

The University of Texas
Rio Grande ValleyTM

Clery Mandatory Reporting Audit

Report No. 26-ASCF-1536

October 15, 2025

Office of Audits & Consulting Services

EXECUTIVE SUMMARY

Overall Assessment:

The Clery Office's mandatory reporting procedures are both sufficient and compliant with the requirements of the Clery Act and the UTRGV Sexual Harassment & Misconduct Policy. Furthermore, the Clery Office's access level to ARMS, the safety incident management software system, is appropriate to meet the mandatory reporting obligations outlined in the Clery Act.

We appreciate the assistance provided by UTRGV's management and other personnel.

Background: The Clery Act is a federal law requiring colleges and universities that participate in federal financial aid programs to disclose information about crime on and around their campuses, as well as fire statistics that occur in student housing. This data is used to develop policies around crime prevention, awareness, and response and to create the Annual Security & Fire Safety Report due October 1. Non-compliance with the Clery Act can lead to fines, loss of federal financial aid funding, and reputational damage to the institution.

The University Clery Compliance Manager, who reports to the Vice President for Operations Planning and Construction, is responsible for preparing the Annual Security & Fire Safety Report. The Clery Compliance Office collaborates with University Police, Office of Title IX & Equal Opportunity (OTIXEO), Student Rights & Responsibilities, Residential Life, and other departments as needed. The Clery Office maintains the daily crime log which is used to report the annual crime statistics. The Clery Office shares Title IX cases involving Clery-reportable crimes to support statistical reporting requirements. As a mandatory reporter, the Clery Compliance Manager reports incidents of sexual misconduct, including sexual assault, dating violence, stalking, sexual harassment, and domestic violence to the Office of Title IX & Equal Opportunity (OTIXEO).

Objective: To evaluate whether the Clery Office's mandatory reporting responsibilities are adequate and compliant with Clery Act requirements as well as the university sexual harassment & misconduct policy. To assess the appropriateness of ARMs system access to ensure compliance with Clery Act reporting requirements.

Scope/Period: Fiscal Year 2024 to year to date.

APPENDIX I

Criteria & Methodology

Criteria

- ADM 3-300 Sexual Misconduct Policy
- 20 USC §1092(f), The Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act
- Title 34, Code of Federal Regulations §668.46, Institutional Security Policies and Crime Statistics

Methodology

We conducted this audit in conformance with the Institute of Internal Auditor's International Standards for the Professional Practice of Internal Auditing. Additionally, we conducted this audit in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for findings and conclusions based on our audit objectives. The Office of Audits and Consulting Services is independent per both standards for internal auditors. These standards are also required by the Texas Internal Auditing Act.

APPENDIX II

Report Distribution & Audit Team

Report Distribution

Ms. Liza Dimas, Clery Compliance Manager

Mr. Jason Hartley, Vice President & Chief Operating Officer

UTRGV Internal Audit Committee

UT System Audit Office

Governor's Office – Budget and Policy

State Auditor's Office

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Audit Team

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