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# **AVAILABLE UNIVERSITY FUND ADDITIONAL REPORTING REQUIREMENTS – QUARTERLY**

**August 2019**



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**Prepared by  
The University of Texas System  
Office of Budget and Planning**

**Report to the Legislative Budget Board and Governor  
Pursuant to Rider No. 7 (b) to Available University Fund Appropriations  
SB 1, 85th Legislature, Regular Session, Page III-65**

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For questions concerning this report, contact:  
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## **RIDER NO. 7 (B) TO AVAILABLE UNIVERSITY FUND APPROPRIATION**

The text of Rider No. 7(b) to the Available University Fund Appropriation (Page III-65) in Senate Bill 1, 85<sup>th</sup> Legislature, Regular Session (GAA), is as follows:

### **“7. Reporting Requirements for System Office Operations and System Initiatives.**

- b. Additionally, The University of Texas System and Texas A&M University System shall report to the Legislative Budget Board no later than February 28, May 31, and August 31 of each fiscal year an update of any changes to the information reported in subsection (a), including:
  - (1) Expenditure amounts to date for the current fiscal year for each activity, including object of expense detail;
  - (2) Updated Available University Fund allocations to system office operations and system initiatives for the current and two future fiscal years (projected), including any new activities and changes to existing activities, and an explanation for those changes;
  - (3) A summary of any actions taken by the Board of Regents since the most recent report that relate to system office operations or system initiatives; and
  - (4) Any additional information requested by the Legislative Budget Board. ”

## **QUARTERLY REPORTING - SYSTEM OFFICE AND SYSTEM INITIATIVES**

### **EXPENDITURE AMOUNTS TO DATE**

Expenditure amounts to date for The University of Texas System Administration (U. T. System Administration) reflects information from September 1, 2018, to July 31, 2019.

Information reported in both System Office Operations and System Initiatives includes Available University Fund (AUF) support and maintenance allocations along with other sources of funds labeled as “institutional funds”. Amounts reported as institutional funds reflect expenditures from sources other than the AUF and include revolving funds expended related to operations, with the exception of claims and related costs for insurance funds managed by U. T. System Administration. The revolving funds presented would normally be eliminated as part of the annual financial report (AFR) preparation process and represent a difference in presentation between this analysis and amounts in the AFR. There may be additional differences between the AFR and this report for activities (e.g. the Lone Star Stroke network) which are not related to System Office Operations or System Initiatives and are not funded with AUF appropriations.

For a detailed description of the purpose and authority for each reported activity, please refer to the AUF report or the annual AUF additional reporting requirements.

### **UPDATED AUF ALLOCATIONS TO SYSTEM OFFICE OPERATIONS AND SYSTEM INITIATIVES**

Updated AUF allocations to date reflect information from September 1, 2018, to July 31, 2019.

#### *U. T. System Initiatives*

On May 22, 2019, the U. T. System Board of Regents approved an allocation for one U. T. System Initiative: \$300,000 to fund the Cultivating Learning and Safe Environments (CLASE) program regarding sexual assault research across the U. T. System academic and health institutions. In approving these initiatives, the Board made a finding that the expenditure of the of the Available University Fund for both purposes is appropriate and in furtherance of U. T. System’s responsibility to provide oversight and coordination of the activities of the U. T. System and that the expenditure benefits a broad number of U. T. System institutions.

Pursuant to AUF Rider 8(a) in the GAA, U. T. System Administration provided appropriate notice to the Legislative Budget Board (LBB) for the initiative on April 22, 2019. Information necessary to satisfy the requirement of AUF Rider 7(b)(2) is contained in the notification to the LBB, a copy of which is provided as Appendix A. The initiative is being administered by U. T. Austin, and all funds were transferred to U. T. Austin upon Board approval. As a

result of responsibility for these activities residing with U. T. Austin, the funds have been excluded from the AUF Rider 7(b)(1) reporting for initiatives managed by U. T. System Administration.

**RIDER 7(B)(1) - EXPENDITURE AMOUNTS TO DATE FOR THE CURRENT FISCAL  
YEAR (FY 2019) FOR EACH ACTIVITY, INCLUDING OBJECT OF EXPENSE DATA**

The University of Texas System Administration - System Office Operations  
Report of Expenditure Activity - September 1, 2018 to July 31, 2019

		Board of Regents	System Administration
<b>Method of Finance:</b>			
011	Available University Fund	\$ 1,848,931.05	\$ 103,882,129.43
	Institutional Funds	80,896.17	103,504,109.75
	Total Method of Finance	<u>\$ 1,929,827.22</u>	<u>\$ 207,386,239.18</u>
<b>Objects of Expense:</b>			
<b>Account # / Name</b>			
1001	Salaries & Wages	\$ 1,363,430.26	\$ 66,195,940.64
1002	Other Personnel Costs	216,495.18	10,906,855.15
1005	Faculty Salaries (Higher Ed only)	-	-
1010	Prof Salaries - Faculty Equivalent	-	-
2001	Professional Fees & Svcs	103,191.98	13,063,826.59
2002	Fuel & Lubricants	-	180,133.97
2003	Consumable Supplies	6,600.20	134,288.06
2004	Utilities	378.16	1,017,529.65
2005	Travel	16,146.99	1,595,398.35
2006	Rent - Building	120.00	2,904,784.49
2007	Rent - Machine & Other	-	542,324.20
2008	Debt Service	-	-
2009	Other Operating Expense	223,464.45	108,361,052.54
3001	Client Services	-	1,053,849.30
5000	Capital Expenditures	-	1,430,256.24
	Total, Objects of Expense	<u>\$ 1,929,827.22</u>	<u>\$ 207,386,239.18</u>
<b>AUF Net Position per Activity</b>			
	Beginning Balance (AUF)	\$ -	\$ -
	Allocations (AUF)	1,848,931.05	103,882,129.43
	Less Lapse (AUF)	-	-
	Less Expenses (AUF)	(1,848,931.05)	(103,882,129.43)
	<b>AUF Net Position - End of Period</b>	<u>\$ -</u>	<u>\$ -</u>

The University of Texas System Administration - System Initiatives  
Report of Expenditure Activity - September 1, 2018 to July 31, 2019

		AUF Online and On- campus Enrollment		Entrepreneurship			
		Growth	Clinical Data Network	CONACYT	Academy	Horizon Fund	
<b>Method of Finance:</b>							
011	Available University Fund	\$ 193,559.10	\$ 1,429,649.55	\$ 1,529,970.85	\$ 137,647.00	\$ 1,402,681.65	
	Institutional Funds	-	-	-	-	2,139.90	
	Total Method of Finance	\$ 193,559.10	\$ 1,429,649.55	\$ 1,529,970.85	\$ 137,647.00	\$ 1,404,821.55	
<b>Objects of Expense:</b>							
<b>Account # / Name</b>							
1001	Salaries & Wages	\$ -	\$ -	\$ -	\$ -	\$ -	
1002	Other Personnel Costs	-	-	-	-	-	
1005	Faculty Salaries (Higher Ed only)	-	-	-	-	-	
1010	Prof Salaries - Faculty Equivalent	-	-	-	-	-	
2001	Professional Fees & Svcs	15,329.28	-	-	19,570.93	65.21	
2002	Fuel & Lubricants	-	-	-	-	-	
2003	Consumable Supplies	-	-	-	-	46.18	
2004	Utilities	-	-	-	-	-	
2005	Travel	-	5,000.00	-	3,946.55	2,019.11	
2006	Rent - Building	-	-	-	-	-	
2007	Rent - Machine & Other	-	-	-	-	-	
2008	Debt Service	-	-	-	-	-	
2009	Other Operating Expense	178,229.82	1,424,649.55	1,529,970.85	114,129.52	1,402,691.05	
3001	Client Services	-	-	-	-	-	
5000	Capital Expenditures	-	-	-	-	-	
	Total, Objects of Expense	\$ 193,559.10	\$ 1,429,649.55	\$ 1,529,970.85	\$ 137,647.00	\$ 1,404,821.55	
<b>AUF Net Position per Activity</b>							
	Beginning Balance (AUF)	\$ 305,782.22	\$ 11,078,724.46	\$ 3,518,919.24	\$ 168,343.20	\$ 7,900,843.20	
	Allocations (AUF)	-	-	-	301,000.00	-	
	Less Lapse (AUF)	-	-	-	-	-	
	Less Expenses (AUF)	(193,559.10)	(1,429,649.55)	(1,529,970.85)	(137,647.00)	(1,402,681.65)	
	<b>AUF Net Position - End of Period</b>	\$ 112,223.12	\$ 9,649,074.91	\$ 1,988,948.39	\$ 331,696.20	\$ 6,498,161.55	



The University of Texas System Administration - System Initiatives  
Report of Expenditure Activity - September 1, 2018 to July 31, 2019

		Information Security	PeopleSoft Remediation	Productivity and Excellence Framework	Proteomics Research Core Infrastructure	Public Health Initiative
<b>Method of Finance:</b>						
011	Available University Fund	\$ 1,659,520.98	\$ 42,851.76	\$ 333,904.78	\$ 7,150.00	\$ 679,231.96
	Institutional Funds	495.13	-	-	-	25,372.56
	Total Method of Finance	<u>\$ 1,660,016.11</u>	<u>\$ 42,851.76</u>	<u>\$ 333,904.78</u>	<u>\$ 7,150.00</u>	<u>\$ 704,604.52</u>
<b>Objects of Expense:</b>						
<b>Account # / Name</b>						
1001	Salaries & Wages	\$ -	\$ -	\$ 68,601.88	\$ -	\$ 249,562.50
1002	Other Personnel Costs	-	-	4,858.33	-	26,023.58
1005	Faculty Salaries (Higher Ed only)	-	-	-	-	-
1010	Prof Salaries - Faculty Equivalent	-	-	-	-	-
2001	Professional Fees & Svcs	548,900.00	-	250,610.00	-	2,383.71
2002	Fuel & Lubricants	-	-	-	-	-
2003	Consumable Supplies	101.57	-	-	-	4,907.03
2004	Utilities	-	-	-	-	-
2005	Travel	300.48	-	4,463.04	-	-
2006	Rent - Building	-	-	120.00	-	2,630.00
2007	Rent - Machine & Other	-	-	-	-	-
2008	Debt Service	-	-	-	-	-
2009	Other Operating Expense	978,694.54	42,851.76	5,251.53	7,150.00	419,097.70
3001	Client Services	-	-	-	-	-
5000	Capital Expenditures	132,019.52	-	-	-	-
	Total, Objects of Expense	<u>\$ 1,660,016.11</u>	<u>\$ 42,851.76</u>	<u>\$ 333,904.78</u>	<u>\$ 7,150.00</u>	<u>\$ 704,604.52</u>
<b>AUF Net Position per Activity</b>						
	Beginning Balance (AUF)	\$ 7,342,992.25	\$ 1,428,979.88	\$ 559,619.55	\$ 85,856.46	\$ 1,125,891.89
	Allocations (AUF)	-	-	-	-	-
	Less Lapse (AUF)	-	-	-	-	-
	Less Expenses (AUF)	(1,659,520.98)	(42,851.76)	(333,904.78)	(7,150.00)	(679,231.96)
	<b>AUF Net Position - End of Period</b>	<u>\$ 5,683,471.27</u>	<u>\$ 1,386,128.12</u>	<u>\$ 225,714.77</u>	<u>\$ 78,706.46</u>	<u>\$ 446,659.93</u>

The University of Texas System Administration - System Initiatives  
Report of Expenditure Activity - September 1, 2018 to July 31, 2019

		Regents Outstanding Teaching Award (UT Austin)		Spend Analytics	Transformation in Medical Education Initiative (TIME)		Virtual Health Care Network
<b>Method of Finance:</b>							
011	Available University Fund	\$	-	\$ 476,561.51	\$	-	\$ 1,061,932.94
	Institutional Funds		-	-		-	-
	Total Method of Finance	\$	-	\$ 476,561.51	\$	-	\$ 1,061,932.94
<b>Objects of Expense:</b>							
<b>Account # / Name</b>							
1001	Salaries & Wages	\$	-	\$ -	\$	-	\$ -
1002	Other Personnel Costs		-	-		-	-
1005	Faculty Salaries (Higher Ed only)		-	-		-	-
1010	Prof Salaries - Faculty Equivalent		-	-		-	-
2001	Professional Fees & Svcs		-	-		-	-
2002	Fuel & Lubricants		-	-		-	-
2003	Consumable Supplies		-	-		-	-
2004	Utilities		-	-		-	-
2005	Travel		-	-		-	-
2006	Rent - Building		-	-		-	-
2007	Rent - Machine & Other		-	-		-	-
2008	Debt Service		-	-		-	-
2009	Other Operating Expense		-	476,561.51		-	1,061,932.94
3001	Client Services		-	-		-	-
5000	Capital Expenditures		-	-		-	-
	Total, Objects of Expense	\$	-	\$ 476,561.51	\$	-	\$ 1,061,932.94
<b>AUF Net Position per Activity</b>							
	Beginning Balance (AUF)	\$	61,187.55	\$ 3,955,241.26	\$	147,805.31	\$ 8,577,566.42
	Allocations (AUF)		-	-		-	-
	Less Lapse (AUF)		-	-		-	-
	Less Expenses (AUF)		-	(476,561.51)		-	(1,061,932.94)
	<b>AUF Net Position - End of Period</b>	\$	61,187.55	\$ 3,478,679.75	\$	147,805.31	\$ 7,515,633.48

**APPENDIX A – REQUIRED NOTIFICATION TO THE LEGISLATIVE BUDGET BOARD  
FOR THE U. T. SYSTEM INITIATIVE – CULTIVATING LEARNING AND SAFE  
ENVIRONMENTS (CLASE)**



April 22, 2019

Ms. Sarah Keyton and Mr. John McGeady  
Assistant Directors, Legislative Budget Board  
Robert E. Johnson Building  
1501 North Congress, Fifth Floor  
Austin, Texas 78701  
INTERAGENCY MAIL

Dear Ms. Keyton and Mr. McGeady:

Pursuant to Rider 8 of the current General Appropriations Act (Senate Bill 1 from the 85<sup>th</sup> Regular Legislative Session at Page III-65), The University of Texas System is providing the required 30 days advance notice that the following proposed System initiative funded with Available University Fund (AUF) appropriations may be submitted to the Board of Regents for approval, in open session, at its meeting on May 22<sup>nd</sup>, 2019:

U. T. System: \$300,000 from the Available University Fund to fund the Cultivating Learning and Safe Environments (CLASE) program regarding sexual assault research across the U. T. System academic and health institutions.

In approving this item, the Board will be asked to make a finding that the expenditure of AUF for this purpose is appropriate in furtherance of U. T. System's responsibility to provide oversight and coordination of the activities of the U. T. System and that the expenditure benefits a broad number of U.T. System institutions. Based on this finding, the actual AUF allocation for this initiative may be reduced if certain aspects of the initiative are not deemed AUF eligible. However, the total AUF allocation would not exceed the amount included in this notification. Additional details regarding this item are attached, as required by Rider 8.

If you have any questions about this notification or would like to discuss it further, please contact me.

Sincerely,



James B. Milliken  
Chancellor

cc: Executive Vice Chancellor Scott C. Kelley  
Executive Vice Chancellor Steven W. Leslie  
Executive Vice Chancellor ad interim Amy Shaw Thomas  
Vice Chancellor and General Counsel Daniel H. Sharphorn  
Vice Chancellor Stacey Napier  
Assistant Vice Chancellor Nick Long  
General Counsel to the Board Francie A. Frederick

<b>Program:</b>	<b>System Initiative</b>
<b>Activity Name:</b>	<b>Cultivating Learning and Safe Environments (CLASE)</b>
<b>AUF Allocation:</b>	<b>\$ 300,000</b>

**Description:** The CLASE initiative is an ongoing comprehensive, proactive, and scientific effort to eradicate intimate and interpersonal violence at all University of Texas (UT) System institutions. The goals of the CLASE initiative are: (1) to increase student safety and well-being by improving programs and services for students; (2) reduce acts of sexual harassment, stalking, dating/domestic abuse and violence, and unwanted sexual contact at UT institutions, and (3) benchmark prevalence at all UT System institutions. The proposed funding supports the continuation and completion of critical CLASE research and projects that seek to improve student reporting, provide for program evaluation of existing prevention and intervention student programs, and develop new training curricula to be utilized by all UT System institutions. Specific projects supported by the proposed funding include Title IX Process Evaluation, UT Austin Bystander Intervention Evaluation, Dell Medical School Decision Cases, Social Networking Pilot Study, Fourth R Pilot Intervention & Evaluation, and Restorative Justice Pilot Intervention & Evaluation. The Institute on Domestic Violence & Sexual Assault (IDVSA) at the Steve Hicks School of Social Work at The University of Texas at Austin leads the CLASE study. As a result, all expenses are included under Other Operating Expenses to reflect the transfer of funds to UT Austin.

<b>State Authority</b>	<b>Texas Constitution - Article 7, Section 10 &amp; 18</b>
<b>State Authority</b>	<b>Education Code Section 65 &amp; Section 51.353</b>

Method of Finance:		FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Available University Fund	Budget	Estimated	Estimated	Estimated	Estimated
011		\$ -	\$ 300,000	\$ -	\$ -	\$ -
<b>Objects of Expense</b>						
Account # / Name	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	
	Budget	Estimated	Estimated	Estimated	Estimated	
1001 Salaries & Wages	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1002 Other Personnel Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1005 Faculty Salaries (Higher Ed only)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1010 Prof Salaries - Faculty Equivalent	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2001 Professional Fees & Svcs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2002 Fuel & Lubricants	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2003 Consumable Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2004 Utilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2005 Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2006 Rent - Building	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2007 Rent - Machine & Other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2008 Debt Service	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2009 Other Operating Expense	\$ -	\$ 300,000	\$ -	\$ -	\$ -	\$ -
3001 Client Services	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5000 Capital Expenditures	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total, Objects of Expense	\$ -	\$ 300,000	\$ -	\$ -	\$ -	\$ -
<b>AUF Net Position per Activity</b>						
	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2023</b>	
	<b>Budget</b>	<b>Estimated</b>	<b>Estimated</b>	<b>Estimated</b>	<b>Estimated</b>	
Beginning Balance (AUF)	\$ -	\$ 300,000	\$ -	\$ -	\$ -	\$ -
Allocations (AUF)	\$ 300,000	\$ -	\$ -	\$ -	\$ -	\$ -
Less Expenses (AUF)	\$ -	\$ (300,000)	\$ -	\$ -	\$ -	\$ -
<b>11 AUF Net Position - End of Year</b>	<b>\$ 300,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Full-Time-Equivalents (FTEs)</b>						
	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>