

UT Southwestern Medical Center

**The University of Texas Southwestern Medical Center
Texas Higher Education Coordinating Board Grants
Internal Audit Report 16:29**

January 8, 2016

Executive Summary

Background

The Texas Higher Education Coordinating Board (Coordinating Board) provides trusted funds to medical residency programs as directed by the Texas Legislature. Medical and Graduate Medical Education Program grants awarded to institutions support the education and training of physicians at the graduate or post-doctors of medicine (MD/doctors of osteopathic medicine (DO) level. The purpose of the Family Medicine Residency Program (FMRP) and Family Medicine Rural Rotation Program (FMRRP) is to increase the numbers of physicians selecting family practice as their medical specialty and to encourage those physicians to establish practices in rural and underserved communities in Texas.

The University of Texas Southwestern Medical Center (UTSW) received fiscal year 2015 (FY15) Coordinating Board funding of \$257,823.47 and \$186,699.03 respectively for its Dallas and Austin FMRP locations. The Austin FMRP Program is administered at a previously affiliated institution, Seton Austin Medical Center. Because Seton Austin Medical Center was affiliated with UTSW at the start of FY15 contract period, they received Coordinating Board grant funding through UTSW. Coordinating Board funds were used to support training of 29 Dallas residents and 21 Austin residents. The aforementioned represented aggregate increases of over 4.5% from fiscal year 2014 (FY14) funding level. Additionally, the Coordinating Board awarded a \$2,000 grant to the Austin Program to support one resident to complete rural rotation training for one month.

Scope and Objectives

The UTSW Office of Internal Audit has completed its FY15 Coordinating Board Grants audit. This compliance audit was performed to satisfy the audit requirement of the residency program grant agreements. The objective of the audit was to provide reasonable assurance of the adequacy and effectiveness of controls related to reporting, utilization, and administration of FMRP grant funds for the period September 1, 2014 through August 31, 2015. Additionally, fund expenditures were reviewed to ensure compliance with grant guidelines.

Conclusion

Overall, controls were effective in ensuring financial reporting accuracy and timeliness, appropriate spending, and administration of Coordinating grants. Grant funds used to support education and training of family medicine residents for both Dallas and Austin locations complied with program guidelines.

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We would like to thank the departments and individuals included in this audit for the courtesies extended to us and for their cooperation during our review.

Sincerely,

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