

UT Southwestern Medical Center

**The University of Texas Southwestern Medical Center
Texas Higher Education Coordinating Board Grant
Internal Audit Report 17:23**

January 19, 2017

Executive Summary

Background

The Texas Higher Education Coordinating Board (Coordinating Board) provides trusted funds to medical residency programs as directed by the Texas Legislature. Medical and Graduate Medical Education Program grants awarded to institutions support the education and training of physicians at the graduate or post-doctors of medicine (MD/DO) level. The purpose of the Family Medicine Residency Program (FMRP) and Family Medicine Rural Rotation Program (FMRRP) is to increase the numbers of physicians selecting family practice as their medical specialty and to encourage those physicians to establish practices in rural and underserved communities in Texas.

The University of Texas Southwestern Medical Center (UTSW) received fiscal year 2016 (FY16) Coordinating Board funding of \$316,007 for its FMRP. Coordinating Board funds were used to support training of 29 residents. The Operational Grant represented an aggregate increase of over 4.5% from the fiscal year 2015 (FY15) funding level. UTSW did not receive an FMRRP rural rotation grant in either FY15 or FY16.

Scope and Objectives

The UTSW Office of Internal Audit has completed its FY16 Coordinating Board Grant audit. This compliance audit was performed to satisfy the audit requirement of the residency program grant agreement. The objective of the audit was to provide reasonable assurance of the adequacy and effectiveness of controls related to reporting, utilization, and administration of FMRP grant funds for the period September 1, 2015 through August 31, 2016. Additionally, fund expenditures were reviewed to ensure compliance with grant guidelines.

Conclusion

Overall, controls were effective in ensuring financial reporting accuracy and timeliness, appropriate spending, and administration of Coordinating grants. Grant funds used to support education and training of family medicine residents complied with program guidelines.

We would like to thank the departments and individuals included in this audit for the courtesies extended to us and for their cooperation during our review.

Sincerely,

Valla F. Wilson, Associate Vice President for Internal Audit

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Audit Team:

Sunna Farooq, Internal Auditor II, Office of Internal Audit
Kelly Iske, Manager, Office of Internal Audit
Melinda Lokey, Director, Office of Internal Audit

Cc: Nancy Agarwal, Manager of Financial Affairs, Family & Community Medicine
Shawn Cohenour, Director, Office of Contracts Management
Diana Davila, Program Coordinator, Family & Community Medicine
Arnim Dantes, Executive Vice President of Business Affairs
David Ewing, Director, Sponsored Programs Administration
Bradley Marple, M.D., Associate Dean for Graduate Medical Education, Vice Chair and Professor for Department of Otolaryngology - Head and Neck Surgery
Michael Serber, Vice President for Financial Affairs
Zubair Syed, M.D., Assistant Professor for Department of Family and Community Medicine and Director, UT Southwestern Family Medicine Residency Program
David Weigle, PhD, MPH, Assistant Dean for Graduate Medical Education