Becky; GRP-Chancellor's Office  
**Cc:** GRP-Board of Regents; Chappell, Eva  
**Subject:** RE: M. D. Anderson Spacing

12/13  
I think the space is appropriate as the M. D. stands for Monroe Dunaway (Anderson), creator of the M. D. Anderson Foundation, and state law most consistently includes the space (although there are a few places that don't). We'll revise the R/R related to institutional names accordingly. Will this require reprinting U. T. System letterhead, change in the directory?

-----Original Message-----

**From:** Boyer, Becky  
**Sent:** Thursday, August 12, 1999 9:53 AM  
**To:** GRP-Chancellor's Office  
**Cc:** GRP-Board of Regents; Chappell, Eva  
**Subject:** M. D. Anderson Spacing

I have been advised by the Office of Special Services and the Office of Health Affairs that staff in the President's Office at U. T. M. D. Anderson Cancer Center have indicated that they would like us to start putting a space between the M. and the D. in their name. Therefore, the correct way to refer to that campus would be The University of Texas M. D. Anderson Cancer Center or the short form would be U. T. M. D. Anderson Cancer Center. In letters and other documents from this office, I guess we need to remember to add this space.

By copy of this note to the Board Office, I am asking Francie to confirm if this is the correct spacing that we should use to type this institution's name in the future related to the typing of agenda items. We have always told the agenda typists to not put a space between M. and D. so we need to clarify what is correct and advise the typists. Francie, thanks for your help with this clarification.

U.T. H. Center at Tyler

Carol -

Dr. Sawyer is to

talk w/ Dr.

Mullis re issue

of institution: none in WLD.

~~\*~~

7.

**OFFICE OF THE BOARD OF REGENTS**  
**THE UNIVERSITY OF TEXAS SYSTEM**  
201 WEST SEVENTH STREET, AUSTIN, TEXAS 78701-2981  
(512) 499-4402

**MEMORANDUM**

July 9, 1999

**TO:** Those Who Receive Official Copies of  
the Regents' Rules and Regulations

**FROM:** Francie A. Frederick *Francie*

**SUBJECT:** Regents' Rules and Regulations, Part One - Amendments

Enclosed are revised pages containing amendments to the Regents' Rules and Regulations approved at the May 13, 1999 meeting of the U. T. Board of Regents, as well as editorial amendments to include the position of Vice Chancellor for Federal Relations, to change the title "Special Consultant to the Chancellor" to Vice Chancellor for Special Engineering Programs, and adjust page breaks as necessary.

Further detail on the rationale for the amendments and congressional style displays of the revised text are included in the Material Supporting the Agenda (MSA) for the May 13, 1999 meeting as referenced below.

Please update your copy of the Regents' Rules and Regulations, Part One by discarding the pages listed in Column "A" and substituting in lieu thereof the pages listed in Column "B":

<u>"A"</u>	<u>"B"</u>	<u>MSA Reference</u>
Cover Page	Cover Page	
Page i	Page i	B of R - 6
I-25	I-25	HAC - 2
II-13 and II-17 through II-26	II-13 and II-17 through II-28	B of R - 6
III-3 and III-4	III-3, III-4, and III-4a	AAC - 3
VII-12	VII-12	AAC - 5

FAF/cf

Enclosures

June 24, 1999

Distribution List for Official Copies of  
Regents' Rules and Regulations

Board of Regents <i>also distributed copy to NM</i>	9
Office of the Board of Regents	3
Legislative Budget Board	1
Governor's Budget and Planning Office	1
Publications Clearing House	1
State Auditor - U. T. Austin Representative	1
Legislative Reference Library	1
System Administration	8
Chancellor	
Executive Vice Chancellor for Health Affairs	
Executive Vice Chancellor for Business Affairs	
Vice Chancellor for Academic Affairs	
Executive Associate to the Chancellor	
Vice Chancellor and General Counsel	
Vice Chancellor for Governmental Relations	
Vice Chancellor for Development and External Relations	
Chief Administrative Officers of Component Institutions	15
Mr. Thomas G. Ricks	<u>1</u>
President and Chief Executive Officer UTIMCO	
TOTAL	

*M. Franz*

*42*  
*43*

NOTE: See July 6, 1988 letter from Coordinating Board which requests that only those amendments related to (1) academic work load and (2) faculty development leaves be sent to that office.

Also send intellectual property policy revisions to Coordinating Board per State Statute and post tenure review.

Addresses of State Officials Who Receive Copies  
of the Regents' Rules and Regulations

Governor's Budget and Planning Office  
711 Sam Houston Building  
Austin, Texas 78711  
INTERAGENCY MAIL

Mr. John Keel  
Director, Legislative Budget Board  
John H. Reagan Building  
Third Floor  
Austin, Texas 78701  
INTERAGENCY MAIL

State Auditor's Office  
Two Commodore Plaza  
206 East 9th Street  
Suite 1900  
Austin, Texas 78701  
INTERAGENCY MAIL

Ms. Sally Reynolds  
Director  
Legislative Reference Library  
Room 207-B, Capitol Building  
Austin, Texas 78711  
INTERAGENCY MAIL

Publications Clearing House  
Room 307, Archives Building  
Texas State Library  
Austin, Texas 78711  
INTERAGENCY MAIL

Dr. Don Brown  
Commissioner, Texas Higher  
Education Coordinating Board  
7745 Chevy Chase Drive, Building 5  
Austin, Texas 78752  
INTERAGENCY MAIL

(NOTE: Send only when there are  
amendments related to (1) academic  
work load, (2) faculty development  
leaves, (3) intellectual property  
policy, or (4) post tenure review.)

\*\*\*\*\*

Mr. Thomas G. Ricks  
President and Chief Executive Officer  
The University of Texas Investment Management Co.  
CTJ Hall

May 13, 1999

RULES AND REGULATIONS  
OF THE  
BOARD OF REGENTS  
OF  
THE UNIVERSITY OF TEXAS SYSTEM  
FOR THE GOVERNMENT OF  
THE UNIVERSITY OF TEXAS SYSTEM

PART ONE

Part One was completely reissued as of June 1, 1988.  
(Substantive amendments through May 13, 1999,  
and editorial amendments through June 1999  
have been issued with this cover sheet.)

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- 9.22 All contracts or agreements, including purchase orders and vouchers, with a cost or value of more than \$500,000 must be approved by the Executive Committee of the Board or approved by the Board via the docket or the agenda except the following, which do not require prior approval or ratification by the Executive Committee or the Board regardless of the contract amount:
- 9.221 Contracts, agreements, and documents relating to construction projects previously approved by the Board in the Capital Improvement Program and Capital Budget.
  - 9.222 Contracts or grant proposals for sponsored research, including institutional support grants, that do not include a license for or conveyance of intellectual property owned or controlled by the Board.
  - 9.223 Contracts or agreements for the purchase of replacement equipment.
  - 9.224 Contracts or agreements for the purchase of routinely purchased supplies.
  - 9.225 Purchases made under a group purchasing program.
  - 9.226 Purchases of new equipment identified specifically in the institutional budget approved by the Board.
  - 9.227 Loans of institutional funds to certified nonprofit health corporations, which loans have been approved as provided in Part Two, Chapter III, Section 4, Subsection 4.5 of these Rules and Regulations.
- 9.23 All contracts for consulting services for more than \$250,000 must be approved by the Executive Committee of the Board or approved by the Board via the docket or the agenda.
- 9.24 The officer or employee executing any document on behalf of the Board shall be responsible for assuring that he or she has authority to act on behalf of the Board and that such authority is exercised in compliance with applicable conditions and restrictions.

their responsibilities. The Vice Chancellor for Governmental Relations has direct access to the Board of Regents of The University of Texas System and is expected to work directly with appropriate committees of the Board in discharging the duties of the office.

8.1 Appointment and Tenure.

The Vice Chancellor for Governmental Relations shall be appointed by the Board after nomination by the Chancellor. The Vice Chancellor for Governmental Relations shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions regarding the Vice Chancellor for Governmental Relations are subject to review and approval by the Board.

8.2 Duties and Responsibilities.

The primary responsibilities of the Vice Chancellor for Governmental Relations include:

- 8.21 The provision of staff assistance to the Chancellor and the Executive Vice Chancellors in the execution of their responsibilities.
- 8.22 Representing the Board of Regents of The University of Texas System in its relations with state and local legislative bodies and agencies.
- 8.23 Making recommendations to the Chancellor in the area of public policy as it affects the relationship of the System with state and local governments.
- 8.24 Informing appropriate administrative officers of current operations and long-range developments on the state level, which may affect the System.
- 8.25 Maintaining and distributing information and advising appropriate System Administration and component institution officials, in order to assure proper action by the System with respect to state and local governmental programs and activities.

Sec. 11. Vice Chancellor for Federal Relations.

The Vice Chancellor for Federal Relations reports to the Chancellor and is responsible for coordinating the effective representation of The University of Texas System at the federal level before all branches of the federal government. The Vice Chancellor for Federal Relations provides staff assistance to the Chancellor and the Executive Vice Chancellors in the exercise of their responsibilities.

11.1 Appointment and Tenure.

The Vice Chancellor for Federal Relations shall be appointed by the Board after nomination by the Chancellor. The Vice Chancellor for Federal Relations shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions regarding the Vice Chancellor for Federal Relations are subject to review and approval by the Board.

11.2 Duties and Responsibilities.

The primary responsibilities of the Vice Chancellor for Federal Relations include:

- 11.21 The provision of staff assistance to the Chancellor and the Executive Vice Chancellors in the execution of their responsibilities.
- 11.22 Representing the Board of Regents and other officials of The University of Texas System in its relations with all branches of the federal government.
- 11.23 Making recommendations to the Chancellor in the area of public policy as it affects the relationship of the System with the federal government.
- 11.24 Informing appropriate administrative officers of current operations and long-range developments on the federal level which may affect the System.
- 11.25 Maintaining and distributing information to and advising appropriate System Administration and component institution officials in order to assure proper action by the System with respect to federal governmental programs and activities.
- 11.26 Interacting with federal government officials at all levels regarding the mission, needs, and issues of the U. T. System and coordinating System initiatives at the federal level with the broader higher education community.

- 11.27 Directing the administration of the U. T. System Office of Federal Relations and defining the job responsibilities, the assignment of duties, and supervising staff members employed in or assigned to work in the federal relations area.
- 11.28 Performing such other duties and responsibilities for the efficient operation of the System as shall be assigned by the Chancellor.

Sec. 12. Officers of System Administration and Other Code 1000 Staff.

- 12.1 Executive Officers.--For purposes of these Rules and Regulations, the Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, the Vice Chancellor for Special Engineering Programs, the Executive Associate to the Chancellor, and the Associate Vice Chancellor for Business Affairs are the Executive Officers of The University of Texas System.
- 12.2 Other Code 1000 Staff and Officers of System Administration.--Staff and officers of System Administration designated as code 1000 shall be appointed by the Chancellor, the Executive Vice Chancellors, or Vice Chancellors to whom they will report, and shall have appropriate job descriptions on file with the Office of Human Resources. Persons so appointed shall not have tenure by virtue of their respective positions. They shall serve without fixed term, subject to the pleasure of the officer to whom they report. The actions of the appointing officer concerning such positions are in turn subject to review and approval by the Chancellor or the Board as required by the Regents' Rules and Regulations.

Sec. 13. Councils of the System.

13.1 The System Council.

The System Council is composed of the Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, the Executive Associate to the Chancellor, and the chief administrative officers of all the component institutions of The University of Texas System. The Chancellor shall serve as the Council's permanent chairman and shall conduct regular meetings to discuss those matters of general concern to the operation of The University of Texas System.

13.2 The Council of Academic Institutions.

The Council of Academic Institutions is composed of the Chancellor and the chief administrative officers of the general academic institutions of the System. The Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, and the Executive Associate to the Chancellor serve as ex officio members of this Council. The Chancellor shall serve as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

13.3 The Council of Health Institutions.

The Council of Health Institutions is composed of the Executive Vice Chancellor for Health Affairs and the chief administrative officers of the component institutions of the System concerned directly with health affairs. The Chancellor, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General

Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, and the Executive Associate to the Chancellor serve as ex officio members of this Council. The Executive Vice Chancellor for Health Affairs acts as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

13.4

The Business Management Council.

The Business Management Council advises the System Administration in the areas of component budgeting, business management, data processing, physical plant operations, planning, construction, and accounting systems development. The Council is composed of the Executive Vice Chancellor for Business Affairs and the chief business officers of the component institutions. The Chancellor, the Executive Vice Chancellor for Health Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Federal Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, and the Executive Associate to the Chancellor, or their delegates, serve as ex officio members of this Council. The Executive Vice Chancellor for Business Affairs shall serve as the Council's permanent chairman and shall conduct regular meetings of the Council.

Sec. 14. Chief Administrative Officers of Component Institutions.

14.1 The Board selects the chief administrative officer of each component institution.

14.11 When there is a vacancy or it is known that there is to be a vacancy in the office of a chief administrative officer of a component institution having faculty and students and the Board does not have candidate(s) from recent searches at other component institutions, from within the U. T. System, and/or of national prominence from outside the U. T. System to advance for consideration, an Advisory Committee shall be established to recommend candidates to the Board. The Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs shall be chairman of the Advisory Committee. In circumstances where this Executive Vice Chancellor or Vice Chancellor may be a candidate for the office, the chairman of the Advisory Committee shall be the Chancellor or the Chancellor's designee. In addition to the chairman, committee membership is as follows:

The Chancellor;

Two Chief Administrative Officers;

(to be appointed by the Chairman of the Board from two of the component institutions)

Two Regents;

(to be appointed by the Chairman of the Board)

Three Faculty members of the institution involved, at least two of whom shall have the rank of associate professor or higher; (method of selection to be determined by the General Faculty of the campus)

One Dean;

(for academic institutions to be selected by Dean's Council of the institution involved)  
(for health-related institutions to be the Dean of the Medical School involved or in the absence of that position a senior representative of the teaching program of the component selected by the chief administrative officer)

Two Students from the institution involved; (method of selection to be determined by the Student Government of the campus involved or, if there be no Student Government, by the chief administrative officer of the institution; if the component does not have students, this category of representation shall be omitted)

President of the Ex-Students' Association of the campus involved; (if institution does not have an active alumni organization, then an alumnus of the component selected by the Chairman of the Board of Regents; if the component does not have degree granting authority, this category shall be omitted)

Two non-faculty employees of the institution involved, one in a classified position and one in an administrative position; (to be selected by the chief administrative officer or

in accordance with institutional procedures approved by the chief administrative officer) Not more than two representatives of the component's external constituency who have demonstrated a deep interest in and support of the institution, its programs and its role in community activities to be appointed by the Chairman of the Board of Regents. Where a component institution has a statewide mission; special area programmatic relationships or partnerships with junior or community colleges, private universities or public schools, business/corporate entities, community or public service agencies; or other unique constituencies, the Chairman of the Board of Regents may appoint an additional representative.

Campus constituent groups with responsibility for selection of Advisory Committee representatives are expected to consider diversity, particularly as it relates to minority and female representation. Unless there are unusual delays in faculty, staff or student representative selection which postpone initiation of the committee process, the Chairman of

the Board will make his or her appointments to the Advisory Committee after campus selections in order to maximize the prospect that the total committee composition reflects diversity.

14.12 The Advisory Committee shall set up selection criteria that relate to the needs of the individual component, initiate mechanisms to develop an appropriate candidate pool, seek information on the several candidates and inquire from competent sources as to the candidates' academic, administrative and business ability. The Committee may also interview candidates as a part of its selection process keeping in mind that the confidentiality of the process is important to its ultimate success.

14.13 Finally, the Advisory Committee shall submit, through its Chairman, a recommended list of not less than five or more than ten candidates with no preference indicated. If fewer names are provided, the Committee shall provide reasons and the submission of less than five candidates is to be with the concurrence of the Regental representatives on the Committee. Candidates submitted shall have received a majority vote of the Committee. The recommended list should be developed and submitted without regard to the Advisory Committee's assessment of the potential availability of any candidate. If none of the names submitted in the report of the Advisory Committee is satisfactory to the Board, then the Board in its discretion may either name a new committee or proceed to select a chief administrative officer under such other procedures as in its discretion it may deem proper and appropriate.

14.14 When the Board has candidate(s) from recent searches at other component institutions, from within the U. T. System, and/or of national prominence from outside the U. T. System to advance for consideration, the Chairman of the Board of Regents shall appoint a Special Committee to provide the Board of Regents with advice and evaluation

consistent with that usually provided by an Advisory Committee. The Special Committee shall be composed as specified above for the Advisory Committee but will not include members of the Board of Regents. The advice of the Special Committee shall be provided to the Board through the Executive Vice Chancellor for Health Affairs, the Vice Chancellor for Academic Affairs, or the Chancellor. The Special Committee shall not extend its consideration beyond those nominees referred to it by the U. T. Board of Regents without specific concurrence from the Board.

- 14.2 Each chief administrative officer of every health-related institution reports to and is responsible to the Executive Vice Chancellor for Health Affairs and serves without fixed term, subject to the pleasure of the Executive Vice Chancellor for Health Affairs and approval by the Chancellor and the Board. The chief administrative officer has access to the Chancellor and is expected to consult with the Executive Vice Chancellor for Health Affairs and the Chancellor on significant issues on an as needed basis.

Each chief administrative officer of every general academic institution reports to and is responsible to the Chancellor, and serves without fixed term, subject to the pleasure of the Chancellor and approval by the Board. The chief administrative officer is expected to consult with the Chancellor on significant issues on an as needed basis.

- 14.3 Within the policies and regulations of the Board, and under the supervision and direction of the Chancellor or the Executive Vice Chancellor for Health Affairs, as appropriate, the chief administrative officer has general authority and responsibility for the administration of that institution.

14.31 Specifically, the chief administrative officer is expected, with the appropriate participation of the staff, to:

14.311 Develop and administer plans and policies for the program, organization, and operation of the institution.

14.312 Interpret the System policy to the staff, and interpret the institution's programs and needs to the System Administration and to the public.

- 14.313 Develop and administer policies relating to students, and where applicable, to the proper management of services to patients.
- 14.314 Recommend appropriate operating budgets and supervise expenditures under approved budgets.
- 14.315 Nominate all members of the faculty and staff, maintain efficient personnel programs, and recommend staff members for promotion, retention, or dismissal for cause.
- 14.316 Insure efficient management of business affairs and physical property; recommend additions and alterations to the physical plant.
- 14.317 Serve as presiding officer at official meetings of faculty and staff of the institution, and as ex officio member of each college or school faculty (if any) within the institution.
- 14.318 Appoint, or establish procedures for the appointment of, all faculty, staff, and student committees.
- 14.319 Cause to be prepared and submitted to the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs, as appropriate, the rules and regulations for the governance of the institution. When such rules and regulations have been finally approved by the Chancellor, the Executive Vice Chancellor for Health Affairs, or the Vice Chancellor for Academic Affairs, as appropriate, they shall thereafter constitute the Handbook of Operating Procedures for that institution. Provided, however, that whether or not finally approved by the Chancellor, any rule or regulation in any such institutional Handbook of Operating Procedures that is in conflict with

any rule or regulation in the Regents' Rules and Regulations, is null and void and has no effect, and whenever any such conflict is detected, the Chancellor and the chief administrative officer of the component institution shall immediately make such amendments to the institutional Handbook of Operating Procedures as may be necessary to eliminate such conflict.

- 14.31(10) Assume initiative in developing long-range plans for the program and physical facilities of the institution.
- 14.31(11) Assume active leadership in developing private fund support for the institution in accordance with policies and procedures established in the Regents' Rules and Regulations.
- 14.31(12) Develop and implement plans and policies to ensure that the institution remains in compliance with any accreditation requirements appropriate to the component or its programs, including, for the health components and those academic components with student health services, the accreditation of hospitals, clinics and patient-care facilities.

Sec. 15. Appointment of Other Officers and Staff.

- 15.1 The Board delegates to the Chancellor and the Executive Vice Chancellor for Health Affairs, as appropriate, and they, in turn, delegate to the chief administrative officer of each component institution the responsibility for the appointment and dismissal of all other administrative officers of each component institution, including vice presidents, deans, directors and their equivalents. However, prior approval of the Chancellor or the Executive Vice Chancellor for Health Affairs shall be necessary for each such permanent or acting appointment and for each such dismissal whether from a permanent or acting appointment. All such other administrative officers serve without fixed terms and subject to the pleasure

of the chief administrative officer of the institution and the aforesaid approval of the Executive Vice Chancellor for Health Affairs or the Chancellor, as appropriate.

- 15.2 The Board delegates to the Chancellor and the Executive Vice Chancellor for Health Affairs, as appropriate, and they, in turn, delegate to the chief administrative officer of each component institution the responsibility for the permanent or acting appointment of department chairmen, department heads, and their equivalents. Such department chairmen, department heads and their equivalents serve without fixed terms and subject to the pleasure of the chief administrative officer of the institution.
- 15.3 The Board endorses the principle of reasonable faculty and student consultation in the selection of administrative officers of the component institutions, and the primary operating units, and expects the chief administrative officer, as he or she deems appropriate, to consult in the selection process with the representatives of the faculty and student body. However, the chief administrative officer of the component institution is responsible for executing the duties of the office and consequently shall not be bound by nominations to administrative positions by campus selection committees, and the Handbook of Operating Procedures of each component institution shall so state.
- 15.4 Honorary Titles.  
The titles Chancellor Emeritus, President Emeritus and similar honorary designations shall be conferred only by appropriate action of the Board on individuals who are fully retired. No person is authorized to use any such title unless it has been bestowed by the Board.

1.83 The following academic titles may also be used within University of Texas System component institutions. Tenure cannot be awarded to a person appointed to these ranks and, with the exception of the ranks of Instructor and Technical Instructor, academic service within these ranks cannot be counted toward the satisfaction of any required probationary period. Appointments to these titles shall be for a period of time not to exceed one academic year except in the case of Lecturer or Senior Lecturer when, in individual cases, appointment may be for periods of time not to exceed three academic years. In individual cases, as determined by a component institution, full-time appointment to a clinical or research position with a title authorized by Subdivision 1.83(i) or Subdivision 1.83(j) may be for periods of time not to exceed three academic years. With the exception of the titles of Instructor and Technical Instructor, such appointments shall terminate at the expiration of the stated period of appointment without the notification of nonrenewal required by Subsection 6.7 of this Chapter. If a component institution determines that it is to the benefit of the institution, it may offer reappointments to these titles.

- (a) Instructor. This title denotes a probationary appointment as a member of an institutional faculty. During the period of probationary appointment to this rank, the scholarly competence, teaching performance, and professional promise of the candidate will be evaluated.
- (b) Technical Instructor. This title denotes a probationary appointment as a member of the U. T. Brownsville faculty in associate degree or certificate programs for the educational partnership with Texas Southmost College. During the period of probationary appointment to this rank, the teaching performance and professional promise of the candidate will be evaluated.

- (c) Lecturer. This title may be used for individuals who will serve as teachers and whose experience and qualifications are comparable to those of faculty members in untenured, tenure-track positions. Upon approval by the chief administrative officer, an institution may identify up to three divisions within this rank to be designated Lecturer I, Lecturer II, and Lecturer III.
- (d) Senior Lecturer. This title may be used for special teachers who will augment and complement regular teaching faculty and whose experience and qualifications are comparable to those of faculty members in tenure positions.
- (e) Assistant Instructor or Teaching Associate. These titles may be used interchangeably for (1) certain graduate students teaching on a part-time or full-time basis who are in the last phase of their doctoral programs and who are unconditionally enrolled in graduate study, or (2) persons who, because of the nature of their duties, such as in a laboratory or in a hospital, do not qualify for one of the usual academic titles and do not hold the academic training or professional distinction usually required for attaining tenure positions.
- (f) Teaching Assistant. This title usually applies to graduate students who are teachers and who are employed on a part-time basis. The only other teaching titles for graduate students are Teaching Associate and Assistant Instructor.
- (g) Faculty Associate. This title may be applied to a person assigned to a research or nonteaching center, institute, or other unit or interdisciplinary program of a component institution.

- (h) Specialist. This title may be used for professional individuals who will serve as practitioners in specific areas of instruction, training or supervision. Upon approval of the chief administrative officer, the title may carry appropriate descriptive prefixes so as to indicate the specific areas of proficiency, e.g. Practice Teaching Specialist, Physical Activity Specialist, Social Work Field Training Specialist.

<u>Foundation</u>	<u>Date Established</u>
Communication Foundation of the School of Communication <sup>3</sup>	1/31/69
Graduate School Foundation	3/26/76
Graduate School of Library Science Foundation	6/20/69
College of Liberal Arts Foundation	7/11/80
College of Natural Sciences Foundation	7/11/80
Nursing Foundation of the School of Nursing	10/9/87
Longhorn Foundation	12/3/87
The University of Texas M.D. Anderson Cancer Center	
University Cancer Foundation	10/15/55
The University of Texas at Arlington Foundation	1/28/67
The University of Texas Medical Branch at Galveston Foundation	5/6/67
The University of Texas at El Paso	
The University of Texas at El Paso Foundation	7/29/67
The University of Texas at El Paso Miner Foundation	2/13/92
The University of Texas at Dallas	
Management School Foundation	2/11/93

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<sup>3</sup> Formerly the Journalism Foundation of the School of Journalism  
established 1/10/59

5/13/99  
minutes

**REPORT AND RECOMMENDATIONS OF THE HEALTH AFFAIRS COMMITTEE (Pages 64 - 66).**—Committee Chairman Loeffler reported that the Health Affairs Committee met in open session to consider those matters on its agenda and to formulate recommendations for the U. T. Board of Regents. Unless otherwise indicated, the actions set forth in the Minute Orders which follow were approved in open session and without objection by the U. T. Board of Regents:

1. U. T. Board of Regents - Regents' Rules and Regulations: Amendments to Part One, Chapter I, Section 9 (Documents Executed on Behalf of the Board) and Part Two, Chapter III, Section 4 (Local Institutional Funds).—Upon recommendation of the Health Affairs Committee, the Board amended the Regents' Rules and Regulations, as set forth below:
  - a. Part One, Chapter I, Section 9, Subsection 9.2, Subdivision 9.22, relating to dollar limitations on delegated authority, was amended to add a new Item 9.227 as follows:

9.227 Loans of institutional funds to certified nonprofit health corporations, which loans have been approved as provided in Part Two, Chapter III, Section 4, Subsection 4.5 of these Rules and Regulations.
  - b. Part Two, Chapter III, Section 4, relating to local institutional funds, was amended to add a new Subsection 4.5 to authorize the Chancellor and the Executive Vice Chancellor for Health Affairs to approve loans of institutional funds to certified nonprofit health corporations and to execute and deliver on behalf of the U. T. Board of Regents contracts, agreements, and other documents or instruments relating to such loans, as set forth below:

4.5 Subject to Subdivisions 4.51 and 4.52 of this Subsection and the general provisions of Part One, Chapter I, Section 9 and, except as otherwise specified in these Rules and Regulations, the Board delegates to the Chancellor and to the Executive Vice Chancellor for Health Affairs the authority to act on behalf of the Board to approve loans of institutional funds, which are not otherwise restricted, to a certified nonprofit health corporation and to execute contracts, agreements, and other documents or instruments related to such loans. As used in this Subsection, the term "certified nonprofit health corporation" means a nonprofit health corporation that has been authorized

by the Board and certified under Section 5.01(a) of the Medical Practice Act (Article 4495b, Texas Civil Statutes), as amended from time to time.

- 4.51 The delegate shall comply with guidelines issued by the Office of General Counsel.
- 4.52 The delegate shall consult with the institutional chief administrative officer prior to approving and executing any agreement or instrument relating to a loan.

These amendments to the Regents' Rules and Regulations delegate authority to the Chancellor and the Executive Vice Chancellor for Health Affairs to act on behalf of the U. T. Board of Regents to approve loans of institutional funds, not otherwise restricted, to certified nonprofit health corporations that have been authorized by the U. T. Board of Regents and to execute contracts, agreements, and other documents or instruments related to those loans. Loans may be in any amount approved by the Chancellor and the Executive Vice Chancellor for Health Affairs.

All loans are to be made in accordance with guidelines established from time to time by the Office of General Counsel. The Office of General Counsel has advised that such loans fall under the principles announced by the Texas Attorney General in Attorney General Opinion No. JM-1030, which require that the expenditure of institutional funds be for a public purpose, that the institution receive adequate consideration in return, and that the institution retain sufficient control over the use of the funds to ensure that the public purpose is achieved.

Nonprofit health corporations are certified under Section 5.01(a) of the Medical Practice Act. On April 14, 1994, the U. T. Board of Regents authorized each health component of The University of Texas System to establish a certified nonprofit health corporation and stipulated certain requirements for the establishment of those corporations. Each corporation is a single member corporation; and the single member is the chief administrative officer of the health component. The model articles of incorporation and bylaws approved by the U. T. Board of Regents identify the purpose of the nonprofit health corporations as providing health care to the public, as well as support for health care education, scholarships and loans to students, grants to the component institution for research and development of education programs, and opportunities for clinical experience in connection with medical education.

2. U. T. Board of Regents - Regents' Rules and Regulations, Part One: Amendments to Chapter II (Administration), Section 8, Subsection 8.2 (Vice Chancellor for Governmental Relations) and Addition of New Section 11 (Vice Chancellor for Federal Relations).—The Regents' Rules and Regulations, Part One, Chapter II, relating to the Vice Chancellor for Governmental Relations and new position of the Vice Chancellor for Federal Relations, were amended as follows:

- a. Section 8, Subsection 8.2, relating to the duties and responsibilities of the Vice Chancellor for Governmental Relations, was amended to read as follows:

8.2 Duties and Responsibilities.

The primary responsibilities of the Vice Chancellor for Governmental Relations include:

- 8.21 The provision of staff assistance to the Chancellor and the Executive Vice Chancellors in the execution of their responsibilities.
- 8.22 Representing the Board of Regents of The University of Texas System in its relations with state and local legislative bodies and agencies.
- 8.23 Making recommendations to the Chancellor in the area of public policy as it affects the relationship of the System with state and local governments.
- 8.24 Informing appropriate administrative officers of current operations and long-range developments on the state level, which may affect the System.
- 8.25 Maintaining and distributing information and advising appropriate System Administration and component institution officials, in order to assure proper action by the System with respect to state and local governmental programs and activities.

- b. A new Section 11, relating to the Vice Chancellor for Federal Relations, was added as set forth below:

Sec. 11. Vice Chancellor for Federal Relations.

The Vice Chancellor for Federal Relations reports to the Chancellor and is responsible for coordinating the effective representation of The University of Texas System at the federal level before all branches of the federal

government. The Vice Chancellor for Federal Relations provides staff assistance to the Chancellor and the Executive Vice Chancellors in the exercise of their responsibilities.

**11.1 Appointment and Tenure.**

The Vice Chancellor for Federal Relations shall be appointed by the Board after nomination by the Chancellor. The Vice Chancellor for Federal Relations shall hold office without fixed term, subject to the pleasure of the Chancellor. The Chancellor's actions regarding the Vice Chancellor for Federal Relations are subject to review and approval by the Board.

**11.2 Duties and Responsibilities.**

The primary responsibilities of the Vice Chancellor for Federal Relations include:

- 11.21 The provision of staff assistance to the Chancellor and the Executive Vice Chancellors in the execution of their responsibilities.
- 11.22 Representing the Board of Regents and other officials of The University of Texas System in its relations with all branches of the federal government.
- 11.23 Making recommendations to the Chancellor in the area of public policy as it affects the relationship of the System with the federal government.
- 11.24 Informing appropriate administrative officers of current operations and long-range developments on the federal level which may affect the System.
- 11.25 Maintaining and distributing information to and advising appropriate System Administration and component institution officials in order to assure proper action by the System with respect to federal governmental programs and activities.
- 11.26 Interacting with federal government officials at all levels regarding the mission, needs, and issues of the U. T. System and coordinating System initiatives at the federal level with the broader higher education community.

- 11.27 Directing the administration of the U. T. System Office of Federal Relations and defining the job responsibilities, the assignment of duties, and supervising staff members employed in or assigned to work in the federal relations area.
- 11.28 Performing such other duties and responsibilities for the efficient operation of the System as shall be assigned by the Chancellor.

- c. Present Sections 11 through 14 were renumbered as Sections 12 through 15 and the Executive Secretary to the Board was authorized to make editorial changes in the Regents' Rules and Regulations to include the title of Vice Chancellor for Federal Relations where appropriate.

These amendments to the Regents' Rules and Regulations, Part One, Chapter II, Section 8, Subsection 8.2, clarify that the duties and responsibilities of the Vice Chancellor for Governmental Relations cover activities and issues with regard to state and local legislative bodies and agencies.

The addition of Section 11 to Chapter II describes the newly established position of Vice Chancellor for Federal Relations and outlines the duties and responsibilities of this office. This new position and the establishment of the Office of Federal Relations in the Washington, D. C., area are part of The University of Texas System efforts to become more effective in the federal arena, particularly in identifying and securing funding opportunities provided by the federal government.

- 3. U. T. System: Amendments to the Policy and Guidelines for Management and Marketing of Intellectual Property.—On December 6, 1985, the U. T. Board of Regents adopted The University of Texas System Policy and Guidelines for Management and Marketing of Intellectual Property as part of a comprehensive intellectual property program.

Since the Policy referenced the Office of Asset Management which was dissolved by the Board in February 1996, the Board approved amendments to the U. T. System Policy and Guidelines for Management and Marketing of Intellectual Property to read as set forth in its entirety on Pages 11 - 12.

**REPORT AND RECOMMENDATIONS OF THE ACADEMIC AFFAIRS COMMITTEE (Pages 55 - 63).** --Committee Chairman Miller stated that as a freshman Board member, he was honored to be given the responsibility to Chair the Academic Affairs Committee and said he looked forward to fresh ideas for the Committee with the organizational changes in The University of Texas System Office of Academic Affairs. Chairman Miller reported the Committee met in open session to consider those matters on its agenda and to formulate recommendations for the U. T. Board of Regents. Unless otherwise indicated, the actions set forth in the Minute Orders which follow were recommended by the Academic Affairs Committee and approved in open session and without objection by the U. T. Board of Regents:

1. U. T. Board of Regents - Regents' Rules and Regulations, Part One: Approval to Amend Chapter III, Section 1, Subsection 1.8 (Academic Titles), Subdivision 1.83(c). --Upon recommendation of the Academic Affairs and the Health Affairs Committees, the Board amended the Regents' Rules and Regulations, Part One, Chapter III, Section 1, Subsection 1.8, Subdivision 1.83(c), regarding academic titles, to read as set forth below:

1.83

...

- (c) Lecturer. This title may be used for individuals who will serve as teachers and whose experience and qualifications are comparable to those of faculty members in untenured, tenure-track positions. Upon approval by the chief administrative officer, an institution may identify up to three divisions within this rank to be designated Lecturer I, Lecturer II, and Lecturer III.

...

This amendment to the Regents' Rules and Regulations allows The University of Texas System component institutions to make distinctions among Lecturers based upon experience, teaching performance, and qualifications. At this time, only The University of Texas at San Antonio plans to use these divisions of Lecturers.

5/99  
editorial  
amendments

- 11.27 Directing the administration of the U. T. System Office of Federal Relations and defining the job responsibilities, the assignment of duties, and supervising staff members employed in or assigned to work in the federal relations area.
- 11.28 Performing such other duties and responsibilities for the efficient operation of the System as shall be assigned by the Chancellor.

Sec. 12. Officers of System Administration and Other Code 1000 Staff.

12.1 Executive Officers.--For purposes of these Rules and Regulations, the Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, the Executive Associate to the Chancellor, the Associate Vice Chancellor for Business Affairs, and the Special Consultant to the Chancellor are the Executive Officers of The University of Texas System.

add  
VC/  
FR

changed  
to VC  
for  
Special  
Engineering  
projects  
Programs

12.2 Other Code 1000 Staff and Officers of System Administration.--Staff and officers of System Administration designated as code 1000 shall be appointed by the Chancellor, the Executive Vice Chancellors, or Vice Chancellors to whom they will report, and shall have appropriate job descriptions on file with the Office of Human Resources. Persons so appointed shall not have tenure by virtue of their respective positions. They shall serve without fixed term, subject to the pleasure of the officer to whom they report. The actions of the appointing officer concerning such positions are in turn subject to review and approval by the Chancellor or the Board as required by the Regents' Rules and Regulations.

Sec. 13. Councils of the System.

13.1 The System Council.

*✓ add*

The System Council is composed of the Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, the Executive Associate to the Chancellor, and the chief administrative officers of all the component institutions of The University of Texas System. The Chancellor shall serve as the Council's permanent chairman and shall conduct regular meetings to discuss those matters of general concern to the operation of The University of Texas System.

13.2 The Council of Academic Institutions.

*✓ add*

The Council of Academic Institutions is composed of the Chancellor and the chief administrative officers of the general academic institutions of the System. The Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, and the Executive Associate to the Chancellor serve as ex officio members of this Council. The Chancellor shall serve as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

13.3 The Council of Health Institutions.

*✓ add*

The Council of Health Institutions is composed of the Executive Vice Chancellor for Health Affairs and the chief administrative officers of the component institutions of the System concerned directly with health affairs. The Chancellor, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, and the

Executive Associate to the Chancellor serve as ex officio members of this Council. The Executive Vice Chancellor for Health Affairs acts as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

13.4 The Business Management Council.

The Business Management Council advises the System Administration in the areas of component budgeting, business management, data processing, physical plant operations, planning, construction, and accounting systems development. The Council is composed of the Executive Vice Chancellor for Business Affairs and the chief business officers of the component institutions. The Chancellor, the Executive Vice Chancellor for Health Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, and the Executive Associate to the Chancellor, or their delegates, serve as ex officio members of this Council. The Executive Vice Chancellor for Business Affairs shall serve as the Council's permanent chairman and shall conduct regular meetings of the Council.

*add*

Sec. 14. Chief Administrative Officers of Component Institutions.

14.1 The Board selects the chief administrative officer of each component institution.

14.11 When there is a vacancy or it is known that there is to be a vacancy in the office of a chief administrative officer of a component institution having faculty and students and the Board does not have candidate(s) from recent searches at other component institutions, from within the U. T. System, and/or of national prominence from outside the U. T. System to advance for consideration, an Advisory Committee shall be established to recommend candidates to the Board. The Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs shall be chairman of the Advisory Committee. In circumstances where this Executive Vice Chancellor or Vice Chancellor may be a candidate

Name	Size	Date	Time	Attr	Path
oneirrr.doc	89,088	6/9/99	9:15 AM		S:\USERS\RRR\
oneindexrr.doc	40,448	2/18/99	10:37 AM		S:\USERS\RRR\

Searched RRR for  
" vice chancellor for governmental  
relations "

FF: I've amended the RRR w/ 5/13/99  
amendments except for putting  
VCFR in clipped pages.

These hilited phrases are only  
place in R/R where VCFR appears  
except Sec. 8 (part One, Chap 11)  
which describes that position/  
duties.

Could you look ~~at~~ at clipped pages  
& indicate where VCFR needs  
to be inserted? Thanks.

CF  
6/10

Let's ask  
Mays to do  
system

1 - delete  
2 - add this

and Auth. VC for  
Governmental  
Relations

VC for  
Federal  
Relations

77- We need to  
editorially  
amend RRR  
to delete  
Spec.  
Committee  
to  
Chancellor  
law.

MG  
1/14

System Administration and Other Code 1000 Staff.

Executive Officers.--For purposes of these Rules and Regulations, the Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Chancellor for Governmental Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, the Executive Associate to the Chancellor, the Associate Vice Chancellor for Business Affairs, and the Special Consultant to the Chancellor are the Executive Officers of The University of Texas System.

11.2

Other Code 1000 Staff and Officers of System Administration.--Staff and officers of System Administration designated as code 1000 shall be appointed by the Chancellor, the Executive Vice Chancellors, or Vice Chancellors to whom they will report, and shall have appropriate job descriptions on file with the Office of Human Resources. Persons so appointed shall not have tenure by virtue of their respective positions. They shall serve without fixed term, subject to the pleasure of the officer to whom they report. The actions of the appointing officer concerning such positions are in turn subject to review and approval by the Chancellor or the Board as required by the Regents' Rules and Regulations.

FOR RRR  
amendments  
re VC  
Fed. Relations

OK:  
search for  
VC for  
to see  
we need  
RRR  
4/13

to  
done 4/13  
his was  
his was  
add his new section  
to RRR  
OK 12-15  
committee

operation of The University of Texas System.

**Felkel, Carol**

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**From:** Boyer, Becky  
**Sent:** Tuesday, February 23, 1999 11:26 AM  
**To:** Frederick, Francie  
**Cc:** Moos, Joyce; Felkel, Carol  
**Subject:** RE: r/r change:vc for fed. rels

FF:  
pls. check  
again to  
make sure  
we have  
compiled.  
hx G

Yes, that is correct.

-----  
**From:** Frederick, Francie  
**Sent:** Tuesday, February 23, 1999 10:53 AM  
**To:** Boyer, Becky  
**Cc:** Moos, Joyce; Felkel, Carol  
**Subject:** RE: r/r change:vc for fed. rels

thanks. will do. We would plan to include the VC for Fed. Relations, however, in old sections 12.1, 12.2, and 12.3 unless you indicate otherwise.

-----  
**From:** Boyer, Becky  
**Sent:** Tuesday, February 23, 1999 10:43 AM  
**To:** Frederick, Francie  
**Cc:** Moos, Joyce; Felkel, Carol  
**Subject:** RE: r/r change:vc for fed. rels

I believe Joyce asked WHC about individual sections for VC/Health Affairs and VC/Special Engineering and he did not want to include these at this time. Thanks for your review and comments on the draft related to VC for Federal Relations. Joyce and I just talked about the old 11.1 and the VC for Spec. Engineering should be included there also to complete the listing of Executive Officers (of course, the Special Consultant to the Chancellor position should be deleted). However, we are not sure if it is necessary to make any changes in the listings under the old 12.1, 12.2, and 12.3. Be glad to discuss further if you wish.

-----  
**From:** Frederick, Francie  
**Sent:** Tuesday, February 23, 1999 10:26 AM  
**To:** Boyer, Becky  
**Cc:** Moos, Joyce; Felkel, Carol  
**Subject:** r/r change:vc for fed. rels

Becky, appreciate the opportunity to look over draft R/R change to include VC for Federal Relations in the detailed listings in Chapter II of Part One. Am sending back some suggested minor additions and one question via hard copy.

I wonder if the VC/Health Affairs and the VC/Special Engineering (?) should also be included in individual sections? (We will be sure the listing of Executive as well as the mentions of System Council, CAI and CHI.) 11.1 and old 12.1, 12.2, and 12.3 to see if we should include the VC as well?

Per computer search, "Special Consultant to the Chancellor" doesn't appear elsewhere in R/R

12.2 The Council of Academic Institutions.

The Council of Academic Institutions is composed of the Chancellor and the chief administrative officers of the general academic institutions of the System. The Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, and the Executive Associate to the Chancellor serve as ex officio members of this Council. The Chancellor shall serve as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

The VC for  
Federal  
Relations

12.3 The Council of Health Institutions.

The Council of Health Institutions is composed of the Executive Vice Chancellor for Health Affairs and the chief administrative officers of the component institutions of the System concerned directly with health affairs. The Chancellor, the Executive Vice Chancellor for Business Affairs, the Vice Chancellor for Health Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information Technology, and the Executive Associate to the Chancellor serve as ex officio members of this Council. The Executive Vice Chancellor for Health Affairs acts as the Council's permanent chairman and shall conduct regular meetings to review common problems of planning, development, and operation of the several institutions represented.

ditto

VC Spec.  
Eng. Project (?)

12.4 The Business Management Council.

The Business Management Council advises the System Administration in the areas of component budgeting, business management, data processing, physical plant operations, planning, construction, and accounting systems development. The Council is composed of the Executive Vice Chancellor for Business Affairs and the chief business officers of the component institutions. The Chancellor, the Executive Vice Chancellor for Health Affairs, the Vice Chancellor for Academic Affairs, the Vice Chancellor and General Counsel, the Vice Chancellor for Governmental Relations, the Vice Chancellor for Development and External Relations, the Vice Chancellor for Telecommunications and Information

*ditto*

Technology, and the Executive Associate to the Chancellor, or their delegates, serve as ex officio members of this Council. The Executive Vice Chancellor for Business Affairs shall serve as the Council's permanent chairman and shall conduct regular meetings of the Council.

Sec. 13. Chief Administrative Officers of Component Institutions.

13.1 The Board selects the chief administrative officer of each component institution.

13.11 When there is a vacancy or it is known that there is to be a vacancy in the office of a chief administrative officer of a component institution having faculty and students and the Board does not have candidate(s) from recent searches at other component institutions, from within the U. T. System, and/or of national prominence from outside the U. T. System to advance for consideration, an Advisory Committee shall be established to recommend candidates to the Board. The Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs shall be chairman of the Advisory Committee. In circumstances where this Executive Vice Chancellor or Vice Chancellor may be a candidate for the office, the chairman of the Advisory Committee shall be the Chancellor or the Chancellor's designee. In addition to the chairman, committee membership is as follows:

The Chancellor;

Two Chief Administrative Officers;

(to be appointed by the Chairman of the Board from two of the component institutions)

Two Regents;

(to be appointed by the Chairman of the Board)

Three Faculty members of the institution involved, at least two of whom shall have the rank of associate professor or higher; (method of selection to be determined by the General Faculty of the campus)

## **Felkel, Carol**

---

**From:** Frederick, Francie  
**Sent:** Tuesday, February 23, 1999 10:53 AM  
**To:** Boyer, Becky  
**Cc:** Moos, Joyce; Felkel, Carol  
**Subject:** RE: r/r change:vc for fed. rels

thanks. will do. We would plan to include the VC for Fed. Relations, however, in old sections 12.1,12.2, and 12.3 unless you indicate otherwise.

-----  
**From:** Boyer, Becky  
**Sent:** Tuesday, February 23, 1999 10:43 AM  
**To:** Frederick, Francie  
**Cc:** Moos, Joyce; Felkel, Carol  
**Subject:** RE: r/r change:vc for fed. rels

I believe Joyce asked WHC about individual sections for VC/Health Affairs and VC/Special Engineering and he did not want to include these at this time. Thanks for your review and comments on the draft related to VC for Federal Relations. Joyce and I just talked about the old 11.1 and the VC for Spec. Engineering should be included there also to complete the listing of Executive Officers (of course, the Special Consultant to the Chancellor position should be deleted). However, we are not sure if it is necessary to make any changes in the listings under the old 12.1, 12.2, and 12.3. Be glad to discuss further if you wish.

-----  
**From:** Frederick, Francie  
**Sent:** Tuesday, February 23, 1999 10:26 AM  
**To:** Boyer, Becky  
**Cc:** Moos, Joyce; Felkel, Carol  
**Subject:** r/r change:vc for fed. rels

Becky, appreciate the opportunity to look over draft R/R change to include VC for Federal Relations in the detailed listings in Chapter II of Part One. Am sending back some suggested minor additions and one question via hard copy. >

I wonder if the VC/Health Affairs and the VC/Special Engineering (?) should also be included in individual sections? (We will be sure the listing of Executive Officers at old Section 11.1 is updated as well as the mentions of System Council, CAI and CHI.) Would you and Joyce take a look at old 11.1 and old 12.1, 12.2, and 12.3 to see if we should include the VC for Spec. Engineering in those Sections as well?

7/6/99

~~CF:~~

I didn't have a chance to review the attached until over the weekend - everything looks good to me. However, Francie made a few edits to memos and also one suggestion for changing the order of E.O.'s in the Rules. I am sorry this did not get done.

Pam

PS pls proof (in SA)  
 FF to sign 2-  
 memos  
 MC to make R/R  
 copies on official  
 paper +  
 3-hole punch  
 MC to make xc of  
 memos +  
 personalize  
 check + mail

S: /users/shared/  
 rrr meml. doc

**OFFICE OF THE BOARD OF  
 REGENTS  
 THE UNIVERSITY OF TEXAS**  
 WEST SEVENTH STREET, AUSTIN, TEXAS  
 (512) 499-4402

FF: Changed Dale  
 Klein's title per  
 side slip.

**MEMORANDUM**

July 5

June 30, 1999

cf  
 6/25

**TO:** Those Who Receive Official Copies of  
 the Regents' Rules and Regulations

**FROM:** Francie A. Frederick

**SUBJECT:** Regents' Rules and Regulations, Part One - Amendments

*Enclosed are revised pages containing*

Amendments to the Regents' Rules and Regulations approved at  
 the May 13, 1999 meeting of the U. T. Board of Regents, as  
 well as editorial amendments to include the position of Vice  
 Chancellor for Federal Relations, change the title of the  
 Special Consultant to the Chancellor to the Vice Chancellor  
 for Special Engineering Programs (Dr. Dale Klein), and to adjust  
 page breaks, have been incorporated in the enclosed revised  
 pages.

Further detail on the rationale for the amendments and con-  
 gressional style displays of the revised text are included in  
 the Material Supporting the Agenda (MSA) for the May 13, 1999  
 meeting as referenced below.

Please update your copy of the Regents' Rules and Regulations,  
 Part One by discarding the pages listed in Column "A" and  
 substituting in lieu thereof the pages listed in Column "B":

"A"	"B"	MSA Reference
Cover Page	Cover Page	
Page i	Page i	B of R - 6
I-25	I-25	HAC - 2
II-13 and II-17 through II-26	II-13 and II-17 through II-28	B of R - 6
III-3 and III-4	III-3, III-4, and III-4a	AAC - 3
VII-12	VII-12	AAC - 5

FAF/cf

Enclosures

I. R. R. R.  
Part One

**OFFICE OF THE BOARD OF REGENTS**  
**THE UNIVERSITY OF TEXAS SYSTEM**  
201 WEST SEVENTH STREET, AUSTIN, TEXAS 78701-2981  
(512) 499-4402

**MEMORANDUM**

October 1, 1999

**TO:** Those Who Receive Official Copies of  
the Regents' Rules and Regulations

**FROM:** Francie A. Frederick *FAF*

**SUBJECT:** Regents' Rules and Regulations,  
Amendments to Part One

*Added for  
not for  
not for*

Enclosed are revised pages containing amendments to the Regents' Rules and Regulations approved at the August 12, 1999 meeting of the U. T. Board of Regents, as well as editorial amendments to adjust page breaks as necessary.

Further editorial amendments will be forthcoming related to recent title changes for the Executive Vice Chancellor for Academic Affairs, Vice Chancellor for Information Technology and Distance Education, Associate Vice Chancellor for Governmental and Community Relations, and Associate Vice Chancellor for Governmental Relations.

Further detail on the rationale for the amendments and congressional style displays of the revised text are included in the Material Supporting the Agenda (MSA) for the August 12, 1999 meeting as referenced below.

Please update your copy of the Regents' Rules and Regulations, Part One by discarding the pages listed in Column "A" and substituting in lieu thereof the pages listed in Column "B":

<u>"A"</u>	<u>"B"</u>	<u>MSA Reference</u>
Cover Page	Cover Page	
Page ii	Page ii	B of R-9
Pages I-4, I-5, I-16, I-17, I-18, I-23, I-25 and I-26	Pages I-4, I-5, I-16, I-17, I-18, I-23, I-25 and I-26	B of R-3 BAAC-4
Page II-15	Page II-15	B of R-7
Pages III-19, III-19a, III-20c, III-32, III-33 and III-34	Pages III-19, III-19a, III-20c, III-20d, III-32, III-33 and III-34	B of R-13 B of R-9

FAF/cf  
Enclosures

July 13, 1999

Distribution List for Official Copies of  
Regents' Rules and Regulations

Board of Regents	9
Office of the Board of Regents	3
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Governor's Budget and Planning Office	1
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State Auditor - U. T. Austin Representative	1
Legislative Reference Library	1
System Administration	9
Chancellor	
Executive Vice Chancellor for Health Affairs	
Executive Vice Chancellor for Business Affairs	
<del>Exec</del> Vice Chancellor for Academic Affairs	
Executive Associate to the Chancellor	
Vice Chancellor and General Counsel	
Vice Chancellor for Governmental Relations	
Vice Chancellor for Development and External Relations	
Vice Chancellor for Federal Relations	
Chief Administrative Officers of Component Institutions	15
Mr. Thomas G. Ricks	<u>1</u>
President and Chief Executive Officer UTIMCO	
TOTAL	43

NOTE: See July 6, 1988 letter from Coordinating Board which requests that only those amendments related to (1) academic work load and (2) faculty development leaves be sent to that office.

Also send intellectual property policy revisions to Coordinating Board per State Statute and post tenure review.

Addresses of State Officials Who Receive Copies  
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711 Sam Houston Building  
Austin, Texas 78711  
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Mr. John Keel  
Director, Legislative Budget Board  
John H. Reagan Building  
Third Floor  
Austin, Texas 78701  
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State Auditor's Office  
Two Commodore Plaza  
206 East 9th Street  
Suite 1900  
Austin, Texas 78701  
INTERAGENCY MAIL

Ms. Sally Reynolds  
Director  
Legislative Reference Library  
Room 207-B, Capitol Building  
Austin, Texas 78711  
INTERAGENCY MAIL

Publications Clearing House  
Room 307, Archives Building  
Texas State Library  
Austin, Texas 78711  
INTERAGENCY MAIL

Dr. Don Brown  
Commissioner, Texas Higher  
Education Coordinating Board  
7745 Chevy Chase Drive, Building 5  
Austin, Texas 78752  
INTERAGENCY MAIL

(NOTE: Send only when there are  
amendments related to (1) academic  
work load, (2) faculty development  
leaves, (3) intellectual property  
policy, or (4) post tenure review.)

\*\*\*\*\*

Mr. Thomas G. Ricks  
President and Chief Executive Officer  
The University of Texas Investment Management Co.  
CTJ Hall

August 12, 1999

RULES AND REGULATIONS  
OF THE  
BOARD OF REGENTS  
OF  
THE UNIVERSITY OF TEXAS SYSTEM  
FOR THE GOVERNMENT OF  
THE UNIVERSITY OF TEXAS SYSTEM

PART ONE

Part One was completely reissued as of June 1, 1988.  
(Substantive amendments through August 12, 1999,  
and editorial amendments through September 1999  
have been issued with this cover sheet.)

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	7. Rights and Responsibilities of Faculty Members as Citizens and as Teachers.....	III-27
	8. The Greater Duties of a Member of the Teaching Staff.....	III-29
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	28. Power to Authorize Expenditures Out of System Funds.....	III-40
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	35. Political Activities.....	III-44
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IV.	Faculty Organization	
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	2. Institutional, College, School, and Departmental Faculties and Legislative Bodies.....	IV-1
	3. Faculty Advisory Council.....	IV-3

- 5.33 The Agenda.--Under the direction of the Chairman of the Board or the Chairman of a standing committee, the Executive Secretary shall prepare and distribute the Agenda and necessary supporting documentation for all meetings of the Board and its committees.
- 5.34 Open Meetings Act.--Under the direction of the Chairman of the Board or the Chairman of a standing committee, the Executive Secretary shall post notices of all meetings, and the subject matter thereof as may be required under law.
- 5.35 Minutes.--The Executive Secretary shall record, prepare, and index the official Minutes of the Board and its committees and shall distribute copies thereof, including the annual budgets, to members of the Board and to other persons on the approved list. The official copy of the Minutes of the Board and its committees shall be kept in the Office of the Board of Regents, and certified excerpts from these Minutes shall be prepared by the Executive Secretary.
- 5.36 Documents.--The Executive Secretary shall keep on file in the Office of the Board of Regents all official documents, correspondence, and proceedings of the Board and its committees.
- 5.37 Seal.--The custody of the official seal of the System shall be with the Executive Secretary. The Executive Secretary shall affix such official seal to, and attest, all documents executed in the name of the Board and requiring attestation.
- 5.38 Rules and Regulations and Regental Policies.--The Executive Secretary shall be charged with the responsibility of keeping current the Official Copies of the Regents' Rules and Regulations and furnishing to members of the Board and the administrative officers on the approved list any changes or additions as soon as possible after the meeting at which they are finally adopted.

The Executive Secretary is authorized to make minor and nonsubstantive editorial changes to the Regents' Rules and Regulations and to Regental Policies enacted by the Board as necessary to keep the Rules and policies current and correct.

- In the event such editorial changes are necessary, the Executive Secretary will assure that members of the Board, administrative officers and members of the public have ready access to the current version of the Regents' Rules and Regulations and each Regental Policy.
- 5.39 Reports.--As directed by the Board, the Executive Secretary shall:
- 5.391 define and establish routine reporting mechanisms, including;
    - 5.3911 determining the Board's information requirements;
    - 5.3912 structuring reporting procedures; and
    - 5.3913 gathering and summarizing reports and data for presentation to the Board on a routine basis; and
  - 5.392 prepare special reports, including;
    - 5.3921 requesting and gathering data from System Administration and component institutions;
    - 5.3922 conducting necessary analyses of data; and
    - 5.3923 summarizing facts and presenting reports for the Board's consideration.
- 5.3(10) Other Duties.--In addition, the Executive Secretary shall perform such functions and have such other duties and responsibilities as may be assigned to the Executive Secretary to the Board or as are usual and customary to the position of Executive Secretary and which assist the members of the Board in the discharge of their official duties.

- 7.2 Board for Lease of University Lands.--At the first available opportunity following February 1 of each odd-numbered year, two qualified members of the Board, as specified in Section 66.62, Texas Education Code, shall be selected by the Board, upon recommendation of the Chairman of the Board, to serve on the Board for Lease of University Lands. The Office of Business Affairs, on behalf of the Board of Regents, will assign employees of The University of Texas System to assist the Board for Lease of University Lands in the performance of its duties and responsibilities and will consult with the Chancellor and the Office of General Counsel as necessary and appropriate. The Secretary of the Board for Lease of University Lands shall report the activities of the Board for Lease of University Lands to the Board at each of the Board's regularly scheduled meetings.

- 7.3 Special Committees.--The Chairman of the Board shall appoint such special committees of the Board as the Board may authorize.

Sec. 8. Procedure.

- 8.1 Rules of Order.--Robert's Rules of Order, when not in conflict with any of the provisions of this Chapter, shall be the rules of parliamentary procedure when the Board or any of its committees is in session.
- 8.2 Order of Business.--Customarily, the order of business at a regular meeting of the Board shall be as follows:
- 8.21 Executive Session of the Board.
  - 8.22 Correction and approval of Minutes of preceding meeting(s).
  - 8.23 Consideration of Special Items referred directly to the Board.
  - 8.24 Consideration of items relating to The University of Texas Investment Management Company (UTIMCO).
  - 8.25 Consideration of approval of items contained in reports of standing committees:
    - (a) Executive Committee
    - (b) Business Affairs and Audit Committee
    - (c) Academic Affairs Committee
    - (d) Health Affairs Committee
    - (e) Facilities Planning and Construction Committee
  - 8.26 Reports of special committees.

- 8.3 Open and Closed Meetings.--Meetings of the Board shall be open to the press and the public, unless otherwise determined by the Board, in accordance with law.
- 8.4 Matters to be Referred to Committees.--The Chairman of the Board in consultation with the Executive Secretary to the Board and appropriate committee chairmen shall make appropriate referrals to standing committees. On all matters for the consideration of the Board originating in the component institutions or System Administration, the Chancellor shall include a recommendation as to the standing committee to which the matter should be referred. Insofar as it is practicable, all subjects and matters requiring Board action shall be referred to the appropriate standing committee for consideration and recommendation before action is taken thereon by the Board.
- 8.5 Communications by and to the Board.
- 8.51 Members of the Board of Regents are to be permitted access to such personnel and information as in their individual judgments will enable them to fulfill their duties and responsibilities as Regents of The University of Texas System. It is the responsibility of each Regent to be knowledgeable in some detail regarding the operations, management, finances, and effectiveness of the academic, research, and public service programs of The University of Texas System, and members of the Board have the right and authority to inform themselves as to their duties, responsibilities and obligations in such

that if sufficient emergency exists requiring immediate action and it appears that the delay was unavoidable, this requirement may be waived by a two-thirds vote of the Board or a standing committee of the Board.

- 8.6 Report to Press on Actions of Board.--Reports on matters of public interest will be given to the press as promptly as possible after each meeting. These press reports shall be under the direction of the Chairman of the Board, the Chancellor or their designated representatives.
- 8.7 Political and Otherwise Obviously Controversial Matters.--The Board reserves to itself the responsibility for passing upon matters of a political or obviously controversial nature which represent an official position of the System or any institution or department thereof. Statements on such matters shall be made by the Chairman of the Board or the Chancellor. Without the advance approval of the Board, no Regent, officer, or faculty or staff member shall make or issue any public statement on any political or other subject of an obviously controversial nature which might reasonably be construed as a statement of the official position of the System or any institution or department thereof. It is not the intent of this policy statement to stifle the right of freedom of speech of anyone speaking in a personal capacity where that person makes it clear that he or she is not speaking for the System or any of its component institutions. Statements on matters of an emergency nature shall be cleared by the Chancellor with the Chairman of the Board.

- 9.22 All contracts or agreements, including purchase orders and vouchers, with a cost or monetary value to the U. T. System Administration or the component institution of more than \$500,000 must be approved by the Executive Committee of the Board or approved by the Board via the docket or the agenda except the following, which do not require prior approval or ratification by the Executive Committee of the Board or the Board regardless of the contract amount:
- 9.221 Contracts, agreements, and documents relating to construction projects previously approved by the Board in the Capital Improvement Program and Capital Budget.
  - 9.222 Contracts or grant proposals for sponsored research, including institutional support grants, that do not include a license for or conveyance of intellectual property owned or controlled by the Board.
  - 9.223 Contracts or agreements for the purchase of replacement equipment or replacement software.
  - 9.224 Contracts or agreements for the purchase of routinely purchased supplies.
  - 9.225 Purchases made under a group purchasing program.
  - 9.226 Purchases of new equipment or new software identified specifically in the institutional budget approved by the Board.
  - 9.227 Loans of institutional funds to certified nonprofit health corporations, which loans have been approved as provided in Part Two, Chapter III, Section 4, Subsection 4.5 of these Rules and Regulations.
- 9.23 All contracts for consulting services for more than \$250,000 must be approved by the Executive Committee of the Board or approved by the Board via the docket or the agenda.
- 9.24 The officer or employee executing any document on behalf of the Board shall be responsible for assuring that he or she has authority to act on behalf of the Board and

- that such authority is exercised in compliance with applicable conditions and restrictions.
- 9.25 The primary delegate identified in these Rules and Regulations may further delegate his or her delegated authority unless otherwise specified. Any such further delegation of authority must be made in writing and the primary delegate shall permanently maintain, or cause to be maintained, evidence of all such delegations. A delegate of the primary delegate may not further delegate such authority.
- 9.26 The primary delegate identified in these Rules and Regulations as authorized to execute and deliver on behalf of the Board various types of contracts, agreements, and documents shall maintain, or cause to be maintained, necessary and proper records with regard to all contracts, agreements, and documents executed and delivered pursuant to such delegated authority. An original of each executed contract or agreement shall be kept for a minimum period of four (4) years after the expiration of the contract or agreement, or longer as may be specified by any applicable records retention schedule or policy adopted by the Board, the U. T. System Administration, or the component.
- 9.27 All authority to execute and deliver contracts, agreements, and other documents is subject to compliance with all applicable laws and regulations and all applicable provisions of these Rules and Regulations.
- 9.28 All purchases, regardless of the source of funds, shall be made on a competitive basis unless otherwise authorized by law, U. T. System policy, or approved component policy.
- 9.29 The following contracts and agreements must be approved by the Board via the docket or the agenda, regardless of the contract amount:
- 9.291 Contracts and agreements of any kind or nature with a foreign government or agency thereof, except affiliation agreements prepared on the standard form approved by the Office of General Counsel.

- 9.23 The formal acceptance, following review and approval by the Chancellor and the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs, and the processing and administration (excluding investment management) of gifts as assigned which conform to all relevant laws and Board policies.
- 9.24 Promulgating the "U. T. System Gift Acceptance Procedures" and preparing other guidance documents relating to acceptance, processing, and administration (excluding investment management) of gifts for the U. T. System and the component institutions.
- 9.25 Making recommendations with respect to the acceptance of all proposed gifts and approval of all other actions related to the processing and administration of gifts managed by the Office of Development and External Relations, the terms of which do not conform to all relevant laws and Board policies.
- 9.26 Reporting to the Board on gifts processed and administered by the Office of Development and External Relations.
- 9.27 The organization and administration of programs for providing information to the public relating to the System and coordinating those programs with the public information programs of the component institutions.
- 9.28 Directing the administration of the System Office of Special Services.
- 9.29 Directing the administration of the System Office of Estates and Trusts.
- 9.2(10) To assist in the development, organization, and administration of programs and activities related to alumni of System component institutions in coordination with appropriate personnel of the component institutions.
- 9.2(11) Coordinating the policies and activities of the System and the component institutions related to internal and external foundations that provide support for the System and the component institutions.
- 9.2(12) The performance of such other duties and responsibilities as may be assigned by the Chancellor.

Such resignation must be completed and effective prior to the effective date of the appointment at the System component; otherwise, such appointment shall be void and of no effect.

6.262 The acceptance of an appointment to a tenured position on the faculty of an educational institution outside the System shall be considered as a resignation of any faculty position with the title of Instructor, Assistant Professor, Associate Professor, or Professor or with the title of Technical Instructor, Assistant Master Technical Instructor, Associate Master Technical Instructor or Master Technical Instructor that such appointee may hold at a System component.

6.3 Termination by an institution of the employment of a faculty member who has been granted tenure and of all other faculty members before the expiration of the stated period of appointment, except as is otherwise provided in Subdivision 6.26 and Subsections 6.(11) and 6.(12) or by resignation or retirement, will be only for good cause shown. Faculty member, as used in this Subsection, includes a professional librarian with an academic title. In each case the issue of good cause will be determined according to the equitable procedures provided in this Subsection.

6.31 The chief administrative officer shall assure that all allegations against a faculty member that involve the potential for termination are reviewed under the direction of the chief academic officer unless another officer is designated by the chief administrative officer. The faculty member who is the subject of the allegations shall be given an opportunity to be interviewed and shall have the right to present a grievance, in person, to the chief academic officer on an issue or subject related to the allegations under review. The chief academic officer shall take the grievance, if any, into consideration prior to making a determination whether the allegations are supported by evidence that constitutes good cause for termination. Upon making that determination, the chief academic officer will recommend to the chief administrative officer whether to proceed with charges for termination. Failure

to present a grievance to the chief academic officer prior to his or her recommendation shall not preclude a faculty member from presenting an issue or subject to the special hearing tribunal in defense of charges for termination that may result from the review. A tenured faculty member who is recommended for termination on the basis of periodic evaluation must be given the opportunity for referral of the matter to nonbinding alternative dispute resolution, as required by Texas Education Code Section 51.942 and in compliance with applicable U. T. System and institutional policies and procedures for alternative dispute resolution, prior to referral of the charges to a hearing tribunal under Subdivision 6.33. A faculty member under review for matters that may result in charges for termination may file a grievance pursuant to a faculty grievance procedure only if the subject of the grievance is not involved in the review. A pending grievance may proceed only if it does not involve a subject under review.

6.35 Full-time faculty members, including professional librarians with academic titles, who are notified in accordance with Subsection 6.7 that they will not be reappointed or who are notified in accordance with Subdivision 6.23 or Subsections 6.7 or 6.8 that the subsequent academic year will be the terminal year of appointment shall not be entitled to a statement of the reasons upon which the decision for such action is based. Such a decision shall only be subject to review pursuant to the following procedures:

6.351 The affected faculty member may present a grievance, in person, to the chief academic officer on an issue or subject related to the nonrenewal decision. The chief academic officer shall meet with the faculty member. Unless a review by a hearing tribunal is requested and granted pursuant to Subparagraph 6.352 below, the nonrenewal decision shall not be subject to further review.

6.352 A review by a hearing tribunal shall be granted only in those cases where the affected faculty member submits a written request for review by a hearing tribunal to the chief administrative officer and describes in detail the facts relied upon to prove that the decision was made for reasons that are unlawful under the Constitution or laws of Texas or the United States. If the chief administrative officer determines that the alleged facts, if proven by credible evidence, support a conclusion that the decision was made for unlawful reasons, such allegations shall be heard by a hearing tribunal under the procedures in Subsection 6.3 as in the case of dismissal for cause, with the following exceptions:

- (1) the burden of proof is upon the affected faculty member to establish by the greater weight of the credible evidence that

- the decision in question was made for reasons that are unlawful under the Constitution or laws of Texas or the United States;
- (2) the administration of the institution need not state the reasons for the questioned decision or offer evidence in support thereof unless the affected faculty member presents credible evidence that, if unchallenged, proves the decision was made for unlawful reasons;
  - (3) the hearing tribunal shall make written findings and recommendations based on the evidence presented at the hearing and shall forward such findings and recommendations with the transcript and exhibits from the hearing to the chief administrative officer;
  - (4) the chief administrative officer may approve, reject, or amend the recommendations of the hearing tribunal or may reach different conclusions based upon the record of the hearing. The decision of the chief administrative officer shall be final.

Sec. 12. Office Hours.--Members of the teaching staffs are expected to post on their office doors, and publish in any other manner required by the chief administrative officer, office hours and conference periods most advantageous to students.

Sec. 13. Outside Employment and Nonelective Positions of Honor, Profit, or Trust.

- 13.1 Members of the faculty or staff of the System and the component institutions should not be discouraged from accepting appointments of a consultative or advisory capacity with governmental agencies, industry, or other educational institutions. The consideration to the System and the component institutions of such activity is the improvement of the individual by virtue of his or her continuing contact with nonacademic problems in the nonacademic world.
- 13.2 The primary responsibility of members of the faculty and staff of the System and the component institutions is the accomplishment of the duties and responsibilities assigned to one's position of appointment; external consulting or other outside employment that interferes with those duties and responsibilities should not be accepted. In connection with any outside employment, faculty and staff must comply with state laws governing the conduct of state employees, including ethics standards and provisions prohibiting conflict of interest and use of state resources. For special provisions relating to standards of conduct for employees, see Section 4 of this Chapter.
- 13.3 Even in the case of members of the faculty or staff specifically engaged only in residence work, there exists an obligation, usually intermittent, to furnish expert knowledge and counsel for public benefit free of charge, provided that the meeting of this obligation by a faculty or staff member does not interfere with his or her regular duties, and provided further that in meeting this obligation a full-time faculty or staff member shall avoid undue competition with legitimate private agencies.

- 13.4 No member of the faculty or staff engaged in outside remunerative activities shall use in connection therewith the official stationery of the System or any of the component institutions, or give as a business address any building or department of the System or the component institution.
- 13.5 Every member of the faculty or staff who gives professional opinions must protect the System and the component institutions against the use of such opinions for advertising purposes. If the faculty or staff member does work in a private capacity, the faculty or staff member must make it clear to those who employ him or her that the work is unofficial and that the name of the System and the component institutions is not in any way to be connected with the faculty or staff member's name, except when used to identify the faculty or staff member as the author of work related to the faculty or staff member's academic or research area as more fully described in Part Two, Chapter XII, Section 2.
- 13.6 No member of the faculty or staff shall accept pay from private persons or corporations for tests, assays, chemical analyses, bacteriological examinations, or other such work that involves the use of property owned by the System or the component institutions, unless advance permission has been obtained from the chief administrative officer and provision has been made for compensation to the System or the component institutions.
- 13.7 No full-time member of the faculty or staff employed by the System or the component institutions on a twelve-month or nine-month basis shall be employed in any outside work or activity or receive from an outside source a regular retainer fee or salary until a description of the nature and extent of the employment has been filed with and approved by appropriate administrative officials as set forth in the policies of the System or the Handbook of Operating Procedures of each component institution. For special provisions relating to other state or federal employment, see Subsections 13.8 and 13.9 of this Section.

13.8 Subject to the other provisions of this Section, a member of the faculty or staff of the System or the component institutions may hold other nonelective offices or positions of honor, trust, or profit with the State of Texas or the United States if holding the other offices or positions is of benefit to the State of Texas or is required by state or federal law and if there is no conflict between holding the office or position and holding the office or position with the System or the component institutions for which the member of the faculty or staff receives salary or compensation.

13.9 Before a member of the faculty or staff of the System or the component institutions may accept an offer to serve in other nonelective offices or positions of honor, trust, or profit with the State of Texas or the United States, the member of the faculty or staff must obtain from the appropriate administrative officials and the Board a finding via the docket that the requirements of Subsection 13.8 have been fulfilled. The docket entry shall also record any compensation the employee is to receive from the nonelective office or position, including salary, bonus, or per diem payment.

Sec. 14. Holidays.--All faculty, classified and other nonteaching personnel are entitled to such holidays as are provided by the Legislature in the then current Appropriations Bill and as are approved annually by the Executive Vice Chancellor for Business Affairs or alternate holidays approved in the official calendars of the various institutions by the Executive Vice Chancellor for Business Affairs. (See the Classified Personnel rules in the institutional Handbook of Operating Procedures.)

Sec. 15. Vacation.

15.1 Vacations for faculty on twelve-month appointments, classified personnel and nonteaching personnel shall be as provided by state law. Vacations for hourly and part-time employees shall be on a percentage basis for the time appointed. (See the Classified Personnel rules in the institutional Handbook of Operating Procedures.)

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Chairman Evans saluted Chancellor William H. Cunningham for his exemplary service to the University over the past seven years. In noting the dramatic changes taking place in higher education, in distance learning, and in demographics, Chairman Evans stated that Dr. Cunningham's understanding and vision of the changing times and his leadership in moving this Board in directions to respond to the needs of changing environments has been invaluable to the University. He further stated that Chancellor Cunningham's care and love for the U. T. System and for the State of Texas are evident through his decision to stay with the University through this selection process and consequently, that this will be a much smoother transition for everyone.

SPECIAL ITEMS

- 1. U. T. Board of Regents - Regents' Rules and Regulations, Part One: Amendments to Chapter I, Section 5, Subsection 5.3, Subdivision 5.38 (Rules and Regulations) and Subsection 5.4 (Assistant Secretary to the Board); Section 7, Subsection 7.2 (Board for Lease of University Lands); and Section 8, Subsection 8.2 (Order of Business) and Subsection 8.6 (Report to Press on Actions of Board). --The Board amended the Regents' Rules and Regulations, Part One, Chapter I, Section 5, Subsection 5.3, Subdivision 5.38 (Rules and Regulations) and Subsection 5.4 (Assistant Secretary to the Board); Section 7, Subsection 7.2 (Board for Lease of University Lands); and Section 8, Subsection 8.2 (Order of Business) and Subsection 8.6 (Report to Press on Actions of Board) as set forth below:
  - a. Section 5, Subsection 5.3, Subdivision 5.38 was amended to read as follows to authorize the Executive Secretary to make editorial changes to the Regents' Rules and Regulations and Regental Policies.
    - 5.38 Rules and Regulations and Regental Policies.--The Executive Secretary shall be charged with the responsibility of keeping current the Official Copies of the Regents' Rules and Regulations and furnishing to members of the Board and the administrative officers on the approved list any changes or additions as soon as possible after the meeting at which they are finally adopted.

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✓ The Executive Secretary is authorized to make minor and non-substantive editorial changes to the Regents' Rules and Regulations and to Regental Policies enacted by the Board as necessary to keep the Rules and policies current and correct. In the event such editorial changes are necessary, the Executive Secretary will assure that members of the Board, administrative officers and members of the public have ready access to the current version of the Regents' Rules and Regulations and each Regental Policy.

✓ b. Section 5, Subsection 5.4 was deleted to remove the position of Assistant Secretary to the Board in the Office of the Board of Regents. ✓

c. Section 7, Subsection 7.2, related to the Board for Lease of University Lands, was amended as follows: ✓

✓ 7.2 Board for Lease of University Lands.--At the first available opportunity following February 1 of each odd-numbered year, two qualified members of the Board, as specified in Section 66.62, Texas Education Code, shall be selected by the Board, upon recommendation of the Chairman of the Board, to serve on the Board for Lease of University Lands. The Office of Business Affairs, on behalf of the Board of Regents, will assign employees of The University of Texas System to assist the Board for Lease of University Lands in the performance of its duties and responsibilities and will consult with the Chancellor and the Office of General Counsel as necessary and appropriate. The Secretary of the Board for Lease of University Lands shall report the activities of the Board for Lease of University Lands to the Board at each of the Board's regularly scheduled meetings.

d. Section 8, Subsection 8.2, relating to the order of business at meetings of the Board of Regents, was amended to read as follows:

✓ 8.2 Order of Business.--Customarily, the order of business at a regular meeting of the Board shall be as follows:

8.21 Executive Session of the Board.

8.22 Correction and approval of Minutes of preceding meeting(s).

8.23 Consideration of Special Items referred directly to the Board.

- 8.24 Consideration of items relating to The University of Texas Investment Management Company (UTIMCO).
- 8.25 Consideration of approval of items contained in reports of standing committees:
  - (a) Executive Committee
  - (b) Business Affairs and Audit Committee
  - (c) Academic Affairs Committee
  - (d) Health Affairs Committee
  - (e) Facilities Planning and Construction Committee
- 8.26 Reports of special committees.

e. Section 8, Subsection 8.6, relating to reports to the press, was amended to read as follows: ✓

✓ 8.6 Report to Press on Actions of Board.--Reports on matters of public interest will be given to the press as promptly as possible after each meeting. These press reports shall be under the direction of the Chairman of the Board, the Chancellor or their designated representatives.

The amendments to Section 5, Subsection 5.3, Subdivision 5.38 authorize the Executive Secretary to make minor and nonsubstantive editorial changes to the Regents' Rules and Regulations and to Regental Policies to keep the Rules and Regental Policies current and correct.

The amendment to the Regents' Rules and Regulations, Part One, Chapter I, Section 5, Subsection 5.4 removes the position of Assistant Secretary to the Board in the Office of the Board of Regents, as the Office has been reorganized.

The amendments to Section 7, Subsection 7.2 relating to the Board for Lease of University Lands delete language repeating the statutory qualifications for Board for Lease service set forth in the Texas Education Code Section 66.62, and harmonize the language related to selection of members of the Board for Lease with actual practice.

The amendments to Section 8 are primarily editorial to conform the usual order of business at a regularly scheduled meeting of the Board of Regents with current practice and to clarify the procedure for issuing press reports.

2. U. T. Board of Regents - Regents' Rules and Regulations: Amendments to Part One, Chapter II, Section 9, Subsection 9.2, Subdivision 9.24 (Vice Chancellor for Development and External Relations, Duties and Responsibilities) and Part Two, Chapter I, Section 1, Subsection 1.1 (Gifts to The University of Texas System). --The Regents' Rules and Regulations, Parts One and Part Two, were amended as set forth below:

- a. Part One, Chapter II, Section 9, Subsection 9.2, Subdivision 9.24, relating to the duties and responsibilities of the Vice Chancellor for Development and External Relations, was amended to read as follows:

9.24 Promulgating the "U. T. System Gift Acceptance Procedures" and preparing other guidance documents relating to acceptance, processing, and administration (excluding investment management) of gifts for the U. T. System and the component institutions. ✓

- b. Part Two, Chapter I, Section 1, Subsection 1.1, regarding gifts to The University of Texas System, was amended to read as follows:

Sec. 1. Gifts to The University of Texas System.

- 1.1 The authority to accept gifts to the U. T. System or to any of the component institutions is vested in the Board and delegated by the Board as specifically set out in this Section. The Board delegates to the Vice Chancellor for Development and External Relations the authority and responsibility to promulgate a set of guidelines regarding the acceptance, processing, investment, and administration of gifts. These guidelines will be known as the "U. T. System Gift Acceptance Procedures" and shall be adhered to by the component institutions and U. T. System. In promulgating the "U. T. System Gift Acceptance Procedures", the delegate shall also consider provisions to:
- 1.11 Accomplish the goal of increasing financial support for the U. T. System through the appropriate assistance of donors,
- 1.12 Allow staff members to respond to donor initiatives quickly and with certainty,

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- 1.13 Establish administrative processes to accept and administer gifts in a prudent and efficient manner, with fiduciary responsibilities of fundamental importance,
- 1.14 Comply with the Texas Constitution and applicable federal and state law,
- 1.15 Comply with the provisions of the Internal Revenue Code and related regulations,
- 1.16 Specifically incorporate provisions related to the acceptance of pledges to fund endowments as follows:
  - 1.161 At least 20% of the donors' total proposed funding must be received prior to the acceptance of an endowment, and
  - 1.162 The pledge for payment of the remaining funds shall not extend beyond five years from the date of execution of the gift agreement.
- 1.17 Specify that requests to establish quasi-endowments are to be submitted only when it is expected that the endowment will be maintained permanently, and
- 1.18 Provide that, in the interest of financial responsibility and efficiency, it is the specific preference of the Board that all endowment gifts be eligible for commingling for investment purposes with other endowment funds.

In August 1995, the U. T. Board of Regents adopted the current "U. T. System Gifts Policy Guidelines", as amended in November 1995, as a part of the recommendations of The University of Texas System Process Review Committee. These guidelines have greatly increased efficiency in accepting and processing private gifts to the U. T. System Administration and component institutions.

Since these procedural guidelines need to be updated periodically and other guidance documents relating to gifts need to be prepared, these amendments to Parts One and Two of the Regents' Rules and Regulations delegate to the Vice Chancellor for Development and External Relations the authority to promulgate "U. T. System Gift Acceptance Procedures" which are consistent with the Regents' Rules and Regulations without formal action by the

U. T. Board of Regents. Proposed gifts that constitute exceptions to the Gift Acceptance Procedures will continue to be considered by the U. T. Board of Regents via the agenda process.

The current version of the "U. T. System Gifts Policy Guidelines" contains a section of special provisions applicable to gifts of real estate which will be formatted as a separate guidance policy and presented to the U. T. Board of Regents for approval.

3. U. T. Board of Regents: Amendments to the Regents' Rules and Regulations, Part One, Chapter III, Section 13 (Outside Employment).--The Regents' Rules and Regulations, Part One, Chapter III, Section 13, relating to outside employment, was amended to read as follows:

Sec. 13. Outside Employment and Nonelective Positions of Honor, Profit, or Trust. ✓

13.1 Members of the faculty or staff of the System and the component institutions should not be discouraged from accepting appointments of a consultative or advisory capacity with governmental agencies, industry, or other educational institutions. The consideration to the System and the component institutions of such activity is the improvement of the individual by virtue of his or her continuing contact with nonacademic problems in the nonacademic world.

13.2 The primary responsibility of members of the faculty and staff of the System and the component institutions is the accomplishment of the duties and responsibilities assigned to one's position of appointment; external consulting or other outside employment that interferes with those duties and responsibilities should not be accepted. In connection with any outside employment, faculty and staff must comply with state laws governing the conduct of state employees, including ethics standards and

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provisions prohibiting conflict of interest and use of state resources. For special provisions relating to standards of conduct for employees, see Section 4 of this Chapter.

- 13.3 Even in the case of members of the faculty or staff specifically engaged only in residence work, there exists an obligation, usually intermittent, to furnish expert knowledge and counsel for public benefit free of charge, provided that the meeting of this obligation by a faculty or staff member does not interfere with his or her regular duties, and provided further that in meeting this obligation a full-time faculty or staff member shall avoid undue competition with legitimate private agencies.
- 13.4 No member of the faculty or staff engaged in outside remunerative activities shall use in connection therewith the official stationery of the System or any of the component institutions, or give as a business address any building or department of the System or the component institution.
- 13.5 Every member of the faculty or staff who gives professional opinions must protect the System and the component institutions against the use of such opinions for advertising purposes. If the faculty or staff member does work in a private capacity, the faculty or staff member must make it clear to those who employ him or her that the work is unofficial and that the name of the System and the component institutions is not in any way to be connected with the faculty or staff member's name, except when used to identify the faculty or staff member as the author of work related to the faculty or staff member's academic or research area as more fully described in Part Two, Chapter XII, Section 2.
- 13.6 No member of the faculty or staff shall accept pay from private persons or corporations for tests, assays, chemical analyses, bacteriological examinations, or other such work that involves the use of property owned by the

System or the component institutions, unless advance permission has been obtained from the chief administrative officer and provision has been made for compensation to the System or the component institutions.

- 13.7 No full-time member of the faculty or staff employed by the System or the component institutions on a twelve-month or nine-month basis shall be employed in any outside work or activity or receive from an outside source a regular retainer fee or salary until a description of the nature and extent of the employment has been filed with and approved by appropriate administrative officials as set forth in the policies of the System or the Handbook of Operating Procedures of each component institution. For special provisions relating to other state or federal employment, see Subsections 13.8 and 13.9 of this Section.
- 13.8 Subject to the other provisions of this Section, a member of the faculty or staff of the System or the component institutions may hold other nonelective offices or positions of honor, trust, or profit with the State of Texas or the United States if holding the other offices or positions is of benefit to the State of Texas or is required by state or federal law and if there is no conflict between holding the office or position and holding the office or position with the System or the component institutions for which the member of the faculty or staff receives salary or compensation.
- 13.9 Before a member of the faculty or staff of the System or the component institutions may accept an offer to serve in other nonelective offices or positions of honor, trust, or profit with the State of Texas or the United States, the member of the faculty or staff must obtain from the appropriate administrative officials and the Board a finding via the docket that the requirements of Subsection 13.8 have been fulfilled. The docket entry shall also record any compensation the employee is to receive from the nonelective office or position, including salary, bonus, or per diem payment.

A recent court challenge to the constitutionality of legislative and institutional limitations on faculty and staff outside employment, including employment as an expert witness, has prompted modification of Section 13 of Chapter III, Part One of the Regents' Rules and Regulations. Although Subsection 13.6 has been omitted, Subsection 13.2 has been modified to clarify that external consulting and outside employment are considered secondary activities to be engaged in only after one's duties and responsibilities to the employing U. T. institution are fulfilled. The change in Subsection 13.2 also provides that faculty and staff members who maintain outside employment must comply with state laws governing the conduct of state employees, including provisions concerning conflict of interest, ethics standards, and use of state resources. The change to Subsection 13.9 makes the language consistent with the requirements of Texas Government Code Section 574.002. The remaining modifications to Section 13 are editorial in nature.

4. U. T. Board of Regents - Regents' Rules and Regulations, Part One: Amendments to Chapter III, Section 6, Subsection 6.3 (Tenure, Promotion, and Termination of Employment). --A new Section 51.960 was added to the Texas Education Code by the 76th Texas Legislature that requires a person employed by an institution of higher education as a full-time faculty member, including a person employed as a professional librarian, who is notified that he or she will not be reappointed or who is under review for termination for good cause, be provided the right "to present a grievance, in person, to a member of the institution's administration designated by the governing board of the institution on an issue related to the nonrenewal or termination".

To comply with Section 51.960, Texas Education Code, the Regents' Rules and Regulations, Part One, Chapter III, Section 6, Subsection 6.3, relating to tenure, promotion, and termination of employment, was amended to read as set forth below:

- 6.3 Termination by an institution of the employment of a faculty member who has been granted tenure and of all other faculty members before the expiration of the stated period of appointment, except as is otherwise provided in Subdivision 6.26 and Subsections 6.(11) and 6.(12) or by resignation or retirement, will be only for good cause shown. Faculty member, as used in this Subsection, includes a professional librarian with an academic title. In each case the issue of good cause will be determined according to the equitable procedures provided in this Subsection.

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6.31 The chief administrative officer shall assure that all allegations against a faculty member that involve the potential for termination are reviewed under the direction of the chief academic officer unless another officer is designated by the chief administrative officer. The faculty member who is the subject of the allegations shall be given an opportunity to be interviewed and shall have the right to present a grievance, in person, to the chief academic officer on an issue or subject related to the allegations under review. The chief academic officer shall take the grievance, if any, into consideration prior to making a determination whether the allegations are supported by evidence that constitutes good cause for termination. Upon making that determination, the chief academic officer will recommend to the chief administrative officer whether to proceed with charges for termination. Failure to present a grievance to the chief academic officer prior to his or her recommendation shall not preclude a faculty member from presenting an issue or subject to the special hearing tribunal in defense of charges for termination that may result from the review. A tenured faculty member who is recommended for termination on the basis of periodic evaluation must be given the opportunity for referral of the matter to nonbinding alternative dispute resolution, as required by Texas Education Code Section 51.942 and in compliance with applicable U. T. System and institutional policies and procedures for alternative dispute resolution, prior to referral of the charges to a hearing tribunal under Subdivision 6.33. A faculty member under review for matters that may result in charges for termination may file a grievance pursuant to a faculty grievance procedure only if the subject of the grievance is not involved in the review. A pending grievance may proceed only if it does not involve a subject under review.

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6.35 Full-time faculty members, including professional librarians with academic titles, who are notified in accordance with Subsection 6.7 that they will not be reappointed or who are notified in accordance with Subdivision 6.23 or Subsections 6.7 or 6.8 that the subsequent academic year will be the terminal year of appointment shall not be entitled to a statement of the reasons

upon which the decision for such action is based. Such a decision shall only be subject to review pursuant to the following procedures:

6.351 The affected faculty member may present a grievance, in person, to the chief academic officer on an issue or subject related to the nonrenewal decision. The chief academic officer shall meet with the faculty member. Unless a review by a hearing tribunal is requested and granted pursuant to Subparagraph 6.352 below, the nonrenewal decision shall not be subject to further review.

6.352 A review by a hearing tribunal shall be granted only in those cases where the affected faculty member submits a written request for review by a hearing tribunal to the chief administrative officer and describes in detail the facts relied upon to prove that the decision was made for reasons that are unlawful under the Constitution or laws of Texas or the United States. If the chief administrative officer determines that the alleged facts, if proven by credible evidence, support a conclusion that the decision was made for unlawful reasons, such allegations shall be heard by a hearing tribunal under the procedures in Subsection 6.3 as in the case of dismissal for cause, with the following exceptions:

- (1) the burden of proof is upon the affected faculty member to establish by the greater weight of the credible evidence that the decision in question was made for reasons that are unlawful under the Constitution or laws of Texas or the United States;
- (2) the administration of the institution need not state the reasons for the questioned decision or offer evidence in support thereof unless the affected faculty member presents credible evidence that, if unchallenged, proves the decision was made for unlawful reasons;
- (3) the hearing tribunal shall make written findings and recommendations based on the evidence presented at the hearing and shall forward such findings and recommendations with the transcript and exhibits from the hearing to the chief administrative officer;
- (4) the chief administrative officer may approve, reject, or amend the recommendations of the

✓ hearing tribunal or may reach different conclusions based upon the record of the hearing. The decision of the chief administrative officer shall be final.

5. U. T. Board of Regents - Regents' Rules and Regulations, Part Two: Approval to Amend Chapter XII (Intellectual Property), Section 5 (Property Rights and Obligations), Subsection 5.2, Subdivision 5.22, and Section 8, Subsection 8.1 (Reporting). --Authorization was given to amend the Regents' Rules and Regulations, Part Two, Chapter XII, regarding intellectual property, as set forth below:
- a. Section 5, Subsection 5.2, Subdivision 5.22, regarding approval of release of intellectual property rights, was amended to read as follows:
    - 5.22 If the chief administrative officer recommends that the System not assert and exploit its interest and that recommendation is approved by the Office of General Counsel, the creator shall be notified within one hundred eighty (180) days of the date of submission that he or she is free to obtain and exploit a patent or other intellectual property protection in his or her own right and the System shall not have any further rights, obligations or duties with respect thereto except that, in some instances the System may elect to impose certain limitations or obligations or retain income rights, dependent upon the degree of System support involved in the creation of such property.
  - b. Section 8, Subsection 8.1, regarding reporting, was amended as follows:
    - 8.1 Any employee covered by Subsections 6.2, 7.1, or 7.2 shall report in writing to the chief administrative officer of the component institution, or to such other person as may be designated by the chief administrative officer, the name of any business entity in which the person has an interest or for which the person serves as a director, officer or employee and shall be responsible for submitting a revised written report upon any change in the interest or position held by such person in such business entity. These reports shall be forwarded to the Vice

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upon which the decision for such action is based. Such a decision shall only be subject to review pursuant to the following procedures:

6.351 The affected faculty member may present a grievance, in person, to the chief academic officer on an issue or subject related to the nonrenewal decision. The chief academic officer shall meet with the faculty member. Unless a review by a hearing tribunal is requested and granted pursuant to Subparagraph 6.352 below, the nonrenewal decision shall not be subject to further review.

6.352 A review by a hearing tribunal shall be granted only in those cases where the affected faculty member submits a written request for review by a hearing tribunal to the chief administrative officer and describes in detail the facts relied upon to prove that the decision was made for reasons that are unlawful under the Constitution or laws of Texas or the United States. If the chief administrative officer determines that the alleged facts, if proven by credible evidence, support a conclusion that the decision was made for unlawful reasons, such allegations shall be heard by a hearing tribunal under the procedures in Subsection 6.3 as in the case of dismissal for cause, with the following exceptions:

- (1) the burden of proof is upon the affected faculty member to establish by the greater weight of the credible evidence that the decision in question was made for reasons that are unlawful under the laws or Constitution of Texas or the United States; the administration of the institution need not state the reasons for the questioned decision or offer evidence in support thereof unless the affected faculty member presents credible evidence that, if unchallenged, proves the decision was made for unlawful reasons;
- (2) the hearing tribunal shall make written findings and recommendations based on the evidence presented at the hearing and shall forward such findings and recommendations with the transcript and exhibits from the hearing to the chief administrative officer;
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REPORT AND RECOMMENDATIONS OF THE BUSINESS AFFAIRS AND AUDIT COMMITTEE (Pages 96 - 132).--Committee Chairman Riter reported that the Business Affairs and Audit Committee met in open session to consider those matters on its agenda and to formulate recommendations for the U. T. Board of Regents. Unless otherwise indicated, the actions set forth in the Minute Orders which follow were recommended by the Business Affairs and Audit Committee and approved in open session and without objection by the U. T. Board of Regents:

1. U. T. System: Approval of Chancellor's Docket No. 98 (Catalog Change).--Upon recommendation of the Business Affairs and Audit Committee, the Board approved Chancellor's Docket No. 98 in the form distributed by the Executive Secretary. It is attached following Page 177 in the official copies of the Minutes and is made a part of the record of this meeting.
  
2. U. T. Board of Regents - Regents' Rules and Regulations, Part One: Amendment of Chapter I, Section 9 (Documents Executed on Behalf of the Board), Subsection 9.2, Subdivision 9.22.--The Board amended the Regents' Rules and Regulations, Part One, Chapter I, Section 9, Subsection 9.2, Subdivision 9.22, relating to documents executed on behalf of the Board, to read as set forth below:

Sec. 9.        Documents Executed on Behalf of the Board. ✓

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9.22    All contracts or agreements, including purchase orders and vouchers, with a cost or monetary value to the U. T. System Administration or the component institution of more than \$500,000 must be approved by the Executive Committee of the Board or approved by the Board via the docket or the agenda except the following, which do not require prior approval or ratification by the Executive Committee of the Board or the Board regardless of the contract amount:

9.221    Contracts, agreements, and documents relating to construction projects previously approved by the Board in the Capital Improvement Program and Capital Budget.

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- 9.222 Contracts or grant proposals for sponsored research, including institutional support grants, that do not include a license for or conveyance of intellectual property owned or controlled by the Board.
- 9.223 Contracts or agreements for the purchase of replacement equipment or replacement software.
- 9.224 Contracts or agreements for the purchase of routinely purchased supplies.
- 9.225 Purchases made under a group purchasing program.
- 9.226 Purchases of new equipment or new software identified specifically in the institutional budget approved by the Board.
- 9.227 Loans of institutional funds to certified nonprofit health corporations, which loans have been approved as provided in Part Two, Chapter III, Section 4, Subsection 4.5 of these Rules and Regulations.

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These amendments to the Regents' Rules and Regulations, Part One, Chapter I, Section 9, Subsection 9.2, Subdivision 9.22 clarify the provision relating to the requirement that the U. T. Board of Regents or the Executive Committee of the Board approve certain contracts or agreements, including purchase orders and vouchers, with a cost or value of more than \$500,000, to show that the cost or value of the contract or agreement is determined by considering the cost or monetary value to U. T. System Administration or a component institution.

- 3. U. T. Board of Regents - Regents' Rules and Regulations, Part Two: Amendments to Chapter III, Section 1, Subsection 1.4 (Receipts, Admission Tickets, and Charges).—To require payment of certain oil and gas royalties to the U. T. Board of Regents by electronic funds transfer and filing of certain reports to the U. T. Board of Regents by electronic transmission, the Board amended the Regents' Rules and Regulations, Part Two, Chapter III, Section 1, Subsection 1.4, relating to receipts, admission tickets, and charges, to read as set forth on Page 96.

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Part I Sec. 9.22  
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require prior approval or ratification by the Executive Committee of the Board regardless of the contract amount:

- 9.221 Contracts, agreements, and documents relating to construction projects previously approved by the Board in the Capital Improvement Program and Capital Budget.
- 9.222 Contracts or grant proposals for sponsored research, including institutional support grants, that do not include a license for or conveyance of intellectual property owned or controlled by the Board.
- 9.223 Contracts or agreements for the purchase of replacement equipment or replacement software.
- 9.224 Contracts or agreements for the purchase of routinely purchased supplies.
- 9.225 Purchases made under a group purchasing program.
- 9.226 Purchases of new equipment or new software identified specifically in the institutional budget approved by the Board.
- 9.227 Loans of institutional funds to certified nonprofit health corporations, which loans have been approved as provided in Part Two, Chapter III, Section 4, Subsection 4.5 of these Rules and Regulations.

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OFFICE OF THE BOARD OF REGENTS  
THE UNIVERSITY OF TEXAS SYSTEM  
201 WEST SEVENTH STREET, AUSTIN, TEXAS 78701-2981  
(512) 499-4402

MEMORANDUM

February 23, 1999

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**TO:** Those Who Receive Official Copies of the Regents' Rules and Regulations (List attached)  
**FROM:** Francie A. Frederick J.F.  
**SUBJECT:** Regents' Rules and Regulations, Part One - Amendments

Amendments to the Regents' Rules and Regulations approved at the February 11, 1999 meeting of the U. T. Board of Regents, as well as editorial amendments, have been incorporated in the enclosed revised pages.

Further detail on the rationale for the amendments as well as a congressional style display of the revised text are included in the Material Supporting the Agenda (MSA) for the February 1999 meeting as referenced below.

Please update your copy of the Regents' Rules and Regulations, Part One by discarding the pages listed in Column "A" and substituting in lieu thereof the pages listed in Column "B":

"A"	"B"	MSA Reference
Cover Page Pages I-1, I-3, I-21, I-26, and I-27	Cover Page Pages I-1, I-3, I-21, I-26, and I-27	Pages B of R-7a and BAAC-3

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Enclosures

February 24, 1999

Distribution List for Official Copies of  
Regents' Rules and Regulations

Board of Regents	9
Office of the Board of Regents	2
Legislative Budget Board	1
Governor's Budget and Planning Office	1
Publications Clearing House	1
State Auditor - U. T. Austin Representative	1
Legislative Reference Library	1
System Administration	8
Chancellor	
Executive Vice Chancellor for Health Affairs	
Executive Vice Chancellor for Business Affairs	
Vice Chancellor for Academic Affairs	
Executive Associate to the Chancellor	
Vice Chancellor and General Counsel	
Vice Chancellor for Governmental Relations	
Vice Chancellor for Development and External Relations	
Chief Administrative Officers of Component Institutions	15
Mr. Thomas G. Ricks	<u>1</u>
President and Chief Executive Officer	
UTIMCO	
TOTAL	41

NOTE: See July 6, 1988 letter from Coordinating Board which requests that only those amendments related to (1) academic work load and (2) faculty development leaves be sent to that office.

Also send intellectual property policy revisions to Coordinating Board per State Statute.

Addresses of State Officials Who Receive Copies  
of the Regents' Rules and Regulations

Governor's Budget and Planning Office  
711 Sam Houston Building  
Austin, Texas 78711  
INTERAGENCY MAIL

Mr. John Keel  
Director, Legislative Budget Board  
John H. Reagan Building  
Third Floor  
Austin, Texas 78701  
INTERAGENCY MAIL

State Auditor's Office  
Two Commodore Plaza  
206 East 9th Street  
Suite 1900  
Austin, Texas 78701  
INTERAGENCY MAIL

Ms. Sally Reynolds  
Director  
Legislative Reference Library  
Room 207-B, Capitol Building  
Austin, Texas 78711  
INTERAGENCY MAIL

Publications Clearing House  
Room 307, Archives Building  
Texas State Library  
Austin, Texas 78711  
INTERAGENCY MAIL

Dr. Don Brown  
Commissioner, Texas Higher  
Education Coordinating Board  
7745 Chevy Chase Drive, Building 5  
Austin, Texas 78752  
INTERAGENCY MAIL

(NOTE: Send only when there are  
amendments related to (1) academic  
work load, (2) faculty development  
leaves, or intellectual property  
policy.)

\*\*\*\*\*

Mr. Thomas G. Ricks  
President and Chief Executive Officer  
The University of Texas Investment Management Co.  
CTJ Hall

Feb. 11, 1999

RULES AND REGULATIONS  
OF THE  
BOARD OF REGENTS  
OF  
THE UNIVERSITY OF TEXAS SYSTEM  
FOR THE GOVERNMENT OF  
THE UNIVERSITY OF TEXAS SYSTEM

PART ONE

Part One was completely reissued as of June 1, 1988.  
(Substantive amendments through February 11, 1999,  
and editorial amendments through February 1999  
have been issued with this cover sheet.)

## CHAPTER I

## BOARD OF REGENTS

- Sec. 1. Authority. The Legislature, which is given the duty and authority to provide for the maintenance, support, and direction of The University of Texas by Article VII, Section 10 of the Texas Constitution has delegated the power and authority to administer The University of Texas System to the Board of Regents in broad terms. (See Sections 65.11 et seq., Texas Education Code.) Texas cases construing these statutes have held that the Board of Regents has wide discretion in exercising its power and authority and that the rules adopted by the Board of Regents have the same force as statutes. Foley v. Benedict, 122 Tex. 193, 55 S.W.2d 805 (1932); Rainey v. Malone, 141 S.W.2d 713 (Tex. Civ. App.--Austin 1940, no writ); Morris v. Nowotny, 323 S.W.2d 301 (Tex. Civ. App.--Austin 1959, writ ref'd n.r.e.); cert. denied, 361 U.S. 889 (1959). The System's lands and buildings are state property subject to the control of the Board of Regents as the state's agent. Splawn v. Woodard, 287 S.W. 677 (Tex. Civ. App.--Austin 1926, no writ); Walsh v. University of Texas, 169 S.W.2d 993 (Tex. Civ. App.--El Paso 1942, writ ref'd).
- Sec. 2. Composition. The Board of Regents (hereinafter sometimes referred to as "Board") is composed of nine members appointed by the Governor, with the advice and consent of the Senate, for staggered terms of six years each, the terms of three members expiring on February 1 of odd-numbered years.
- Sec. 3. Chairman of the Board.
- 3.1 Election of Chairman.--The Chairman of the Board shall be elected by the Board from its number, shall serve at the pleasure of the Board, and shall report and be responsible to the Board. In case of the death, resignation, disability, removal, or disqualification of the Chairman, the Board shall elect a successor Chairman as soon as practicable. Election of the Chairman shall take place during the February meeting held in odd-numbered years or, if new members of the Board have not been appointed and received the consent of the Senate, at the next available opportunity.

Sec. 5. Officers in the Office of the Board of Regents.

- 5.1 Executive Secretary to the Board.--The Executive Secretary to the Board is the principal officer to the Board in the administration of the responsibilities of the Office of the Board of Regents and the principal staff officer to each member of the Board of Regents in the discharge of his or her responsibilities. In addition to the specific duties and responsibilities set forth in Subsection 5.3 of this Chapter, the Executive Secretary to the Board shall advise and counsel with the Board regarding the design and implementation of policies and procedures by which the Board of Regents may more effectively fulfill its responsibilities regarding the governance and management of The University of Texas System.
- 5.2 Appointment.--At the meeting at which the Chairman and Vice-Chairmen of the Board are elected, the Board shall elect an Executive Secretary who is not a member of the Board and who shall receive such compensation and have such staff as may be fixed by the Board. The Executive Secretary shall report and be responsible to the Board. The Executive Secretary shall serve subject to the pleasure of the Board and may be removed by the Board at any time. Upon the death, resignation, disability, removal, or disqualification of the Executive Secretary, the Board shall elect a successor Executive Secretary as soon as practicable.
- 5.3 Duties and Functions.--The duties and functions of the Executive Secretary shall include the following:
- 5.31 The Executive Secretary shall supervise the Office of the Board of Regents.
- 5.32 Meetings.--The Executive Secretary shall make preparations, including Regental travel arrangements, for all meetings of the Board and its committees.

sent to the Executive Secretary. Communications from the Chancellor and Executive Vice Chancellors shall be exempt from this requirement but in such cases information copies shall be furnished to the Executive Secretary. The regular channel of communication from the faculty, staff, students and administration to the Board is through the chief administrative officer of the institution involved, the appropriate Executive Vice Chancellor and the Chancellor. A copy of any communication sent directly to a Board member should be furnished to the Chancellor, the appropriate Executive Vice Chancellor and to the chief administrative officer of the institution involved.

Except for communications from the Chancellor, the Executive Vice Chancellors and the Executive Secretary to the Board, all communications to the Board from members of the university community should be in writing.

8.54

Communications from the Faculty Advisory Council and the Student Advisory Council to the Board are through the Chancellor. A docket, to be entitled "Chancellor's Docket No. \_\_\_\_\_," composed of routine matters arising from System Administration and the component institutions, which are required to be reported to and/or approved by the Board in accordance with established policies of the Board, shall be prepared as directed and approved by the Chancellor, appropriate Executive Vice Chancellor, and Vice Chancellor, as appropriate. All docket items from the component institutions must be received by the System Administration not less than twenty-one days prior to the next regular scheduled meeting for inclusion on the docket for that meeting. The Chancellor's Docket shall be distributed by the Executive Secretary to all members of the Board at least ten days before the Board convenes, together with a memorandum to be returned within seven days thereafter. The memorandum will permit any member of the Board

- 9.25 The primary delegate identified in these Rules and Regulations may further delegate his or her delegated authority unless otherwise specified. Any such further delegation of authority must be made in writing and the primary delegate shall permanently maintain, or cause to be maintained, evidence of all such delegations. A delegate of the primary delegate may not further delegate such authority.
- 9.26 The primary delegate identified in these Rules and Regulations as authorized to execute and deliver on behalf of the Board various types of contracts, agreements, and documents shall maintain, or cause to be maintained, necessary and proper records with regard to all contracts, agreements, and documents executed and delivered pursuant to such delegated authority. An original of each executed contract or agreement shall be kept for a minimum period of four (4) years after the expiration of the contract or agreement, or longer as may be specified by any applicable records retention schedule or policy adopted by the Board, the U. T. System Administration, or the component.
- 9.27 All authority to execute and deliver contracts, agreements, and other documents is subject to compliance with all applicable laws and regulations and all applicable provisions of these Rules and Regulations.
- 9.28 All purchases, regardless of the source of funds, shall be made on a competitive basis unless otherwise authorized by law, U. T. System policy, or approved component policy.
- 9.29 The following contracts and agreements must be approved by the Board via the docket or the agenda, regardless of the contract amount:
- 9.291 Contracts and agreements of any kind or nature with a foreign government or agency thereof, except affiliation agreements prepared on the standard form approved by the Office of General Counsel.

- 9.292 Contracts and agreements for sponsored research with a corporation or other entity organized and operating under the laws of a foreign state.
- 9.3 Prior Approval, Ratification, and Reporting.-- Actions taken pursuant to delegated authority must receive the prior approval of, be ratified by, or be reported to the Board only as specifically required in these Rules and Regulations, the policies of the Board, or any special instructions or guidelines issued as provided in these Rules and Regulations.
- 9.4 Actions of the Board as Trustee.--Authority delegated by the Board in these Rules and Regulations includes actions that may be taken by the Board in its capacity as trustee of any trust, to the extent such delegation is permitted by law.
- 9.5 Delegation of Authority to the Executive Committee.--Except as may be specifically set out otherwise in these Rules and Regulations, the Board delegates to the Executive Committee of the Board authority to approve on behalf of the Board any contract, agreement, or document. When approving any contract, agreement, or document, the Committee shall designate the officer, employee, or agent authorized to execute and deliver the contract or agreement and specify any other required approvals. The designated delegate may further delegate his or her delegated authority unless otherwise specified. Any such further delegation of authority must be made in writing and the designated delegate shall permanently maintain, or cause to be maintained, evidence of any such delegation. A delegate of the primary delegate may not further delegate such authority. The Executive Committee shall report to the Board at its next regular meeting actions taken by the Committee on behalf of the Board as provided in Part One, Chapter I, Section 7 of these Rules and Regulations.
- 9.6 Exceptions.--This Section does not apply to the following:
- 9.61 Management of assets by UTIMCO, which is governed by contract and the provisions of Part Two, Chapter IX of these Rules and Regulations.

2. U. T. Board of Regents: Proposed Amendment to the Regents' Rules and Regulations, Part One, Chapter I, Section 3, Subsection 3.1 (Chairman of the Board).—

RECOMMENDATION

It is recommended that the Regents' Rules and Regulations, Part One, Chapter I, Section 3, Subsection 3.1, regarding the election of the Chairman, be amended as set forth below in congressional style:

Sec. 3. Chairman of the Board.

3.1 Election of Chairman.—The Chairman of the Board shall be elected by the Board from its number, shall serve at the pleasure of the Board, and shall report and be responsible to the Board. In case of the death, resignation, disability, removal, or disqualification of the Chairman, the Board shall elect a successor Chairman as soon as practicable. Election of the Chairman shall take place during the February meeting held in odd-numbered years or, if new members of the Board have not been appointed and received the consent of the Senate, at the next available opportunity. [~~In no event shall a Board member serve as Chairman for more than 24 consecutive months without submitting his or her resignation as Chairman, but such member shall be eligible for re-election as Chairman.~~]

*Amended  
2/17/99*

.....

BACKGROUND INFORMATION

Subsection 3.1 of Chapter I, Part One of the Regents' Rules and Regulations currently requires the Chairman of the Board to resign after 24 months of service, and Section 4 and Subsection 5.1 of this Chapter require the election of the Vice-Chairmen and Executive Secretary of the Board to be held at the time the Chairman is elected. Subsection 3.1 is not sufficiently flexible to allow slightly longer or slightly shorter terms of office dependent upon the actual date of reorganization of the Board following appointment of new members of the Board by the Governor and evidence of the Texas Senate's consent to the appointments. The proposed revision makes the timing of the Board's election of officers more consistent with variations in appointment dates.

1. U. T. System: Recommendation to Approve Chancellor's Docket No. 96.—

RECOMMENDATION

It is recommended that Chancellor's Docket No. 96 be approved.

It is requested that the committee confirm that authority to execute contracts, documents, or instruments approved therein has been delegated to the officer or official executing same.

2. U. T. Board of Regents: Proposed Amendments to the Regents' Rules and Regulations, Part One, Chapter I, Section 9, Subsection 9.2, Subdivision 9.29 (Delegation of Authority to Execute and Deliver Contracts, Agreements, and Documents).—

RECOMMENDATION

The Chancellor concurs in the recommendation of the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, and the Vice Chancellor for Academic Affairs that the Regents' Rules and Regulations, Part One, Chapter I, Section 9, Subsection 9.2, Subdivision 9.29, regarding delegation of authority to execute and deliver contracts, agreements, and documents, be amended as set forth below in congressional style:

9.2 . . .

- 9.29 The following [All] contracts and agreements must be approved by the Board via the docket or the agenda, regardless of the contract amount:  
9.291 Contracts and agreements of any kind or nature with a foreign government or agency thereof, except affiliation agreements prepared on the standard form approved by the Office of General Counsel.

*amended  
2/17/99*

9.292 [~~and all e~~] Contracts and agreements for sponsored research with a corporation or other entity organized and operating under the laws of a foreign state [~~must be approved by the Board via the docket or the agenda~~].

.....

### BACKGROUND INFORMATION

The proposed amendments to the Regents' Rules and Regulations, Part One, Chapter I, Section 9, Subsection 9.2, Subdivision 9.29, regarding delegation of authority to execute and deliver contracts, agreements, and documents, will streamline the process for approval of standard foreign affiliation agreements by eliminating the need for approval by the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs and subsequent approval by the U. T. Board of Regents. The chief administrative officer or his or her designate will have approval authority for affiliation agreements prepared on the standard form approved by the Office of General Counsel.

2 additional  
conclusions  
to MR  
Part One pl.  
7.

Ed. Conclusions (wherever)

(1) Ch I, Subdiv.  
§.53 - last ¶  
SA Group to  
SA Council

(2) Ch I, §5, 5.1  
Ch "Section 5.3" to  
"Subsection 5.3"

sent to the Executive Secretary. Communications from the Chancellor and Executive Vice Chancellors shall be exempt from this requirement but in such cases information copies shall be furnished to the Executive Secretary. The regular channel of communication from the faculty, staff, students and administration to the Board is through the chief administrative officer of the institution involved, the appropriate Executive Vice Chancellor and the Chancellor. A copy of any communication sent directly to a Board member should be furnished to the Chancellor, the appropriate Executive Vice Chancellor and to the chief administrative officer of the institution involved.

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Sec. 5. Officers in the Office of the Board of Regents.

- Subsection*
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*I RRR Pg 1011*

**OFFICE OF THE BOARD OF REGENTS**  
**THE UNIVERSITY OF TEXAS SYSTEM**  
201 WEST SEVENTH STREET, AUSTIN, TEXAS 78701-2981  
(512) 499-4402

**MEMORANDUM**

September 18, 1998

**TO:** Those Who Receive Official Copies of  
the Regents' Rules and Regulations (List Attached)

**FROM:** Francie A. Frederick *f.f.*

**SUBJECT:** Regents' Rules and Regulations, Part One - Amendments

Amendments to the Regents' Rules and Regulations approved at the August 13, 1998 meeting of the U. T. Board of Regents, as well as editorial amendments, have been incorporated in the enclosed revised pages.

Further detail on the rationale for the amendments as well as a congressional style display of the revised text are included in the Material Supporting the Agenda (MSA) for the August 1998 meeting as referenced below.

Please update your copy of the Regents' Rules and Regulations, Part One by discarding the pages listed in Column "A" and substituting in lieu thereof the pages listed in Column "B":

<u>"A"</u>	<u>"B"</u>	<u>MSA Reference</u>
Cover Page	Cover Page	
Page iii	Page iii	
Pages III-4 and III-5	Pages III-4 and III-5	Page AAC-3
Pages VIII-1	Page VI-31a	Page B of R-3
and VIII-1a	Pages VIII-1	Page FPCC-4
	and VIII-1a	

FAF/cf

Enclosures

June 9, 1998

Distribution List for Official Copies of  
Regents' Rules and Regulations

Board of Regents	9
Office of the Board of Regents	3
Legislative Budget Board	1
Governor's Budget and Planning Office	1
Publications Clearing House	1
State Auditor - U. T. Austin Representative	1
Legislative Reference Library	1
System Administration	8
Chancellor	
Executive Vice Chancellor for Health Affairs	
Executive Vice Chancellor for Business Affairs	
Vice Chancellor for Academic Affairs	
Executive Associate to the Chancellor	
Vice Chancellor and General Counsel	
Vice Chancellor for Governmental Relations	
Vice Chancellor for Development and External Relations	
Chief Administrative Officers of Component Institutions	15
Mr. Thomas G. Ricks	<u>1</u>
President and Chief Executive Officer UTIMCO	
TOTAL	41

NOTE: See July 6, 1988 letter from Coordinating Board which requests that only those amendments related to (1) academic work load and (2) faculty development leaves be sent to that office.

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of the Regents' Rules and Regulations

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Dr. Don Brown  
Commissioner, Texas Higher  
Education Coordinating Board  
7745 Chevy Chase Drive, Building 5  
Austin, Texas 78752  
INTERAGENCY MAIL

(NOTE: Send only when there are  
amendments related to (1) academic  
work load or (2) faculty development  
leaves.)

\*\*\*\*\*

Mr. Thomas G. Ricks  
President and Chief Executive Officer  
The University of Texas Investment Management Co.  
CTJ Hall

August 13, 1998

RULES AND REGULATIONS  
OF THE  
BOARD OF REGENTS  
OF  
THE UNIVERSITY OF TEXAS SYSTEM  
FOR THE GOVERNMENT OF  
THE UNIVERSITY OF TEXAS SYSTEM

PART ONE

Part One was completely reissued as of June 1, 1988.  
(Substantive amendments through August 13, 1998,  
and editorial amendments through September 1998  
have been issued with this cover sheet.)

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- qualifications are comparable to those of faculty members in untenured, tenure-track positions.
- (d) Senior Lecturer. This title may be used for special teachers who will augment and complement regular teaching faculty and whose experience and qualifications are comparable to those of faculty members in tenure positions.
- (e) Assistant Instructor or Teaching Associate. These titles may be used interchangeably for (1) certain graduate students teaching on a part-time or full-time basis who are in the last phase of their doctoral programs and who are unconditionally enrolled in graduate study, or (2) persons who, because of the nature of their duties, such as in a laboratory or in a hospital, do not qualify for one of the usual academic titles and do not hold the academic training or professional distinction usually required for attaining tenure positions.
- (f) Teaching Assistant. This title usually applies to graduate students who are teachers and who are employed on a part-time basis. The only other teaching titles for graduate students are Teaching Associate and Assistant Instructor.
- (g) Faculty Associate. This title may be applied to a person assigned to a research or nonteaching center, institute, or other unit or interdisciplinary program of a component institution.
- (h) Specialist. This title may be used for professional individuals who will serve as practitioners in specific areas of instruction, training or supervision. Upon approval of the chief administrative officer, the title may carry appropriate descriptive prefixes so as to indicate the specific areas of proficiency, e.g. Practice Teaching Specialist, Physical Activity Specialist, Social Work Field Training Specialist.

- (i) In the general academic institutions with health-related clinical programs, persons appointed to full-time positions for the primary purpose of patient care and other service activities shall be given one of the following titles, even though the individuals may be assigned teaching or research responsibilities:

(1) Professor of Clinical

---

(title of specialty)

(2) Associate Professor of Clinical

---

(title of specialty)

(3) Assistant Professor of Clinical

---

(title of specialty)

(4) Instructor in Clinical

---

(title of specialty)

For persons appointed to positions pursuant to this Item, all appointment letters, personnel forms, budgets and other official documents shall state in parenthesis following the name and title of the individual either "(nontenure-track clinical appointment)" or "(nontenure-track appointment)," as appropriate, and service in such positions shall not be credited as faculty service toward calculation of any maximum probationary period.

- (j) In the health components, persons appointed to full-time positions for the primary purpose of either research activities or patient care and other service activities shall be

- 6.(12) Use of the UT TeleCampus Internet Web Site by Universities and Other Entities Outside the U. T. System.-- The UT TeleCampus internet web site established and maintained by the U. T. System Office of Telecommunications and Information Technology shall be for the primary purpose of providing access to distance learning courses offered by the U. T. System component institutions and providing students participating in on-campus or distance learning courses of the U. T. System component institutions with access to student support services such as registration, admission, financial aid, course advising, and library resources. The UT TeleCampus internet web site shall also be utilized by the U. T. System Office of Telecommunications and Information Technology to provide training and support to faculty of the U. T. System component institutions in the development of distance learning courses and degree programs. The U. T. System Office of Telecommunications and Information Technology may establish links to the UT TeleCampus internet web site for distance learning courses and information concerning such courses offered by universities and other entities outside the U. T. System under the following conditions:
- 6.(12)1 The course or courses must not be competitive with a course of a U. T. System component institution that may be accessed through the UT TeleCampus.
  - 6.(12)2 The U. T. System Office of Telecommunications and Information Technology, with the advice of qualified U. T. System faculty, will determine whether the content and quality of each course are appropriate for the UT TeleCampus.
  - 6.(12)3 The establishment of the link from the UT TeleCampus internet web site must be pursuant to an approved written agreement that (i) provides for appropriate compensation to the U. T. System Office of Telecommunications and Information Technology and (ii) permits the termination of the agreement with respect to any course or courses upon completion by students currently enrolled, if the U. T. System Office of Telecommunications and Information Technology determines that another source offers a higher quality course or if it is determined that a U. T. System component institution will provide access to a competitive course through the UT TeleCampus internet web site.

CHAPTER VIII

MISCELLANEOUS

Sec. 1. Honorific Namings.

- 1.1 The naming of buildings; other major facilities, such as wings of buildings, major components of buildings, large auditoria, concert halls, atriums, prominent outdoor spaces, and clinics; and other major entities, such as colleges, schools, academic departments, and major academic centers, programs and institutes of the U. T. System and its component institutions, whether for an individual or with a functional or historical designation, is the prerogative and responsibility of the Board of Regents and can be initiated by the Board when circumstances warrant. When recommendations for naming of buildings or other major facilities or entities originate at other than the level of the Board, such recommendations shall be forwarded to the Board of Regents with recommendations of the Chancellor, the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs, the Vice Chancellor for Development and External Relations, and the chief administrative officer, accompanied by reasons for the recommendation, and following campus consultations where appropriate. Recommendations for naming of buildings or major facilities or entities require Board of Regents' approval via the agenda. For less prominent facilities such as laboratories, classrooms, seminar rooms, and patient rooms or for less prominent academic centers, programs, and institutes, naming authority is delegated to each component chief administrative officer based on a set of general guidelines which are reviewed and approved by the Chancellor. An annual report of such namings will be included with the annual operating budget submission or updated Capital Improvement Program.
  
- 1.2 Facilities and other entities may be named to memorialize or otherwise recognize substantial gifts and significant donors or individuals designated by donors. Such designation may be for a single gift, multiple gifts over time, or for a combination of gifts and other contributions. Buildings and other

entities may also be named for individuals who have made exemplary or meritorious contributions to the System, component institution, or society.

- 1.3 Each component institution will develop guidelines for what constitutes substantial and significant donations to warrant a naming. These guidelines may vary from campus to campus and sometimes within a campus dependent upon the nature and purpose of the facility or entity or other factors. Institutional donor guidelines are subject to prior administrative review and approval procedures for inclusion in the institutional Handbook of Operating Procedures. Exceptions to any approved guidelines are subject to the same approval process.
- 1.4 Namings in honor of campus administrative officials, faculty, or staff or elected or appointed public officials shall normally occur only after the campus employment or public service has concluded.
- 1.5 When the naming of buildings or other major facilities or entities is contemplated as part of a special private-fund development campaign, that campaign, the proposed naming, and the associated private-fund contributions to be sought shall have prior approval of the chief administrative officer, the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs, the Vice Chancellor for Development and External Relations, the Chancellor, and the Board as required in Part One, Chapter VII, Section 2, Subdivision 2.44 of the Regents' Rules and Regulations.
- 1.6 The Chancellor will arrange for the Board of Regents to be briefed periodically by component chief administrative officers and System administrative officials via the annual budget process or other appropriate forum regarding buildings or other major facilities or entities to be named and the private-fund contributions to be sought. Unexpected naming opportunities not covered in such briefings should be reviewed with the Board via regular Board of Regents' briefings. No commitment regarding the naming of a building or major facility or entity is to be made prior to the briefings and approvals required by this Section.

SPECIAL ITEMS

1. U. T. Board of Regents - Regents' Rules and Regulations, Part One: Amendments to Chapter VI, Section 6 (Use of University Facilities) by Adding a New Subsection 6.(12).--The Board amended the Regents' Rules and Regulations, Part One, Chapter VI, Section 6, relating to use of university facilities, by adding a new Subsection 6.(12) to read as set forth below:

Sec. 6.        Use of University Facilities.

...

- ~~6.~~(12) Use of the UT TeleCampus Internet Web Site by Universities and Other Entities Outside the U. T. System.--The UT TeleCampus internet web site established and maintained by the U. T. System Office of Telecommunications and Information Technology shall be for the primary purpose of providing access to distance learning courses offered by the U. T. System component institutions and providing students participating in on-campus or distance learning courses of the U. T. System component institutions with access to student support services such as registration, admission, financial aid, course advising, and library resources. The UT TeleCampus internet web site shall also be utilized by the U. T. System Office of Telecommunications and Information Technology to provide training and support to faculty of the U. T. System component institutions in the development of distance learning courses and degree programs. The U. T. System Office of Telecommunications and Information Technology may establish links to the UT TeleCampus internet web site for distance learning courses and information concerning such courses offered by universities and other entities outside the U. T. System under the following conditions:

- 6.(12)1 The course or courses must not be competitive with a course of a U. T. System component institution that may be accessed through the UT TeleCampus.

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- 6.(12)2 The U. T. System Office of Telecommunications and Information Technology, with the advice of qualified U. T. System faculty, will determine whether the content and quality of each course are appropriate for the UT TeleCampus.
- 6.(12)3 The establishment of the link from the UT TeleCampus internet web site must be pursuant to an approved written agreement that (i) provides for appropriate compensation to the U. T. System Office of Telecommunications and Information Technology and (ii) permits the termination of the agreement with respect to any course or courses upon completion by students currently enrolled, if the U. T. System Office of Telecommunications and Information Technology determines that another source offers a higher quality course or if it is determined that a U. T. System component institution will provide access to a competitive course through the UT TeleCampus internet web site.

Subject to the stated limitations, the amendments permit the Office of Telecommunications and Information Technology to establish external links to the UT TeleCampus internet web site pursuant to an approved written agreement.

2. U. T. System: Approval of Amendments to the Policy and Guidelines Relating to Intellectual Property License Agreements with Private Entities.--In order to permit, with specific Board approval, the grant of the exclusive right of development of all intellectual property of a component institution of The University of Texas System, approval was given to amend the U. T. System Policy and Guidelines Relating to Intellectual Property License Agreements with Private Entities to read as set out on Pages 7 - 8.

REPORT AND RECOMMENDATIONS OF THE ACADEMIC AFFAIRS COMMITTEE (Pages 59 - 74).--In the absence of Committee Chairman Lebermann who was excused, Regent Smiley reported that the Academic Affairs Committee had met in open session to consider those matters on its agenda and to formulate recommendations for the U. T. Board of Regents. Unless otherwise indicated, the actions set forth in the Minute Orders which follow were recommended by the Academic Affairs Committee and approved in open session and without objection by the U. T. Board of Regents:

1. U. T. Board of Regents - Regents' Rules and Regulations, Part One: Amendment to Chapter III, Section 1, Subsection 1.8, Subdivision 1.83(h) (Academic Titles).--Upon recommendation of the Academic Affairs and Health Affairs Committees, the Regents' Rules and Regulations, Part One, Chapter III, Section 1, Subsection 1.8, Subdivision 1.83(h), regarding academic titles, was amended to read as set forth below:

1.83

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- ✓(h) Specialist. This title may be used for professional individuals who will serve as practitioners in specific areas of instruction, training or supervision. Upon approval of the chief administrative officer, the title may carry appropriate descriptive prefixes so as to indicate the specific areas of proficiency, e.g. Practice Teaching Specialist, Physical Activity Specialist, Social Work Field Training Specialist.

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This amendment delegates authority to the chief administrative officers of the component institutions to make appointments to the nontenured, nontenure-track title of "Specialist" and deletes the need for further review and approval by The University of Texas System Administration.

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REPORT AND RECOMMENDATIONS OF THE FACILITIES PLANNING AND CONSTRUCTION COMMITTEE (Pages 82 - 108).--Committee Chairman Clements reported that the Facilities Planning and Construction Committee had met in open session to consider those matters on its agenda and to formulate recommendations for the U. T. Board of Regents. Unless otherwise indicated, the actions set forth in the Minute Orders which follow were recommended by the Facilities Planning and Construction Committee and approved in open session and without objection by the U. T. Board of Regents:

1. U. T. Board of Regents - Regents' Rules and Regulations, Part One: Amendments to Chapter VIII, Section 1 (Naming of Buildings and Other Facilities).--Upon recommendation of the Facilities Planning and Construction Committee, the Board amended the Regents' Rules and Regulations, Part One, Chapter VIII, Section 1, regarding naming of buildings and other facilities, to read as set forth below:

Sec. 1. Honorific Namings.

- ✓ 1.1 The naming of buildings; other major facilities, such as wings of buildings, major components of buildings, large auditoria, concert halls, atriums, prominent outdoor spaces, and clinics; and other major entities, such as colleges, schools, academic departments, and major academic centers, programs and institutes of the U. T. System and its component institutions, whether for an individual or with a functional or historical designation, is the prerogative and responsibility of the Board of Regents and can be initiated by the Board when circumstances warrant. When recommendations for naming of buildings or other major facilities or entities originate at other than the level of the Board, such recommendations shall be forwarded to the Board of Regents with recommendations of the Chancellor, the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs, the Vice Chancellor for Development and External Relations, and the chief administrative officer, accompanied by reasons for the recommendation, and following campus consultations where appropriate. Recommendations for naming of buildings or major facilities or entities require Board

of Regents' approval via the agenda. For less prominent facilities such as laboratories, classrooms, seminar rooms, and patient rooms or for less prominent academic centers, programs, and institutes, naming authority is delegated to each component chief administrative officer based on a set of general guidelines which are reviewed and approved by the Chancellor. An annual report of such namings will be included with the annual operating budget submission or updated Capital Improvement Program.

- 1.2 Facilities and other entities may be named to memorialize or otherwise recognize substantial gifts and significant donors or individuals designated by donors. Such designation may be for a single gift, multiple gifts over time, or for a combination of gifts and other contributions. Buildings and other entities may also be named for individuals who have made exemplary or meritorious contributions to the System, component institution, or society.
- 1.3 Each component institution will develop guidelines for what constitutes substantial and significant donations to warrant a naming. These guidelines may vary from campus to campus and sometimes within a campus dependent upon the nature and purpose of the facility or entity or other factors. Institutional donor guidelines are subject to prior administrative review and approval procedures for inclusion in the institutional Handbook of Operating Procedures. Exceptions to any approved guidelines are subject to the same approval process.
- 1.4 Namings in honor of campus administrative officials, faculty, or staff or elected or appointed public officials shall normally occur only after the campus employment or public service has concluded.
- 1.5 When the naming of buildings or other major facilities or entities is contemplated as part of a special private-fund development campaign, that campaign, the proposed naming, and the associated private-fund contributions to be sought shall have prior approval of the

chief administrative officer, the Executive Vice Chancellor for Health Affairs or the Vice Chancellor for Academic Affairs, the Vice Chancellor for Development and External Relations, the Chancellor, and the Board as required in Part One, Chapter VII, Section 2, Subdivision 2.44 of the Regents' Rules and Regulations.

- 1.6 The Chancellor will arrange for the Board of Regents to be briefed periodically by component chief administrative officers and System administrative officials via the annual budget process or other appropriate forum regarding buildings or other major facilities or entities to be named and the private-fund contributions to be sought. Unexpected naming opportunities not covered in such briefings should be reviewed with the Board via regular Board of Regents' briefings. No commitment regarding the naming of a building or major facility or entity is to be made prior to the briefings and approvals required by this Section.

These amendments to the Regents' Rules and Regulations clarify and distinguish procedures for the naming of major facilities and entities such as buildings, colleges/schools, and distinguish prominent academic programs, centers, and institutes from the delegated approval for the naming of less prominent facilities and entities.

2. U. T. Austin: Authorization to Name the Fine Arts Library and Administration Building as the E. William Doty Fine Arts Building (Regents' Rules and Regulations, Part One, Chapter VIII, Section 1, Naming of Buildings and Other Facilities).--The Board, upon recommendation of the Facilities Planning and Construction Committee, approved the naming of the Fine Arts Library and Administration Building at The University of Texas at Austin as the E. William Doty Fine Arts Building in honor of Dr. E. William Doty, former Dean of the College of Fine Arts.