

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
CHANCELLOR'S DOCKET NO. 91

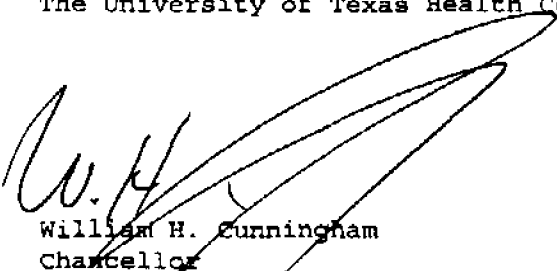
October 9, 1997

TO MEMBERS OF THE BUSINESS AFFAIRS AND AUDIT COMMITTEE:

A. W. "Dub" Riter, Jr., Chairman  
Thomas O. Hicks  
Patrick C. Oxford

The Docket for The University of Texas System Administration and the Dockets recommended by the Chief Administrative Officers concerned and prepared by the component institutions listed below are herewith submitted for ratification or approval, as appropriate, at the meeting of the U. T. System Board of Regents on November 13, 1997. The appropriate Executive Vice Chancellors and I concur in these recommendations.

<u>Institutions</u>	<u>Pages</u>
The University of Texas System Administration	C-1 through C-33
The University of Texas at Arlington	AR-1 through AR-20
The University of Texas at Austin	A-1 through A-78
The University of Texas at Brownsville	B-1 through B-13
The University of Texas at Dallas	D-1 through D-22
The University of Texas at El Paso	EP-1 through EP-26
The University of Texas - Pan American	PA-1 through PA-15
The University of Texas of the Permian Basin	PB-1 through PB-15
The University of Texas at San Antonio	SA-1 through SA-15
The University of Texas at Tyler	TA-1 through TA-13
The University of Texas Southwestern Medical Center at Dallas	HD-1 through HD-30
The University of Texas Medical Branch at Galveston	G-1 through G-20
The University of Texas Health Science Center at Houston	HH-1 through HH-24
The University of Texas Health Science Center at San Antonio	HS-1 through HS-16
The University of Texas M. D. Anderson Cancer Center	CC-1 through CC-12
The University of Texas Health Center at Tyler	HT-1 through HT-5



William H. Cunningham  
Chancellor

xc: Other Members of  
the Board

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

TABLE OF CONTENTS

	<u>Page</u>
Adjustments to the 1997-98 System-Wide Pay Plan.....	C - 3
Amendments to the 1996-97 Budget.....	C - 12
Amendments to the 1997-98 Budget.....	C - 13
Other Fiscal Items	
U. T. System Rate and Damage Schedule.....	C - 14
Other Matters	
Proposed Allocation from PUF Bond Proceeds .....	C - 19
Addendum Number 2 Educational Partnership Agreement.....	C - 20
Newly Commissioned University of Texas System Peace Officers.....	C - 21
Real Estate Office Report.....	C - 22
Medical and Dental Services, Research and Development	
Programs/Physicians Referral Service.....	C - 23

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

SYSTEM-WIDE CLASSIFIED PERSONNEL PAY PLAN RECOMMENDATION

ADJUSTMENTS TO THE 1997-98 SYSTEM-WIDE CLASSIFIED PERSONNEL PAY PLAN

Ratification is requested for the following adjustments to the 1997-98 System-wide Classified Personnel Pay Plan:

NEW TITLES

<u>Code</u>	<u>Title</u>	<u>System-wide Annual Salary Range</u>
1015	Clinical Operations Coordinator	\$43,600 - \$71,900
1027	Clinical Reimbursement Coordinator	43,600 - 71,900
1033	Clinical Nurse	27,050 - 62,500
1036	Nurse Auditor	33,000 - 54,500
1078	Coordinator, LT Breast Evaluation Clinic	37,900 - 62,500
1081	Physical Therapy Transportation Team Leader	20,000 - 33,000
1092	Occupational Health Nurse	28,600 - 47,200
1093	Senior Occupational Health Nurse	37,900 - 62,500
1094	Occupational Health Nurse Specialist	48,600 - 80,200
1144	Radiology Physics Supervisor	28,600 - 47,200
1430	Pharmacy Reimbursement Specialist	24,900 - 41,100
1431	Pharmacy Reimbursement Assistant	19,200 - 31,700
1562	Patient Services Team Leader	20,000 - 33,000
1676	Sonography Lab Coordinator	17,900 - 28,800
1678	Cardiac Sonographer	15,800 - 25,300
1724	Clinical Facilitator	28,600 - 47,200
1813	Prosthodontic Laboratory Technician	24,900 - 41,100
1862	Associate Director, Surgery Administration	47,700 - 78,700
1863	Administrative Director, Patient Access Services	64,300 - 106,100
1876	Care Center Administrative Director	64,300 - 106,100
1888	Informatics Administrative Director	55,900 - 92,200
1889	Informatics Manager	48,600 - 80,200
1891	Administrative Director, Protocol Research Operations	54,800 - 90,400
1892	Protocol Research Coordinator	30,500 - 50,300
1907	Director, Patient Accounting Services	54,800 - 90,400
1916	Insurance Authorization Specialist	18,700 - 30,900
1969	Patient Care Assistant	16,700 - 27,600
2012	Departmental Reimbursement Assistant	21,600 - 35,600
2028	Patient Accounts Analyst	23,000 - 38,000
2033	Business Services Coordinator	30,500 - 50,300
2036	Business Manager, Pathology Patient Services	38,736 - 61,884
2040	Transportation Services Representative	16,700 - 27,600
3026	Coordinator, Facilities	21,588 - 34,536
3037	Coordinator, New Student Relations	24,492 - 39,180
3042	Manager, Learning Assistance Center	23,124 - 36,996
3043	Coordinator, Loan Programs	22,704 - 36,324

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

NEW TITLES (con't)

<u>Code</u>	<u>Title</u>	<u>System-wide Annual Salary Range</u>
3054	Financial Aid Supervisor	\$23,556- \$37,692
3057	Learning Instructional Specialist	21,588 - 34,536
3061	Financial Aid Information Systems Analyst	19,536 - 31,248
3063	Financial Aid Specialist	14,712 - 23,544
3104	Mobile Screening Coordinator	25,500 - 41,200
3149	Student Support Services Program Director	24,012 - 38,412
3408	Coordinator, Teacher Certification	24,492 - 39,180
3409	Literacy Program Coordinator	22,308 - 35,700
3410	Coordinator, Student Publications	20,328 - 32,520
3530	Property Inventory Supervisor	17,568 - 28,104
4113	Ranch Del Cielo Associate Director	24,984 - 39,972
4302	Clinical Guidelines Coordinator	43,600 - 71,900
4327	Diagnostic Medical Physicist	37,900 - 50,300
4403	Pathology Laboratory Technician	14,600 - 24,100
4404	Senior Pathology Laboratory Specialist	28,600 - 47,200
4417	Pathology Laboratory Assistant	21,600 - 35,600
5005	Manager, Design Services	47,700 - 78,700
5094	Construction Schedule Coordinator	30,500 - 50,300
5095	Senior Construction Planner and Estimator	32,496 - 55,512
5099	Chief Design Engineer	54,800 - 90,400
5274	Facilities Maintenance Supervisor	21,972 - 35,160
6103	Executive Sous Chef	30,500 - 50,300
6427	Manager, Central Stores	22,704 - 36,324
6452	Dock Coordinator	15,400 - 24,500
6631	Asbestos Management Planner	35,964 - 61,380
8015	Manager, Library Operations	26,064 - 41,700
8016	Manager, Library Technical Services	27,888 - 44,628
8068	Manager, Public Services	27,252 - 43,596
8106	Program Director, Outreach	24,012 - 38,412
8107	Program Coordinator, Outreach	22,704 - 49,260
8112	Pediatrics Teacher	23,000 - 38,000
8425	Project Manager/Television	36,000 - 59,400
8504	Electronic Media Coordinator	26,052 - 36,924
8514	Manager, Media Services	24,492 - 39,180
8535	Director, Marketing	54,800 - 90,400
8561	Audio/Visual Equipment Maintenance Technician	13,032 - 20,856
9031	Coordinator, Program Services	21,588 - 34,536
9076	Administrative Clerk II	13,788 - 22,056
9077	Communications Operator	11,568 - 18,504
9101	Manager, Development Information Systems	36,000 - 59,400
9104	Administrative Director, Budget and Finance	63,000 - 104,000
9106	Associate Director, Business Affairs	30,012 - 48,024
9125	Manager, Financial Counseling Service	41,400 - 68,300
9206	Director, Strategic Planning	54,800 - 90,400

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

NEW TITLES (con't)

<u>Code</u>	<u>Title</u>	<u>System-wide Annual Salary Range</u>
9234	Manager, Referral Relations	\$41,400 - \$68,300
9246	Director, Institutional Studies	55,900 - 92,200
9255	Financial Systems Instructor	30,500 - 50,300
9293	Coordinator, Clinical Data	16,700 - 27,600
9294	Informatics Coordinator	37,900 - 62,500
9323	Support Services Analyst	40,300 - 66,500
9359	Computer Systems Technician	20,928 - 33,480
9371	Software Developer	40,300 - 66,500
9380	Security Specialist	40,300 - 66,500
9406	Litigation and Legal Communications Manager	47,700 - 78,700
9512	Coordinator, Equal Opportunity Program	23,556 - 37,692
9518	Director, Training and Systems Improvement	63,000 - 104,000
9519	Systems Improvement Specialist	46,400 - 76,600
9647	Travel Coordinator	15,828 - 25,320
9866	Manager, Academic Computing Operations	26,064 - 41,700
9867	Manager, Academic Computing Technical Services	26,064 - 41,700
9868	Instruction Services Specialist	23,556 - 37,692
9869	Coordinator, Gifts Administration and Records	20,616 - 32,988
9870	Network Support Supervisor	40,932 - 59,060
9871	Customer Support Supervisor	35,940 - 60,552
9872	Computer Support Analyst	29,628 - 49,752
9873	Computer Support Specialist	26,904 - 45,108
9880	Data Warehouse Supervisor	54,800 - 90,400
9881	Manager, Systems Development	54,800 - 90,400
9899	Information Systems Consultant	40,300 - 88,100

ADJUSTMENTS IN SALARY RANGE

<u>Code</u>	<u>Title</u>	<u>System-wide Annual Salary Range</u>	
		<u>From</u>	<u>To</u>
1002	Manager, Nursing/PEPED Program/Lifecheq	\$45,420 - \$75,000	\$45,420 - \$80,200
	UT M.D. Anderson Cancer Center institutional range of	48,600 -	80,200
1007	Instructor II, Nursing Service-Education	39,732 - 65,592	43,600 - 71,900
1010	Instructor Assistant, Nursing Service- Education	32,496 - 53,900	37,900 - 62,500
1014	Director, Collaborative Pathway Program	52,800 - 87,204	64,300 - 106,100
1021	Manager, Medical Staff Services	31,428 - 51,900	48,600 - 80,200
1024	Nursing Programs Coordinator	37,620 - 60,096	37,620 - 104,000
	UT M.D. Anderson Cancer Center institutional range of	63,000 -	104,000
1030	Head Nurse/Nurse Manager	31,428 - 61,380	31,428 - 80,200
	UT M.D. Anderson Cancer Center institutional range of	48,600 -	80,200

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

ADJUSTMENTS IN SALARY RANGE (con't)

Code	Title	System-wide	
		Annual Salary Range From	To
1031	Assistant Head Nurse/Assistant Nurse Manager	\$31,404 - \$57,396	\$31,404 - \$71,900
	UT M.D. Anderson Cancer Center institutional range of		43,600 - 71,900
1034	Research Nurse Supervisor	33,612 - 59,352	37,900 - 62,500
1046	Pheresis Nurse	30,432 - 50,196	33,000 - 54,500
1050	Nurse Clinician IV	37,164 - 61,380	37,164 - 71,900
	UT M.D. Anderson Cancer Center institutional range of		43,600 - 71,900
1054	Clinic/Program Liaison	28,425 - 45,552	28,425 - 71,900
	UT M.D. Anderson Cancer Center institutional range of		43,600 - 71,900
1301	Utilization Administrator/Manager/Nurse Auditor/ Assistant Director, Utilization Review	32,496 - 54,612	32,496 - 73,104
	UT Medical Branch at Galveston institutional range of		45,768 - 73,104
1320	Clinical/Practice Outcomes Program Assistant	16,236 - 28,920	16,236 - 36,600
	UT M.D. Anderson Cancer Center institutional range of		22,000 - 36,600
1325	Utilization Review Coordinator/Utilization Review Specialist/ Utilization Review Case Manager	24,036 - 54,504	24,036 - 54,816
	UT Medical Branch at Galveston institutional range of		34,308 - 54,816
1381	Utilization Review Case Manager Team Leader/ Utilization Manager	32,496 - 54,612	32,496 - 60,108
	UT Medical Branch at Galveston institutional range of		37,632 - 60,108
1444	Pharmacy Clinical Research Specialist	45,660 - 80,196	45,660 - 82,800
	UT M.D. Anderson Cancer Center institutional range of		50,200 - 82,800
1545	Senior Genetics Counselor	34,740 - 57,396	37,900 - 62,500
1591	Chaplain I	24,612 - 36,924	24,512 - 40,836
	UT Medical Branch at Galveston institutional range of		25,560 - 40,836
1611	Medical Technician III	22,500 - 37,164	22,500 - 41,100
	UT M.D. Anderson Cancer Center institutional range of		24,900 - 41,100
1612	Medical Technician II	17,292 - 33,504	17,292 - 35,600
	UT M.D. Anderson Cancer Center institutional range of		21,600 - 35,600
1615	Laboratory Liaison Technician Supervisor	17,292 - 28,428	19,572 - 31,260
1616	Laboratory Liaison Technician	15,756 - 25,704	16,632 - 26,568
1634	Assistant Chief Cytotechnologist	32,496 - 53,700	37,900 - 62,500
1636	Senior Cytotechnologist	25,704 - 50,196	25,704 - 54,500
	UT M.D. Anderson Cancer Center institutional range of		33,000 - 54,500
1680	Electrocardiograph Technician II/ Coordinator	16,620 - 26,592	15,800 - 26,592
	UT M.D. Anderson Cancer Center institutional range of		15,800 - 25,300
1681	Electrocardiograph Technician I/ Technologist	15,876 - 25,404	15,876 - 49,500
	UT M.D. Anderson Cancer Center institutional range of		30,500 - 49,500
1753	Physician's Assistant	48,552 - 80,196	50,200 - 82,800

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

ADJUSTMENTS IN SALARY RANGE (con't)

Code	Title	System-wide	
		Annual Salary Range	
		From	To
1900	Financial Counselor	\$22,380 - \$35,748	\$21,600 - \$35,748
	UT M.D. Anderson Cancer Center institutional range of		21,600 - 35,600
3028	Alumni Relations Officer/ Coordinator of Special Events	30,432 - 48,552	21,936 - 35,100
3053	Scholarship Coordinator	24,036 - 38,412	23,556 - 37,692
3150	Computer Laboratories Supervisor	15,300 - 24,036	19,068 - 30,504
4111	Coordinator, Special Projects	22,500 - 46,968	21,936 - 46,968
	UT Brownsville institutional range of		21,936 - 35,100
4405	Senior Research Scientist	30,432 - 63,468	30,432 - 67,776
	UT Southwestern Medical Center institutional range of		40,932 - 67,776
4438	Laboratory Technician Supervisor	18,408 - 35,712	18,408 - 38,727
	UT HSC Houston institutional range of		25,818 - 38,727
4442	Laboratory Information System Manager	28,428 - 43,908	28,428 - 52,200
	UT M.D. Anderson Cancer Center institutional range of		28,428 - 52,200
4480	Laboratory Research Assistant II	13,176 - 24,864	13,176 - 27,504
	UT Austin institutional range of		15,348 - 27,504
4481	Laboratory Technical/Research Assistant II	11,868 - 23,004	11,868 - 23,976
	UT Southwestern Medical Center institutional range of		
4482	Laboratory Technical/Research Assistant I	10,584 - 18,720	10,584 - 21,264
	UT Southwestern Medical Center institutional range of		14,004 - 21,264
4502	Research Analyst I	24,864 - 39,732	21,936 - 39,732
	UT Brownsville institutional range of		21,936 - 35,100
5003	Manager, Facilities Information Systems/ Asset Information Coordinator	34,740 - 57,396	41,400 - 68,300
5048	Director, Facility Planning and Space Management	46,968 - 77,568	46,968 - 90,400
	UT M.D. Anderson Cancer Center institutional range of		54,800 - 90,400
5135	Utilities Station Operator I/Energy Management Technician I	17,832 - 33,612	17,832 - 38,400
	UT Southwestern Medical Center institutional range of		24,456 - 38,400
5140	Building Utility Operator	15,180 - 30,432	15,180 - 32,664
	UT Southwestern Medical Center institutional range of		20,868 - 32,664
5201	Installation and Repair Supervisor/ Telecommunications Supervisor	31,428 - 48,552	49,932 - 67,776
5343	Quality Control Technician	16,776 - 26,592	13,992 - 22,392
5424	Groundskeeper III	14,412 - 24,456	14,412 - 25,448
	UT Southwestern Medical Center institutional range of		16,500 - 25,448
6177	Cook I	11,076 - 21,744	11,076 - 22,056
	UT Brownsville institutional range of		13,788 - 22,056
6219	Building Superintendent	24,612 - 36,924	25,818 - 38,727

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

ADJUSTMENTS IN SALARY RANGE (con't)

<u>Code</u>	<u>Title</u>	<u>System-wide</u>	
		<u>From</u>	<u>To</u>
6357	Guard I	\$9,612 - \$17,292	\$9,612 - \$19,608
	UT Brownsville institutional range of		12,252 - 19,608
6457	Receiving Clerk	15,792 - 25,236	12,000 - 25,236
	UT Brownsville institutional range of		12,000 - 19,200
6485	Bookstore Merchandise/Gift Shop Coordinator	21,048 - 32,628	18,700 - 32,628
	UT M.D. Anderson Cancer Center institutional range of		18,700 - 30,900
6487	Bookstore/Gift Shop Manager	19,032 - 47,196	19,032 - 43,700
	UT M.D. Anderson Cancer Center institutional range of		26,500 - 43,700
6619	Safety Specialist Officer	23,256 - 46,548	21,972 - 46,548
	UT Brownsville institutional range of		21,972 - 35,160
8014	Coordinator	25,704 - 41,100	21,936 - 35,100
8159	Coordinator III, Residency Training Program/Program Manager, Prevention Medicine Residencies	23,808 - 35,712	43,272 - 69,132
8197	Tool Room Clerk	11,748 - 17,832	11,568 - 18,504
8336	Artist II	14,412 - 32,232	14,412 - 33,963
	UT HSC Houston institutional range of		22,642 - 33,963
9407	Television Producer/Director	22,248 - 45,108	22,248 - 78,700
	UT M.D. Anderson Cancer Center institutional range of		47,700 - 78,700
8449	Chief, Television Engineering	19,668 - 45,108	19,668 - 76,600
	UT M.D. Anderson Cancer Center institutional range of		46,400 - 76,600
8471	Radio/Television/Film Specialist IV	19,812 - 42,400	19,812 - 50,300
	UT M.D. Anderson Cancer Center institutional range of		30,500 - 50,300
8517	Medical Illustrator/II	24,132 - 43,908	24,132 - 47,200
	UT M.D. Anderson Cancer Center institutional range of		28,600 - 47,200
8550	Audio-Visual Equipment Repair Technician	16,236 - 26,592	16,236 - 30,684
	UT Southwestern Medical Center institutional range of		19,608 - 30,684
8620	Marketing Specialist/Manager	24,996 - 42,444	24,996 - 57,800
	UT M.D. Anderson Cancer Center institutional range of		35,000 - 57,800
8648	Managing/Senior Editor	32,616 - 55,512	32,616 - 57,800
	UT M.D. Anderson Cancer Center institutional range of		35,000 - 57,800
8675	Informational Writer III	22,248 - 42,876	22,248 - 46,368
	UT Southwestern Medical Center institutional range of		29,628 - 46,368
8978	Telecommunications Representative	28,428 - 43,908	38,364 - 61,800
9041	Senior Secretary/Secretary II	13,176 - 31,044	13,176 - 40,900
	UT M.D. Anderson Cancer Center institutional range of		24,800 - 40,900
9042	Secretary/Secretary I	11,772 - 27,552	11,772 - 35,600
	UT M.D. Anderson Cancer Center institutional range of		21,600 - 35,600
9066	Information Systems/Specialist/ Technician I/Maintenance Technician	18,084 - 44,280	16,908 - 44,280
	UT Brownsville institutional range of		16,908 - 27,060
9078	Senior Telecommunications System Administrator	28,428 - 44,940	32,628 - 51,072



THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

ADJUSTMENTS IN SALARY RANGE (con't)

<u>Code</u>	<u>Title</u>	<u>System-wide</u>	
		<u>From</u>	<u>To</u>
9099	Telecommunications Analyst	\$28,428 - \$49,380	\$28,428 - \$51,072
	UT Southwestern Medical Center institutional range of		32,628 - 51,072
9123	Payroll Manager/Coordinator	37,428 - 98,016	15,836 - 98,016
	UT Brownsville institutional range of		16,836 - 26,928
9134	Internal Auditor IV/Senior Auditor	31,428 - 59,532	31,428 - 67,776
	UT Southwestern Medical Center institutional range of		40,932 - 67,776
9156	Supervisor, Grant and Contract Administration Division/Research Financial Coordinator Team Leader	25,512 - 41,100	25,512 - 61,884
	UT Medical Branch at Galveston institutional range of		38,736 - 61,884
9164	Manager, Internal Audit/Audit Services Manager	37,164 - 63,468	51,804 - 82,752
9163	Manager, EDP Audit	14,412 - 63,468	14,412 - 68,300
	UT M.D. Anderson Cancer Center institutional range of		41,400 - 68,300
9169	Accounting Coordinator/Technician/Clerk Leader/Specialist	17,196 - 37,164	17,196 - 38,000
	UT M.D. Anderson Cancer Center institutional range of		23,000 - 38,000
9174	Senior Budget Analyst	22,704 - 59,532	22,704 - 67,776
	UT Southwestern Medical Center institutional range of		40,932 - 67,776
9175	Budget Analyst II/Budget and Cost Analyst	21,048 - 43,908	21,048 - 51,072
	UT Southwestern Medical Center institutional range of		32,628 - 51,072
9176	Budget Analyst I	18,408 - 41,592	18,408 - 42,120
	UT Southwestern Medical Center institutional range of		26,904 - 42,120
9179	Cashier III/Supervisor	14,244 - 26,880	14,244 - 38,400
	UT Southwestern Medical Center institutional range of		24,456 - 38,400
9219	senior Statistical Assistant/Statistical Clerk III	18,564 - 26,592	18,564 - 31,704
	UT Medical Branch at Galveston institutional range of		19,848 - 31,704
9228	Financial Affairs Manager	31,428 - 75,000	48,168 - 82,560
9237	Health Services Contracting Specialist/Managed Care Implementation Specialist	36,132 - 57,732	36,132 - 93,400
	UT M.D. Anderson Cancer Center institutional range of		57,100 - 93,400
9269	Computer Trainer II/Help Desk Specialist II	21,744 - 39,732	21,744 - 46,368
	UT Southwestern Medical Center institutional range of		29,628 - 46,368
9270	Computer Training Coordinator	28,428 - 43,908	28,428 - 51,072
	UT Southwestern Medical Center institutional range of		32,628 - 51,072
9295	Client Services Analyst III	24,852 - 44,949	24,852 - 61,800
	UT Southwestern Medical Center institutional range of		38,364 - 61,800
9296	Client Services Analyst II	22,500 - 40,764	22,500 - 46,368
	UT Southwestern Medical Center institutional range of		29,628 - 46,368
9297	Client Services Analyst I	23,256 - 36,996	23,256 - 42,120
	UT Southwestern Medical Center institutional range of		26,904 - 42,120

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

ADJUSTMENTS IN SALARY RANGE (con't)

<u>Code</u>	<u>Title</u>		System-wide	
			<u>From</u>	<u>To</u>
9298	Coordinator, Client Services	\$31,428 - \$48,552	\$31,428	\$61,800
	UT Southwestern Medical Center institutional range of		38,364	61,800
9302	Manager, Administrative Information Systems/ Administrator TCDC	41,100 - 67,860	41,100	69,800
	UT M.D. Anderson Cancer Center institutional range of		42,300	69,800
9303	Manager, Systems and Technical Support/ Information Resources Manager/Systems	33,612 - 75,000	28,572	83,343
	UT Brownsville institutional range of		28,572	45,708
	UT HSC Houston institutional range of		55,562	83,343
9306	Manager, Computing Services/Academic and Research Computing	28,572 - 81,012	28,572	91,600
	UT M.D. Anderson Cancer Center institutional range of		56,000	91,600
9318	Systems/Project Coordinator	29,628 - 62,412	29,628	71,900
	UT M.D. Anderson Cancer Center institutional range of		43,600	71,900
9342	Supervisor I, Computer Operations	21,048 - 35,700	21,048	56,256
	UT Southwestern Medical Center institutional range of		35,940	56,256
9352	Data Communications Specialist	22,632 - 51,324	22,632	61,800
	UT Southwestern Medical Center institutional range of		38,364	61,800
9375	Control Clerk/Data Analyst II/Control Clerk Coder II	14,004 - 23,256	12,696	23,256
	UT Brownsville institutional range of		12,696	20,316
9385	Network Analyst	25,704 - 57,396	25,704	62,580
	UT HSCSA institutional range of		37,164	62,580
9390	Network Manager	38,364 - 56,712	38,364	76,200
	UT HSCSA institutional range of		46,620	76,200
9392	Network Technician/Support Specialist II/ Network Specialist II	27,024 - 44,448	27,024	49,752
	UT HSCSA institutional range of		29,628	49,752
9393	Network Technician/Support Specialist I/ Network Specialist I	17,568 - 38,364	17,568	39,612
	UT HSCSA institutional range of		23,700	39,612
9403	Risk Manager/Commercial Transactions Specialist	32,700 - 49,056	32,700	77,800
	UT M.D. Anderson Cancer Center institutional range of		47,600	77,800
9421	Assistant Students' Attorney	25,884 - 32,556	25,884	41,110
	UT Austin institutional range of		30,432	41,110
9510	Personnel/Human Resources Group Manager/ Supervisor II	25,704 - 64,152	25,704	75,012
	UT Southwestern Medical Center institutional range of		45,108	75,012
9515	Senior Personnel/Human Resources Representative II/Supervisor	24,036 - 47,196	24,036	51,072
	UT Southwestern Medical Center institutional range of		32,628	51,072

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

ADJUSTMENTS IN SALARY RANGE (con't)

<u>Code</u>	<u>Title</u>	<u>System-wide</u>	
		<u>From</u>	<u>To</u>
9541	Personnel/Human Resources Assistant/ Specialist III/Technician	\$21,744 - \$39,732	\$21,744 - \$76,600
	UT M.D. Anderson Cancer Center institutional range of		46,400 - 76,600
9542	Personnel/Human Resources Assistant/ Specialist II	19,200 - 36,852	14,964 - 36,852
	UT Brownsville institutional range of		14,964 - 23,940
9543	Personnel/Human Resources Assistant/ Specialist I	14,376 - 42,876	11,568 - 42,876
	UT Brownsville institutional range of		11,568 - 18,504

The above referenced adjustments reflect those actions necessary to establish or maintain internal and external equity and/or accurately define and classify jobs. Salary ranges are supported by compensation data for the area concerned. These adjustments have been initiated by the various component institutions, appropriately reviewed, and have received the approval of the Office of the Chancellor.

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET

The following Requests for Budget Changes (RBC) have been administratively approved as required by the appropriate Executive Vice Chancellor or Vice Chancellor and by the Chancellor and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
<b><u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u></b>					
OFFICE OF GENERAL COUNSEL					
Attorney					
1. Esther L. Hajdar	8/9-8/31	100	12	64,800	D19
<b><u>TRANSFER OF FUNDS</u></b>					<b><u>RBC</u></b>
2. Amount of Transfer:	\$148,105.96				D20
From:	Unallocated Proceeds on Revenue Financing System (RFS) Commercial Paper Notes				
To:	Interest and Sinking Fund, RFS Commercial Paper Notes				

To record the remaining source of funds for U. T. Medical Branch at Galveston, West End Chilled Water Plant project number 601-811. The construction of this project has been completed and these funds represent unspent project contingency dollars of which \$145,000 will be applied to reducing the outstanding principal of the debt and \$3,105.96 will be applied to paying interest expense.

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

AMENDMENTS TO THE 1997-98 BUDGET

The following Requests for Budget Changes (RBC) have been administratively approved as required by the appropriate Executive Vice Chancellor or Vice Chancellor and by the Chancellor and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
<b><u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u></b>					
<b>TELECOMMUNICATIONS AND INFORMATION TECHNOLOGY</b>					
Interim Director					
1. Darcy W. Hardy	9/8 - 8/31	100	12	68,532	D1
<b>OFFICE OF GENERAL COUNSEL</b>					
Attorney					
2. Esther L. Hajdar	9/1 - 8/31	100	12	66,000	D2
3. Dana Hollingsworth	9/1 - 8/31	100	12	61,000	D3
4. Kerri T. Galvin	9/1 - 8/31	100	12	58,992	D4
<b><u>TRANSFER OF FUNDS</u></b>					<b><u>RBC</u></b>
5. Amount of Transfer:	\$1,000,000				D5
From:	Interest on PUF Bond Proceeds				
To:	Unallocated Proceeds from Sale of PUF Bonds				

To change the source of funds for U. T. San Antonio Downtown Campus Building II, Project number 401-855. PUF Bond Proceeds are being returned from the project and are being replaced by Interest on PUF Bond Proceeds as the funding source.

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

OTHER FISCAL ITEMS

The following U. T. System Rate and Damage Schedule rate increases, effective January 1, 1998, have been administratively approved by the Executive Vice Chancellor for Business Affairs and are recommended for ratification by the U. T. Board of Regents.



RATE AND DAMAGE SCHEDULE

THE UNIVERSITY OF TEXAS SYSTEM  
 UNIVERSITY LANDS  
 WEST TEXAS OPERATIONS  
 P. O. BOX 553  
 MIDLAND, TEXAS 79702-0553

I.	Well Locations	Page	C-15
II.	Material Source	Page	C-15
III.	Roads	Page	C-16
IV.	Geophysical Operations	Page	C-16
V.	Assignments	Page	C-17
VI.	Surface Leases	Page	C-17
VII.	Pipe Line Easements	Page	C-17
VIII.	Power & Telephone Easements	Page	C-18
IX.	University Lands Representatives	Page	C-18

**EFFECTIVE JANUARY 1, 1998**

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**WEST TEXAS OPERATIONS - UNIVERSITY LANDS  
 RATE AND DAMAGE SCHEDULE  
 Effective January 1, 1998**

**I. WELL LOCATIONS**

**A. New Location**

	Location Fee*	
	Current	Proposed
1. Less than 7,000 feet True Vertical Depth	\$2,500.00	\$3,000.00
2. 7,000 feet to 13,000 feet True Vertical Depth	\$3,500.00	\$4,000.00
3. Greater than 13,000 feet True Vertical Depth	\$5,500.00	\$6,000.00

\*INCLUDES CALICHE FOR A DRILLING LOCATION FROM AN EXISTING PIT

**B. Re-Entry**

	Location Fee*	
	Current	Proposed
1. Less than 7,000 feet True Vertical Depth	\$1,000.00	\$1,500.00
2. 7,000 feet to 13,000 feet True Vertical Depth	\$1,500.00	\$2,000.00
3. Greater than 13,000 feet True Vertical Depth	\$2,500.00	\$3,000.00

\*INCLUDES CALICHE FOR A DRILLING LOCATION FROM AN EXISTING PIT

**II. MATERIAL SOURCE**

**A. For Use on University Lands**

	Damages	
	Current	Proposed
1. Caliche for Drilling Location	Included in Location Fee	
2. Road Construction Material	\$500.00	Negotiable
3. Miscellaneous Construction Material	\$1.50/cubic yd.	Negotiable
4. Pad Dirt	\$1.50/cubic yd.	Negotiable

**B. For Use on Non-University Lands**

	Damages	
	Current	Proposed
1. Caliche for Drilling Location	\$500/project	Negotiable
2. Road Construction Material	Negotiable	Negotiable
3. Miscellaneous Construction Material	Negotiable	Negotiable
4. Pad Dirt	Negotiable	Negotiable
5. Highway Construction Material	Negotiable	Negotiable

**C. Opening New Pit**

	Damages**	
	Current	Proposed
Opening of New Pit	\$500.00	\$1,000.00

\*\*IN ADDITION TO APPLICABLE FEES IN II. A OR II. B ABOVE

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

### III. ROADS

#### A. Existing Oil Field Road

1. Prior to drilling well, road must be repaired by the oil and gas lessee in accordance with specifications determined by a University Lands' Field Representative (caliche may be provided by University Lands).
2. Annual road maintenance by the oil and gas lessee is required.
3. University Lands may assess a penalty for improper road maintenance.
4. University Lands may impose a road use fee, at its discretion.

#### B. Existing Ranch Road

1. Road must be upgraded to accommodate additional traffic in accordance with specifications determined by a University Lands' Field Representative (caliche may be provided by University Lands).
2. Annual road maintenance by the oil and gas lessee is required.
3. University Lands may assess a penalty for improper road maintenance.
4. University Lands may impose a road use fee, at its discretion.

#### C. New Road Construction - Negotiable

### IV. GEOPHYSICAL OPERATIONS

	Consideration***	Damages	
		Current	Proposed
A. 2-D	\$500.00	\$1,200.00/Mile	\$1,500.00/Mile
B. 3-D	\$500.00	Negotiable	Negotiable
C. Gravity & Magnetometer	\$500.00	\$200.00/Crew/Day	\$500.00/Crew/day
D. Geochemical	\$500.00	\$200.00/Crew/Day	\$500.00/Crew/day
E. Experimental or New Type of Survey	\$500.00	Negotiable	\$500.00/Crew/day
F. Brush Removal (with permission only)	None	\$200.00/Mile	\$200.00/Mile

\*\*\*CONSIDERATION REQUIRED AT TIME OF PERMIT APPLICATION



THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

## V. ASSIGNMENTS

	Consideration
Each Easement or Surface Lease	\$500.00

## VI. SURFACE LEASES

	Consideration	
	Current	Proposed
A. Business Site	Negotiable	Negotiable
B. Tower Site (10 year lease)	\$1,000.00/Yr	\$15,000.00
C. Temporary Lease		
1. Temporary Office and/or Yard	New Category	\$250.00/Acre/yr
2. Temporary Storage	New Category	\$500.00/Acre/month

## VII. PIPELINE EASEMENTS

### A. New Pipe Line Easement (Two separate checks required)

	Consideration****		Damages
	Current	Proposed	
1. Up to 4" Inside Diameter (ID)	\$5.00/Rod	\$5.00/Rod	\$4.00/Rod
2. Greater than 4" ID & Less than 12" ID	\$11.00/Rod	\$11.00/Rod	\$4.00/Rod
3. 12" to 24" ID	\$15.00/Rod	\$15.00/Rod	\$6.00/Rod
4. Over 24" ID	Negotiable	Negotiable	Negotiable
5. Temporary Lines	\$2.50	Negotiable	\$2.00/Rod

\*\*\*\*MINIMUM CONSIDERATION IS \$500.00 PER EASEMENT

### B. Renewal of Pipe Line Easement

	Consideration****	Damages	
		Current	Proposed
1. Up to 4" Inside Diameter (ID)	\$4.50/Rod	None	None
2. Greater than 4" ID & Less than 12" ID	\$10.00/Rod	None	None
3. 12" to 24" ID	\$12.00/Rod	None	None
4. Over 24" ID	Negotiable	None	None
5. Repair or Replacement of Pipeline	None	\$3.50/Rod	\$3.50/Rod
6. Removal of Pipeline	None	\$3.50	Negotiable

\*\*\*\*MINIMUM CONSIDERATION IS \$500.00 PER EASEMENT

### C. Cathodic Protection

	Consideration	Damages	
		Current	Proposed
1. Within Easement	None	None	None
2. Outside of Easement	Negotiable	\$250.00	Negotiable

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

## VIII. POWER & TELEPHONE EASEMENTS

### A. New Power or Telephone Easement (Two separate checks required)

	Consideration****		Damages
	Current	Proposed	
1. Single Pole Distribution Line	\$3.00/Rod	\$4.00/Rod	\$1.00/Rod
2. Single Pole Transmission Line	\$3.00/Rod	\$4.00/Rod	\$1.00/Rod
3. Single Pole Telephone Line	\$3.00/Rod	\$4.00/Rod	\$1.00/Rod
4. H-Frame Construction	\$6.50/Rod	\$6.50/Rod	\$3.50/Rod
5. Steel Tower Construction	\$14.00/Rod	\$14.00/Rod	\$6.00/Rod
6. Buried Cable	Negotiable	Negotiable	Negotiable

\*\*\*\*MINIMUM CONSIDERATION IS \$500.00 PER EASEMENT

### B. Renewal of Power or Telephone Easement

	Consideration****		Damages	
	Current	Proposed	Current	Proposed
1. Single Pole Distribution Line	\$2.00/Rod	\$3.00/Rod	None	None
2. Single Pole Transmission Line	\$2.25/Rod	\$3.00/Rod	None	None
3. Single Pole Telephone Line	\$2.00/Rod	\$3.00/Rod	None	None
4. H-Frame Construction	\$5.50/Rod	\$5.50/Rod	None	None
5. Steel Tower Construction	\$8.50/Rod	\$8.50/Rod	None	None
6. Buried Cable	Negotiable	Negotiable	None	None
7. Removal of Buried Cable	None	None	\$2.00/Rod	\$3.50/Rod
8. Removal or Replacement of Overhead Line	None	None	\$1.50/Rod	\$3.50/Rod

\*\*\*\*MINIMUM CONSIDERATION IS \$500.00 PER EASEMENT

## IX. UNIVERSITY LANDS FIELD REPRESENTATIVES

P.O. Box 553, Midland, Texas 79702-0553

<b>Oil Field Representatives</b>	<b>Cellular Telephone</b>	<b>Office &amp; Fax</b>
Don Cox Andrews, Culberson, Dawson, El Paso, Gaines, Hudspeth & Martin Counties	915-448-8200	915-524-6200
Doug Damron Crane, Ector, Loving, Pecos, Ward & Winkler Counties	915-557-5690	915-558-2453
Tommy Gray Crockett, Irion, Reagan, Schleicher, Terrell & Upton Counties	915-557-3790	915-392-3640
<b>Range/Wildlife Representatives</b>	<b>Cellular Telephone</b>	<b>Office &amp; Fax</b>
Don Cox (Andrews area)	915-448-8200	915-524-6200
Ken Moore (Big Lake area)	915-557-3787	915-884-3609
Sid Sullenger (Crane area)	915-557-3789	915-558-2588
<b>Manager-Surface Interests</b>	<b>Midland Office</b>	<b>Midland Fax</b>
Jim Benson	915-684-4404	915-682-7456

## THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION

## U. T. BOARD OF REGENTS' MEETING

NOVEMBER 13, 1997

## OTHER MATTERS

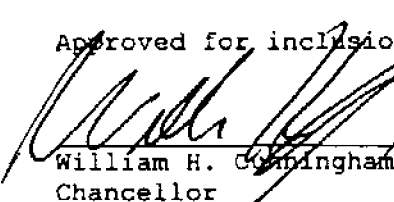
Proposed Allocation from PUF Bond Proceeds for Library Special Collection

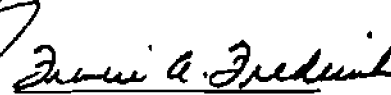
Based upon a request dated August 25, 1997 from President Witt, an allocation of \$21,735 from the PUF Library Special Reserve is recommended.


The University of Texas at Arlington has an opportunity to purchase a portion of the A.C. Greene Collection. The collection consists of papers and writings from the 1970s through the early 1990s of Texas author and historian, A.C. Greene. The University's Special Collections Division already houses a significant portion of his personal papers, as well as historical items he collected. The portion of the papers requested would complete the collection and complement the other holdings that Special Collections has of Texas authors. Because Mr. Greene has spent most of his productive library life in Dallas, it is important that the papers remain in the North Texas area. The collection has an appraisal value of \$44,750. Mr. Greene is willing to donate material to U. T. Arlington totaling \$23,015, provided the University pay the balance. Therefore, \$21,735 is requested from the Special Collection Reserve.

In June 1986, \$1 million was appropriated by the U. T. System Board of Regents for Special Collections Enhancement. This amount was placed in reserve for institutional requests when unusual acquisition opportunities exist. With subsequent additions, the reserve now stands at \$292,236.80.

Approved for inclusion in the November 1997 U. T. System Docket.

  
William H. Cunningham  
Chancellor

  
Francie A. Frederick  
Acting Vice Chancellor  
for Academic Affairs

  
Charles B. Mullins, M.D.  
Exec. Vice Chancellor  
for Health Affairs

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS (Continued)

As a result of the passage of Senate Bill 274 related to the downward expansion of U. T. Brownsville, the U. T. Brownsville/Texas Southmost College Partnership Advisory Committee has concurred in the following Addendum Number 2 to the Educational Partnership Agreement adopted by the respective governing boards and approved by the Texas Higher Education Coordinating Board in 1991. The proposed revision is shown in congressional style.

ADDENDUM NUMBER 2  
Educational Partnership Agreement

The following language is an addendum to Item IX of the Educational Partnership Agreement executed in June 1991 on behalf of the Board of Trustees of Southmost Union Junior College District and the Board of Regents of The University of Texas System and last amended in November 1996.

F. Clarifications to the operating parameters of the partnership resulting from December 1995 action by Southern Association of Colleges and Schools (SACS) and the passage of Senate Bill 274 by the 75<sup>th</sup> Texas Legislature, Regular Session, are as follows:

- Reference to The University of Texas Brownsville as the "operating entity" is a reference to The University of Texas at Brownsville/Texas Southmost College educational partnership as accredited by the Southern Association of Colleges and Schools.
- Reference to "TSC" as an oversight entity means the Southmost Union Junior College District Board of Trustees, also referred to as the Southmost Board.
- Reference to "TSC programs" means associate degree programs, lower division courses, occupational/technical courses and other programs offered by the partnership to carry out the community college mission.
- Reference to "UTB programs" means, as appropriate within the context of the reference, either bachelors and masters degree programs and associated courses or all programs offered by the educational partnership.
- Reference to the "Partnership Governing Board" means The University of Texas System Board of Regents, also referred to as the U. T. Board of Regents.
- The parties acknowledge as part of accreditation documentation that, since September 1, 1992, TSC exists as a set of programs and activities delivered by the partnership under contract with Southmost Union Junior College District.

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS (Continued)

The University of Texas System Board of Regents  
Ratification of  
Newly Commissioned University of Texas System Peace Officers

In accordance with Chapter 51.203 of the Texas Education Code, the Board of Regents is requested to ratify the commissioning of the below listed individuals as peace officers effective July 24, 1997. The following officers have completed a course of training that included mandated Texas Commission on Law Enforcement Officer Standards and Education courses at the University of Texas System Police Training Academy and have successfully passed the State of Texas Peace Officer Licensing Examination.

<u>Name</u>	<u>Component Institution</u>
Robert W. Petty II	U. T. Arlington
Damon A. Robinson	U. T. Arlington
Rodney Corkill	U. T. Brownsville
Jorge E. Garcia	U. T. Brownsville
Armando Pulido	U. T. Brownsville
Richard C. McMillan	U. T. Dallas
Ciro Caceres III	U. T. Pan American
Samuel W. Allen	U. T. San Antonio
Barton W. Borgens	U. T. San Antonio
Michael C. Chilcutt	U. T. San Antonio
Robert C. Kolar	U. T. San Antonio
Melinda S. Murrow	U. T. San Antonio
Kenneth L. Reinikka	U. T. San Antonio
James W. Townsend	U. T. San Antonio
Keif A. Dahlman	U. T. Southwestern Medical Center at Dallas
Pedro Gonzalez III	U. T. Southwestern Medical Center at Dallas
Debra D. Asadi	U. T. Medical Branch at Galveston
Rodney E. Kahla	U. T. Medical Branch at Galveston
Harry Lopez, Jr.	U. T. Medical Branch at Galveston
Everton W. Long	U. T. Health Science Center - Houston
Robert B. Austin	U. T. Health Science Center at San Antonio
Karen A. Tucker	U. T. Health Science Center at San Antonio

**REAL ESTATE ASSETS**  
**Managed by U.T. System Real Estate Office**  
**Summary Report at August 31, 1997**

	FUND TYPE							
	Current Purpose Restricted		Endowment & Similar Funds		Annuity & Life Income Funds		TOTAL	
	Book	Market	Book	Market	Book	Market	Book	Market
<b>Land &amp; Buildings:</b>								
Beginning Value 6/01/97	13,044,819	22,972,981	24,611,838	92,641,578	3,654,401	2,910,691	41,311,058	118,525,250
Increase or Decrease	1,302,500	934,038	295,397	1,045,140	1,300,000	1,297,200	2,897,898	3,276,378
Ending Value 8/31/97	14,347,319	23,907,019	24,907,235	93,686,718	4,954,401	4,207,891	44,208,956	121,801,628
<b>Other Real Estate:</b>								
Beginning Value 6/01/97	319,913	319,913	629,414	629,414			949,327	949,327
Increase or Decrease	(42,478)	(42,478)	(42,464)	(42,464)	0	0	(84,942)	(84,942)
Ending Value 8/31/97	277,435	277,435	586,950	586,950			864,385	864,385

C-22

Report prepared in accordance with Sec. 51.0032 of the Texas Education Code.  
 Details of individual assets by account furnished on request.

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION

U. T. BOARD OF REGENTS' MEETING

NOVEMBER 13, 1997

MEDICAL AND DENTAL SERVICES, RESEARCH

AND DEVELOPMENT PROGRAMS/PHYSICIANS

REFERRAL SERVICE

THE UNIVERSITY OF TEXAS SYSTEM  
 Combined MSRDP/DSRDP/PRS Year-to-Date Summary of Operations  
 For the Year Ended August 31, 1997

	For the Year Ended August 31, 1997			
	Total Budget	Budget Allocation Year-to-Date	Cumulative Year-to-Date Actual	Variance Over (Under)
Beginning Balance, September 1, 1996	\$ 333,539,588	333,539,588	333,539,588	-
Restatement for Accrued Compensable Absences	(16,545,831)	(16,545,831)	(16,545,831)	-
Restated Beginning Balance, September 1, 1996	<u>316,993,757</u>	<u>316,993,757</u>	<u>316,993,757</u>	<u>-</u>
<b>Revenues and Other Additions:</b>				
Gross Charges	1,129,340,625	1,129,340,625	1,144,119,904	14,779,279
Less:				
Un-sponsored Charity Care	376,120,067	376,120,067	386,167,329	10,047,262
Contractual Adjustments	209,013,026	209,013,026	220,147,071	11,134,045
Bad Debt Write-offs	54,412,490	54,412,490	53,340,173	(1,072,317)
Other Unreimbursed Medical Costs	22,313,543	22,313,543	28,391,514	6,077,971
Other (Profess. Courtesy & Change in Accts. Rec.)	10,301,833	10,301,833	(1,747,859)	(12,049,692)
Net Collections	<u>457,179,666</u>	<u>457,179,666</u>	<u>457,821,676</u>	<u>642,010</u>
Contractual Income from Hospitals, Affiliated Organizations and Other Miscellaneous Income	89,516,400	89,516,400	118,316,905	28,800,505
Investment Revenues	7,129,768	7,129,768	9,448,906	2,319,138
Subtotal - per Annual Financial Report, Exhibit D	<u>553,825,834</u>	<u>553,825,834</u>	<u>585,587,487</u>	<u>31,761,653</u>
Other Additions	-	-	2,380,144	2,380,144
<b>TOTAL REVENUES AND OTHER ADDITIONS</b>	<u>553,825,834</u>	<u>553,825,834</u>	<u>587,967,631</u>	<u>34,141,797</u>
<b>Expenditures and Other Deductions:</b>				
Faculty Salaries	210,665,060	210,665,060	204,902,505	(5,762,555)
Other Salaries (Staff & Resident Salaries)	101,599,432	101,599,432	104,503,013	2,903,581
Fringe Benefits	97,833,169	97,833,169	97,318,729	(514,440)
Maintenance and Operations	97,325,525	97,325,525	96,153,810	(1,171,714)
Professional Liability Insurance	22,893,987	22,893,987	20,191,015	(2,702,972)
Capital Outlay	16,073,050	16,073,050	16,837,633	764,584
Other (Travel, Official Functions, & Debt Service)	11,646,272	11,646,272	12,456,328	810,057
Subtotal - per Annual Financial Report, Exhibit D	<u>558,036,494</u>	<u>558,036,494</u>	<u>552,363,034</u>	<u>(5,673,460)</u>
Other Deductions	<u>5,769,316</u>	<u>5,769,316</u>	<u>16,584,966</u>	<u>10,815,650</u>
<b>TOTAL EXPENDITURES AND OTHER DEDUCTIONS</b>	<u>563,805,810</u>	<u>563,805,810</u>	<u>568,948,000</u>	<u>5,142,190</u>
Net Increase (Decrease) for the Period	<u>(9,979,976)</u>	<u>(9,979,976)</u>	<u>19,019,631</u>	<u>28,999,607</u>
Ending Fund Balance, August 31, 1997	\$ <u>307,013,781</u>	<u>307,013,781</u>	<u>336,013,388</u>	<u>28,999,607</u>



**THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS**  
**MSRDP/DSRDP/PRS Year-to-Date Summary of Operations**  
**For the Year Ended August 31, 1997**

	For the Year Ended August 31, 1997			
	Total Budget	Budget Allocation Year-to-Date	Cumulative Year-to-Date Actual	Variance Over (Under)
Beginning Balance, September 1, 1996	\$ 103,155,412	103,155,412	103,155,412	-
Restatement for Accrued Compensable Absences	(4,849,064)	(4,849,064)	(4,849,064)	-
Restated Beginning Balance, September 1, 1996	<u>98,306,348</u>	<u>98,306,348</u>	<u>98,306,348</u>	<u>-</u>
<b>Revenues and Other Additions:</b>				
Gross Charges	388,250,000	388,250,000	384,063,779	(4,186,221)
Less:				
Un-sponsored Charity Care	145,000,000	145,000,000	142,886,208	(2,113,792)
Contractual Adjustments	55,500,000	55,500,000	54,742,198	(757,802)
Bad Debt Write-offs	7,500,000	7,500,000	7,182,100	(317,900)
Other Unreimbursed Medical Costs	4,000,000	4,000,000	4,053,593	53,593
Other (Profess. Courtesy & Change in Accts. Rec.)	8,500,000	8,500,000	7,913,599	(586,401)
Net Collections	<u>167,750,000</u>	<u>167,750,000</u>	<u>167,286,081</u>	<u>(463,919)</u>
U.T. Southwestern Health Systems Inc. and Other Income	-	-	12,310,298	12,310,298
Investment Revenues	<u>1,723,824</u>	<u>1,723,824</u>	<u>1,874,091</u>	<u>150,267</u>
Subtotal - per Annual Financial Report, Exhibit D	<u>169,473,824</u>	<u>169,473,824</u>	<u>181,470,470</u>	<u>11,996,646</u>
Other Additions	-	-	-	-
<b>TOTAL REVENUES AND OTHER ADDITIONS</b>	<u>169,473,824</u>	<u>169,473,824</u>	<u>181,470,470</u>	<u>11,996,646</u>
<b>Expenditures and Other Deductions:</b>				
Faculty Salaries	63,500,000	63,500,000	59,623,155	(3,876,845)
Other Salaries (Staff & Resident Salaries)	32,500,000	32,500,000	33,867,418	1,367,418
Fringe Benefits	22,000,000	22,000,000	22,469,940	469,940
Maintenance and Operations	32,078,712	32,078,712	33,350,906	1,272,194
Professional Liability Insurance	7,000,000	7,000,000	6,434,745	(565,255)
Capital Outlay	4,000,000	4,000,000	4,133,485	133,485
Other (Travel, Official Functions, & Debt Service)	5,500,000	5,500,000	5,218,743	(281,257)
Subtotal - per Annual Financial Report, Exhibit D	<u>166,578,712</u>	<u>166,578,712</u>	<u>165,098,392</u>	<u>(1,480,320)</u>
Other Deductions	<u>569,316</u>	<u>569,316</u>	<u>7,325,548</u>	<u>6,756,232</u>
<b>TOTAL EXPENDITURES AND OTHER DEDUCTIONS</b>	<u>167,148,028</u>	<u>167,148,028</u>	<u>172,423,940</u>	<u>5,275,912</u>
Net Increase (Decrease) for the Period	<u>2,325,796</u>	<u>2,325,796</u>	<u>9,046,530</u>	<u>6,720,734</u>
Ending Fund Balance, August 31, 1997	\$ <u>100,632,144</u>	<u>100,632,144</u>	<u>107,352,878</u>	<u>6,720,734</u>

**THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON**  
**MSRDP/DSRDP/PRS Year-to-Date Summary of Operations**  
**For the Year Ended August 31, 1997**

	For the Year Ended August 31, 1997			
	Total Budget	Budget Allocation Year-to-Date	Cumulative Year-to-Date Actual	Variance Over (Under)
Beginning Balance, September 1, 1996	\$ 35,036,178	35,036,178	35,036,178	-
Restatement for Accrued Compensable Absences	(4,084,111)	(4,084,111)	(4,084,111)	-
Restated Beginning Balance, September 1, 1996	<u>30,952,067</u>	<u>30,952,067</u>	<u>30,952,067</u>	<u>-</u>
<b>Revenues and Other Additions:</b>				
Gross Charges	231,000,000	231,000,000	231,034,612	34,612
Less:				
Un-sponsored Charity Care	80,000,000	80,000,000	78,938,954	(1,061,046)
Contractual Adjustments	56,000,000	56,000,000	55,223,670	(776,330)
Bad Debt Write-offs	17,500,000	17,500,000	20,002,577	2,502,577
Other Unreimbursed Medical Costs	3,000,000	3,000,000	6,821,331	3,821,331
Other (Profess. Courtesy & Change in Accts. Rec.)	<u>3,238,744</u>	<u>3,238,744</u>	<u>3,528,689</u>	<u>289,945</u>
Net Collections	<u>71,261,256</u>	<u>71,261,256</u>	<u>66,519,391</u>	<u>(4,741,865)</u>
Contractual Income from Hospitals	39,182,957	39,182,957	49,101,527	9,918,570
Investment Revenues	<u>255,787</u>	<u>255,787</u>	<u>641,848</u>	<u>386,061</u>
Subtotal - per Annual Financial Report, Exhibit D	<u>110,700,000</u>	<u>110,700,000</u>	<u>116,262,766</u>	<u>5,562,766</u>
Other Additions	-	-	912,470	912,470
<b>TOTAL REVENUES AND OTHER ADDITIONS</b>	<u>110,700,000</u>	<u>110,700,000</u>	<u>117,175,236</u>	<u>6,475,236</u>
<b>Expenditures and Other Deductions:</b>				
Faculty Salaries	39,344,683	39,344,683	41,032,707	1,688,024
Other Salaries (Staff & Resident Salaries)	32,465,042	32,465,042	34,829,070	2,364,028
Fringe Benefits	16,229,774	16,229,774	15,603,310	(626,464)
Maintenance and Operations	14,812,261	14,812,261	19,207,046	4,394,785
Professional Liability Insurance	4,040,377	4,040,377	2,248,676	(1,791,701)
Capital Outlay	2,211,765	2,211,765	2,680,124	468,359
Other (Travel, Official Functions, & Debt Service)	<u>1,876,755</u>	<u>1,876,755</u>	<u>1,926,234</u>	<u>49,479</u>
Subtotal - per Annual Financial Report, Exhibit D	<u>110,980,657</u>	<u>110,980,657</u>	<u>117,527,167</u>	<u>6,546,510</u>
Other Deductions	-	-	3,407,512	3,407,512
<b>TOTAL EXPENDITURES AND OTHER DEDUCTIONS</b>	<u>110,980,657</u>	<u>110,980,657</u>	<u>120,934,679</u>	<u>9,954,022</u>
Net Increase (Decrease) for the Period	<u>(280,657)</u>	<u>(280,657)</u>	<u>(3,759,443)</u>	<u>(3,478,786)</u>
Ending Fund Balance, August 31, 1997	\$ <u>30,671,410</u>	<u>30,671,410</u>	<u>27,192,624</u>	<u>(3,478,786)</u>

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
MSRDP/DSRDP/PRS Year-to-Date Summary of Operations  
For the Year Ended August 31, 1997

	For the Year Ended August 31, 1997			
	Total Budget	Budget Allocation Year-to-Date	Cumulative Year-to-Date Actual	Variance Over (Under)
Beginning Balance, September 1, 1996	\$ 54,139,916	54,139,916	54,139,916	-
Restatement for Accrued Compensable Absences	(4,012,687)	(4,012,687)	(4,012,687)	-
Restated Beginning Balance, September 1, 1996	<u>50,127,229</u>	<u>50,127,229</u>	<u>50,127,229</u>	<u>-</u>
<b>Revenues and Other Additions:</b>				
Gross Charges	171,973,510	171,973,510	171,597,551	(375,959)
Less:				
Un-sponsored Charity Care	61,680,476	61,680,476	73,871,543	12,191,067
Contractual Adjustments	28,477,239	28,477,239	33,310,084	4,832,845
Bad Debt Write-offs	11,875,106	11,875,106	8,194,561	(3,680,545)
Other Unreimbursed Medical Costs	1,236,677	1,236,677	1,454,922	218,245
Other (Profess. Courtesy & Change in Accts. Rec.)	<u>6,689,081</u>	<u>6,689,081</u>	<u>(3,216,187)</u>	<u>(9,905,268)</u>
Net Collections	62,014,931	62,014,931	57,982,628	(4,032,303)
Contractual Income from Hospitals	50,333,443	50,333,443	50,376,292	42,849
Investment Revenues	1,600,000	1,600,000	1,559,667	(40,333)
Subtotal - per Annual Financial Report, Exhibit D	<u>113,948,374</u>	<u>113,948,374</u>	<u>109,918,587</u>	<u>(4,029,787)</u>
Other Additions	-	-	-	-
<b>TOTAL REVENUES AND OTHER ADDITIONS</b>	<u>113,948,374</u>	<u>113,948,374</u>	<u>109,918,587</u>	<u>(4,029,787)</u>
<b>Expenditures and Other Deductions:</b>				
Faculty Salaries	46,620,146	46,620,146	44,543,534	(2,076,612)
Other Salaries (Staff & Resident Salaries)	18,327,108	18,327,108	16,681,463	(1,645,645)
Fringe Benefits	16,312,401	16,312,401	16,483,915	171,514
Maintenance and Operations	27,635,657	27,635,657	18,978,078	(8,657,579)
Professional Liability Insurance	3,400,000	3,400,000	3,373,863	(26,137)
Capital Outlay	7,941,704	7,941,704	8,061,253	119,549
Other (Travel, Official Functions, & Debt Service)	<u>1,346,962</u>	<u>1,346,962</u>	<u>1,465,720</u>	<u>118,758</u>
Subtotal - per Annual Financial Report, Exhibit D	121,583,978 *	121,583,978	109,587,826	(11,996,152)
Other Deductions	<u>5,200,000 *</u>	<u>5,200,000</u>	<u>5,197,336</u>	<u>(2,664)</u>
<b>TOTAL EXPENDITURES AND OTHER DEDUCTIONS</b>	<u>126,783,978</u>	<u>126,783,978</u>	<u>114,785,162</u>	<u>(11,998,816)</u>
Net Increase (Decrease) for the Period	<u>(12,835,604)</u>	<u>(12,835,604)</u>	<u>(4,866,575)</u>	<u>7,969,029</u>
Ending Fund Balance, August 31, 1997	\$ <u>37,291,625</u>	<u>37,291,625</u>	<u>45,260,654</u>	<u>7,969,029</u>

\* The \$121.6 million does not agree to the Operating Budget because the \$5.2 million of Other Deductions was included in the Operating Budget as an expense.

**THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO**  
**MSRDP/DSRDP/PRS Year-to-Date Summary of Operations**  
**For the Year Ended August 31, 1997**

	For the Year Ended August 31, 1997			
	Total Budget	Budget Allocation Year-to-Date	Cumulative Year-to-Date Actual	Variance Over (Under)
Beginning Balance, September 1, 1996	\$ 39,344,584	39,344,584	39,344,584	-
Restatement for Accrued Compensable Absences	-	-	-	-
Restated Beginning Balance, September 1, 1996	<u>39,344,584</u>	<u>39,344,584</u>	<u>39,344,584</u>	<u>-</u>
<b>Revenues and Other Additions:</b>				
Gross Charges	135,228,905	135,228,905	132,514,854	(2,714,051)
Less:				
Un-sponsored Charity Care	63,878,524	63,878,524	62,668,004	(1,210,520)
Contractual Adjustments	15,988,333	15,988,333	15,663,673	(324,660)
Bad Debt Write-offs	11,150,000	11,150,000	10,926,926	(223,074)
Other Unreimbursed Medical Costs	1,605,000	1,605,000	1,596,126	(8,874)
Other (Profess. Courtesy & Change in Accts. Rec.)	(6,015,335)	(6,015,335)	(6,010,885)	4,450
Net Collections	<u>48,622,383</u>	<u>48,622,383</u>	<u>47,671,010</u>	<u>(951,373)</u>
University Physicians Group, Inc. Income	-	-	5,262,640	5,262,640
Investment Revenues	<u>1,585,311</u>	<u>1,585,311</u>	<u>1,690,827</u>	<u>105,516</u>
Subtotal - per Annual Financial Report, Exhibit D	<u>50,207,694</u>	<u>50,207,694</u>	<u>54,624,477</u>	<u>4,416,783</u>
Other Additions	-	-	133,552	133,552
<b>TOTAL REVENUES AND OTHER ADDITIONS</b>	<u>50,207,694</u>	<u>50,207,694</u>	<u>54,758,029</u>	<u>4,550,335</u>
<b>Expenditures and Other Deductions:</b>				
Faculty Salaries	15,000,000	15,000,000	15,747,930	747,930
Other Salaries (Staff & Resident Salaries)	7,565,526	7,565,526	8,043,471	477,945
Fringe Benefits	9,450,000	9,450,000	9,777,281	327,281
Maintenance and Operations	11,000,000	11,000,000	11,598,109	598,109
Professional Liability Insurance	4,800,000	4,800,000	4,505,304	(294,696)
Capital Outlay	675,000	675,000	703,427	28,427
Other (Travel, Official Functions, & Debt Service)	950,000	950,000	1,192,452	242,452
Subtotal - per Annual Financial Report, Exhibit D	<u>49,440,526</u>	<u>49,440,526</u>	<u>51,567,974</u>	<u>2,127,448</u>
Other Deductions	-	-	484,758	484,758
<b>TOTAL EXPENDITURES AND OTHER DEDUCTIONS</b>	<u>49,440,526</u>	<u>49,440,526</u>	<u>52,052,732</u>	<u>2,612,206</u>
Net Increase (Decrease) for the Period	<u>767,168</u>	<u>767,168</u>	<u>2,705,297</u>	<u>1,938,129</u>
Ending Fund Balance, August 31, 1997	\$ <u>40,111,752</u>	<u>40,111,752</u>	<u>42,049,881</u>	<u>1,938,129</u>

**THE UNIVERSITY OF TEXAS M. D. ANDERSON CANCER CENTER**  
**MSRDP/DSRDP/PRS Year-to-Date Summary of Operations**  
**For the Year Ended August 31, 1997**

	For the Year Ended August 31, 1997			
	Total Budget	Budget Allocation Year-to-Date	Cumulative Year-to-Date Actual	Variance Over (Under)
Beginning Balance, September 1, 1996	\$ 99,667,273	99,667,273	99,667,273	-
Restatement for Accrued Compensable Absences	(3,599,969)	(3,599,969)	(3,599,969)	-
Restated Beginning Balance, September 1, 1996	96,067,304	96,067,304	96,067,304	-
<b>Revenues and Other Additions:</b>				
Gross Charges	183,249,300	183,249,300	206,582,429	23,333,129
Less:				
Un-sponsored Charity Care	16,988,573	16,988,573	19,634,388	2,645,815
Contractual Adjustments	52,511,673	52,511,673	60,689,886	8,178,213
Bad Debt Write-offs	4,887,198	4,887,198	5,648,334	761,136
Other Unreimbursed Medical Costs	12,364,710	12,364,710	14,290,401	1,925,691
Other (Profess. Courtesy & Change in Accts. Rec.)	(2,110,657)	(2,110,657)	(3,917,230)	(1,806,573)
Net Collections	98,607,803	98,607,803	110,236,650	11,628,847
Other Miscellaneous Income	-	-	744,148	744,148
Investment Revenues	1,908,846	1,908,846	3,635,852	1,727,006
Subtotal - per Annual Financial Report, Exhibit D	100,516,649	100,516,649	114,616,650	14,100,001
Other Additions	-	-	1,132,777	1,132,777
<b>TOTAL REVENUES AND OTHER ADDITIONS</b>	<b>100,516,649</b>	<b>100,516,649</b>	<b>115,749,427</b>	<b>15,232,778</b>
<b>Expenditures and Other Deductions:</b>				
Faculty Salaries	41,242,237	41,242,237	38,874,088	(2,368,149)
Other Salaries (Staff & Resident Salaries)	9,855,678	9,855,678	10,220,649	364,971
Fringe Benefits	32,870,994	32,870,994	31,974,594	(896,400)
Maintenance and Operations	10,208,995	10,208,995	11,412,306	1,203,312
Professional Liability Insurance	3,143,610	3,143,610	3,265,524	121,914
Capital Outlay	1,244,581	1,244,581	1,254,880	10,300
Other (Travel, Official Functions, & Debt Service)	1,950,555	1,950,555	2,493,329	542,775
Subtotal - per Annual Financial Report, Exhibit D	100,516,649	100,516,649	99,495,371	(1,021,278)
Other Deductions	-	-	62,842	62,842
<b>TOTAL EXPENDITURES AND OTHER DEDUCTIONS</b>	<b>100,516,649</b>	<b>100,516,649</b>	<b>99,558,213</b>	<b>(958,436)</b>
Net Increase (Decrease) for the Period	0	0	16,191,214	16,191,214
Ending Fund Balance, August 31, 1997	\$ 96,067,304	96,067,304	112,258,518	16,191,214

**THE UNIVERSITY OF TEXAS HEALTH CENTER AT TYLER**  
**MSRDP/DSRDP/PRS Year-to-Date Summary of Operations**  
**For the Year Ended August 31, 1997**

	For the Year Ended August 31, 1997			
	Total Budget	Budget Allocation Year-to-Date	Cumulative Year-to-Date Actual	Variance Over (Under)
Beginning Balance, September 1, 1996	\$ 2,196,225	2,196,225	2,196,225	-
Restatement for Accrued Compensable Absences	-	-	-	-
Restated Beginning Balance, September 1, 1996	<u>2,196,225</u>	<u>2,196,225</u>	<u>2,196,225</u>	<u>-</u>
<b>Revenues and Other Additions:</b>				
Gross Charges	19,638,910	19,638,910	18,326,679	(1,312,231)
Less:				
Un-sponsored Charity Care	8,572,494	8,572,494	8,168,232	(404,262)
Contractual Adjustments	535,781	535,781	517,560	(18,221)
Bad Debt Write-offs	1,500,186	1,500,186	1,385,675	(114,511)
Other Unreimbursed Medical Costs	107,156	107,156	175,141	67,985
Other (Profess. Courtesy & Change in Accts. Rec.)			(45,845)	(45,845)
Net Collections	<u>8,923,293</u>	<u>8,923,293</u>	<u>8,125,916</u>	<u>(797,377)</u>
Other Miscellaneous Income	-	-	522,000	522,000
Investment Revenues	56,000	56,000	46,621	(9,379)
Subtotal - per Annual Financial Report, Exhibit D	<u>8,979,293</u>	<u>8,979,293</u>	<u>8,694,537</u>	<u>(284,756)</u>
Other Additions	-	-	201,345	201,345
<b>TOTAL REVENUES AND OTHER ADDITIONS</b>	<u>8,979,293</u>	<u>8,979,293</u>	<u>8,895,882</u>	<u>(83,411)</u>
<b>Expenditures and Other Deductions:</b>				
Faculty Salaries	4,957,994	4,957,994	5,081,091	123,097
Other Salaries (Staff & Resident Salaries)	886,078	886,078	860,942	(25,136)
Fringe Benefits	970,000	970,000	1,009,689	39,689
Maintenance and Operations	1,589,900	1,589,900	1,607,365	17,465
Professional Liability Insurance	510,000	510,000	362,903	(147,097)
Capital Outlay			4,464	4,464
Other (Travel, Official Functions, & Debt Service)	22,000	22,000	159,850	137,850
Subtotal - per Annual Financial Report, Exhibit D	<u>8,935,972</u>	<u>8,935,972</u>	<u>9,086,304</u>	<u>150,332</u>
Other Deductions	-	-	106,970	106,970
<b>TOTAL EXPENDITURES AND OTHER DEDUCTIONS</b>	<u>8,935,972</u>	<u>8,935,972</u>	<u>9,193,274</u>	<u>257,302</u>
Net Increase (Decrease) for the Period	<u>43,321</u>	<u>43,321</u>	<u>(297,392)</u>	<u>(340,713)</u>
Ending Fund Balance, August 31, 1997	\$ <u>2,239,546</u>	<u>2,239,546</u>	<u>1,898,833</u>	<u>(340,713)</u>

**THE UNIVERSITY OF TEXAS SYSTEM HEALTH COMPONENT INSTITUTIONS  
DENTAL SERVICES RESEARCH AND DEVELOPMENT PLAN  
HEALTH SCIENCE CENTER AT SAN ANTONIO**

**SUMMARY OF OPERATIONS  
FOR THE YEAR ENDING AUGUST 31, 1997**

Beginning Fund Balance, 9/1/96		\$3,315,981
Gross Charges		\$3,323,859
Less: Refunds to Insurance Carriers for Overpayments	\$0	
Un-sponsored Charity Care	\$0	
Professional Courtesy	\$0	
Contractual Adjustments	\$0	
Bad Debt Write-offs	\$0	
Other Unreimbursed Medical Costs	\$0	
Change in Accounts Receivable	(\$57,454)	
<b>TOTAL LESS</b>		<b>(\$57,454)</b>
Net Collections		<u>\$3,381,313</u>
Investment Revenues		\$125,773
Other Additions		\$0
<b>TOTAL REVENUES AND OTHER ADDITIONS</b>		<b>\$3,507,086</b>
Expenditures and Other Deductions: Faculty Salaries		\$392,101
Staff Salaries		\$835,941
Fringe Benefits		\$309,795
Maintenance and Operations		\$1,043,854
Travel		\$132,279
Capital Outlay		\$92,708
Official Functions		\$40,715
New Programs		\$124,183
<b>TOTAL EXPENDITURES AND OTHER DEDUCTIONS</b>		<b>\$2,971,556</b>
Excess of Revenues and Other Additions over Expenditures and Other Deductions		\$535,530
<b>NET CHANGE IN ACCOUNTS RECEIVABLE</b>		<b>\$0</b>
Ending Fund Balance, 8/31/97		<u><b>\$3,851,511</b></u>

**NOTE: ENDING FUND BALANCE WAS COMPOSED OF THE FOLLOWING:**

<b>UNRESTRICTED: Reserved</b>		
Orders and Contracts Outstanding		\$22,681
Accounts Receivable		\$384,253
<b>UNRESERVED: Allocated</b>		
Provision for future Operating Budget		<u>\$3,444,577</u>
<b>TOTAL</b>		<u><b>\$3,851,511</b></u>

Prepared By: U.T. System Office of Health Affairs  
Source: U.T. Health Science Center at San Antonio

**THE UNIVERSITY OF TEXAS SYSTEM HEALTH COMPONENT INSTITUTIONS  
ALLIED HEALTH FACULTY SERVICES PLAN  
SOUTHWESTERN MEDICAL CENTER AT DALLAS**

**SUMMARY OF OPERATIONS  
FOR THE YEAR ENDING AUGUST 31, 1997**

Beginning Fund Balance, 9/1/96		\$1,379,004
Gross Charges		\$1,243,613
Less: Refunds (Patients, Insur. Co., Other)	\$6,743	
Un-sponsored Charity Care	\$521	
Professional Courtesy	\$9,865	
Contractual Adjustments	\$177,641	
Bad Debt Write-offs	\$36,416	
Other Unreimbursed Medical Costs	\$14,554	
Change in Accounts Receivable	\$137,476	
TOTAL LESS		<u>\$383,216</u>
Net Collections		\$860,397
Investment Revenues		\$0
Other Additions		\$124,154
<b>TOTAL REVENUES AND OTHER ADDITIONS</b>		<b>\$984,551</b>
Expenditures and Other Deductions: Faculty Salaries		\$51,234
Staff Salaries		\$275,255
Fringe Benefits		\$86,715
Maintenance and Operations		\$244,896
Travel		\$19,027
Capital Outlay		\$9,421
Official Functions		\$19,674
New Programs		\$33,227
<b>TOTAL EXPENDITURES AND OTHER DEDUCTIONS</b>		<b><u>\$739,449</u></b>
Excess of Revenues and Other Additions over Expenditures and Other Deductions		\$245,102
<b>NET CHANGE IN ACCOUNTS RECEIVABLE</b>		<b>\$137,476</b>
Ending Fund Balance, 8/31/97		<u><u>\$1,761,582</u></u>

**NOTE: ENDING FUND BALANCE WAS COMPOSED OF THE FOLLOWING:**

<b>UNRESTRICTED: Reserved</b>		
Orders and Contracts		\$42,175
Accounts Receivable		\$137,477
<b>UNRESERVED: Allocated</b>		
FSP Operating Funds		<u>\$1,581,930</u>
<b>TOTAL</b>		<u><u>\$1,761,582</u></u>

Prepared By: *U.T. System Office of Health Affairs*  
Source: *U.T. Southwestern Medical Center at Dallas*



**THE UNIVERSITY OF TEXAS SYSTEM  
MSRDP/PRS/DSRDP/AHFSP INFORMATION:  
MSRDP/PRS ANNUAL OFFICIAL FUNCTIONS EXCEEDING \$2,500  
FOR THE YEAR ENDING AUGUST 31, 1997**

<b>INSTITUTION</b>	<b>Cost</b>	<b>Attendance</b>	<b>Average Expense Per Person</b>
UTSWMC-Dallas	\$7,274	450	\$16.16
UTMB-Galveston	\$179,714	6,855	\$26.22
UTHSC-Houston	\$231,536	10,991	\$21.07
UTHSC-San Antonio	\$70,269	3,992	\$17.60
UTMDACC-Houston	\$63,934	2,176	\$29.38
UTHC-Tyler	\$0	0	\$0.00
<b>TOTAL</b>	<b>\$552,727</b>	<b>24,464</b>	<b>\$22.59</b>

Prepared By: U. T. System Office of Health Affairs  
Source: Health Component Institutions

## THE UNIVERSITY OF TEXAS AT ARLINGTON

September 25, 1997


Ms. Francie Frederick  
Interim Vice Chancellor  
for Academic Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Ms. Frederick:

The docket for the November 13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



Robert E. Witt  
President

REW:ms

AR-1

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

## TABLE OF CONTENTS

	<u>Page No.</u>
Contracts and Grants for Research, Development, Clinical and Educational Services	
\$500,000 or more .....	AR-3
Foreign.....	AR-4
Catalog Changes.....	AR-5
Amendments to the 1996-97 Budget.....	AR-8
Appointment, Reappointment or Promotion of Relatives.....	AR-11
Other Matters.....	AR-12

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CONTRACTS OR GRANTS \$500,000 OR MORE

The following contract or grant in an amount of \$500,000 or more has been awarded, has been administratively approved by the Chief Administrative Officer (or his delegate) as required, and is recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Grantor:	The Texas Department of Protective and Regulatory Services (PRS)
No.:	No. 03980012
New Funds:	\$3,608,899
Current Period:	September 1, 1997 - August 31, 1998
Title/Description:	Title IV-E Training Contract

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
DEVELOPMENT AND EDUCATIONAL SERVICES**

The following contracts or grants with foreign entities or individuals have been awarded, have been administratively approved by the Acting Vice Chancellor for Academic Affairs, and are recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Country: Russia  
Grantor: All Russia Geological Research Institute  
No.: n/a  
New Funds: \$0  
Current Period: June 24, 1997 through June 23, 2007  
Title/Description: Agreement of Cooperation to establish a program of exchange and collaboration in areas of interest and benefit to both institutions.
  
2. Country: Russia  
Grantor: Sanct-Petersburg State University  
No.: n/a  
New Funds: \$0  
Current Period: June 24, 1997 through June 23, 2007  
Title/Description: Agreement of Cooperation to establish a program of exchange and collaboration in areas of interest and benefit to both institutions.

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES

The following listing summarizes the substantive changes proposed to the Catalog of The University of Texas at Arlington. These catalog changes have been administratively approved by the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel.

Undergraduate Catalog for 1997-1999

Scholarships

The University of Texas at Arlington provides a variety of scholarship programs for students who have demonstrated exceptional academic achievement. In addition to specific qualifications required for various competitive scholarships awarded by the University, the committee responsible for selection of a given scholarship may consider such factors as leadership, extracurricular activities, community involvement, financial need and socioeconomic background, educational level, and status as a first generation college student in its selection process. These funds have been contributed by individual donors, U.T. Arlington alumni, corporations, government agencies and others to recognize and reward academic excellence. Detailed information about the scholarships offered through the University is contained in a brochure available in the Financial Aid Office. A self-directed national computer search service, College Fund Finder, developed by the College Board, is available to students in the Financial Aid Office. Additional information regarding local scholarships is also available.

Entering Freshmen

An applicant for admission, to be accepted by The University of Texas at Arlington, must have graduated from an accredited high school. Applicants must submit an application for admission, an official high school transcript indicating rank-in-class and their scores on the Scholastic Assessment Test (SAT) or the American College Test (ACT).

All applications for admission from prospective freshman and transfer students are reviewed individually. Decisions for applicants who do not qualify for automatic admission are based on factors that predict academic success; course patterns and grades in college preparatory courses; rank-in-class and scores on standardized admission tests, and evidence of leadership and of overcoming adversity.

1. Applicants graduating from Texas public or private high schools who have submitted a complete application by the priority deadline and who will graduate in the top 10% of their graduating class will be admitted regardless of scores on standardized admission tests or other predictors. If, after admitting the student the University determines the applicant requires additional preparation for college level work or

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**CATALOG CHANGES**  
(continued)

would benefit from inclusion in a retention program, the University may require the student to enroll during the summer immediately after the student is admitted under this provision.

2. Applicants graduating from Texas public or private high schools who have not graduated in the top 10% of their graduating class but who meet the rank-in-class and test score requirements and have completed the high school units described under High School Preparation will be admitted without further review.

Rank in High School Graduating Class	Minimum Acceptable SAT Score	Minimum Acceptable ACT Score
Top 10%	No score minimum, But students must submit scores.	No score minimum, But students must submit scores.
Top Quarter But not top 10%	900	19
Second Quarter	950	20
Third Quarter	1000	21
Fourth Quarter	1150	25

3. Applicants from Texas public or private high schools who do not meet the requirements described in 1. and 2. above will be considered on the basis of the following criteria, :
- a. the applicant's academic record, and scores on standardized admissions tests;
  - b. the socioeconomic background of the applicant;
  - c. whether the applicant would be the first generation of the applicant's family to attend or graduate from an institution of higher education;
  - d. whether English is not the applicant's first language;
  - e. the applicant's responsibilities while attending school;
  - f. evidence of the applicant's leadership in school or in extracurricular activities;
  - g. the applicant's intended field of study.

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(continued)

4. Applicants graduating from non-Texas public or private high schools will be expected to meet the rank in class and test score minimum requirements in Item 2 above as well as to have completed the academic units described in "High School Preparation".



THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET

The following Requests for Budget Changes (RBC) have been administratively approved as required by the Acting Vice Chancellor for Academic Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
<b>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</b>					
GENERAL ADMINISTRATION AND STUDENT SERVICES					
Office of the Vice President for Student Affairs					
1. Kent L. Gardner					072
From: Associate Vice President for Student Affairs		100	12	66,500	
To: Associate Vice President for Student Affairs/ Dean of Students	07/01-08/31	100	12	70,800	
Office of the President					
2. Diane L. Walker					074
From: Interim Director of Equal Opportunity and Affirmative Action Programs		100 Suplt	12 12	36,050 8,000	
To: Director of Equal Opportunity and Affirmative Action Programs	06/01-08/31	100	12	47,500	

THE UNIVERSITY OF TEXAS AT ARLINGTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
COLLEGE OF LIBERAL ARTS					
English					
3. Phil Cohen					080
From: Associate Professor (T) and Chair		100	09	43,580	
		Suplt	09	7,500	
To: Associate Professor (T) and Chair	09/01-05/31	100	09	42,580	
	09/01-05/31	Suplt	09	7,500	
	06/01-08/31	Suplt	03	3,000	
Sociology and Anthropology					
4. Beth Anne Shelton					073
From: Professor (T)		100	09	47,000	
To: Director of Women's Studies and Professor (T)	08/01-08/31	100	12	54,700	
Theatre Arts					
5. Dennis M. Maher					075
From: Professor (T)		100	09	41,426	
To: Associate Professor (T)	09/01-5/31	100	09	41,426	
BUDGET CORRECTION					
SCHOOL OF SOCIAL WORK					
6. Norman H. Cobb					079
From: Associate Professor (T)		100	09	40,206	
		100	03	13,402	
To: Associate Professor (T)	09/01-05/31	100	09	40,206	
	06/01-08/31	100	03	13,402	
	06/01-08/31	Suplt	03	3,750	

THE UNIVERSITY OF TEXAS AT ARLINGTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
SCHOOL OF SOCIAL WORK					
7. Doreen Elliott					081
From: Professor (T)		100	09	56,122	
		Suplt	09	976	
To: Professor (T)	09/01-05/31	100	09	56,122	
	09/01-05/31	Suplt	09	976	
	06/01-08/31	100	03	18,708	
	06/01-08/31	Suplt	03	7,500	
8. Richard Hoefler					082
From: Associate Professor (T)		100	09	40,331	
To: Associate Professor (T)	09/01-05/31	100	09	40,331	
	06/01-08/31	96.67	03	12,996	
	06/01-08/31	Suplt	03	2,000	

THE UNIVERSITY OF TEXAS AT ARLINGTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

APPOINTMENT, REAPPOINTMENT OR PROMOTION OF RELATIVES  
 AND  
 MARRIAGE OF CERTAIN EMPLOYEES

The following personnel actions involving the appointment, reappointment or promotion of relatives have been administratively approved by the Acting Vice Chancellor for Academic Affairs. The personnel actions are consistent with the provisions of state law and the Regents' Rules and Regulations Part One, Chapter III, Section 5, Subsection 5.3, Subdivision 5.31.

<u>College, Department, Title, Name</u>	<u>College, Department, Title, Name</u>
<b>PROPOSED REAPPOINTMENT</b>	<b>RELATIVE</b>
1. College of Science	College of Science
Psychology	Psychology
Associate Professor and	Associate Professor
Dept. Chair	Martha Mann (wife)
Roger Mellgren	
2. College of Liberal Arts	College of Liberal Arts
Office of the Dean	Sociology and Anthropology
Dean and Professor	Director of Women's Studies
Ben Agger	Beth Anne Shelton (wife)
3. Budgets & Financial Planning	Budgets and Financial Planning
Associate Vice President	Human Resources
William E. Stern	Human Resources Specialist
	Beverly Stern (wife)

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

RE-PLAT OF SUBDIVISION

The following proposal for a re-plat of a subdivision has been reviewed by the Real Estate Office, approved by the Acting Vice Chancellor for Academic Affairs and Executive Vice Chancellor for Business Affairs and is recommended for ratification by the U. T. Board of Regents.

Description of Property: Lots 7-9 of the Lampe Acres subdivision which is located west of the main campus on West 2<sup>nd</sup> Street, Arlington, Texas.

Reason for Re-Plat: The current configuration of Lots 7-9 does not maximize the use of the land available to be leased.

Description after Re-Plat: Lots 7R, 8S1, 8R2, and 9S of the Lampe Acres subdivision.

Filed in Public Record: O. Medlin Survey, Abstract No. 1043, Tarrant County, Texas, according to the deeds recorded in Volume 5253, page 565, Volume 5284, page 962, Volume 5349, page 475, Volume 5356, page 515 and Volume 9789, page 617 of the Deed Records of Tarrant County, Texas and according to the plat recorded in Volume 729, page 70 of the Plat Records of Tarrant County, Texas.

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(continued)

NAMING OF FACILITIES OTHER THAN BUILDINGS

The following item has been approved by the Chancellor and the Acting Vice Chancellor for Academic Affairs in accordance with the Regents' Rules and Regulations, Part One, Chapter VIII, Section 1 and is submitted for ratification by the U. T. Board of Regents.

1. Facility: Special Collections Room (known as the Cartographic History Library) of the Central Library  
Proposed Name: Virginia Garrett Cartographic History Library  
Justification: Mrs. Garrett has committed to donate a significant collection of maps, valued at \$700,000, to U. T. Arlington. Former Regent Jenkins Garrett and Mrs. Garrett have also donated maps and atlases, valued at \$200,000, to the institution. This special collections room has 1,800 square feet and is valued at \$152,120.

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(continued)

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY

The following institutional policy for the Periodic Performance Evaluation of Tenured Faculty at The University of Texas at Arlington has been approved by the Chancellor, the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel and is recommended for approval by the U. T. Board of Regents. Upon approval, the policy will be included in the institutional Handbook of Operating Procedures.

PREAMBLE

The University of Texas at Arlington recognizes the time-honored practice of tenure for university faculty as an important protection of free inquiry, open intellectual and scientific debate, unfettered criticism of the accepted body of knowledge, and shared faculty governance of the institution. Academic institutions have a special need for practices that protect freedom of expression, because the core of the academic enterprise involves a continual reexamination of ideas. Academic disciplines thrive and grow through critical analysis of conventions and theories. The best way to guarantee the integrity of an academic institution is to insure that the faculty are free honestly to contribute to the governance of that institution.

Tenure is essential, not merely for protection of individual faculty members, but also as an assurance to society that the pursuit of truth and knowledge commands our first priority. Without freedom to question, there can be no freedom to learn. The process of reviewing faculty performance should at all times enhance, encourage and protect these principles.

I. ANNUAL REVIEW OF ALL FACULTY

- A. Each department (or equivalent unit) shall conduct an annual review of all its faculty. Written criteria for annual reviews shall be established by the faculty in each department (or equivalent unit), subject to the approval of the Dean, Provost and President, and be made available to each faculty member. The criteria shall establish a standard for minimum satisfactory annual performance by the faculty of the department (or equivalent unit) in the areas of teaching, research, and service. A faculty member shall submit an annual report, a summary of a student survey of teaching performance for each course taught during the year, and any additional materials he or she deems appropriate. The annual report should contain evidence of all professional activity, for example, teaching, advising, student research

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY  
(continued)

supervision, research, publication, creative activities, service and/or any other relevant activity.

- B. Each department (or equivalent) shall make a determination as to whether the faculty member is performing at or above a minimum satisfactory level, relative to the established criteria of the department (or equivalent unit). Each faculty member will be informed in writing by his or her department chair (or equivalent) of the result of this annual review which will include an assessment of the faculty member's strengths and weaknesses.

II. PERIODIC CUMULATIVE EVALUATION OF TENURED FACULTY

Every sixth year, tenured faculty will undergo a cumulative evaluation. The faculty member subject to evaluation shall be given at least six months prior notification of the intended evaluation by the department chair (or equivalent).

- A. At the time of the evaluation, a faculty member will submit a file which contains a resume and the materials used to conduct the annual reviews for the six-year period under evaluation. The faculty member may submit any additional materials he or she deems appropriate. The faculty member retains the right to review and copy all materials in his or her file at any time during the evaluation process.
- B. 1. The sixth year cumulative evaluation shall be conducted by the department chair (or equivalent). The evaluation, based on all submitted materials, shall determine if the performance of the faculty member, taken as a whole across the three performance areas (teaching, research, and service), is at or above a minimum satisfactory level relative to established departmental (or unit) criteria. The faculty member will be informed in writing of the result of this evaluation. A copy of the chair's (or equivalent) written report will be placed in the personnel file of the faculty member in the department (or equivalent unit).



THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY  
(continued)

2. Where the result of the evaluation indicates performance below a minimum satisfactory level, the faculty member may request an additional evaluation from a department (or equivalent unit) evaluation committee or college evaluation committee.
  - a. The department (or equivalent unit) evaluation committee shall be a committee of at least five members elected annually by the voting faculty of the department (or unit). Departments without sufficient eligible faculty shall select faculty from another department from within the college. Schools and Centers without sufficient eligible faculty shall select faculty members from another School or Center. The faculty member being evaluated will be provided an opportunity to meet with the evaluation committee during the evaluation process.
  - b. The college evaluation committee shall be elected annually by all the voting faculty of the college, consist of at least five members, only one of which may be from the unit of the faculty member being evaluated. During the evaluation process, the faculty member will be given the opportunity to meet with the evaluation committee. The faculty member and the dean will be given a copy of the report of the review committee evaluation. A copy of the written report will be placed in the personnel file of the faculty member in the department (or equivalent unit).
3. The sixth-year cumulative evaluation of tenured faculty members who are Department Chairs, Assistant Deans, Associate Deans, Deans, Vice Presidents, Vice Provosts or President will be conducted by a department evaluation committee. The department evaluation committee shall be composed of at least five members elected by the voting faculty of the department (or equivalent unit) and may be the same committee as specified in II(B)(2) if timing of the chair's sixth-year cumulative evaluation coincides with that of other department faculty. Departments without sufficient eligible faculty shall select faculty from another department from within the college. The evaluation based on all submitted materials, shall determine whether the

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY  
(continued)

performance of the administrator as a faculty member, taken as a whole across the three performance areas (teaching, research and service), is at or above a minimum satisfactory level relative to established department (or unit) criteria. Appropriate consideration should be given to the administrator's administrative service, particularly regarding its impact on the level of research activity, number of courses taught and extent of involvement in other service activities. The administrator will be informed in writing of the result of this evaluation. A copy of the written report will be placed in the administrator's personnel file in the department (or equivalent unit).

4. Faculty members in Schools and Centers or with joint appointments should proceed to Section D of this document. Faculty members in Colleges should proceed to Section C of this document.

C. Faculty members in Colleges only

1. The materials submitted by the faculty member, the report of the department chair (or equivalent), and, if applicable, reports from the evaluation committees shall be forwarded to the dean for evaluation. The dean shall evaluate the submitted material to determine if the faculty member's performance, taken as a whole across the three performance areas (teaching, research and service), is at or above the minimum satisfactory level. The faculty member will be informed in writing of the result of the dean's evaluation. A copy of the dean's report will be placed in the personnel file of the faculty member in the department (or equivalent unit).
2. If the dean determines the faculty member's performance to have been below a minimum satisfactory level, the faculty member may request an additional evaluation from the college evaluation committee, unless the faculty member has already been evaluated by the college evaluation committee. During the evaluation process, the faculty member will be given the opportunity to meet with the evaluation committee. The faculty member and the dean will be given a copy of the

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY  
(continued)

evaluation committee's report. A copy of the evaluation committee's report will be placed in the personnel file of the faculty member in the department (or equivalent unit).

3. Decisions by the dean or the college evaluation committee which reverse departmental decisions must be for good reason. The dean and the college evaluation committee shall communicate the reasons for the reversal to the chief academic officer and the faculty member via a written memorandum.

D. The results of the sixth-year evaluation will be communicated by the dean of the faculty member's unit to the faculty member, the department chair, the chief academic officer and to the President for review and appropriate action and include the following: the materials submitted by the faculty member, the report of the department chair (or equivalent), the report of the dean, and, if applicable, reports from department (or equivalent) and college evaluation committees. Based on this evaluation, the following actions may occur:

1. A faculty member found to be performing above a minimum satisfactory level may be recommended for merit raises, nominated for awards, or other forms of recognition.
2. For faculty members whose performance indicates they would benefit from additional institutional support, the evaluation may be used to provide such support (e.g. teaching effectiveness support, mentoring in research, counseling guidance on service expectations).
3. For individuals found to be performing unsatisfactorily, review to determine if good cause exists for termination under the current Regents' Rules and Regulations may be considered. All proceedings for termination of tenured faculty on the basis of periodic performance evaluation shall be only for incompetency, neglect of duty or other good cause shown and must be conducted in accordance with the due process procedures of the Regents' Rules and Regulations, Part One, Chapter III, Section 6, including

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**

**PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY**  
(continued)

II. D. 3. (continued)

an opportunity for referral of the matter to alternative dispute resolution. Such proceedings must also include a list of specified charges by the chief administrative officer and an opportunity for a hearing before a faculty tribunal. In all such cases, the burden of proof shall be on the institution, and the rights of a faculty member to due process and academic freedom shall be protected.

**III. DEFERMENT OF SIXTH-YEAR EVALUATION**

The sixth-year evaluation may not be waived for any tenured faculty member but may be deferred in rare circumstances when the review period will coincide with approved leave or comprehensive review for promotion or appointment to an endowed position. No deferral of review of an active faculty member may extend beyond one year from the scheduled review. The requirement of periodic review does not imply that individuals with unsatisfactory annual evaluations may not be subject to further review and/or appropriate administrative action.

The success of the University's periodic performance evaluation of tenured faculty policy will depend on the quality of policy implementation and the University's commitment to assist and support faculty development. In this context, the Provost shall have oversight responsibility for policy implementation and faculty development.

**IV. IMPLEMENTATION**

The periodic evaluation of tenured faculty will be phased in over five years beginning with the 1998-99 academic year. A faculty member will be evaluated on a six-year cycle determined by the academic year of his or her last comprehensive review.

**V. NOTIFICATION**

- A. The chief academic officer shall send a written notification to all tenure-track and tenured faculty members detailing the specifics of this policy once it is approved by the Board of Regents.

THE UNIVERSITY OF TEXAS AT ARLINGTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY  
(continued)

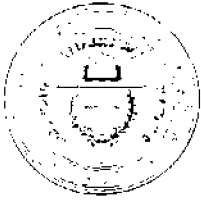
- B. The department chair (or equivalent) shall inform, via the letter of offer, all new tenure-track and tenured hires to the faculty of the specifics of this policy.

VI. OVERSIGHT

- A. At the final Faculty Senate meeting of each academic year, the chief academic officer shall provide a report summarizing the number of faculty evaluated under this policy and the result of those evaluations.
- B. This policy will be reviewed every two years after initial implementation by UTA's chief academic officer in consultation with the Faculty Senate's Executive Committee. A report summarizing the review will be presented to the Faculty Senate.

VII. INTENT

Nothing in this document or the application of institutional evaluation policies shall be interpreted or applied to infringe on the tenure system, academic freedom, due process or other protected rights nor to establish new term-tenure systems or to require faculty to reestablish their credentials for tenure.



OFFICE OF THE PRESIDENT  
THE UNIVERSITY OF TEXAS AT AUSTIN

372

P.O. Box T • Austin, Texas 78713-8920  
(512) 471-1232 • FAX (512) 471-8102

October 8, 1997

Ms. Francie Frederick  
Acting Vice Chancellor  
for Academic Affairs  
The University of Texas System  
201 West 7th Street  
Ashbel Smith Hall, Suite 810  
Austin, Texas 78701

Attn: System Budget Office

Dear Ms. Frederick:

The docket for the November 13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

With best regards, I am

Yours very truly,

A handwritten signature in black ink, appearing to read "Peter T. Flawn".

Peter T. Flawn  
President ad interim

PTF/lv  
Enclosures

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

TABLE OF CONTENTS

	<u>Page No.</u>
Gifts from Private Donors and foundations (including Endowment Matching Fund Allocation).....	A- 3
Business Contracts for Services (including Minor Construction Projects).....	A- 4
Contracts and Grants for Research, Development, Clinical and Educational Services	
\$500,000 or more.....	A- 7
Foreign.....	A-11
Foreign Affiliation Agreements.....	A-15
Intellectual Property Licenses	
Patent License Agreements.....	A-20
Software License and Distribution Agreements.....	A-23
Catalog Changes.....	A-24
Amendments to the 1996-97 Budget.....	A-55
Amendments to the 1997-98 Budget.....	A-60
Other Fiscal Items.....	A-68
Other Matters.....	A-72

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS

The following gifts have been received and are recommended for acceptance by the U. T. Board of Regents:

1. Donor Name: Lee and Joseph Jamail\*  
College/School/  
Department: Various departments  
Purpose: Intercollegiate Athletics .....\$ 794,675.00  
School of Nursing .....\$ 49,667.18  
College of Liberal Arts Plan II Program...\$ 49,667.19  
President's Discretionary Funds .....\$ 99,334.38  
Asset Type: 19,000 shares of Royal Dutch Petroleum Co. stock  
Value: \$993,343.75
  
2. Donor Name: W. A. "Tex" Moncrief, Jr.\*  
College/School/  
Department: Intercollegiate Athletics for Men  
Purpose: Renovation of Darrell K Royal-Texas Memorial Stadium  
Asset Type: 20,000 shares of Applied Materials Inc. Common Stock  
Value: \$1,366,250
  
3. Donor Name: W. A. "Tex" Moncrief, Jr.\*  
College/School/  
Department: Intercollegiate Athletics for Men  
Purpose: Renovation of Darrell K Royal-Texas Memorial  
Stadium  
Asset Type: 7,000 shares of Pharmacia & Upjohn, Inc. Common  
Stock and 50,000 shares of Nabor Industries Common  
Stock  
Value: \$1,771,718.75
  
4. Donor Name: W. A. "Tex" Moncrief, Jr.\*  
College/School/  
Department: Intercollegiate Athletics for Men  
Purpose: Renovation of Darrell K Royal-Texas Memorial Stadium  
Asset Type: 10,000 shares of Applied Materials Inc. Common Stock  
Value: \$1,053,125

\* No letter of transmittal from donor



THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**BUSINESS CONTRACTS FOR SERVICES**

The following contracts have been awarded, have been administratively approved by the the Chief Administrative Officer (or his delegate) and are recommended for ratification by the U. T. Board of Regents:

(FUNDS COMING IN)

1. Agency: Austaco, Inc. and Fairtron Corporation
- Funds: \$1,250,000
- Period: August 1, 1996 through July 31, 2006
- Title/Description: In support of the cost for Fairtron Corporation to install certain scoring systems and visual display systems at designated installation sites on The University of Texas campus, The University grants Austaco, Inc. the exclusive right to recognition as a restaurant sponsor and exclusive operator of a Mexican cuisine fast food restaurant at Men's and Women's Intercollegiate Athletics events at athletic facilities; and grants the exclusive right to advertise its restaurants and food products and display advertised messages on the scoring systems and at other specified locations in University athletic facilities during athletic events recognizing its sponsorship and advertising its restaurants and food products.
- HUB: No
2. Agency: The Coca-Cola Company, Austin Coca-Cola Bottling Company and Fairtron Corporation
- Funds: \$2,320,000
- Period: September 1, 1996 through August 31, 2007
- Title/Description: In support of the cost for Fairtron Corporation to install certain scoring systems and visual display systems at designated installation sites on The University of Texas campus, The University grants Coca-Cola the exclusive right (among companies producing competitive products) to recognition as a sponsor of Men's and Women's Intercollegiate Athletics events at athletic facilities; grants the exclusive right to advertise their products in University athletic facilities; and grants the exclusive right to offer their products for sale or sampling in athletic facilities during athletic events.
- HUB: No

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**BUSINESS CONTRACTS FOR SERVICES**  
 (Continued)

3. Agency: General Services Commission  
 Funds: \$4,538,525  
 Period: April 24, 1997 and terminate upon repayment, in full,  
 of the loan  
 Title/Description: Advance to The University a principal sum of money for  
 the purpose of completing energy conservation  
 measures, which will include campus lighting retrofit  
 measures.  
 HUB: No
4. Agency: University Federal Credit Union and  
 Fairtron Corporation  
 Funds: \$1,500,000  
 Period: August 1, 1996 through July 31, 2006  
 Title/Description: In support of the cost for Fairtron Corporation to  
 install certain scoring systems and visual display  
 systems at designated installation sites on The  
 University of Texas campus, The University grants the  
 University Federal Credit Union the right to  
 recognition as an exclusive sponsor (among companies  
 offering competitive services) of Men's and Women's  
 Intercollegiate Athletics events at athletic  
 facilities; and grants the exclusive right to  
 advertise their services on the systems and at other  
 specified locations in University athletic facilities.  
 HUB: No

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**BUSINESS CONTRACTS FOR SERVICES**  
 (Continued)

(FUNDS GOING OUT)

5. Agency: Coral Energy Resources, L.P.  
 Funds: For the first year of the contract, the price for each MMBtu of gas sold and delivered shall be equal to the Delivered Spot Gas Price (per MMBtu) Houston Ship Channel/Beaumont, Texas Index, less \$0.04 per MMBtu; For the second year of the contract, the price for each MMBtu of gas shall increase \$0.01 per MMBtu; and For the third year of the contract, the price for each MMBtu shall increase another \$0.01 per MMBtu  
 Period: September 1, 1997 through August 31, 2000  
 Title/Description: Sell and deliver at two designated points of delivery natural gas for use in the operation of all University properties, buildings and improvements located in Travis County, Texas, and including the Sematech and MCC facilities  
 HUB: No
6. Agency: Southern Union Gas Company  
 Funds: \$0.075 per MMBtu transportation rate; and an increase September 1, 1998 and each September 1 thereafter, based on the change in the Consumer Price Index for all Urban Consumers (CPI-U)  
 Period: September 1, 1997 through August 31, 2002  
 Title/Description: The University or its designee agrees to deliver to Southern Union Gas at two designated Points of Delivery not more than 7,300,000 MMBtu per year of natural gas and Southern Union Gas agrees to receive, transport and redeliver the natural gas at three designated Points of Redelivery  
 HUB: No

(BUSINESS CONTRACTS SUPPLEMENTAL INFORMATION)

Total Number of Contracts:	6
Total Number Awarded to HUBS:	<u>0</u>
% of Total Number of Contracts Awarded to HUBS:	0%
Total Funds:	\$9,608,525
Total Funds Awarded to HUBS:	\$0
% of Total Funds Awarded to HUBS:	0%

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE

The following contracts or grants in an amount of \$500,000 or more have been awarded, have been administratively approved by the Chief Administrative Officer (or his delegate) as required, and are recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Grantor: The Charles A. Dana Foundation  
 No.: Letter dated June 12, 1997  
 New Funds: \$1,400,000  
 Current Period: July 1, 1997 - June 30, 2001  
 Title/Description: Charles A. Dana Center for Educational Innovation
2. Grantor: United States Air Force  
 No.: F49620-95-1-0529, Modification P00004  
 New Funds: \$854,371 additional  
 Current Period: September 30, 1997 - September 29, 1998  
 Title/Description: US-Japan Industry and Technology Management Training Program
3. Grantor: Army Research Laboratory  
 No.: DAAA21-93-C-0101, Modification P00040  
 New Funds: \$1,538,000 additional  
 Current Period: September 30, 1993 - September 29, 1998  
 Title/Description: Electromechanics Hypervelocity Physics as They Related to Weapon Systems
4. Grantor: U. S. Department of Education  
 No.: H224A20012-97, Action 03  
 New Funds: \$824,837 additional  
 Current Period: August 1, 1997 - July 31, 1998  
 Title/Description: Texas Assistive Technology Partnership Project
5. Grantor: U. S. Department of Energy  
 No.: DE-FG03-94ER14465, Amendment A003  
 New Funds: \$740,001 additional  
 Current Period: August 15, 1994 - August 14, 2001  
 Title/Description: The Behavior of Matter Under Non-Equilibrium Conditions: Fundamental Aspects and Applications

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE  
 (CONTINUED)

6. Grantor: U. S. Department of Energy  
 No.: DE-FG03-97ER54415, Amendment A000  
 New Funds: \$1,432,813  
 Current Period: June 15, 1997 - December 14, 1999  
 Title/Description: Proposed Diagnostics and Physics Contributions of the University of Texas Fusion Research Center to the DIII-D Program
7. Grantor: The Ford Foundation  
 No.: 970-1095  
 New Funds: \$600,000  
 Current Period: September 1, 1997 - August 31, 1999  
 Title/Description: Latino USA
8. Grantor: Intercultural Development Research Association (IDRA)  
 No.: 97-S1  
 New Funds: \$611,610  
 Current Period: January 1, 1997 - September 30, 1997  
 Title/Description: The STAR Center: Support for Texas Academic Renewal
9. Grantor: National Aeronautics and Space Administration  
 No.: NAS5-97213  
 New Funds: \$1,224,013  
 Current Period: August 12, 1997 - April 30, 1999  
 Title/Description: Gravity Recovery & Climate Experiment (GRACE) Mission
10. Grantor: National Aeronautics and Space Administration  
 No.: NAG5-5094  
 New Funds: \$920,000  
 Current Period: July 1, 1997 - June 30, 1998  
 Title/Description: Earth System Dynamics: The Determination & Interpretation of the Global Angular Momentum Budget Using the Earth Observing System
11. Grantor: Office of Naval Research  
 No.: N66001-97-C-8533  
 New Funds: \$1,832,417  
 Current Period: June 8, 1997 - June 7, 2000  
 Title/Description: An Environment for End-To-End Performance Design of Large Scale Parallel Adaptive Computer/Communication Systems

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE  
 (CONTINUED)

12. Grantor: Office of Naval Research  
 Naval Sea Systems Command  
 No.: N00039-91-C-0082, Modification P00107  
 New Funds: \$500,000 additional  
 Current Period: December 31, 1990 - September 30, 1999  
 Title/Description: SPAWAR Omnibus Contract
13. Grantor: Office of Naval Research  
 Naval Sea Systems Command  
 No.: N00039-91-C-0082, Modification P00108  
 New Funds: \$1,469,000 additional  
 Current Period: December 31, 1990 - September 30, 1999  
 Title/Description: SPAWAR Omnibus Contract
14. Grantor: Office of Naval Research  
 Naval Sea Systems Command  
 No.: N00039-96-D-0051, Delivery Order 0085,  
 TD 4210019  
 New Funds: \$500,000  
 Current Period: May 12, 1997 - September 3, 1998  
 Title/Description: Echo Tracking and Classification for the  
 AN/SQS-53C
15. Grantor: Office of Naval Research  
 Naval Sea Systems Command  
 No.: N00039-96-D-0051, Delivery Order 0088,  
 TD 2204014  
 New Funds: \$750,000  
 Current Period: June 10, 1997 - December 9, 1998  
 Title/Description: Advanced Seal Delivery (ASDS)  
 System II Initial Development
16. Grantor: Office of Naval Research  
 Naval Sea Systems Command  
 No.: N00039-96-D-0051, Delivery Order 0100,  
 TD 1205019  
 New Funds: \$1,200,000  
 Current Period: July 31, 1997 - July 30, 1999  
 Title/Description: FDS-C Systems Engineering

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE  
 (CONTINUED)

17. Grantor: The Southern Coalition for Advanced  
 Transportation Consortium  
 No.: MDA972-94-2-0003 (RA94-24), Mod. 7  
 New Funds: \$1,732,836 additional  
 Current Period: July 10, 1995 - December 4, 1997  
 Title/Description: Next Generation High Speed Rail Program-  
 Advanced Locomotive Propulsion System
18. Grantor: Texas Department of Human Services  
 No.: UTA97-0301  
 New Funds: \$505,671  
 Current Period: July 1, 1997 - December 31, 2002  
 Title/Description: Achieving Change for Texans
19. Grantor: Texas Education Agency  
 No.: TX Appropriations Act, Art. III, pg. 18,  
 rider 64, ext. to Warrant 111971994  
 New Funds: \$1,000,000 additional  
 Current Period: October 1, 1994 - August 31, 1998  
 Title/Description: The Texas Statewide Systemic Initiative for  
 Reform in Math and Science Education Directions  
 for Action

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
 DEVELOPMENT AND EDUCATIONAL SERVICES

The following contracts or grants with foreign entities or individuals have been awarded, have been administratively approved by the Acting Vice Chancellor for Academic Affairs, and are recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Country: Argentina  
 Business/Entity: Universidad de Buenos Aires  
 No.: UBA-9701210ES  
 New Funds: \$50,250  
 Current period: January 1, 1997 - December 31, 1998  
 Title/Description: Global Change Effects on Biodiversity and Ecosystem Functioning: Manipulation of a Keystone Process
  
2. Country: Australia  
 Business/Entity: University of Sydney  
 No.: UTA97-02  
 New Funds: None  
 Current period: July 5, 1997 - July 15, 1997  
 Title/Description: Visiting Scientist - Dietmar Müller
  
3. Country: Brazil  
 Business/Entity: VENCE Comércio E Servicos Ltda.  
 No.: UTA97-0332  
 New Funds: \$5,000  
 Current period: June 17, 1997 - June 17, 1998  
 Title/Description: Affiliation with the Center for Transportation Research
  
4. Country: France  
 Business/Entity: Elf Aquitaine Production  
 No.: Agreement EAP N°10767  
 New Funds: \$35,000 additional  
 Current period: January 1, 1997 - December 31, 1997  
 Title/Description: Characterization of San Andres and Grayburg Reservoirs



THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
 DEVELOPMENT AND EDUCATIONAL SERVICES  
 (CONTINUED)

5. Country: Japan  
 Business/Entity: Japan Atomic Energy Research Institute  
 No.: UTA97-0145  
 New Funds: \$74,300  
 Current period: June 1, 1997 - May 31, 1998  
 Title/Description: Theoretical and Computational Investigation  
 of High Field Science (I)
6. Country: Japan  
 Business/Entity: Japan National Oil Corp.  
 No.: Research Participation Agreement extension  
 New Funds: \$20,000 additional  
 Current period: January 16, 1997 - January 15, 1998  
 Title/Description: Stimulation, Logging, and Formation Damage  
 Research Program
7. Country: Japan  
 Business/Entity: Japan National Oil Company  
 No.: Memorandum of Agreement  
 New Funds: \$35,000 additional  
 Current period: January 1, 1997 - December 31, 1997  
 Title/Description: Characterization of San Andres and Grayburg  
 Reservoirs
8. Country: Japan  
 Business/Entity: University of Tokyo  
 No.: UTA97-03  
 New Funds: None  
 Current period: July 22, 1997 - August 6, 1997  
 Title/Description: Visiting Scientist - Fenglin Niu
9. Country: Japan  
 Business/Entity: University of Tokyo  
 No.: UTA97-04  
 New Funds: None  
 Current period: July 22, 1997 - August 6, 1997  
 Title/Description: Visiting Scientist - Taro Sakurai

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
 DEVELOPMENT AND EDUCATIONAL SERVICES  
 (CONTINUED)

10. Country: Mexico  
 Business/Entity: Instituto Mexicano del Petroleo  
 No.: Research Participation Agreement extension  
 New Funds: \$30,000 additional  
 Current period: January 16, 1997 - January 15, 1998  
 Title/Description: Stimulation, Logging, and Formation Damage  
 Research Program
11. Country: Norway  
 Business/Entity: Den norske stats oljeselskap a.s (STATOIL)  
 No.: UTA97-0245  
 New Funds: \$129,827  
 Current period: May 1, 1997 - November 15, 1997  
 Title/Description: 3-D Description and Reservoir Modeling of  
 Architectural Elements in a Deep Water  
 Sandstone High Frequency Cycle
12. Country: Scotland  
 Business/Entity: The Scottish Office, National Roads Directorate  
 No.: UTA 97-0258  
 New Funds: \$180,000  
 Current period: June 1, 1997 - October 31, 1997  
 Title/Description: Enhancement of ARL:UT Prototype Structural  
 Deformation Monitoring System for Use in  
 Prototype Test Structure Applications
13. Country: Spain  
 Business/Entity: El Pardo Model Basin (Canal de Experiencias  
 Hidrodinamicas CEHIPAR)  
 No.: UTA97-0100  
 New Funds: \$20,000  
 Current period: January 1, 1997 - December 31, 1999  
 Title/Description: Cavitation Performance of High Speed Propulsors
14. Country: Sultanate of Oman  
 Business/Entity: Petroleum Development Oman (PDO)  
 No.: Memorandum dated June 18, 1997/Wire Transfer  
 New Funds: \$35,000 additional  
 Current period: January 1, 1997 - December 31, 1997  
 Title/Description: Characterization of San Andres and Grayburg  
 Reservoirs

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
 DEVELOPMENT AND EDUCATIONAL SERVICES  
 (CONTINUED)

15. Country: United Kingdom  
 Business/Entity: United Kingdom Atomic Energy Authority (UKAEA)  
 No.: GQ14397  
 New Funds: \$30,739  
 Current period: March 8, 1997 - September 7, 1997  
 Title/Description: Services of Dr. George Castle to Perform  
 Experiments at Culham Laboratory
16. Country: Venezuela  
 Business/Entity: Intevp, S.A.  
 No.: UTA97-0084  
 New Funds: None  
 Current period: August 1, 1997 - July 31, 1999  
 Title/Description: Integrated and Specialized Petroleum Geology,  
 Reservoir and Other Sub-Surface Evaluation  
 Studies and Technical Services

(FUNDS GOING OUT)

17. Country: South Africa  
 Business/Entity: RAWTECH  
 No.: UTA97-0043, Amendment No. 2  
 New Funds: \$21,500 additional  
 Current period: October 1, 1996 - September 30, 1997  
 Title/Description: Targeting High-Potential Exploration  
 Objectives in the South Lake

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**FOREIGN AFFILIATION AGREEMENTS**

The following agreements of academic cooperation with a foreign institution have been administratively approved by the Vice Chancellor for Academic Affairs and follow the standard format approved by the U.T. Board of Regents.

1. Country: Australia  
 Facility: University of Sydney, Sydney, Australia Faculty of Law  
 Date: Effective June 17, 1997 upon approval by each institution until terminated by either institution.  
 Purpose: The University of Texas at Austin and the of Sydney, Faculty of Law will co-sponsor a student exchange program as a supplemental program agreement, in areas of interest and benefit to both institutions. The Agreement of Academic Cooperation approved December 8, 1989 is in effect.
  
2. Country: Bangladesh  
 Facility: Bangladesh University of Engineering and Technology, Dhaka, Bangladesh  
 Date: Effective July 29, 1997 upon approval by each institution for five years until terminated by either institution.  
 Purpose: The University of Texas at Austin and Bangladesh University of Engineering and Technology will co-sponsor a program of academic and scientific exchange and collaboration to promote interest in teaching and research activities and to deepen the understanding of the economic, cultural and social environments of the respective institutions
  
3. Country: Belgium  
 Facility: Université Catholique de Louvain, Louvain-la-Neuve, Belgium  
 Date: Effective August 8, 1997 upon approval by each institution for three years unless terminated by either institution.  
 Purpose: The University of Texas at Austin and Université de Louvain will co-sponsor a program of academic and scientific exchange and collaboration and a student exchange program as a supplemental program agreement, in areas of interest and benefit to both institutions, subject to its terms and conditions, to promote interest in teaching and research activities and to deepen the understanding of the economic, cultural and social environments of the respective institutions.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**FOREIGN AFFILIATION AGREEMENTS**  
(Continued)

4. Country: Finland  
Facility: Helsinki School of Economics and Business Administration,  
Helsinki, Finland  
Date: Effective July 29, 1997 upon approval by each institution  
for five years unless terminated by either institution.  
Purpose: The University of Texas at Austin and Helsinki School of  
Economics and Business Administration will co-sponsor a  
program of academic and scientific exchange and  
collaboration and a student exchange program as a  
supplemental program agreement, in areas of interest and  
benefit to both institutions, subject to its terms and  
conditions, to promote interest in teaching and research  
activities and to deepen the understanding of the economic  
cultural and social environments of the respective  
institutions.
5. Country: France  
Facility: Université de Picardie, Amiens, France  
Date: Effective May 30, 1997 upon approval by each institution for  
three years unless terminated by either institution.  
Purpose: The University of Texas at Austin and Université de Picardie  
will co-sponsor a program of academic and scientific  
exchange and collaboration and a student exchange program as  
a supplemental program agreement, in areas of interest and  
benefit to both institutions, subject to its terms and  
conditions, to promote interest in teaching and research  
activities and to deepen the understanding of the economic,  
cultural and social environments of the respective  
institutions.
6. Country: France  
Facility: The Université Jean Moulin, Lyon 3, France  
Date: Effective July 4, 1997 upon approval by each institution for  
three years unless terminated by either institution.  
Purpose: The University of Texas at Austin and Université Jean  
Moulin, Lyon 3 will co-sponsor a program of academic and  
scientific exchange and collaboration and a student exchange  
program as a supplemental program agreement, in areas of  
interest and benefit to both institutions, subject to its  
terms and conditions, to promote interest in teaching and  
research activities and to deepen the understanding of the  
economic, cultural and social environments of the respective  
institutions.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

FOREIGN AFFILIATION AGREEMENTS  
(Continued)

7. Country: France  
Facility: Université Pierre et Marie Curie, Paris, France  
Date: Effective June 19, 1997 upon approval by each institution for five years unless terminated by either institution.  
Purpose: The University of Texas at Austin and Université Pierre et Marie Curie, will co-sponsor a program of academic and scientific exchange and collaboration and a student exchange program as a supplemental program agreement, in areas of interest and benefit to both institutions, subject to its terms and conditions, to promote interest in teaching and research activities and to deepen the understanding of the economic, cultural and social environments of the respective institutions.
8. Country: Germany  
Facility: Technische Universität München, München, Germany  
Date: Effective August 19, 1997 upon approval by each institution unless terminated by either institution.  
Purpose: The University of Texas at Austin and Technische Universität München, will co-sponsor a program of academic and scientific exchange and collaboration and a student exchange program as a supplemental program agreement, in areas of interest and benefit to both institutions, subject to its terms and conditions, to promote interest in teaching and research activities and to deepen the understanding of the economic, cultural and social environments of the respective institutions.
9. Country: Germany  
Facility: Reutlingen University European School of Business, Reutlingen, Germany  
Date: Effective July 29, 1997 upon approval by each institution for one year unless terminated by either institution.  
Purpose: The University of Texas at Austin and Reutlingen University European School of Business, will co-sponsor a program of academic and scientific exchange and collaboration and a student exchange program as a supplemental program agreement, in areas of interest and benefit to both institutions, subject to its terms and conditions, to promote interest in teaching and research activities and to deepen the understanding of the economic, cultural and social environments of the respective institutions.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**FOREIGN AFFILIATION AGREEMENTS**  
(Continued)

10. Country: Peru  
Facility: Escuela de Administracion de Negocios para Graduados,  
Lima, Peru  
Date: Effective June 11, 1997 upon approval by each institution  
for five years unless terminated by either institution.  
Purpose: The University of Texas at Austin and Escuela de  
Administracion de Negocios para Graduados, will co-sponsor a  
program of academic and scientific exchange and  
collaboration and a student exchange program as a  
supplemental program agreement, in areas of interest and  
benefit to both institutions, subject to its terms and  
conditions, to promote interest in teaching and research  
activities and to deepen the understanding of the economic,  
cultural and social environments of the respective  
institutions.
11. Country: Spain  
Facility: Universidad Politécnica de Valéncia, Valéncia, Spain  
Date: Effective July 4, 1997 upon approval by each institution  
until terminated by either institution.  
Purpose: The University of Texas at Austin and Universidad  
Politécnica de Valéncia, will co-sponsor a program of  
academic and scientific exchange and collaboration and a  
student exchange program as a supplemental program  
agreement, in areas of interest and benefit to both  
institutions, subject to its terms and conditions, to  
promote interest in teaching and research activities and to  
deepen the understanding of the economic, cultural and  
social environments of the respective institutions.
12. Country: United Kingdom  
Facility: University of Bath, Bath, United Kingdom  
Date: Effective July 29, 1997 upon approval by each institution  
for four years unless terminated by either institution.  
Purpose: The University of Texas at Austin and University of Bath,  
will co-sponsor a program of academic and scientific  
exchange and collaboration and a student exchange program as  
a supplemental program agreement, in areas of interest and  
benefit to both institutions, subject to its terms and  
conditions, to promote interest in teaching and research  
activities and to deepen the understanding of the economic,  
cultural and social environments of the respective  
institutions.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**FOREIGN AFFILIATION AGREEMENTS**  
(Continued)

13. Country: Venezuela  
Facility: Instituto de Estudios Superiores de Administracion,  
Caracas, Venezuela  
Date: Effective July 29, 1997 upon approval by each institution  
for four years unless terminated by either institution.  
Purpose: The University of Texas at Austin and Instituto de Estudios  
Superiores de Administracion, will co-sponsor a program of  
academic and scientific exchange and collaboration and a  
student exchange program as a supplemental program  
agreement, in areas of interest and benefit to both  
institutions, subject to its term and conditions, to promote  
interest in teaching and research activities and to deepen  
the understanding of the economic, cultural and social  
environments of the respective institutions.



THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**PATENT LICENSE AGREEMENTS**

The following agreements have been awarded, follow an approved standard agreement or have been administratively approved by the Office of General Counsel and are recommended for ratification by the U. T. Board of Regents.

1. Licensee: OsteoScreen, Inc.  
 San Antonio, TX 78229
- Fee-Royalty: OsteoScreen shall pay to Board a commercially reasonable royalty based on the Net Sales of the OsteoScreen Licensed Products, except in the circumstances as specified in Section 7.8 of the Agreement
- Period: From July 10, 1997 until the last expiration date of any Issued Patents (or if there are no Issued Patents by July 2001, then until July 1, 2001)
- Summary of License Provisions: For each patent application on which either Dr. Hurley or Dr. Kerwin is determined to be an inventor, OsteoScreen is granted a royalty-bearing, world-wide exclusive license (with the right to sublicense) to sell products based on the licensed technology within the OsteoScreen field of use specified in the Agreement. In turn, OsteoScreen grants to The University a similar royalty-bearing, world-wide exclusive license.
- Description of Licensed Product(s): The technology covers certain chemical compounds and methods of using these compounds to treat bone deficit and other bio-receptor related medical conditions.

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**PATENT LICENSE AGREEMENTS**  
 (CONTINUED)

2. Licensee: Parker Kinetic Designs, Inc.  
 Austin, TX 78731
- Fee-Royalty: No additional fees
- Period: Effective June 23, 1997
- Summary of License Provisions: This Second Amendment clarifies the obligations of PKD and The University regarding paragraphs 3.4 and 9.1 of the 1988 license
- Description of Licensed Product(s): The licensed patent applications and technology concern homopolar generators, compulsators, and ancillary electromechanic equipment developed at The University's Center for Electromechanics.
3. Licensee: Ben Venue Laboratories  
 Bedford, OH 44146  
 Administrators of the Tulane Educational Fund  
 New Orleans, LA 70112
- Fee-Royalty: Ben Venue will pay Tulane an option fee of \$50,000 upon execution of the Agreement, of which Texas will receive one half
- Period: Effective May 1, 1997 for twelve months
- Summary of License Provisions: Ben Venue Laboratories is granted an exclusive twelve-month option period in which to decide whether it desires to negotiate an exclusive, world-wide, royalty-bearing license to commercialize products based on technology covered under the option
- Description of Licensed Product(s): Certain LHRH analogs which display antagonistic activity and which are the subject matter of U.S. patent No. 5,480,969, U.S. patent application 08/430,602, and any foreign counterparts thereof.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**PATENT LICENSE AGREEMENTS**  
(CONTINUED)

4. Licensee: IriSys Research and Development, LLC  
San Diego, CA 92121-1018
- Fee-Royalty: \$5,300 for the filing of a U.S. patent application
- Period: Effective April 15, 1997 for eighteen months
- Summary of License Provisions: The Letter of Understanding is being entered into for the purpose of clarifying issues to be addressed by a future licensing agreement between IriSys Research and Development, LLC and The University
- Description of Licensed Product(s): This invention relates to pH dependent polymer micelles used for the encapsulation of hydrophobic organic compounds. The invention relates more specifically to the encapsulation of hydrophobic drugs in micelles for use in drug delivery.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

SOFTWARE LICENSE AND DISTRIBUTION AGREEMENTS

The following agreement has been awarded, follows an approved standard format or has been approved by the Office of General Counsel and is recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Licensee: Exxon Production Research Company  
Houston, TX 77098
- Fee-Royalty: Annual license fee of \$4,000 while a CSM  
Industrial Affiliates Program member. If  
membership is terminated, Licensee shall pay  
University \$5,000 annually
- Period: Effective January 1, 1997
- Description: Exxon is granted a world-wide, nonexclusive  
license for Exxon and Exxon affiliate  
business purposes to use, copy, modify,  
display, and prepare derivative products of  
the software and associated documentation and  
computer programs in machine readable object  
code and source code form.

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**CATALOG CHANGES**

The following listing summarizes the changes proposed to the Catalog of The University of Texas at Austin. The following changes have been administratively approved as required by the Acting Vice Chancellor for Academic Affairs and are recommended for ratification by the U. T. Board of Regents:

General Information Catalog for 1997-1998

<u>Page Number(s)</u>	<u>Summary of Changes</u>
11 - 26	<p>Admission to The University of Texas at Austin is open to all candidates on the basis of academic preparation, ability, and availability of space in the program chosen, without regard to race, color, national origin, religion, sex, age, veteran status, or disability.</p> <p><b>UNDERGRADUATE ADMISSION</b></p> <p>The Office of Admissions is responsible for the admission and readmission of undergraduate students to the University. Application procedures for freshmen, transfer, former, and international students are outlined below. Further inquiry may be addressed to the Office of Admissions, The University of Texas at Austin, Austin, Texas 78712. In compliance with the Federal Student Right-to Know Act, information regarding graduation rates and crime statistics may be obtained from the Office of Admissions.</p> <p>Prospective students are encouraged to apply early for the enrollment period in which they are interested. Enrollment pressures at the University are such that it may be necessary to admit a limited number of applicants from among those who are qualified. When there are more qualified applicants than can be adequately instructed by the faculty or accommodated in the facilities, the University may control enrollment in specific programs by limiting the admission of new students.</p> <p>Freshman applicants are encouraged to use the resources of the Freshman Admissions Center</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)

Summary of Changes

located in the John W. Hargis Hall at the corner of Martin Luther King Jr. Boulevard and Red River Street. Counselors there can provide detailed information about admission to the University and assist prospective students with the application process.

Application deadlines. All application materials must be submitted by the following deadlines:

	Fall Semester	Spring Semester	Summer Semester
New students: freshmen, transfer, international	Feb. 1	Oct. 1	Feb. 1
Former students	July 1	Dec. 1	May 1
Transient students			May 1

Programs that have enrollment limits normally have application deadlines. When space is available, deadlines may be extended.

Application processing fee. A nonrefundable fee of \$40 is required of undergraduate applicants for admission to the University. An applicant who presents academic credentials from any country other than the United States when applying for admission must submit a nonrefundable fee of \$75. In cases where the applicant is subject to two fees simultaneously, only the higher fee is required. Students who apply to the professional program in pharmacy must pay a nonrefundable application processing fee of \$60; students who apply to the upper-division professional sequence in nursing must pay a nonrefundable fee of \$25.

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)

Summary of Changes

FRESHMAN ADMISSION

In accordance with Texas Education Code §51.803, students are admissible to the University as first-time freshmen if they (1) graduated in the top 10 percent of their class from an accredited Texas high school and (2) submit all required credentials by the appropriate deadline. Applicants must have graduated from high school during one of the two school years preceding the academic year for which they seek admission. Applicants who are admitted because they are in the top 10 percent of their high school class may be required to complete additional preparatory work during the summer immediately after being admitted to the University; they may also be required to remove any deficiencies in units of high school coursework before graduating from the University.

Applicants who are not eligible for consideration under the provisions of Texas Education Code §51.803 must have graduated from an accredited high school and must have completed the unit requirements prescribed on pages 16-17. Applications received by the deadline are evaluated individually. Admission decisions are based on ACT or SAT I scores, the strength of the applicant's academic background, including the degree of difficulty of high school courses selected, the applicant's record of achievement, and special accomplishments, both in and out of school. The Office of Admissions takes into consideration the University's commitments to managing enrollment and, within applicable law, to admitting qualified students who reflect the diversity of the state's population.

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>In assessing an applicant's record, the Office of Admissions evaluates ACT and SAT I scores, class rank, writing samples, recommendations, circumstances (such as parents' educational level, status as a first generation college student, English not first language, and experience in surrounding obstacles to the pursuit of higher education), extracurricular achievements (including leadership, community service, work experience). Consideration may be given to socioeconomic and geographic information; applicants who wish to have their socioeconomic background considered must submit the Free Application for Federal Student Aid (FAFSA) by the appropriate deadline. Consideration may also be given to honors the applicant has received and to the applicant's participation in programs such as Advanced Placement and the International Baccalaureate. No specific class rank, test score, or other qualification by itself assures admission, except as described in the first paragraph of this section.</p> <p>As a state-supported public institution, the University reserves a majority of its places for Texas residents. Consequently, the admission of nonresidents is more competitive.</p> <p>In schools and colleges that cannot accommodate all qualified applicants, preference is given to the applicants who have the best qualifications. See the section "Additional Admission Requirements for Some Programs" in this chapter.</p> <p>Applicants who are not offered regular admission are offered provisional admission if they are Texas residents, have graduated from a Texas high school, and have met the high school unit requirements. Nonresidents are not eligible for provisional admission. Recipients of bona fide scholarships designated by the president are admitted on a regular basis.</p>



THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>PROVISIONAL ADMISSION</p> <p>A Texas resident who graduates from an accredited high school with the required units listed in the section "High School Preparation," but is not admitted to the University, is eligible for admission on a provisional basis. It is the practice of the University to enroll provisional students in the College of Liberal Arts; however, a student who successfully fulfills the conditions given below may be admitted to any undergraduate school or college for which he or she qualifies.</p> <ol style="list-style-type: none"> <li>1. A provisionally admitted student may enroll initially only during the first summer session or the first spring semester following high school graduation. A student who enters the University in the summer session is required to register for both terms.</li> <li>2. Provisionally admitted students must register on the date specified in their admission letter (usually Saturday or Sunday of the week preceding the beginning of classes) and participate in an orientation program at the time of registration.</li> <li>3. Every provisionally admitted student is required to register for four courses for a total of at least twelve semester hours. Courses for both summer session and spring semester enrollment are described below.</li> </ol> <p>Summer session and spring semester. Provisional students are required to register for English 306 and Mathematics 301 or 302 without regard to prior credit earned. Students also must register for one natural science course (Biology 301M or Chemistry 304K) and one social science course (Psychology 301 or Sociology 302).</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

<u>Page Number(s)</u>	<u>Summary of Changes</u>
	<p>4. Provisionally admitted students must complete the required coursework in residence (excluding credit by examination, extension, and correspondence) during the first semester or summer session of attendance with a University grade point average of at least 2.25 and no grade of X or F.</p> <p>Students who complete the prescribed coursework with the minimum grade requirements are admitted on a regular basis in the subsequent semester. Students who fail to complete the prescribed coursework with the minimum grade requirements will be dismissed from the University and may be considered for readmission in a subsequent semester under the rules for transfer applicants.</p> <p>ENROLLMENT DEPOSIT</p> <p>Applicants admitted to the University as undergraduates must pay a nonrefundable enrollment deposit of \$200 to indicate acceptance of the offer of admission. The deposit will be applied to the payment of fees when the student enrolls. Students who demonstrate financial need may qualify for assistance to cover the amount of the deposit.</p> <p>APPLICATION PROCEDURES</p> <p>Freshman applicants must submit (1) an official score report from a national administration of the SAT I or ACT; (2) an official high school transcript showing rank in class and grades at least through the junior year; (3) a completed freshman application form, which will be mailed to the applicant after the University has received the admission test scores; and (4) the application fee or fee waiver documentation. Applicants may designate the University as an SAT I or ACT score recipient at the time of testing or request that scores be sent to the</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>University by writing directly to the testing agency. A photocopy of test results is not acceptable for admission purposes.</p>
	<p>ADMISSION TESTS</p>
	<p>The Scholastic Assessment Test I (SAT I) of the College Board or the ACT Assessment of the American College Testing Program is required of all freshman applicants who graduated from high school after the summer of 1956. Applicants are not required to take SAT II: Subject Tests for admission purposes, but must take the SAT II:</p>
	<p>Writing Test and Mathematics Level I, II, or IIC Test prior to registration to determine placement in rhetoric and composition and mathematics courses.</p>
	<p>The test schedules printed in this section indicate the dates the SAT I, the SAT II: Subject Tests, and the ACT Assessment are offered. Applicants who choose to submit the SAT I for admission purposes may not take the SAT II: Subject Tests on the same date. Test scores are received by the University (if the student designates the University as a test score recipient) about five weeks after the test date. Since the receipt of an official report from the testing agency begins the application process, applicants should take the SAT I or the ACT no later than December of their senior year. Prospective students who have disabilities may make special testing arrangements by contacting the appropriate testing agency.</p>
	<p>(College Board SAT and ACT office addresses and test dates appear here.)</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes				
	<b>HIGH SCHOOL PREPARATION</b>				
	<p>Graduation from high school. Graduation from a high school accredited by the Division of School Accreditation of the Texas Education Agency, the Texas Private School Accreditation Commission, or the Southern Association of Secondary Schools is required of resident students for admission to the University; high school work done in another state normally will be accepted by the University if the high school is accredited by the regional association.</p> <p>Subject preparation. High school students who intend to enroll at the University should take a college preparatory curriculum.</p> <p>Entering freshmen must have a minimum of 15 1/2 units of high school work (grades 9-12). At least 14 of these units must be from the areas and courses specified in sections A through E below. The number in the column headed "Units" indicates the minimum number of units that must be taken in that subject or area. One year's work in a subject is counted as one unit. A semester's work is counted as a half unit.</p> <p>Students may enter the University under the provisions of <u>Texas Education Code</u> §51.803 without meeting the high school unit requirements, but they must remove any unit deficiencies before they graduate.</p>				
	<table style="width: 100%; border: none;"> <tr> <td style="width: 80%;"></td> <td style="text-align: right;">Units</td> </tr> <tr> <td>A. Language arts</td> <td style="text-align: right;">4</td> </tr> </table>		Units	A. Language arts	4
	Units				
A. Language arts	4				
	<p>Required: Four units of English, one of which may be writing, world literature, speech, or journalism. (English for speakers of other languages may not be used to fulfill the language arts requirement, but may be counted as an elective in area F.)</p>				

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

<u>Page Number(s)</u>	<u>Summary of Changes</u>
	Strongly recommended: The fourth unit of English should include the development of writing skills.
B.	Foreign language <span style="float: right;">2</span>
	Required: Two units in a single language. American Sign Language may be used to fulfill the foreign language requirement.
C.	Mathematics <span style="float: right;">3</span>
	Required: Three units at the level of Algebra or higher: algebra, plane geometry, trigonometry, analytic geometry, elementary analysis, probability and statistics, solid geometry, calculus with analytic geometry, number theory. (Informal geometry and prealgebra are below achievement level courses and will not fulfill the mathematics requirement, but may be counted as electives in area F.)
	Strongly recommended: Students planning to enter scientific, engineering, or other technical fields are advised to take at least four units of math. The additional unit may be counted as an elective in area F.
D.	Science <span style="float: right;">2</span>
	Required: Two units of physical science, biology, chemistry, physics, physiology and anatomy, geology, meteorology, marine science, astronomy.

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

<u>Page Number(s)</u>	<u>Summary of Changes</u>
	Strongly recommended: Students planning to enter scientific, engineering, and other technical fields are advised to take at least three units of science, including chemistry and physics. The additional unit may be counted as an elective in area F.
E.	Social studies 3
	Required: Three units of anthropology, area studies, ethnic studies, economics, geography, government (civics), philosophy, social science, psychology, sociology, Texas history (advanced), United States history, world history, work/study programs.
F.	Electives 1 1/2
	Required: One and one-half units including (1) any units beyond the minimum required in areas A through E; (2) any units in area G; (3) vocational and other subjects except drill subjects (e.g., driver training, military training) and physical education.
G.	Fine arts
	Strongly recommended: One-half unit of theatre arts, art, music, dance.
	Applicants who intend to major in architecture, business administration, engineering, or natural sciences are strongly advised to prepare by taking math and science every year of high school.

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)

Summary of Changes

Admission will not be granted to applicants who have not completed the required units listed above unless they are qualified for admission on the basis of graduation in the top 10 percent of their high school class. Recipients of bona fide scholarships designated by the president and students whose high school curriculum does not include the courses necessary to complete the unit requirements may apply to the director of admissions for an exception. Students who are admitted by exception must remove deficiencies to graduate. Courses taken to remove a deficiency do not count toward the student's degree.

A deficiency in foreign language must be removed by earning credit for foreign language or classical language courses numbered 506 and 507 (or equivalent), or by earning a passing score on the appropriate placement examination given by the University. A deficiency in mathematics must be removed by earning credit for Mathematics 301 (College Algebra), 303D (Applicable Mathematics), or 304E (Trigonometry), or an equivalent transfer course. For all other subjects, one semester of college credit will be required to remove a deficiency of one year or less of high school credit.

Graduation from an Unaccredited School

For most applicants, graduation from an accredited high school is a prerequisite for admission to the University. However, graduates of unaccredited high schools, home-schooled students, and Texas residents twenty-one years of age or older who did not graduate from high school but scored at least 1180 on the SAT I (or 1100 if the SAT score was earned prior to April 1995) or 26 on the ACT Assessment may be

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**CATALOG CHANGES (Continued)**

General Information Catalog for 1997-1998 (Continued)

Page Number(s)

Summary of Changes

considered for admission. Each application is reviewed individually; exceptional circumstances should be discussed with an admissions counselor. Scores on the SAT II: Subject Tests in Writing, Mathematics (Level I, II, or IIc), and a third test, chosen by the applicant, are required for review.

**ADDITIONAL ADMISSION REQUIREMENTS FOR SOME PROGRAMS**

Programs in architecture, business administration, engineering, fine arts, nursing, pharmacy, and social work restrict admission as described below.

**Architecture:** Admission to the School of Architecture is based on standards similar to but higher than those for admission to the University. See Chapter 2 of The Undergraduate Catalog for additional information.

**Business Administration:** Admission to the College of Business Administration is based on standards similar to but higher than those for admission to the University. See Chapter 3 of The Undergraduate Catalog for additional information.

**Engineering:** Admission to the undergraduate programs in engineering is limited and is offered only to the best qualified applicants. See Chapter 6 of The Undergraduate Catalog for additional information.

**Fine Arts:** To major in design a student must have the approval of the Design Admissions Committee. The required sequence of courses in design begins on the sophomore level, thus students seeking to enter the design program should apply for admission to the University as predesign majors.



THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**CATALOG CHANGES (Continued)**

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>To major in music a student must meet University admission requirements and pass an audition conducted by the School of Music. A student who does not pass the audition may not major in music even though he or she is admitted to the University. At the discretion of the music school a student who fails an audition may audition a second time.</p>
	<p>Admission to programs in the Department of Theatre and Dance requires the approval of the Theatre and Dance Admissions Committee. Information about requirements, procedures, and deadlines is available from the office of undergraduate studies in the department.</p>
	<p>See Chapter 7 of The Undergraduate Catalog for additional information about admission to specific programs in the College of Fine Arts.</p>
	<p>Nursing: Students seeking an undergraduate degree in nursing must complete a prescribed preprofessional curriculum with a grade point average of at least 2.50 before being admitted to the professional sequence. See Chapter 10 of The Undergraduate Catalog for additional information.</p>
	<p>Pharmacy: A student who wishes to pursue a degree in pharmacy must complete a prepharmacy curriculum. At the University, prepharmacy students enroll in the College of Natural Sciences. The student's application to the professional program in the College of Pharmacy is considered on the basis of overall academic performance. See Chapter 11 of The Undergraduate Catalog for additional information.</p>
	<p>Social Work: A student who wishes to pursue a degree in social work must complete a pre-social work curriculum that includes supervised volunteer service with clients in a human services organization. The student's application</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>to the professional curriculum is considered on the basis of academic performance and the student's commitment to and suitability for generalist social work practice. See Chapter 12 of The Undergraduate Catalog for additional information.</p> <p>Other: Other academic programs at the University may impose similar enrollment restrictions when necessary.</p> <p>Admission to upper-division work. In addition to the requirements outlined in the preceding section, some academic programs have established minimum standards for admission to upper-division or professional sequence courses. The student should consult departmental advisers about eligibility to register for upper-division coursework.</p>
	<p><b>TRANSFER ADMISSION</b></p> <p>Admission standards. An applicant who is not eligible to continue at another institution for academic or disciplinary reasons is not eligible for admission to The University of Texas at Austin.</p> <p>All transfer applications will be reviewed and evaluated by the Office of Admissions. Admission decisions will be based on the strength of an applicant's academic background, including the degree of difficulty of courses selected, the record of achievement, and special or unique accomplishments both in and out of the classroom. Decisions will take into consideration the University's commitments to managing enrollment and, within applicable law, to admitting qualified students that reflect the diversity of the state. As a state-supported public institution, the University reserves a majority of its places for Texas residents.</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>Consequently, the admission of nonresidents is more competitive. In those schools and colleges unable to accommodate all qualified applicants, preference will be given to applicants considered to have the best qualifications.</p> <p>Application procedures. Prospective transfer students must submit (1) an application for admission; (2) an official transcript from each accredited college or junior college attended; (3) the application fee or fee waiver documentation; and (4) an official high school transcript. The high school transcript is not used to determine admissibility, but is necessary to verify completion of units in language and mathematics to satisfy University requirements. In addition, federal regulations require evidence of completion of a high school or GED program before registering at the University. Transfer applicants are strongly encouraged to submit the high school transcript with other admission credentials prior to the established deadline.</p> <p>An applicant, whether a new or former student, who has attended another collegiate institution may not disregard any part of his or her academic record except as permitted under the "fresh start" option described on page 22. Applicants who fail to report all college coursework are subject to disciplinary action, including expulsion, and possible loss of credit for subsequent work taken at the University.</p> <p>Application materials must be received by the Office of Admissions by the deadline for transfer students given at the beginning of this chapter.</p> <p>Credit evaluation. Evaluation by the Office of Admissions of course credit earned at other institutions does not constitute approval of the credit for use toward a degree; such approval is</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)

Summary of Changes

solely within the jurisdiction of a student's academic dean. Policies governing the evaluation of transfer credit include the following:

1. Transfer credit is generally awarded for academic course credit earned from regionally accredited institutions, or from institutions that are candidates for regional accreditation if the course credit was earned during the candidacy period. At the discretion of the director of admissions, in rare circumstances course credit earned at other institutions may also be accepted in transfer.
2. Occupational or vocational courses from junior and community colleges, developmental or remedial courses, and courses classified as below freshman level by the sending institution are not transferable and will not count toward a degree.
3. Junior and community college courses transfer as lower-division (freshman or sophomore) credit. Undergraduate courses from senior colleges transfer at the same level, lower- or upper-division, as they were taken. Graduate-level coursework is not transferable as undergraduate credit.
4. No limit is placed on the total amount of course credit accepted in transfer from either junior or senior institutions. However, use of transfer credit toward a degree may be limited by the student's academic dean.
5. Credit-by-examination earned at other institutions is treated as transfer credit only if the sending institution posts such credit on the student's transcript with regular catalog course numbers and with a grade of at least C, with the symbol CR, or with a similar designation representing credit earned without letter grade.

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>6. All academic courses except developmental courses, whether passed, failed, or repeated, including those in which a grade of D was earned, are used to compute the applicant's grade point average for admission purposes. Credit in which a grade of D was earned is not transferable and will not count toward a degree.</p>
	<p>7. Grades earned at other institutions are not averaged with grades earned at The University of Texas at Austin to determine the student's internal University grade point average.</p>
	<p>8. Transfer credit evaluations.</p> <p>a. University course numbers may be modified in transfer credit evaluations to reflect more or less credit value than is normally offered in a course at the University. For example, Mathematics 301, a three-semester-hour course, is rendered as Mathematics 401 when taken as a four-semester-hour course at another institution, thereby allowing the full amount of earned credit to transfer.</p> <p>Course numbers may also be modified to accommodate sequences not normally offered at the University. For example, Mathematics 301 may be rendered as Mathematics 601A and Mathematics 601B when comparable content is taken as two three-semester-hour courses at another institution, the "A" and "B" suffixes each denoting half of the complete course Mathematics 601.</p> <p>b. Course numbers not in the current <i>Undergraduate Catalog</i> may be used in awarding transfer credit. For example, Computer Sciences 301, English 307, English 308, English 308Q, English 310, English 310Q, English 317, English</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>317M, and Mathematics 304E are no longer taught at the University, yet are routinely assigned in transfer evaluations because their equivalents are still commonly taught at other institutions and because they may be used to satisfy degree requirements.</p> <p>c. Courses at other institutions often have no direct University equivalents, but may be accepted in transfer. If such a course is in a discipline offered at the University, credit without a specific course number is awarded in the appropriate academic department. General elective credit may be awarded when no equivalent department exists at the University; use of elective credit toward a degree may be restricted by the student's academic dean.</p> <p>d. In some academic departments transfer credit is not assigned specific University course numbers, for example in upper-division journalism and in all electrical engineering courses. Undesignated credit is assigned and the student's academic dean determines the specific credit to be allowed for degree purposes on a substitution basis.</p> <p>e. Transferred credit in music performance may not be counted toward a degree in music until the student has satisfactorily completed additional music performance coursework at the University.</p> <p>9. If the University refuses to accept lower-division credit earned at another Texas public institution of higher education, the student and the sending institution will be given written notice that transfer credit was refused. If nontransfer of credit is disputed, the University will attempt to resolve the matter with the student and the sending institution according to applicable rules and guidelines of the Texas Higher Education Coordinating Board.</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>If the dispute is not resolved to the student's or the sending institution's satisfaction within forty-five days of the initial notification, the University will notify the Coordinating Board of the refusal of the transfer credit and the reasons for refusal. The Coordinating Board will resolve the dispute and notify the parties of its findings.</p>
	<p>Catalog eligibility for Texas junior college transfers. A student transferring from an accredited public junior college in Texas has the same choice of catalog, designating degree requirements, that would have been possible if the student's dates of attendance at the University had been the same as the dates of attendance at the junior college. However, the student's choice of major may affect whether or not transferable coursework may be counted toward a degree.</p>
	<p><b>TRANSIENT ADMISSION (SUMMER ONLY)</b></p> <p>Undergraduate students who are pursuing degrees at other colleges and universities during the long session and wish to continue their at the University during the summer only may be admitted to some undergraduate programs as transient students. A transient student who wishes to be admitted to the University on a regular basis must apply for admission in accordance with the procedures and deadlines governing transfer admission.</p> <p>A transient student may not register in the Graduate School but may take graduate courses if qualified. If regular admission to the University is subsequently granted, appropriate credits earned as a transient student may be used to meet undergraduate degree requirements, but may not be used to fulfill the requirements for a graduate degree.</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>Students who attend the University as transient students and then are admitted on a regular basis are immediately subject to the University's academic regulations. In particular, such students will be placed on scholastic probation upon enrollment if their grade point average for work undertaken as a transient student at the University is below 2.00.</p> <p>INTERNATIONAL ADMISSION</p> <p>The applications of prospective students from countries other than the United States are reviewed on an individual basis, and admission may not be offered to every student who meets the minimum requirements. Academic background and curriculum are important considerations in decisions on admissibility.</p> <p>International student applicants must submit (1) an application for admission, (2) official transcripts of previous academic work, (3) standardized test scores when required, (4) the Test of English as a Foreign Language (TOEFL), (5) evidence of sufficient financial support while studying in this country, and (6) the application processing fee, which is not refundable.</p> <p>READMISSION OF FORMER STUDENTS</p> <p>Former University of Texas at Austin students who were not enrolled the previous long-session semester, and students who completed the requirements for a degree from the University in the previous semester or summer term must apply for readmission. Application materials must be submitted by the deadline for former students given at the beginning of this chapter. To be eligible to reenroll, a former student who has subsequently attended another college must submit an official transcript from each college</p>



THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

<u>Page Number(s)</u>	<u>Summary of Changes</u>
	<p>showing a grade point average of at least 2.50 on a 4.00 scale for all transferable coursework undertaken since leaving the University. This restriction is not applied to work taken during a summer session that falls between consecutive spring and fall semesters in which the student is enrolled at the University. A former graduate student who was in good standing when he or she left the University is not required to submit official transcripts except as requested by the student's academic department.</p> <p>Former students who wish to enter the School of Law, the Graduate School, or the Graduate School of Business for the first time must meet the qualifications and apply by the deadlines given in the appropriate sections of this chapter. Additional information about graduate and law programs may be found in their respective catalogs.</p> <p>A student who leaves the University on scholastic probation will be on scholastic probation when readmitted even if he or she has attended another institution in the interim.</p> <p>FRESH START</p> <p>Section 51.929 of the <i>Texas Education Code</i> provides that a resident of Texas may apply for admission to the University as an undergraduate student without consideration of course credit or grades earned ten or more years prior to the semester the applicant plans to enroll. To be admitted, the applicant must meet the admission standards in effect at the time of application. Students admitted under the "fresh start" option may not receive credit for any coursework taken ten or more years prior to enrollment.</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)

Summary of Changes

ENROLLMENT AS A NONDEGREE STUDENT

A student who holds a bachelor's degree from an accredited institution, including The University of Texas at Austin, may choose to apply for admission as a nondegree student in one of the undergraduate colleges or schools and is subject to the rules that apply to other undergraduates. The student may, with the approval of the director of admissions, change to degree-seeking status in an undergraduate college if his or her grade point average is at least 3.00. For a student with a bachelor's degree from another college or university, only transferable coursework will be used in computing the grade point average.

A nondegree student, with the approval of the instructor and the graduate adviser, may take any graduate course if the prerequisites for the course have been met. An individual will not receive credit toward a graduate degree for courses taken while enrolled as an undergraduate nondegree student.

Application for the nondegree option is made by completing an admission application form and submitting it with an official transcript showing the awarding of at least a bachelor's degree. Materials must be submitted by the deadline for undergraduate admission.

HIGH SCHOOL PARALLEL ENROLLMENT PROGRAM

Austin area high school students who are Texas residents and have demonstrated superior academic achievement in a subject area may be considered for admission to the High School Parallel Enrollment Program (HSPEP). Participants may enroll in University courses with content beyond the highest level available in their high schools in subjects approved for high schools by the Texas Education Agency.

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

<u>Page Number(s)</u>	<u>Summary of Changes</u>
	<p>Mathematics, computer sciences, and some language and philosophy courses are available in the current program.</p> <p>Students who have completed the sophomore year and have a grade point average of at least 3.50, or the equivalent, may be considered for admission in either the fall or spring semester. SAT or ACT scores also may be considered in determining admission to the program. A student who fails any part of the official TASP Test is not eligible for admission. Application deadlines are the same as those for regular admission. Concurrent attendance at an accredited high school with the intent to graduate from that school is required. Participants in the HSPEP will pay regular tuition and fees and will have a regular University record.</p> <p>To remain in the program a student must maintain a grade of at least C in all courses. Students are not subject to the normal scholastic probation and dismissal rules while in the program, but credits and grades earned will be counted in any future determination of hours undertaken, passed, or failed and in the computation of the student's University grade point average.</p> <p>A participant in the HSPEP who wishes to enter the University following high school graduation must apply for admission in the usual way and meet the required deadlines. For additional information, contact the coordinator of the High School Parallel Enrollment Program at the Freshman Admissions Center, (512) 475-7440.</p>
	<p><b>GRADUATE ADMISSION</b></p> <p>A summary of the general requirements, application fees, deadlines, and procedures for graduate admission follows. Prospective students</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>should refer to The Graduate Catalog for further information.</p> <p>Requirements. General requirements for admission to the Graduate School are: (1) a bachelor's degree from an accredited institution in the United States or proof of equivalent training in a foreign institution; (2) a satisfactory grade point average in upper-division (junior and senior) coursework and in any graduate work already completed; (3) a satisfactory score on the Graduate Record Examinations General Test (the Graduate School of Business requires a satisfactory score on the Graduate Management Admissions Test except for doctoral students who may submit either GRE or GMAT scores); (4) adequate subject preparation for the proposed major; and (5) a recommendation for acceptance by the Graduate Studies Committee for the proposed major area. When there are more qualified applicants than can be adequately instructed by the faculty or accommodated in the facilities, the Graduate Studies Committee for the proposed area may deny admission to students who have met the prescribed requirements. All admissions must be approved by the graduate dean.</p> <p>(Graduate Record Examination dates appear here.)</p> <p>Graduate Management Admissions Test            Effective October 1997, the Graduate Management Admissions Test may be taken by appointment at computer-based testing centers throughout North America and at selected international sites. A paper-based version of the test will continue to be available at international locations where the network of testing sites is not complete.</p> <p>Testing centers for the computer-based version will be located at various Sylvan Technology Centers, colleges, universities, and field service offices of Educational Testing Service.</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

<u>Page Number(s)</u>	<u>Summary of Changes</u>
	<p>A list of testing centers will be published in the 1997-98 GMAT Bulletin &amp; Registration Form, available in June 1997.</p>
	<p>Conditional admission. A departmental graduate adviser may, under certain conditions, consider evidence of admissibility in addition to the usual criteria. In such cases the adviser may recommend admission with special conditions regarding the number of semester hours to be taken and specific grade point average to be maintained. If these conditions are not met, the student may be barred from subsequent registration in the Graduate School. A student who chooses to work toward an advanced degree in an area in which undergraduate training is insufficient may be admitted with the understanding that coursework and other study must be undertaken to make up the deficiencies noted by the graduate adviser and that the makeup work will be in addition to the regular degree requirements.</p>
	<p>Admission as a nondegree student (graduate). An individual who wishes to take graduate-level coursework without becoming a candidate for an advanced degree may apply for admission to a graduate program as a nondegree student. The applicant must submit an application and transcripts of all college coursework to the Graduate and International Admissions Center; Graduate Record Examinations scores are not required. Admission must be recommended by the graduate adviser for the program and approved by the graduate dean. Enrollment as a nondegree student is limited to one year. Nondegree students are not eligible to be teaching assistants, assistant instructors, or graduate research assistants.</p>
	<p>A nondegree graduate student who decides to seek a degree must submit an application for admission to the Graduate and International</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>Admissions Center by the program's deadline and pay the normal application fee. A degree-seeking student may petition to have up to six semester hours of credit in graduate-level courses, earned while enrolled as a nondegree student, applied to a graduate degree.</p>
	<p>Recommended application dates for graduate study. Each graduate program sets its own application deadline. February 1 is often the deadline for the summer session and the fall semester, but some programs set earlier dates. Consult the Graduate Admission Bulletin for a list of individual graduate program deadlines. Graduate programs also have various admission deadlines for the spring semester, but none is later than October 1. It is the responsibility of the applicant to meet the deadline for the program of interest to him or her.</p>
	<p>An applicant seeking financial aid must submit all materials by February 1 for summer or fall admission or by October 1 for spring admission. Financial aid decisions are made soon after these dates, and applicants whose materials have not been received may not be given full consideration.</p>
	<p>International students should apply as early as possible. The international student's record of work (including the score on the Test of English as a Foreign Language taken within the past year) will be evaluated by the Office of Graduate and International Admissions before being forwarded to members of the Graduate Studies Committee of the academic area involved and the dean of the Graduate School.</p>
	<p>Application processing fee. Applicants to the Graduate School must submit a nonrefundable fee of \$50 along with their credentials. The fee for applicants to the Master of Business</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

<u>Page Number(s)</u>	<u>Summary of Changes</u>
	<p>Administration and Master in Professional Accounting programs in the Graduate School of Business is \$75. Applicants who present credentials from any country other than the United States when applying for admission must submit a fee of \$75 or, if applying to the MBA or MPA programs, a fee of \$100. Where more than one fee is applicable, only the higher one must be paid.</p> <p>Enrollment deposit. Some graduate programs require students to pay a nonrefundable enrollment deposit upon admission to indicate that they accept the offer of admission. The deposit is applied to the payment of fees when the student enrolls. Students who demonstrate financial need may qualify for assistance to cover the deposit.</p> <p><b>LAW SCHOOL ADMISSION</b></p> <p>To be considered for admission to the School of Law an applicant must have (1) earned a baccalaureate degree from an accredited institution or have no more than six semester hours remaining for completion of the degree, (2) earned a grade point average of at least 2.20 on all undergraduate coursework, and (3) attained an acceptable score on the Law School Admission Test. An applicant who meets the minimum requirements is not assured of admission because the number of qualified applicants each year normally exceeds the number of new students the school can accommodate.</p> <p>Application processing fee. A nonrefundable application processing fee of \$65 is required of each applicant and must be submitted with the requisite credentials. Applicants presenting academic credentials from any country other than the United States must pay a nonrefundable fee of \$75.</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

<u>Page Number(s)</u>	<u>Summary of Changes</u>
	<p>Application deadlines. New students are accepted only for full-time study in the fall semester; admission for the spring semester or the summer session is not available to new students.</p> <p>Early decision admission. Complete credentials must be postmarked by November 1 to be considered for early decision admission. Applicants who satisfy the requirements for early decision will be notified of their admission status by mid-January.</p> <p>Regular admission. Complete credentials must be postmarked by February 1 to be considered for regular admission for the fall semester.</p> <p>Application procedure. Applicants must take, at their own expense, the Law School Admission Test (LSAT) administered by Law Services. The test is given four times a year, usually in June, September or October, December, and February at designated colleges and universities throughout the United States and at several international test centers. Applicants who apply for early decision admission by the November 1 deadline must take the LSAT no later than September or October. Applicants who apply by February 1 must take the LSAT no later than December. Registration materials may be obtained from Law Services.</p> <p>(Law School Admission Test dates appear here.)</p> <p>In addition to submitting an application for admission to the Law School Admissions Office, an applicant is required to register with the Law School Data Assembly Service. The data assembly service receives applicants' transcripts, computes their grade point averages, and transmits the information to the School of Law. To register, write to Law Services, Box 2000, 661 Penn Street, Newtown, Pennsylvania 18940-0998, or call (215) 968-1001.</p>



THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

General Information Catalog for 1997-1998 (Continued)

Page Number(s)	Summary of Changes
	<p>Tuition Deposit</p> <p>Applicants admitted to the School of Law are required to submit a cashier's check or money order for \$200 to indicate acceptance of the offer of admission. The deposit will be applied to the student's tuition and fees for the fall semester or refunded, subject to a \$20 processing charge, if admission acceptance is canceled.</p> <p>For additional information about admission as a new student, a transfer student, or a visiting student refer to The Law School Catalog.</p>
85	<p>GIFT AID</p> <p>(Paragraph Three)</p> <p>In addition to specific qualifications for various competitive undergraduate scholarships and fellowships awarded by the University, the committee or agency responsible for selection of a given scholarship or fellowship may consider such factors as the following in designating recipients:</p> <ol style="list-style-type: none"> <li>(1) standardized test scores;</li> <li>(2) class rank;</li> <li>(3) grade point average;</li> <li>(4) leadership and extracurricular activities;</li> <li>(5) status in national academic competitions;</li> <li>(6) academic performance within a major, and other performance criteria;</li> <li>(7) financial need;</li> <li>(8) socioeconomic background;</li> <li>(9) educational level;</li> <li>(10) status as a first generation college student; and</li> <li>(11) English not first language.</li> </ol>

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

Graduate Catalog for 1997 - 1998

Page Number(s)	Summary of Changes
8	<p data-bbox="618 486 829 545">FINANCIAL AID            FELLOWSHIPS</p> <p data-bbox="618 580 1395 768">University Fellowships, which are administered through the Graduate School, are awarded to both new and continuing graduate students in most academic areas. Students must be nominated by their graduate advisers for all fellowships administered by the Graduate School.</p> <p data-bbox="618 803 1395 1440">Awards of University Fellowships to entering graduate students are based on a review of the students' academic records. The important factors in these records are scholastic excellence and adequate grounding in the student's chosen field, as evidenced by the previous grade-point average, performance on the Graduate Record Examination, and letters of recommendation. Awards of University Fellowships to continuing graduate students are based on the performance of the students since entering Graduate School, as evidenced by fine performance in relevant courses, accomplishment in research or creative activity, letters of recommendation from faculty at the University, and the endorsement of the graduate adviser. Financial need is also taken into account. There are also specific qualifications required for various competitive fellowships awarded by The University or by graduate programs.</p> <p data-bbox="618 1475 1395 1593">As a general rule, fellowships require no service from the recipient. They may provide for payment of tuition and required fees in addition to the stipend.</p>

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

Graduate Catalog for 1997 - 1998 (Continued)

<u>Page Number(s)</u>	<u>Summary of Changes</u>
12 - 13	APPLYING FOR ADMISSION  (Paragraph Three)  Each graduate program may require additional evidence to show adequate subject matter preparation. This evidence may vary by program, but examples include letters of reference, auditions, samples of work and personal statements. Information about required materials is available from the graduate advisor of each program.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET

The following Requests for Budget Changes (RBC) have been administratively approved as required by the Acting Vice Chancellor for Academic Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u>					
AUXILIARY ENTERPRISE FUNDS					
Intercollegiate Athletics for Men					
1. Thomas V Penders					233
From: Head Coach		100	12	117,016	
The Mrs. James R. (Louise) Moffett Basketball Endowment		SPLT	12	60,000	
To: Head Coach	4/1	100	12	135,000	
The Mrs. James R. (Louise) Moffett Basketball Endowment	9/1	SPLT	12	60,000	

Merit increase per contract effective April, 1, 1997.

Source of Funds:

\$ 124,509 - Intercollegiate Athletics for Men  
60,000 - Moffett Endowment  
\$ 184,509 - Total Compensation

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>TRANSFERS OF FUNDS</u>		<u>RBC</u>
<b>SERVICE DEPARTMENT FUNDS</b>		
2. Amount of Transfer:	\$ 200,000	221
From: UT System Office of Telecommunication Services Operating Income		
To: UT System Office of Telecommunication Services Maintenance, Operation and Equipment		
Transfer funds to increase budget to purchase goods and supplies.		
<b>DESIGNATED FUNDS</b>		
3. Amount of Transfer:	\$ 100,000	222
From: Summer Orientation Program Fees Operating Income		
To: Summer Orientation Program Fees Maintenance, Operation and Equipment		
Transfer funds to increase budget to fund new student services program.		
4. Amount of Transfer:	\$ 100,000	223
From: National Institute in Forensics Operating Income		
To: National Institute in Forensics Maintenance, Operation and Equipment		
Transfer funds to increase budget to fund first session Castillian charges.		

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>TRANSFERS OF FUNDS</u>	<u>RBC</u>
DESIGNATED FUNDS (continued)	
5. Amount of Transfer: \$ 220,000	224
From: National Conference on Teaching Excellence Operating Income	
To: Education-Community College Education Program Maintenance, Operation and Equipment	
Transfer funds to support the Community College Education Program.	
6. Amount of Transfer: \$ 100,000	222
From: Longhorn Music Camp-Sales Operating Income	
To: Longhorn Music Camp-Sales Maintenance, Operation and Equipment	
Transfer funds to fund Longhorn Music Camp housing expenses.	
7. Amount of Transfer: \$ 200,000	226
From: Longhorn Bands-Instrument Replacement Operating Income	
To: Longhorn Bands-Instrument Replacement Special Equipment	
Transfer funds to increase special equipment expense budget.	

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>TRANSFERS OF FUNDS</u>	<u>RBC</u>
RESTRICTED FUNDS	
8. Amount of Transfer:	\$ 600,000      227
From: Intercollegiate Athletics for Men Various Donors-Variou s Purposes-Gifts	
To: Intercollegiate Athletics for Men Various Donors-Variou s Purposes- Scholarships and Fellowships	
Budget adjustment for actual income received over original projection.	
9. Amount of Transfer:	\$ 100,000      228
From: Intercollegiate Athletics for Women Operating Income	
To: Intercollegiate Athletics for Women Other Expenses	
Transfer funds to increase other expenses budget.	
AUXILIARY ENTERPRISE FUNDS	
10. Amount of Transfer:	\$ 650,000      230
From: Housing and Food New Construction Reserve	
To: University Apartments Mobile Home Park	
Transfer funds to cover expenses in closing the University Mobile Home Park.	

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>TRANSFERS OF FUNDS</u>		<u>RBC</u>
PLANT FUNDS		
11. Amount of Transfer:	\$ 154,000	229
From: Pharmacy-		
Information Technology Services	\$ 100,000	
Pharmaceutical Foundation	34,000	
Unallocated (Special Equipment)	20,000	
To: Pharmacy-		
Renovate Rooms 3.106 and 4.114		
Transfer to provide funding for the increase in scope of work for the Distance Learning Project in the College of Pharmacy.		
12. Amount of Transfer:	\$ 255,985	231
From: Education - Information Technology		
Maintenance and Operation	\$ 71,538	
Education- Information Technology		
Special Equipment	184,447	
To: George I. Sanchez Building		
Distance Learning Project		
Transfer to provide funding for construction and audio visual systems installation of distance learning classroom and advanced applications laboratory facility.		
13. Amount of Transfer:	\$225,000	232
From: Intercollegiate Athletics for Men		
Special Events		
To: Physical Plant		
Bellmont Hall - Hall of Honor		
Transfer funds to cover expenses in remodeling and refurbishing of second floor lobby, corridor.		



THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1997-98 BUDGET

The following Requests for Budget Changes (RBC) have been administratively approved as required by the Acting Vice Chancellor for Academic Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	

APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES

INSTRUCTION

COLLEGE OF BUSINESS ADMINISTRATION

Finance

1. Rudolph C Doenges (T) 8

From: Professor		50	09	94,564	
Arthur Andersen and Co.					
Alumni Centennial					
Professorship in Finance		SUPLT	09	6,000	
To: Professor	9/1	50	09	94,564	
Arthur Andersen and Co.					
Alumni Centennial					
Professorship in Finance	9/1	SUPLT	09	3,000	

Reduce supplement due to phased retirement.

Source of Funds:

\$ 47,282 - Finance  
3,000 - Alumni Professorship  
\$ 50,282 - Total Compensation

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1997-98 BUDGET  
 (Continued)

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
COLLEGE OF BUSINESS ADMINISTRATION (continued)					
Management Science and Information Systems					
2. Anitesh Barua (T)					9
From: Associate Professor		100	09	79,585	
To: Associate Professor	9/1	100	09	79,585	
Spurgeon Bell					
Centennial Fellowship	9/1	SUPLT	09	3,000	
Salary supplement for 1997-98					
Source of Funds:					
\$ 79,585 - MSIS					
<u>3,000</u> - Bell Fellowship					
<u>\$ 82,585</u> - Total Compensation					
Management					
3. Devanath Tirupati (T)					10
From: Associate Professor		100	09	83,785	
Spurgeon Bell					
Centennial Fellowship		SUPLT	09	3,000	
College of Business					
Administration Foundation					
Advisory Council Centennial					
Fellowship #7		SUPLT	09	3,000	
To : Associate Professor	9/1	100	09	83,785	
College of Business					
Administration Foundation					
Advisory Council					
Centennial					
Fellowship #7	9/1	SUPLT	09	3,000	
To correct budget for 1997-98.					
Source of Funds:					
\$ 83,785 - Management					
<u>3,000</u> - CBA Fellowship					
<u>\$ 86,785</u> - Total Compensation					

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1997-98 BUDGET  
 (Continued)

Item.	Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
				No. Mos.	Rate	
COLLEGE OF EDUCATION						
Office of the Dean						
4.	Manuel J Justiz					1
	From: Dean		100	12	146,808	
	Professor (T) - Educational Administration		0	09	112,010	
	Lee Hage Jamail Regents Chair		SUPLT	09	19,573	
	To: Dean	9/1	100	12	150,000	
	Professor (T) - Educational Administration	9/1	0	09	114,445	
	Lee Hage Jamail Regents Chair	9/1	SUPLT	09	20,000	

Salary adjustment for 1997-98.

Source of Funds:

\$ 150,000 - Dean's Office  
20,000 - Jamail Chair  
\$ 170,000 - Total Compensation

Curriculum and Instruction

Professor

5. Jere Confrey (T) 9/1 100 09 93,000 2

New Employee

Kinesiology and Health Education

Associate Professor

6. Carole K Holahan (T) 9/1 100 09 45,000 11

New employee.

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1997-98 BUDGET  
 (Continued)

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
COLLEGE OF FINE ARTS					
Music					
7. Veit F Erlmann (T)					12
Professor	9/1	100	09	85,000	
History of Music Chair	9/1	SUPLT	09	7,000	

New employee with initial appointment to the History of Music Chair.

Source of Funds:

\$ 85,000 - Music  
7,000 - History of Music Chair  
\$ 92,000 - Total Compensation

Theatre and Dance

8. Richard M Isackes (T)					13
From: Professor		100	09	61,208	
Joanne Sharp Crosby					
Regents Chair in Design and Technology		SUPLT	09	12,000	
To : Professor	9/1	100	09	61,208	
Joanne Sharp Crosby					
Regents Chair in Design and Technology	9/1	SUPLT	09	15,000	

Increase in supplement for 1997-98.

Source of Funds:

\$ 61,208 - Theatre and Dance  
15,000 - Crosby Chair  
\$ 76,208 - Total Compensation

COLLEGE OF LIBERAL ARTS

American Studies

Professor

9. John W F Dulles (MS)	9/1	25	09	36,553	14
-------------------------	-----	----	----	--------	----

Establish academic rate as professor on modified service for 1997-98.

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1997-98 BUDGET  
 (Continued)

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
American Studies (continued)					
Associate Professor					
10. Rafia Zafar (T)	9/1	100	09	55,000	15
New employee.					
Classics					
11. Michael L White (T)					16
From: Associate Professor		100	09	83,150	
To: Associate Professor	9/1	100	09	83,150	
Religious Studies					
Program Account	9/1	SUPLT	09	11,000	
Supplement for 1997-98.					
Source of Funds:					
\$ 83,150 - Classics					
<u>11,000</u> - Religious Studies					
<u>\$ 94,150</u> - Total Compensation					
INSTITUTIONAL SUPPORT					
Internal Audits Office					
12. Lon S Heuer					21
From: Director		100	12	74,000	
To: Director	9/1	100	12	88,500	
Merit increase in administrative and professional rate for 1997-98.					

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1997-98 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
STUDENT SERVICES					
Vice President for Student Affairs					
13. Donna A Bellinghausen					22
From: Assistant to the Vice-President for Student Affairs		100	12	52,335	
To: Assistant to the Vice-President for Student Affairs	9/1	100	12	53,335	
Merit increase in administrative and professional rate for 1997-98.					
SERVICE DEPARTMENT FUNDS					
Academic Computing and Instructional Technology Services					
14. Thomas F Edgar					3
From: Interim Director		100	12	179,112	
To: Associate Vice President	9/1	100	12	179,112	
Title change for 1997-98.					

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1997-98 BUDGET  
 (Continued)

Item, Department, Title, Name	Effective Date	% Time	No. Mos.	Full-time Salary		RBC
					Rate	
AUXILIARY ENTERPRISE FUNDS						
Intercollegiate Athletics for Men						
15. Thomas V Penders						7
From: Head Coach		100	12		135,000	
The Mrs. James R. (Louise) Moffett Basketball Endowment		SUPLT	12		60,000	
To: Head Coach	9/1	100	12		137,235	
The Mrs. James R. (Louise) Moffett Basketball Endowment	9/1	SUPLT	12		60,000	

To add the legislative mandated salary increase and BRP to base salary rate which was increased per contract effective 4-1-1997.

Source of Funds:

\$ 137,235 - Intercollegiate Athletics for Men  
60,000 - Moffett Endowment  
\$ 197,235 - Total Compensation

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**AMENDMENTS TO THE 1997-98 BUDGET**  
 (Continued)

TRANSFERS OF FUNDSRBC

## RESTRICTED FUNDS

16. Amount of Transfer: \$ 650,000 4

From: Virginia and Ernest Cockrell, Jr.  
 Scholarships in Engineering  
 Investment Income

To: Virginia and Ernest Cockrell, Jr.  
 Scholarships in Engineering  
 Scholarships and Fellowships

Transfer funds to set up 1997-98 budget.

17. Amount of Transfer: \$ 523,000 5

From: Thrust 2000 Engineering Graduate  
 Fellowship Fund  
 Investment Income

To: Thrust 2000 Engineering Graduate  
 Fellowship Fund  
 Scholarships and Fellowships

Transfer funds to set up 1997-98 budget.

18. Amount of Transfer: \$ 105,000 6

From: Computational and Applied Mathematics  
 Graduate Fellowship Fund  
 Investment Income

To: Computational and Applied Mathematics  
 Graduate Fellowship Fund  
 Scholarships and Fellowships

Transfer funds to set up 1997-98 budget.



THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**OTHER FISCAL ITEMS**

**EMPLOYMENT AGREEMENTS**

The following agreements have been awarded, have been approved by the Acting Vice Chancellor for Academic Affairs, and are recommended for ratification by the U. T. Board of Regents. Such employment under these agreements are subject to the Constitution and Bylaws of the National Collegiate Athletic Association, any intercollegiate athletic conference of which U. T. Austin is a member, and the Rules and Regulations of the Board of Regents of The University of Texas System and The University of Texas at Austin. The violation of the provisions of such constitution, bylaws, rules or regulations shall be grounds for suspension without pay or dismissal.

1. Item: Women's Head Golf Coach  
 Funds: \$52,000  
 Period: April 1, 1997 through March 31, 1999  
 Description: Agreement for employment of Head Golf Coach, Susan Watkins, for the above-designated period following the standard coach's employment contract prepared by the Office of the General Counsel.
  
2. Item: Women's Head Soccer Coach  
 Funds: \$45,000  
 Period: April 1, 1997 through March 31, 1999  
 Description: Agreement for employment of Head Soccer Coach, Dang Pibulvech, for the above-designated period following the standard coach's employment contract prepared by the Office of the General Counsel.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER FISCAL ITEMS  
(Continued)

EMPLOYMENT AGREEMENTS  
(Continued)

3. Item: Women's Head Softball Coach  
Funds: \$45,000  
Period: April 1, 1997 through March 31, 2000  
Description: Agreement for employment of Head Softball Coach, Connie Clark, for the above-designated period following the standard coach's employment contract prepared by the Office of the General Counsel.
4. Item: Women's Head Swimming Coach  
Funds: \$54,076  
Period: April 1, 1997 through March 31, 1998  
Description: Agreement for employment of Head Swimming Coach, Jill Sterkel, for the above-designated period following the standard coach's employment contract prepared by the Office of the General Counsel.
5. Item: Women's Head Tennis Coach  
Funds: \$53,000  
Period: April 1, 1997 through March 31, 2002  
Description: Agreement for employment of Head Tennis Coach, Jeff Moore, for the above-designated period following the standard coach's employment contract prepared by the Office of the General Counsel.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER FISCAL ITEMS**  
(Continued)

**EMPLOYMENT AGREEMENTS**  
(Continued)

6. Item: Women's Head Track Coach  
Funds: \$82,000  
Period: April 1, 1997 through March 31, 2002  
Description: Agreement for employment of Head Track Coach, Beverly Kearney, for the above-designated period following the standard coach's employment contract prepared by the Office of the General Counsel.
7. Item: Women's Head Volleyball Coach  
Funds: \$85,000  
Period: April 1, 1997 through March 31, 2000  
Description: Agreement for employment of Head Volleyball Coach, Jim Moore, for the above-designated period following the standard coach's employment contract prepared by the Office of the General Counsel.
8. Item: Men's Head Track and Field Coach  
Funds: \$82,151  
Period: September 1, 1996 through August 31, 2001  
Description: Agreement for employment of Head Track and Field Coach, Charles G. Thornton, for the above-designated period following the standard coach's employment contract prepared by the Office of the General Counsel.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER FISCAL ITEMS**  
(Continued)

**EMPLOYMENT AGREEMENTS**  
(Continued)

9. Item: Men's Head Tennis Coach  
Funds: \$48,391  
Period: September 1, 1996 through August 31, 2001  
Description: Agreement for employment of Head Tennis Coach, David W. Snyder, for the above-designated period following the standard coach's employment contract prepared by the Office of the General Counsel.
10. Item: Men's Head Swimming Coach  
Funds: \$76,500  
Period: September 1, 1996 through August 31, 2001  
Description: Agreement for employment of Head Swimming Coach, Edwin C. Reese, for the above-designated period following the standard coach's employment contract prepared by the Office of the General Counsel.
11. Item: Men's Head Basketball Coach  
Funds: \$135,000  
Period: April 1, 1997 through March 31, 2002  
Description: Agreement for employment of Head Basketball Coach, Thomas V. Penders, for the above-designated period following the standard coach's employment contract prepared by the Office of the General Counsel.

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

OTHER MATTERS

NAMING OF FACILITIES OTHER THAN BUILDINGS

The following items have been approved by the Chancellor and the Acting Vice Chancellor for Academic Affairs in accordance with the Regents' Rules and Regulations, Part One, Chapter VIII, Section 1 and are submitted for ratification by the U. T. Board of Regents.

1. Facilities: a. Track  
 b. Soccer Stadium and Soccer Field

Proposed Names: a. Mike A. Myers Track  
 b. Mike A. Myers Stadium and Soccer Field

Justification: Mr. Myers has been the most generous major benefactor to the track and soccer stadium and field facilities. He recently committed a gift of \$3 million over five years for the track and soccer stadium and field, which was constructed at a cost of \$22,269,900. His prior gifts to U. T. Austin are in excess of \$803,244.

2. Facility: Redesigned lobby of the Harry Ransom Humanities Research Center

Proposed Name: Mary John and Ralph Spence Lobby

Justification: Mr. Spence, who died in June of 1995, and Mrs. Spence have devoted much of their time, talents, caring, and resources to the benefit of The University of Texas at Austin.

As Chairman of the Chancellor's Council Executive Committee, Mr. Spence, with Mrs. Spence at his side, raised \$1.4 million from individuals and foundations and secured an additional \$1 million from the Board of Regents in order to purchase a copy of the Gutenberg Bible. The University's Gutenberg Bible, honoring Harry Ransom, is housed in the Ransom Center and will be on permanent display in the redesigned lobby. Therefore, it is fitting to recognize and remember the Spences by naming the new lobby in their honor.

Mr. and Mrs. Charles Tate have pledged \$400,000 to support the capital and endowment campaign of the Ransom Center and have requested consideration be given to this naming to honor Mrs. Tate's parents. The renovation cost associated with the proposed redesign of the lobby is estimated at \$360,000 to \$440,000.

THE UNIVERSITY OF TEXAS AT AUSTIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

OTHER MATTERS  
 (Continued)

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY

The following proposed policy on the Periodic Performance Evaluation of Tenured Faculty has been approved by the Chancellor, and the Acting Vice Chancellor for Academic Affairs, and the Office of General Counsel, and are recommended for ratification by the U. T. Board of Regents.

UT Austin Handbook  
 of Operating  
 Procedures, §3.14,

Implements U. T. System Guidelines on  
 Periodic Performance Evaluation of Tenured  
 Faculty.  
 pages 44e-44g

§3.14 Annual Review and Periodic Evaluation of  
 Tenured Faculty

The following constitutes the implementation at The University of Texas at Austin of the U. T. System "Guidelines for Periodic Performance Evaluation of Tenured Faculty" (approved November 14, 1996; amended August 14, 1997):

1. The purpose of the sixth-year periodic performance evaluation is to assess whether the tenured faculty member is making a contribution consistent with that expected of a tenured faculty member. These contributions can vary widely in terms of individual professional responsibilities. They can be 100% administrative. They can include teaching in more than one program, and they can include substantial duties in advising students. In each and every case, the focus of the review needs to be commensurate with the specific professional responsibilities the faculty member has been assigned. For faculty members performing substantial duties outside their department, the evaluation committee shall consider information from University personnel who are familiar with the faculty member's performance outside the department.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(Continued)

**PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY**  
(Continued)

2. Every department (or non-departmentalized college or school) shall, through an appropriate faculty committee, conduct an annual review of all its faculty. This review may be conducted in connection with the determination of merit raises. Faculty members shall provide an annual report and evidence of teaching quality. Faculty may also provide further evidence of research and publication, service, and other professional or creative activities. Each faculty member will be informed in writing of the result of the review. (See §3.17, U. T. Austin *Handbook of Operating Procedures*.)

3. In addition, tenured faculty will be evaluated every six years. This evaluation shall be combined with the sixth annual review; reasonable individual notice of at least six months of intent to evaluate will be provided by the department chair (or equivalent). At a reasonable time prior to the evaluation, the department shall give the faculty member a copy of previous annual reports, statistical summaries of student teaching evaluations for the review period, and the results of previous annual reviews for the evaluation period. The faculty member shall submit a resume and annual report, and may provide any additional materials he or she wants considered.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

4. The six-year evaluation will be carried out by an appropriate faculty committee at the level of the department. Faculty who are budgeted 50% in two departments shall designate one department as the locus for the evaluation. Evaluation shall include review of the resume, student evaluations of teaching for the review period, annual reports for the evaluation period, and all materials submitted by the faculty member. Upon his or her request, the faculty member will be provided with the opportunity to meet with the evaluation committee. The evaluation shall address itself to the specific professional responsibilities the faculty member has been assigned. The chair of the department shall communicate the result of the evaluation in writing to the faculty member and to the dean for review and appropriate action in accordance with the U. T. System Guidelines for Periodic Evaluation of Tenured Faculty (approved by the Board of Regents on November 14, 1996; amended on August 14, 1997). The results of a satisfactory evaluation shall be taken into account in determining merit raises and other forms of recognition.



THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

5. If the result of the evaluation is unsatisfactory and the dean determines that more intensive evaluation of a faculty member is needed, or if the faculty member requests it, the dean, in consultation with the tenured faculty in his or her college or school, shall appoint a peer committee whose members shall be representative of the college or school and who will be appointed on the basis of their objectivity and academic strength. In colleges or schools without departments, the committee that conducted the initial evaluation may be asked to continue, or a new committee may be appointed. In all colleges and schools, the committee appointed to perform the more intensive evaluation shall have a membership different from that of the promotion and tenure committee, and shall comprise only faculty of the same or higher rank as the faculty member being reviewed. The committee may request further information from the faculty member under evaluation. Upon his or her request, the faculty member will be provided with the opportunity to meet with the evaluation committee. The committee shall report its findings within six months of its being constituted. The result of the evaluation will be communicated in writing to the faculty member, and to the department chair and the dean for review and appropriate action in accordance with the U. T. System Guidelines for Periodic Performance Evaluation of Tenured Faculty (approved by the Board of Regents on November 14, 1996; amended on August 14, 1997).

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(Continued)

**PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY**  
(Continued)

6. Variances: The six-year evaluation may be deferred in rare circumstances, such as overlap with approved leave, comprehensive review for tenure and promotion, or appointment to an endowed position. Except for overlap in the sixth year, periods when a faculty member is on leave will count toward the six-year requirement. No evaluation of a tenured faculty member may be deferred more than one year. "Tenured faculty member" means every tenured member of the faculty, regardless of responsibilities performed.

7. Phase-in: The periodic evaluation of tenured faculty process will be phased in over six years, beginning with the Fall semester 1998. Approximately one-sixth of the present tenured faculty in each department shall be evaluated each year. The year in which each faculty member is first evaluated shall be determined either randomly or by other lawful means approved by at least two-thirds of the departmental tenured faculty, with the following exception: a faculty member appointed with or promoted to the rank of Associate Professor or Professor after the 1991-1992 academic year shall be evaluated every six years after his or her initial appointment or promotion. For administrators whose administrative appointment is reviewed every sixth year, the periodic evaluation for tenured faculty shall be scheduled in the same year. The two evaluations shall be coordinated to the extent possible.

THE UNIVERSITY OF TEXAS AT AUSTIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(Continued)

**PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY**  
(Continued)

8. Monitoring: The Committee of Counsel on Academic Freedom and Responsibility is charged with monitoring this review process and with reporting its findings annually to the Faculty Council and the U. T. Austin administration. If its findings warrant, the Committee shall recommend revisions of the procedures for annual reviews and periodic performance evaluation of tenured faculty at U. T. Austin, the U. T. System Guidelines for Periodic Performance Evaluation of Tenured Faculty, or both.



OFFICE OF THE PRESIDENT

450

THE UNIVERSITY OF TEXAS AT BROWNSVILLE and TEXAS SOUTHMOST COLLEGE

80 Fort Brown • Brownsville, Texas 78520 • (210) 544-8201 • Fax (210) 548-0020

Juliet V. Garcia, Ph.D.  
President

September 24, 1997

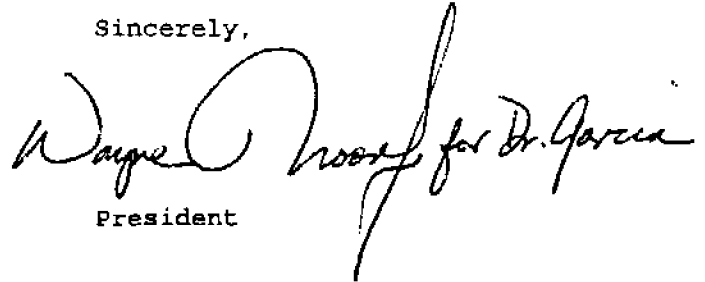
Ms. Francie Frederick  
Acting Vice Chancellor  
for Academic Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Ms. Frederick:

The docket for the November 13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



President

JVG:vm

THE UNIVERSITY OF TEXAS AT BROWNSVILLE  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

TABLE OF CONTENTS

	<u>Page No.</u>
Business Contracts for Services (including Minor Construction Projects).....	B - 3
Catalog Changes.....	B - 4
Small Class Report.....	B - 6
Other Matters.....	B - 10

THE UNIVERSITY OF TEXAS AT BROWNSVILLE  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**BUSINESS CONTRACTS FOR SERVICES**

The following contract has been awarded, has been administratively approved by the Chief Administrative Officer and is recommended for ratification by the U. T. Board of Regents:

(FUNDS COMING IN)

1. Agency: Southmost Union Junior College District  
 Funds: \$1,200,000 payable \$240,000 per year for five years  
 Period: October 30, 1996 through October 30, 2001  
 Title/Description: Interagency Agreement Regarding Institutional  
 Advancement to provide fund raising and development  
 services for Southmost Union Junior College  
 District.  
 HUB: No

(BUSINESS CONTRACTS SUPPLEMENTAL INFORMATION)

Total Number of Contracts:	1	
Total Number Awarded to HUBS:		0
% of Total Number of Contracts Awarded to HUBS:	0%	
Total Funds:	\$1,200,000	
Total Funds Awarded to HUBS:		<u>\$0</u>
% of Total Funds Awarded to HUBS:		0%

THE UNIVERSITY OF TEXAS AT BROWNSVILLE  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**CATALOG CHANGES**

The following lists the substantive changes proposed to the Catalog of The University of Texas at Brownsville. These catalog changes have been administratively approved by the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel.

Undergraduate Catalog 1997-98

**Admissions Policy**

Because UTB/TSC has an open admission policy, the top 25% students are always included, therefore, no changes are being submitted to the UTB/TSC admission policy.

**Competitive Scholarships Statement**

The Financial Aid Program provides assistance to eligible students who, without such aid, would be unable to attend college. Financial aid for eligible students is available in the form of grants, loans, college work-study, veterans' benefits, and scholarships. Students are recommended, and in some cases required, to complete the Free Application for Federal Student Aid (FAFSA) if they wish to be considered for some of the scholarships offered by UTB/TSC. All students are strongly encouraged to complete the federal financial aid application process and to check with the Financial Aid Office periodically regarding the availability of on- and off-campus scholarships.

In addition to specific qualifications required for various competitive scholarships and fellowships awarded by the University, the scholarship committee may consider such factors as the following in designating recipients:

1. first-generation college status
2. applicant's academic record
3. socioeconomic background, including household income, poverty, and parents' level of education
4. bilingual proficiency
5. applicant's extracurricular activities
6. applicant's community involvement
7. applicant's commitment to a particular field of study
8. applicant's personal interview

THE UNIVERSITY OF TEXAS AT BROWNSVILLE  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(Continued)

Undergraduate Catalog 1997-98  
(Continued)

Competitive Scholarships Statement (Continued)

Application awards: The free application for Federal Student Assistance is used to consider students for all financial assistance. Eligible students will be offered grants and College Work Study. If an award is not sufficient to cover the student's educational expenses, a loan will be considered. Students must make arrangements to get loan counseling at the Financial Aid Office before a loan will be processed.

Distribution of Funds: Typically eligible students will receive enough money to pay tuition and fees. The balance of the award for that period is disbursed soon after the first official class day.



THE UNIVERSITY OF TEXAS AT BROWNSVILLE  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

SMALL CLASS REPORT, FALL 1996 AND SPRING 1997

Ratification is recommended for the following list of small classes, pursuant to Section 51.403 (d) of the Texas Education Code. The list has the administrative approval of the Acting Vice Chancellor for Academic Affairs.

<u>Course No.</u>	<u>Section No.</u>	<u>Enrollment</u>	<u>Justification Code*</u>
<u>UNDERGRADUATE COURSES FALL 1996</u>			
ARTS 3314	01	6	C
ARTS 3314	02	3	C
ARTS 4331	01	8	C
ARTS 4353	01	7	C
ARTS 4393	01	1	C
BIOL 3408	91	0	B
BIOL 3409	91	0	B
BIOL 3409	92	0	B
BIOL 3414	91	0	E
BIOL 4100	01	6	B
BIOL 4309	01	1	H
BUSI 3342	60	8	B
CHEM 3310	01	4	A-C,E
CHEM 4320	03	2	H
COSC 3310	01	9	A,B
COSC 3325	01	8	A,B
CRIJ 3344	01	6	B
EDCI 4306	41	9	B
EDCI 4320	60	3	D
ENGL 3319	02	1	H
GOVT 3385	60	6	B
KINE 4351	02	1	B
MANA 4361	61	6	I
MATH 3305	60	1	A
MATH 3373	60	9	A
MUAP 3233	01	1	C
MUAP 3269	01	1	C
MUAP 3270	01	1	C
MUAP 3281	01	1	C
MUAP 3282	02	1	C
MUSI 3308	01	5	C
MUSI 3308	91	0	C
MUSI 4289	01	8	C
NURS 3303	01	9	B
NURS 3606	01	6	B

THE UNIVERSITY OF TEXAS AT BROWNSVILLE  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

SMALL CLASS REPORT, FALL 1996 AND SPRING 1997  
 (Continued)

Course No.	Section No.	Enrollment	Justification Code*
<u>UNDERGRADUATE COURSES FALL 1996 (Continued)</u>			
NURS 4309	01	9	B
PSYC 3343	01	8	C
PSYC 4380	01	2	C
PSYC 4380	02	1	C
READ 4351	01	7	F
ROTC 3202	01	4	C
ROTC 3401	01	4	C
ROTC 4401	01	2	C
SOCI 3305	01	9	B
SOCI 3326	01	6	D
SOCI 4323	01	7	B
SOCI 4325	01	4	B
<u>UNDERGRADUATE COURSES SPRING 1997</u>			
ACCT 3322	61	9	A
ACCT 4320	61	2	A
ARTS 3314	01	8	C
ARTS 3314	03	1	C
ARTS 3383	01	3	C
ARTS 3384	01	7	C
ARTS 4331	01	5	C
BIOL 4199	01	1	H
BUSI 3338	62	6	I
BUSI 3341	62	8	J
CHEM 3312	01	2	A, C, E
CHEM 4320	03	2	H
COSC 4342	01	9	A
EDCI 4303	40	4	D
EDCI 4303	60	9	D
ENGL 4325	01	9	A
FINA 4387	60	2	H
GOVT 3343	60	1	H
INTG 4367	21	4	A, B
MATH 3349	61	1	A
MATH 4348	61	1	A

THE UNIVERSITY OF TEXAS AT BROWNSVILLE  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

SMALL CLASS REPORT, FALL 1996 AND SPRING 1997  
 (Continued)

Course No.	Section No.	Enrollment	Justification Code*
<u>UNDERGRADUATE COURSES SPRING 1997 (Continued)</u>			
MUAP 3202	01	2	C
MUAP 3202	35	1	C
MUAP 3202	39	1	C
MUAP 4202	43	1	C
MUAP 4202	44	1	C
MUSI 3309	01	5	C
NURS 3405	01	6	B
NURS 3606	01	6	B
PSYC 4380	01	2	H
PSYC 4380	02	1	H
READ 3326	60	5	D
READ 3327	60	2	D
READ 4351	46	1	D
ROTC 3202	01	7	E
ROTC 3402	01	3	E
ROTC 4403	01	3	E
SOCI 4383	01	1	H
SPED 4371	60	1	D
<u>GRADUATE COURSES FALL 1996</u>			
BIOL 5402	01	3	D
BIOL 5402	91	0	D
BIOL 6101	01	3	A
EDCI 5342	60	1	D
EDCI 6319	60	1	H
ENGL 6321	01	4	C
GOVT 6376	60	1	H
PSYC 5306	01	4	E
READ 5323	60	1	D
READ 5351	60	4	D
READ 6309	60	4	A
<u>GRADUATE COURSES SPRING 1997</u>			
BIOL 5414	01	2	D
BIOL 6365	01	1	H
EDAD 6381	61	1	D
EDAD 7398	60	4	I
EDCI 5342	60	3	D
EDCI 6336	62	1	H
EDCI 7301	60	1	H

THE UNIVERSITY OF TEXAS AT BROWNSVILLE  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

SMALL CLASS REPORT, FALL 1996 AND SPRING 1997  
 (Continued)

<u>Course No.</u>	<u>Section No.</u>	<u>Enrollment</u>	<u>Justification Code*</u>
<u>GRADUATE COURSES SPRING 1997 (Continued)</u>			
ENGL 7301	01	2	C
ENGL 7301	02	1	C
ENGL 7301	03	1	C
EPSY 6105	60	1	H
PSYC 5318	02	2	H
READ 5351	60	2	D
READ 6310	60	4	A
READ 6325	60	4	A, F

\*Justification Codes:

- a. Required course for graduation (not offered each semester or term); if canceled, may affect graduation date.
- b. Required course for majors in this field; should be completed this session to keep proper course sequence.
- c. Class in a new program area.
- d. Class meets concurrently with another class.
- e. First time offering of this course.
- f. Class size limited by accreditation standards.
- g. Class size limited by laboratory facilities.
- h. Voluntary overload.
- i. Off-Campus course
- j. Experimental section
- k. Course canceled

THE UNIVERSITY OF TEXAS AT BROWNSVILLE  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**

The following institutional policy for the Periodic Performance Evaluation of Tenured Faculty at The University of Texas at Brownsville has been approved by the Chancellor, the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel and is recommended for approval by the U. T. Board of Regents. Upon approval, the policy will be included in the institutional Handbook of Operating Procedures.

**PERIODIC COMPREHENSIVE PERFORMANCE EVALUATION OF TENURED FACULTY**

A. Purpose

This document states the institutional policy of periodic comprehensive evaluation of tenured faculty.

B. Principles of Periodic Evaluation of Tenured Faculty

Tenure provides university faculty the protection of free inquiry, open intellectual and scientific debate, and unfettered criticism of the accepted body of knowledge. Academic institutions have a special need for practices that protect freedom of expression, since the core of the academic enterprise involves a continual reexamination of ideas.

Periodic comprehensive evaluation of tenured faculty is intended to enhance and protect, not diminish the guarantees of tenure and academic freedom. The purpose of periodic comprehensive evaluation is to provide guidance for continuing and meaningful faculty development; to assist faculty to enhance professional skills and goals; to refocus academic and professional efforts, when appropriate; and to assure that faculty members are meeting their responsibilities to the University and the State of Texas.

Periodic comprehensive evaluation of tenured faculty is not intended to infringe on tenure as a concept and practice or academic freedom nor to establish term-tenure systems or to require faculty to re-establish their credentials for tenure at The University of Texas at Brownsville.

C. Guidelines

1. Tenured faculty will continue to be evaluated annually by the Department Chair, Dean and Vice President for Academic Affairs as outlined in UTB HOOP 7.3.1, Faculty Responsibilities and Workload and UTB HOOP 7.3.2, Faculty Development Plan.

THE UNIVERSITY OF TEXAS AT BROWNSVILLE  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY (Continued)

2. A periodic comprehensive evaluation of all tenured faculty shall be performed at least every six years or provided herein with the following exceptions:
  - a. A faculty member on an approved leave may postpone this evaluation until his or her return from leave. The six year period need not include the period the faculty member was on leave.
  - b. A comprehensive evaluation resulting in promotion, exceptional merit or an endowed position shall satisfy the requirement for comprehensive periodic evaluation. The faculty member need not stand for periodic comprehensive evaluation until the sixth year after the successful comprehensive evaluation.
  - c. Faculty may request a periodic comprehensive evaluation during any other personnel action (promotion, exceptional merit review, etc.). Faculty electing to undergo a periodic comprehensive evaluation need not undergo an additional periodic review until the sixth year after the periodic comprehensive evaluation regardless of the outcome of the accompanying personnel action.
  - d. Faculty undergoing a periodic comprehensive evaluation after receiving a negative annual evaluation need not undergo an additional periodic comprehensive evaluation for six years unless he or she receives a second negative review.
3. The requirement of periodic comprehensive evaluation does not imply that individuals with unsatisfactory annual evaluations may not be subject to further review and/or appropriate action.
4. Tenured faculty who receive a negative annual evaluation by their Department Chair, Dean and/or the Vice President for Academic Affairs for two consecutive years shall undergo a periodic comprehensive evaluation during the evaluation period the next academic year.

THE UNIVERSITY OF TEXAS AT BROWNSVILLE  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(Continued)

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY (Continued)

Tenured faculty who receive a negative annual evaluation by their Department Chair, Dean and/or the Vice President for Academic Affairs may elect to undergo a periodic comprehensive evaluation during the evaluation period the next academic year.

5. The periodic comprehensive evaluation shall include review of the faculty member's duties, including teaching, research, service, administration and other responsibilities as outlined in UTB HOOP 7.3.1, Faculty Responsibilities and Workload and 7.4.2, The Greater Duties of a Member of the Teaching Staff.
6. Reasonable individual notice of at least six months of intent to review and additional materials required for submission as part of the review will be provided to a faculty member.
7. The faculty member undergoing comprehensive periodic review shall submit a portfolio, which includes, but is not limited to the faculty member's current resume, a reflective essay on teaching during the review period, summary statements of professional accomplishments, faculty development plans, consistent with UTB HOOP 7.3.2, summary sheets of student evaluations of instruction for the review period, and other materials the faculty member deems appropriate.
8. Periodic comprehensive evaluation requires a review by the Department Chair, Dean and the Vice President for Academic Affairs. A peer review process may be initiated by the faculty member, Department Chair (or equivalent) or Dean. The faculty member may elect to be additionally reviewed by his or her Departmental and School/College Personnel Committees or by an appointed Peer Review Committee.

Members of the appointed Peer Review Committee shall be representative of the school/college and will be selected from the tenured faculty based on their objectivity and academic strength. These committee members shall be selected by the Dean in consultation with tenured faculty in the school/college. The faculty member will be provided an opportunity to meet with the appointed Peer Review Committee or Personnel Committees prior to preparation of their report.

THE UNIVERSITY OF TEXAS AT BROWNSVILLE  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY (Continued)

9. Results of the periodic comprehensive evaluation will be communicated in writing to the faculty member, the Department Chair, Dean, the Vice President for Academic Affairs, and the President for review and appropriate action. Possible uses of the information contained in the report include:
  - a. For faculty found to be performing well, the evaluation may be used to determine salary recommendations, nomination for awards, or other forms of performance recognition.
  - b. For faculty whose performance indicates they would benefit from additional support, the evaluation may be used to provide such support (e.g., teaching effectiveness assistance, counseling, or mentoring in research issues/service expectations).
  - c. All proceedings for termination of tenured faculty on the basis of periodic performance evaluation shall be only for incompetency, neglect of duty or other good cause shown and must be conducted in accordance with the due process procedures of the Regents' Rules and Regulations, Part One, Chapter III, Section 6 including an opportunity for referral of the matter to alternative dispute resolution.
- D. This policy and related procedures shall be subject to periodic review.



## THE UNIVERSITY OF TEXAS AT DALLAS

September 17, 1997

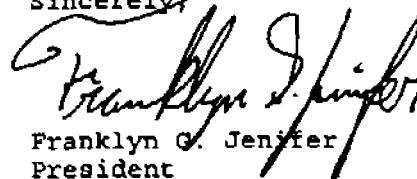
Ms. Francie A. Frederick  
Acting Vice Chancellor  
for Academic Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Ms. Frederick:

The docket for the November 13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



Franklyn G. Jenifer  
President

FGJ:crs

THE UNIVERSITY OF TEXAS AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

TABLE OF CONTENTS

	<u>Page No.</u>
Gifts from Private Donors and Foundations (including Endowment Matching Fund Allocation).....	D- 3
Business Contracts for Services (including Minor Construction Projects).....	D- 4
Foreign Affiliation Agreements.....	D- 5
Catalog Changes.....	D- 6
Amendments to the 1996-97 Budget.....	D-11
Amendments to the 1997-98 Budget.....	D-12
Other Matters.....	D-13

THE UNIVERSITY OF TEXAS AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**GIFTS FROM PRIVATE DONORS AND FOUNDATIONS**

The following gifts have been received and are recommended for acceptance by the U. T. Board of Regents:

1. Donor Name: Lena Callier Trust  
College/School/ Department: Office of the President  
Purpose: To support the Callier Center of Communications Disorders  
Asset Type: Cash  
Value: \$223,050
  
2. Donor Name: Mobil Systems International, Inc.  
College/School/ Department: Erik Jonsson School of Engineering and Computer Science  
Purpose: To further the educational expansion of Radio Frequency Electrical Engineers  
Asset Type: 3 PlaNet Software Licenses  
Value: \$570,000

THE UNIVERSITY OF TEXAS AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**BUSINESS CONTRACTS FOR SERVICES**

The following contract has been awarded, has been administratively approved by the Chief Administrative Officer (or his delegate) and is recommended for ratification by the U. T. Board of Regents:

**(FUNDS COMING IN)**

1. Agency: Baylor Rehabilitation Services (BRS)  
 Funds: Estimated \$806,950  
 Period: September 1, 1997 through August 31, 1998  
 Title/Description: U. T. Dallas Callier Center for Communication Disorders will provide Speech Pathology services to BRS in-patient and out-patient programs for a total not to exceed 500 hours per week. Callier Center will be responsible for ongoing competency assessment of speech staff assigned to BRS.  
 HUB: No

**(BUSINESS CONTRACTS SUPPLEMENTAL INFORMATION)**

Total Number of Contracts:	1
Total Number Awarded to HUBS:	<u>0</u>
% of Total Number of Contracts Awarded to HUBS:	0%
Total Funds:	\$806,950
Total Funds Awarded to HUBS:	<u>\$ 0</u>
% of Total Funds Awarded to HUBS:	0%

THE UNIVERSITY OF TEXAS AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**FOREIGN AFFILIATION AGREEMENTS**

The following agreement of academic cooperation with a foreign institution has been administratively approved by the Acting Vice Chancellor for Academic Affairs, and follows the standard format approved by the U. T. Board of Regents.

1. Country: Mexico
- Facility: State of Guanajuato  
Universidad de Guanajuato
- Date: Effective December 20, 1996 to March 19, 2006; may  
be canceled by either party with 6 months advance  
written notice
- Purpose: To facilitate and implement a reciprocal  
educational exchange program as authorized by Texas  
Education Code Section 54.060.

THE UNIVERSITY OF TEXAS AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**CATALOG CHANGES**

The following listing summarizes the substantive changes proposed to the Catalog of The University of Texas at Dallas. These changes have been administratively approved by the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel.

Undergraduate Catalog for 1999-2000

<u>Page Number(s)</u>	<u>Summary of Proposed Substantive Change</u>
new	<p><b>First-time Freshman Admissions</b></p> <p>The curriculum and the expectations of student performance at The University of Texas at Dallas assume that entering freshman students have successfully completed a full college-track high school curriculum and have demonstrated strong general verbal/quantitative aptitudes as measured on national standardized tests.</p>
18, 19	<p><b>Automatic Admissions:</b></p> <p>Proposed Change</p> <p><b>Category I.</b> In accord with Chapter 51 of the <u>Texas Education Code</u>, graduates of duly accredited Texas high schools whose cumulative high school grade point averages rank them in the top 10% of their graduating class are automatically admitted to U. T. Dallas upon application as first-time freshmen during the 24 months following the date of their high school graduation. Freshman applicants in this category whose high school records do not satisfy all of the criteria listed in Category II should confer as early as possible with the Office of Dean of Undergraduate Studies for advisement on appropriate course schedules and programs designed to maximize student success in U. T. Dallas course work.</p> <p><b>Category II.</b> Freshman applicants who satisfy all of the following three criteria are admitted automatically to U. T. Dallas upon application:</p> <ol style="list-style-type: none"> <li>1) Graduation in the top 25% of graduating high school class;</li> <li>2) Score of at least 1140 on the Scholastic Achievement Test (SAT) or at least 25 on the American College Test (ACT);</li> <li>3) Successful completion of a high school curriculum which includes:       <ol style="list-style-type: none"> <li>a) four units of Language Arts, including at</li> </ol> </li> </ol>

THE UNIVERSITY OF TEXAS AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**CATALOG CHANGES**  
 (continued)

Page Number(s)

Summary of Proposed Substantive Change

- least one unit of writing skills;
- b) two units of a single foreign language (three units recommended);
- c) three and one-half units of Mathematics beginning with Algebra I or higher, and including any course dealing with trigonometry, such as pre-calculus (four units recommended);
- d) three units of laboratory science, not including Physical Science;
- e) three units of Social Science, not including Work-Study (four units recommended);
- f) one-half unit of Fine Arts (one unit recommended);
- g) one and one-half units of General Education electives (two and one-half units recommended).

In addition, U. T. Dallas recommends completion of one unit of Computer Science, one-half unit of Health, and one and one-half units of Physical Education.

19

**Reviewed Freshman Admissions:**

Proposed Change

The application files of students whose records do not meet the above requirements for Automatic Admission will be reviewed by the University Admissions Committee, chaired by the Dean of Undergraduate Studies. These reviews give primary consideration to the applicant's scores on standardized tests and high school scholastic record, both as regards the type and nature of courses taken and the grades achieved in specific courses. Applicants may submit additional material for the University Admissions Committee to consider in evaluating the applicant's prospective success with a rigorous college curriculum in a challenging new environment. Such material can document, for example, the applicant's achievements in work experiences, community service, extracurricular activities; experience in surmounting obstacles to the further pursuit of higher education; applicant's status as a first generation college student; and bilingual proficiency. Letters of reference from high school teachers, counselors, supervisors, and activity

THE UNIVERSITY OF TEXAS AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES  
 (continued)

Page Number(s)

Summary of Proposed Substantive Change

leaders are appropriate in such instances. The decision of the University Admissions Committee regarding each application will be one of the following:

- 1) to approve admission unconditionally, or
- 2) to approve admission conditioned upon the applicant taking preliminary or concurrent course work designed to remove deficiencies in preparation and/or to prepare better for the regular U. T. Dallas curriculum, or
- 3) to deny admission.

19

**Transfer Admissions at U. T. Dallas:**

Proposed Change

U. T. Dallas welcomes applications from prospective students who have begun their college work at other institutions of higher education. The University accepts for transfer credit only academic post-secondary course work completed with a grade of C or better at accredited institutions of higher education. U. T. Dallas does not offer credit for non-academic course work, such as vocational, developmental or remedial studies, nor grant credit for prior "experiential" learning. Course work that is accepted for transfer credit is applicable toward satisfying requirements for a specific U. T. Dallas major according to the same criteria as those used for equivalent U. T. Dallas courses. Prospective transfer students from Dallas-area community colleges should refer to the *U. T. Dallas 2+2 Transfer Guide*, available at community college counseling offices and at the U. T. Dallas Office of Enrollment Services, in order to inform themselves about curricula appropriate to the various U. T. Dallas majors.

new

**Freshmen and Sophomores**

Proposed Change

**Automatic Admissions:**

Applicants to U. T. Dallas who have previously taken courses at one or more other accredited institutions of higher education and who are



THE UNIVERSITY OF TEXAS AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**CATALOG CHANGES**  
 (continued)

<u>Page Number(s)</u>	<u>Summary of Proposed Substantive Change</u>
	classified as Freshmen or Sophomores are automatically admitted if, in addition to satisfying the Automatic Admission criteria of Category II above, their cumulative GPA for post-secondary academic course work is 3.0 or better, on a scale of 4.0.
new	<p><b>Reviewed Admissions:</b></p> <p>Applications from freshmen and sophomore transfer students that do not qualify for automatic admission will be reviewed by the University Admissions Committee as described above. In reviewing this category of applications, the University Admissions Committee will pay particular attention to the academic content and grades of the applicant's college-level work.</p>
21	<p><b>Juniors and Seniors</b></p> <p>Proposed Change</p> <p><b>Automatic Admissions:</b></p> <p>Applicants to U. T. Dallas who have previously taken courses at one or more other accredited institutions of higher education and who are classified as Juniors or Seniors are admitted automatically if their cumulative GPA for post-secondary academic course work is 2.5 or better, on a scale of 4.0.</p>
21	<p><b>Reviewed Admissions:</b></p> <p>All other applications from junior and senior-level transfer students will be reviewed for admission by the College Master of the School offering the major in which the applicant is requesting enrollment. In reviewing this category of applications, the College Master will pay particular attention to the academic content and grades of the applicant's college-level work.</p>
18, 22	<p>Proposed Change</p> <p><b>Applying for Admission</b></p> <p>In order to ensure timely processing, applications, including all necessary supporting documentation, must be received by the UTD Office of Admissions</p>

THE UNIVERSITY OF TEXAS AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**CATALOG CHANGES**  
 (continued)

Page Number(s)

Summary of Proposed Substantive Change

and Records by the following dates:  
 Graduates of United States institutions:

Fall Semester enrollment	Preceding August 1
Spring Semester enrollment	Preceding December 1
Summer Semester enrollment	Preceding May 1

Undergraduate International Students

Fall Semester enrollment	Preceding June 1
Spring Semester enrollment	Preceding October 1
Summer Semester enrollment	Preceding April 1

Applications which are not completed by these dates will be processed as expeditiously as possible, but applicants should be aware that admission decisions in these cases of late application may not be reached in time for students to participate in regular registration. This may result in the necessity of Late Registration, with the associated extra Late Registration fee.

new

**Awards of Competitive Institutional Scholarships**

In addition to any specific criteria governing awards of competitive scholarships to students, such as major field of study, the committee responsible for such awards, after giving primary consideration to the applicant's scores on standardized test and scholastic records, both as regards the type and nature of courses taken and the grades achieved in specific courses, may consider and give positive weight to such factors as the following in designating recipients:

- 1) achievements in work experiences
- 2) community service
- 3) extracurricular activities; leadership
- 4) surmounting obstacles to the further pursuit of higher education
- 5) socioeconomic background
- 6) educational level
- 7) status as a first generation college student.

Letters of reference from teachers and activity leaders are appropriate in such instances.

THE UNIVERSITY OF TEXAS AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET

The following Requests for Budget Changes (RBC) have been administratively approved as required by the appropriate Executive Vice Chancellor for Academic Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
<b>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</b>					
<b>SCHOOL OF ARTS AND HUMANITIES</b>					
Office of the Dean					
1. Dennis M. Kratz (T)					
From: Dean of Undergraduate Studies and Acting Dean of Arts & Humanities	9/01-2/28	100	12	110,900	34
To: Dean of Undergraduate Studies and Dean of Arts & Humanities	3/01-8/31	100	12	112,500	
Appointment of Dean of Arts and Humanities and continuing Dean of Undergraduate Studies responsibilities.					
Administrative Rate	\$ 96,500				
Supplemental Rate	<u>16,000</u>				
Total Compensation	<u>\$112,500</u>				
<b>SCHOOL OF MANAGEMENT</b>					
Accounting					
Endowed Chair					
2. Rajiv D. Banker (T)	6/01-8/31	100	9	152,500	30
Initial appointment as tenured Full Professor of Management.					
<b>INSTITUTIONAL SUPPORT</b>					
Office of Development					
Development Officer					
3. Jennifer Ware	5/22-8/31	100	12	45,000	31
4. Julie R. Cuff	6/23-8/31	100	12	30,000	32
5. Gary M. Cole	6/30-8/31	100	12	45,000	33

THE UNIVERSITY OF TEXAS AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1997-98 BUDGET

The following Requests for Budget Changes (RBC) have been administratively approved as required by the appropriate Acting Vice Chancellor for Academic Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
<b><u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u></b>					
SCHOOL OF MANAGEMENT					
Professor					
1. Varghese S. Jacob	9/01-5/31	100	9	140,000	2
INSTITUTIONAL SUPPORT					
University Police					
Chief of Police					
2. Colleen L. Ridge	9/08-8/31	100	12	58,000	1

THE UNIVERSITY OF TEXAS AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC EVALUATION OF TENURED FACULTY POLICY

The following institutional policy for the Periodic Performance Evaluation of Tenured Faculty Policy at the University of Texas at Dallas has been approved by the Chancellor, the Acting Vice Chancellor for Academic Affairs, and the Office of General Counsel and is recommended for approval by the U. T. Board of Regents. Upon approval, the policy will be included in the institutional Handbook of Operating Procedures.

Preamble

Tenure protects scholars and teachers from adverse actions by those who disagree with their findings and teachings; tenure also provides faculty with the long-term security which is vital if they are to undertake high potential, lengthy, risky research; thus, it discourages intellectual censorship and encourages the search for truth, thereby benefiting society at large. However, regular review of all faculty, including those with tenure, is fundamental to the advancement of the University. This document describes procedures for review of tenured faculty.

Nothing in this policy memorandum shall be interpreted or applied to infringe on tenure, academic freedom, due process, or other protected rights, nor to require faculty to reestablish their credentials for tenure.

I. Definitions

A. Faculty Categories

1. School Faculty

School faculty are those faculty members who report to a school Dean, including those who report through Program Heads to a school Dean.

2. Administrative Faculty

Administrative faculty are those faculty members who do not report, directly or indirectly, to a school Dean.

3. Concurrent Faculty

Concurrent faculty are those faculty members who, for part -- but not all -- of their assignments report, directly or indirectly to a school Dean.

THE UNIVERSITY OF TEXAS AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(continued)

B. Duties

For the purposes of this document, duties (to the University) are activities to which a faculty member is assigned and for which the University provides infrastructure and fiscal resources.

Most faculty members mentor individual students, teach organized classes or laboratories, are engaged in research or creative activities, and serve the University, the community, and their profession through committee assignments and elective or appointive offices. Some also engage in clinical or administrative activities. The exact ratio of these duties varies considerably from one faculty member to another.

At the time tenure is awarded, duly appointed faculty committees and responsible administrators have determined that a faculty member has performed adequately in both teaching and research or creative activities, and has shown excellence in one of those arenas. Often service expectations change after tenure is awarded, particularly in the case of senior faculty.

When a School Faculty member wants to assume a set of duties significantly different from the norm typical of his or her instructional unit, the faculty member should seek approval for such action from the school Dean or the Dean's delegate (Program Head, when appropriate). A Dean does not have authority to approve or disapprove specific research topics, but does exercise approval authority when a faculty member proposes to engage in a set of duties which is atypical for the instructional unit. If such approval is obtained, the faculty member's performance will be judged against the approved set of duties. Otherwise a faculty member will be judged against the duties typical for his or her instructional unit. The modified set of duties does not go into effect until the Dean notifies the faculty of the School or Program as appropriate.

Concurrent and Administrative Faculty should consult with the individual(s) to whom they report in order to construct a written description of their set of duties. To the extent that their duties parallel those of School Faculty, Concurrent Faculty are expected to meet the norm for other faculty in their instructional unit.

- C. A Periodic Performance Evaluation (PPE) is the evaluation process described in this document.
- D. The PPE Review File is the file created for the purpose of the Periodic Performance Evaluation. It may contain material from a

THE UNIVERSITY OF TEXAS AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

OTHER MATTERS  
 (continued)

variety of sources, including material copied from the faculty member's permanent academic file.

- E. The PPE Evaluator (Evaluator) is the University official responsible for preparing the PPE Review file and for making the finding. For School Faculty the evaluator is the Dean of their school. For Concurrent Faculty, the Evaluators are their School Dean and the other administrator to whom they report. For Administrative Faculty, the Evaluator is the administrator to whom they report.

F. Finding

A finding is the Evaluator's written recommendation resulting from the Periodic Performance Evaluation of a tenured faculty member.

1. The finding must evaluate the faculty member's performance of activities in the current set of duties. It also may recommend a set of duties to govern the next PPE period.
2. The finding must evaluate the faculty member's performance in the activities in the current set of duties and reach a conclusion based on one of two assessments by the Evaluator:

a. Advisory

An Advisory finding may be approbative or it may offer advice for improvements or modifications in the faculty member's performance. The finding may be accompanied by a plan for allocation of additional resources intended to enhance the faculty members' performance.

b. Adverse

For School Faculty, an Adverse finding is a recommendation that the tenured faculty member's performance has been sufficiently detrimental to the University and/or its students for a sufficiently long period that consideration of charges for termination under the procedures of the Regents' Rules and Regulations, Part One, Chapter III, Section 6, is a possibility. It must be supported by a written, detailed argument and data that demonstrate professional incompetence or dereliction in the traditional domains of research, service, clinical duties (where relevant), and especially teaching, or other good cause. For Concurrent and Administrative Faculty, an Adverse finding will result in the termination of the administrative

THE UNIVERSITY OF TEXAS AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(continued)

assignment and the return of the faculty member to School Faculty status.

G. Detrimental Performance

Detrimental performance must be defined relative to the set of duties, which can vary across Programs and Schools and among individual faculty within Programs and Schools (Sec. I.B.). However, it must entail dereliction and/or incompetence in one or more of the faculty member's duties. Examples may include but are not limited to: (a) a failure to meet classes, (b) a failure to engage in remedial activities to improve teaching efforts or an inability to benefit from such remedial activities, (c) a refusal to accept teaching assignments within the faculty member's expertise, (d) a refusal to engage in research and/or creative activity which may include submission of grants or scholarly activity for publication, and (e) a failure to shoulder a reasonable share of administrative work. Failure to publish or to win external research funding, is not, in itself, proof of incompetence or dereliction in research.

II. Procedures for All Faculty

- A. All faculty members are subject to annual review. It is expected that Deans, Program Heads, and other administrators will make use of the annual review process to identify faculty whose performance does not meet the general performance levels of their unit and to provide those individuals with advice, support, and/or warnings, as appropriate. Written evaluations used in annual reviews will be subsequently included in PPE Review files. Countersigning or other methods shall be used to certify that the faculty member has been made aware of these evaluations.
- B. This document describes procedures for Periodic Performance Evaluations for tenured faculty which are to be conducted every six years (except in rare circumstances such as overlap with approved leave, promotion, review for appointment to an endowed position, or review described in the following paragraph. The existence of the PPE process does not preclude administrative action based on annual reviews or other good cause.

Administrative Faculty are to be reviewed every five years, as described in Policy Memorandum 96-III.30-68 Faculty Involvement in the Evaluations of Academic Administrators, which review is to be concurrent with the review described in this document.



THE UNIVERSITY OF TEXAS AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(continued)

- C. The Periodic Performance Evaluation shall include review of the faculty member's duties such as teaching, research, service, administration, and, for faculty with clinical responsibilities, patient care.
- D. Individual notice of intent to conduct a Periodic Performance Evaluation must be given to a tenured faculty member at least six months prior to the initiation of the Evaluation, which begins September 15 with the submission of materials by the faculty member. One month before the initiation of the Evaluation, the Evaluator who is conducting the evaluation shall notify the faculty within the School and the Speaker of the Faculty, who in turn will inform the Academic Senate membership and the President of the Student Government Association about the PPE, who is to be evaluated, and the PPE procedures.
- E. The PPE Review File shall be constructed as follows:
1. The PPE process is intended to be an internal review of the faculty member's performance of his/her range of duties. Solicitation of materials or evaluations from outside the University community is inappropriate, and such materials shall not be included in the PPE Review File.
  2. The faculty member being evaluated shall submit to his/her Evaluator or arrange for submission of, (a) a resume, including a summary statement of professional accomplishments, (b) where existing, the approved range of duties, (c) results of annual evaluations for the previous six years, where available, and (d) evaluations of teaching from students and other sources, in accordance with policy of the relevant instructional unit. The faculty member may provide copies of a statement of professional goals, a proposed professional development plan, and any other materials the faculty member deems appropriate.
  3. The Evaluator may add to the file (a) any material from the faculty member's permanent academic files which he/she deems appropriate and (b) any signed, written material which he/she deems appropriate to the PPE process and shall add to the file (c) any signed, written material received through sources such as faculty, students, the Student Government Association, etc. No anonymous material, except for teaching evaluations obtained in accordance with University policy, may be included in the file, and those reading the PPE Review file should identify and give no weight to hearsay material.

THE UNIVERSITY OF TEXAS AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(continued)

4. At any point in the PPE process, the faculty member being evaluated may see the PPE Review File upon reasonable notice, may copy material contained in the PPE Review File, and may supplement the file. The Evaluator must notify the faculty member under review of any material which he/she adds to the PPE file, and the faculty member is entitled to 10 working days to supplement the file with a written response.

III. Procedures for School Faculty

- A. All evaluations must be based only on material in the PPE Review File.
- B. After the end of the faculty member's response period (II.E.4), the Dean shall make a written preliminary evaluation and shall send that evaluation to the faculty member, the relevant Program Head (if appropriate) and to the School Personnel Review Committee (SPRC).
- C. The Program Head (if appropriate) and SPRC shall each examine the PPE Review File, and each shall provide the faculty member under evaluation with the opportunity to discuss the PPE Review file and the preliminary evaluation. The Program Head (if appropriate) and the SPRC will subsequently provide a written response to the preliminary evaluation. The responses become part of the PPE Review File.
- D. After receiving the responses of the faculty member, the Program Head and the SPRC, the Dean shall re-examine the PPE Review File and make a written finding no later than November 15, unless the President approves an extension.
- E. The Dean's finding and the response of the SPRC must be communicated in writing to the faculty member and the Program Head (if appropriate). The faculty member will be given the opportunity to discuss the finding with the Dean and will be allowed 10 working days to respond in writing to the finding. The finding and the faculty member's written response become part of the PPE Review file. After 10 working days, the Dean shall send the PPE Review file to the Chief Academic Officer, who will notify the Dean and faculty member of its receipt within 10 working days.
- F. If the Dean has made an Adverse finding, the Chief Academic Officer shall notify the Chair of the Committee on Faculty Standing and Conduct and forward the PPE Review file to the Committee of Faculty Standing and Conduct within 10 working days.

THE UNIVERSITY OF TEXAS AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(continued)

- G. The Committee on Faculty Standing and Conduct shall examine the PPE Review file and prepare a written report which addresses, at least, the following issues:
1. Since tenure carries the expectation of continuing employment, the University bears the burden of proof in removing tenure. The report shall assess the degree to which the PPE Review file demonstrates that the tenured faculty member's performance has been sufficiently detrimental to the University and/or its students for a sufficiently long period that termination under the procedures of the Regents' Rules and Regulations, Part One, Chapter III, Section 6, is a possibility.
  2. In the event that the Committee on Faculty Standing and Conduct concurs with the Adverse finding, its report shall address the advisability of an additional review period and the duration and performance expectations for such review period.

The report becomes part of the PPE Review file.

- H. After receipt of the report from the Committee on Faculty Standing and Conduct, the Chief Academic Officer shall send copies of the report to the faculty member and the Dean and allow 10 working days to receive written responses, which become part of the PPE Review file. The Chief Academic Officer shall review the PPE Review file and decide on one of the following as an appropriate action:
1. Conversion of the Adverse finding to an Advisory finding and termination of the PPE process for the faculty member;
  2. Acceptance of the Adverse finding and establishment of an additional review period including its duration and performance expectations;
  3. Acceptance of the Adverse finding and recommendation to the President that charges for termination of the faculty member be initiated.

The Chief Academic Officer shall notify the Dean and the faculty member of his or her decision. The CAO may issue a preliminary assessment and provide a period for comment from the Dean and faculty member prior to making a decision.

- I. If the Chief Academic Officer decides that an additional review period is appropriate, the faculty member's performance during the additional review period is to be governed by an additional review

THE UNIVERSITY OF TEXAS AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(continued)

period document, which should specify the faculty member's duties, resources to be made available, and the timetable and criteria for interim and end of period evaluations. The construction of the extended review period document is the responsibility of the Dean who shall consult with the faculty member, the Program Head (if appropriate), the School Personnel Review Committee, and the Chief Academic Officer prior to issuing the document.

- J. At the end of the additional review period, a review in the manner of a Periodic Performance Evaluation is to be conducted, with the faculty member having access to the same procedures and protections which would be in place for a Periodic Performance Evaluation, except that the Dean shall forward his assessment directly to the Chief Academic Officer, who must now choose either Option 1 or Option 3 from Section II.L. The Committee on Faculty Standing and Conduct does not review the file.
- K. The entire PPE process is confidential. However, if the faculty member makes comment in a public forum on the results of the evaluation, then the University, through its administration, may also make public comment.

IV. Procedures for Concurrent and Administrative Faculty

A. Concurrent Faculty

The Evaluators of a Concurrent Faculty member shall make separate findings in their evaluations of the duties arising from the faculty member's School Faculty and Administrative Faculty roles. The procedures in Section III of this document shall govern the PPE process in so far as the School Faculty role is evaluated. The procedures in Section IV.B. shall govern the PPE process in so far as the Administrative role is evaluated, except that a Concurrent Faculty member for whom the School Faculty finding is Advisory shall not be subjected to the PPE process until the normal six year review cycle.

B. Administrative Faculty

The Evaluator of an Administrative Faculty member must prepare a written evaluation of the faculty member, provide a copy to the faculty member, provide the opportunity for the faculty member to discuss the evaluation with him/her, and provide the faculty member with the opportunity to place a written statement in the PPE Review file.

THE UNIVERSITY OF TEXAS AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(continued)

An Adverse finding by the Evaluator will result in the termination of the administrative appointment and the return of the faculty member to School Faculty status. Such faculty must be reviewed under the School Faculty provisions of this document in the first review cycle after three full academic years have passed since their return to School Faculty status.

V. Modification

Any modifications of this policy memorandum initiated by The University of Texas at Dallas will be communicated to the Academic Senate six months prior to their taking effect.

VI. Review of PPE Process

The President is to review the results of each year's Periodic Performance Evaluations with the Academic Council. In this review, the President shall present the results without identification of individual faculty members. If, however, a faculty member has made public comment about the results of his/her PPE, the President may discuss that case with the Academic Council. The Academic Council is to prepare and present to the Academic Senate, the CAO, and the President a report, in which the faculty reviewed are not identified, which contains recommendations about the Periodic Performance Evaluation process.

VII. Phase-In

- A. Faculty tenured before the 1992-93 academic year will be assigned the years 1998, 1999, 2000, 2001, 2002, and 2003 for their initial Periodic Performance Evaluation by lot, with approximately 20% of such faculty to be reviewed each year.
- B. A faculty member appointed with or promoted to the rank of Associate Professor or Professor after the 1992-93 academic year shall be reviewed every six years after his/her appointment or promotion.
- C. In the first year in which this policy is in place, it may not be possible both to give six months notice and to start the PPE process September 15. In this case the requirement of six months notice prevails, and the President may designate an appropriate starting date, with the PPE process to be completed two months after the starting date.

THE UNIVERSITY OF TEXAS AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(continued)

VIII. Non-Interference with Rights

The adoption of the Procedures for Periodic Performance Evaluation by The University of Texas At Dallas Academic Senate shall not be interpreted or applied to infringe on tenure, academic freedom, due process, or other protected rights.

IX. Peer Review Committees

- A. The SPRC is appointed by the Dean in accordance with guidelines adopted by the Academic Senate.
- B. The Committee on Faculty Standing and Conduct is appointed by the President from nominations submitted by the Committee on Committees and confirmed by the Academic Senate (Handbook of Operating Procedures, Title III, Chapter 21 Faculty Governance, IV.A.1.b and IV.B.1.c. (5)).

## THE UNIVERSITY OF TEXAS AT EL PASO

September 26, 1997

Ms. Francie Frederick  
Acting Vice Chancellor for Academic Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Ms. Frederick:

The docket for the November 13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



Diana S. Natalicio  
President

DSN:lda

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

## TABLE OF CONTENTS

	<u>Page No.</u>
Gifts from Private Donors and Foundations (including Endowment Matching Fund Allocation).....	EP- 3
Contracts or Grants for Research, Development, Clinical and Educational Services	
\$500,000 or more.....	EP- 4
Foreign.....	EP- 5
Foreign Affiliation Agreements .....	EP- 6
Catalog Changes.....	EP- 7
Amendments to the 1996-97 Budget.....	EP-20
Other Matters.....	EP-22



**THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997**

**GIFTS FROM PRIVATE DONORS AND FOUNDATIONS**

The following gifts have been received, approved by the Vice Chancellor for Development and External Relations, and are recommended for acceptance by the U. T. Board of Regents:

1. Donor Name: James B. Jaffee  
Gerald J. and Stanlee N. Rubin Charitable Gift Fund  
of the Fidelity Investments Charitable Gift Fund  
  
College/School/  
Department: UTEP Legacy Campaign  
Purpose: Premium payments toward a life insurance policy,  
naming UTEP as owner and beneficiary. The funds  
received from this policy, will be used to establish  
an endowment under the UTEP Legacy Campaign.  
  
Asset Type: Cash  
Value: \$100,000
  
2. Donor Name: John F. Jordan  
  
College/School/  
Department: College of Engineering, Electrical Engineering Department  
Purpose: Coates and Welter Scanning Electron Microscope and  
KEVEX Energy Dispersive X-ray Spectrometer to be  
used for research on electroluminescence and solar  
cells for the conversion of sunlight to electricity.  
  
Asset Type: Equipment  
Value: \$68,000
  
3. Donor Name: Robert V. Wingo (Bob)  
Sanders, Wingo, Galvin, & Morton Advertising, Inc.  
  
College/School/  
Department: UTEP Legacy Campaign  
Purpose: Contributions of advertising services and printed  
materials covering the scope of the Legacy projects.  
  
Asset Type: Gift-in-Kind  
Value: \$61,825
  
4. Donor Name: Mr. and Mrs. Charles H. Leavell, \*  
Leavell Company  
  
College/School/  
Department: UTEP Legacy Campaign Contribution  
Purpose: Difference of the purchase price of \$1,250,000 for  
1100 North Stanton Street, Stanton Professional  
Building and the appraised estimated market value in  
its condition as of the date of purchase, April 20,  
1995 of \$1,717,000.  
  
Asset Type: Gift-in-Kind  
Value: \$467,000

\* No letter of transmittal from the donor

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE

The following contract or grant in an amount of \$500,000 or more has been awarded, has been administratively approved by the Chief Administrative Officer (or her delegate) as required, and is recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Grantor: San Diego State University Foundation  
No.: 524648-8501  
New Funds: \$530,483  
Current Period: June 1, 1997 - May 30, 1998  
Title/Description: Charles G. Groat, Center for Environmental Resource Management, "Applied Environmental Research Program for the United States-Mexico Border Region in Support of Border XXI."

THE UNIVERSITY OF TEXAS AT EL PASO  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
 DEVELOPMENT AND EDUCATIONAL SERVICES

The following contracts or grants with foreign entities or individuals have been awarded, have been administratively approved by the Acting Vice Chancellor for Academic Affairs, and are recommended for ratification by the U. T. Board of Regents.

(FUNDS GOING OUT)

1. Country: Mexico  
 Grantor: Organizaci3n Popular Independiente  
 No.: Subcontract Agreement  
 New Funds: \$10,000  
 Current Period: July 1, 1997 - May 30, 1998  
 Title/Description: Center for Environmental Resource Management,  
 "Binational Community-University Partnership for  
 Environmental Health Education."
  
2. Country: Mexico  
 Grantor: Asistencia Para Proyectos de Salud  
 No.: Subcontract Agreement  
 New Funds: \$14,300  
 Current Period: July 1, 1997 - May 30, 1998  
 Title/Description: Center for Environmental Resource Management,  
 "Binational Community-University Partnership for  
 Environmental Health Education."
  
3. Country: Mexico  
 Grantor: Universidad Autonoma de Ciudad Juarez  
 No.: Subcontract Agreement  
 New Funds: \$8,000  
 Current Period: June 1, 1997 - May 30, 1998  
 Title/Description: Center for Environmental Resource Management,  
 "Binational Community-University Partnership for  
 Environmental Health Education."

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

FOREIGN AFFILIATION AGREEMENTS

The following agreement of academic cooperation with a foreign institution has been administratively approved by the Acting Vice Chancellor for Academic Affairs, and follows the standard format approved by the U. T. Board of Regents.

1. Country: Mexico  
Facility: Universidad La Salle, A. C.  
Date: Effective August 26, 1997  
Purpose: Agreement of cooperation between The University of Texas at El Paso and the Universidad La Salle, A. C., to establish a program of exchange and collaboration in areas of interest and benefit to both institutions.

**THE UNIVERSITY OF TEXAS AT EL PASO**  
**U. T. BOARD OF REGENTS' MEETING**  
**NOVEMBER 13, 1997**

**CATALOG CHANGES**

The following listing summarizes the changes proposed to the Catalog of The University of Texas at El Paso. The following changes have been administratively approved as required by the Acting Vice Chancellor for Academic Affairs and are recommended for ratification by the U. T. Board of Regents.

**Undergraduate Catalog 1998-2000**

Admission

**Admission to the University**  
**General Information**

The University of Texas at El Paso is pleased to offer admission to any individual who meets the university's admission requirements. The university offers a comprehensive array of programs in both liberal arts and professional areas, from the baccalaureate to the doctoral level. For more information you can access our Web site at [www.utep.edu](http://www.utep.edu).

The **Office of Undergraduate Recruitment** assists prospective students with enrollment to the University by informing them of admission procedures, financial aid and scholarship resources, and by providing general university information. New students and their parents desiring pre-enrollment counseling, information, or a campus tour are invited to contact:

The University of Texas at El Paso  
 Office of Undergraduate Recruitment  
 El Paso, TX 79968-0504  
 (915) 747-5890  
 E-mail - [RECRUIT@utep.edu](mailto:RECRUIT@utep.edu)

The **Admissions Office** is responsible for determining an applicant's eligibility for admission and the evaluation of transfer credit according to standards set by Texas law, the Board of Regents of The University of Texas System, and the UTEP faculty. For further information about admission to the university or about the evaluation of transfer credit, contact:

The University of Texas at El Paso  
 Admissions Office  
 104 Academic Services Building  
 El Paso, TX 79968-0510  
 (915) 747-5576  
 E-mail - [admission@utep.edu](mailto:admission@utep.edu)

Admission applications are available at either of the above offices and in the counseling offices of most El Paso area high schools.

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(Continued)

**UNDERGRADUATE ADMISSION REQUIREMENTS**

**Admission Dates and Fees**

Applications for admission are due by the following dates:

<u>Semester</u>	<u>Priority Date</u>	<u>Documents Due</u>
FALL	May 1	July 31
SPRING	October 1	November 30
SUMMER	March 1	April 30

Late applications will be considered after the Priority Date if the student

1. submits all documents required to make the admission decision prior to the Document Due Date, and
2. submits a non-refundable \$15 late application fee made payable to The University of Texas at El Paso.

An admission file that becomes complete after the Document Due Date will be considered for admission to the next semester.

**International Admission Dates and Fees**

Applications from international students must be accompanied by a non-refundable \$65 check or money order (U.S. dollars) made payable to The University of Texas at El Paso.

All international student admission documents must be received by the International Document

Due Date:

<u>Semester</u>	<u>Document Due Date</u>
Fall	May 1
Spring	October 1
Summer	March 1

An admission file that becomes complete after the International Document Due Date will be considered for admission to the next semester.

**Admission Policies and Procedures**

The admission requirements that must be met are determined by an individual's

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**CATALOG CHANGES**  
(Continued)

academic preparation. The file of an applicant whose academic background does not fall into one of the categories below will be carefully reviewed by the Admissions Office, and the applicant will be notified of the appropriate documents required.

Admission to the university does not assure admission into any of the professional colleges (Business Administration, Education, Engineering, or Nursing and Health Sciences) or into any program with secondary admission requirements.

All admission documents must be submitted directly to the Admissions Office. Official transcripts should be sent directly from the schools involved, and official test scores should be sent directly from the appropriate testing agency. All transcripts in languages other than English or Spanish must be accompanied by a certified English translation.

All documents submitted to the university for admission purposes become part of the official files of the university and cannot be released or returned to the student or another institution.

**HIGH SCHOOL PREPARATION:** The university strongly encourages students to take high school courses that will give them the academic preparation necessary to pursue a baccalaureate degree. The high school curriculum listed below constitutes the current minimum recommended preparation for university level work.

<u>SUBJECT</u>	<u>CREDITS</u>
English	4
Mathematics (Algebra I & II and Geometry) (plus 1 year of Precalculus, Trigonometry, Analytic Geometry, or Elementary Analysis for Science and Engineering majors)	3 - 4
Natural Science (Physical Science, Biology I & II, Chemistry I & II, Physics I & II, or Science 3 & 4)	3
Social Studies (1 year each of U.S. History, World History, and World Geography, ½ year each of Economics and U.S. Government)	4
Foreign Language 3 years of the same language	3

**THE UNIVERSITY OF TEXAS AT EL PASO**  
**U. T. BOARD OF REGENTS' MEETING**  
**NOVEMBER 13, 1997**

**CATALOG CHANGES**  
(Continued)

SUBJECT	CREDITS
Health	0.5
Fine Arts	1
P. E.	1.5
Computer Science	1

Using one year of high school study to equal one credit, the recommended list requires 21 or 22 credits. For students who have graduated from U.S. high schools within the past five years, the course work listed above will be required for admission to the university beginning with the summer session of 2004. For admission beginning the summer of 2000, students must have completed a minimum of 16-1/2 of the credits above, including 4 in English. Students in science and engineering need an additional credit, preferably in mathematics. Students admitted for the summer session of 2002 must have completed a minimum of 18-1/2 of the credits above, including 4 in English. Students in science and engineering need an additional credit, preferably in mathematics.

**FRESHMAN AND TRANSFER ADMISSION**

The following sections on freshman and transfer admission apply to citizens and permanent residents of the United States. International students should refer to the section on **INTERNATIONAL STUDENT ADMISSION** for their admission requirements.

**FRESHMAN ADMISSION**

Applications for first-time freshmen may qualify for automatic admission, may be subject to review, or, in certain cases, may qualify for provisional admission.

DOCUMENTS REQUIRED: Application; official SAT, ACT, GED, TOEFL, or PAA scores, as appropriate; final, official high school transcript indicating adequate high school preparation, rank, and graduation date.

The university welcomes applications from individuals who are graduates of accredited high schools or their equivalents and who have the academic preparation necessary to pursue a baccalaureate degree. Admission of students who have never attended another college or university is based on high school academic preparation, final high school rank, and Scholastic Assessment Test (SAT), American College Test (ACT), Test of General Educational Development (GED), Test of English as a Foreign Language (TOEFL), or Prueba de Aptitud Academica (PAA) scores. For early notification of acceptance, an applicant should have verification of test scores, expected date of graduation, and a



THE UNIVERSITY OF TEXAS AT EL PASO  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES  
 (Continued)

transcript sent directly from the high school to the Admissions Office. After graduation, a final, official transcript must be submitted.

AUTOMATIC CATEGORIES OF FRESHMAN ADMISSION

Category I: In accordance with Chapter 51 of the Texas Education Code, graduates of accredited Texas high schools who are ranked in the top 25% of their graduating class are automatically admitted to UTEP as first-time freshmen during the 24 months following the date of their high school graduation. Freshmen who rank in the top half of their class or who score a minimum of 920 on the SAT or a 20 composite on the ACT are automatically admitted to UTEP upon application and submission of the appropriate admission documents. This policy includes admission of graduates of an accredited Texas high school who graduated in the top 25% of their high school class, as described in Chapter 51 of the Texas Education Code.

Category II: Freshmen who rank in the top half of their class or who score a minimum of 920 on the SAT or a 20 composite on the ACT are automatically admitted to UTEP upon application and submission of the appropriate admission documents. Freshman applicants who do not meet the requirements in Category I are subject to individual review, as outlined in the section below on "REVIEWED ADMISSIONS".

Category III: Residents of Texas who meet the high school preparation described above but who meet neither the rank nor test score requirement are given an opportunity to attend the university provisionally through the freshman START Program. START students must attend Orientation, must be advised by the Academic Advising Center, must select their classes from a list of prescribed university courses, and must take a free, non-credit study skills course. Freshman applicants who are residents of Texas and who do not qualify under Category I and who under Category II are not granted unconditional admission after review are eligible for participation in the START program as described below.

FRESHMAN START PROGRAM

During the first semester at UTEP, a START student must complete at least 9 semester hours with a minimum grade of "C" in each course selected from at least two of the following areas: English; Mathematics; Natural Science; Foreign Language; or Liberal Arts (Anthropology, Art, Communication, History, Music, Psychology, Sociology or Theatre Arts). A minimum cumulative grade point average of 2.0 must also be maintained. Once these requirements have been met, the student becomes fully admitted to the university and may change majors.

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(Continued)

If the student does not clear provisional admission during the first semester but has at least a 1.5 overall GPA, an additional START semester will be allowed to clear the conditions of admission.

Students who do not satisfy the conditions of their provisional admission have two options if they wish to re-enroll at UTEP:

1. apply for readmission and reinstatement by the Dean of Liberal Arts after at least 2 calendar years have elapsed since the end of the last period of attendance, or
2. apply for readmission after attending another college or university where a minimum of 12 semester hours with grades of "C" or better in each course were earned while a minimum cumulative 2.0 GPA was maintained.

Under extenuating circumstances, an ineligible provisional student may petition for reinstatement through the Academic Advising Center.

FRESHMAN TESTING REQUIREMENTS

Graduates of U.S. High Schools: All first-time freshmen who graduated from high school within the past five years are required to take the SAT or ACT for admission.

Graduates of High Schools Outside the U.S.: Graduates of high schools outside the United States must demonstrate an adequate proficiency in English that will enable them to pursue university level work successfully.

The SAT or ACT must be taken by applicants whose high school education was in English. An SAT total of 920 or higher, with a minimum of 400 on the Verbal is required. Students taking the ACT must score 20 or higher on the Composite, with a minimum of 21 on the English section.

The TOEFL must be taken by applicants whose high school education was not in English; a minimum score of 500 is required.

The PAA should be taken by applicants whose high school education was in Spanish and who are not proficient enough in English to take the TOEFL; a minimum score of 1,000 is required. Applicants admitted on the basis of PAA scores enroll as Inter-American Science and Humanities Program majors and are limited to regular university courses taught in Spanish and to ESOL (English for Speakers of Other Languages) courses. Once ESOL 5110 has been completed with a grade of "C" or better, the student may change majors.

THE UNIVERSITY OF TEXAS AT EL PASO  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES  
 (Continued)

High School Graduation More than Five Years Ago: Freshmen who graduated from American high schools over five years ago are not required to submit an admissions test score. TOEFL scores of 500 or higher or PAA scores of 1,000 or higher may be required of applicants whose high school education was in a language other than English.

High School Equivalency Examination: Applicants who received a high school equivalency certificate are eligible for admission if they have an average standard score of 45 or higher on the GED. A minimum SAT score of 920 or a minimum ACT score of 20 is required of applicants whose high school class would have graduated within the past 5 years and who pass the English version of the GED. All applicants passing the Spanish version of the GED must submit scores of 1,000 or higher on the PAA. Applicants who meet the Spanish GED and PAA requirements are admitted into the bilingual Inter-American Science and Humanities Program.

**TRANSFER ADMISSION**

DOCUMENTS REQUIRED: Application; complete, official transcript from EACH college or university attended.

The university welcomes applications from qualified individuals who have begun their college work at other accredited institutions of higher education.

CATEGORIES OF [AUTOMATIC] TRANSFER ADMISSION

Category I: Applicants who have completed a minimum of 12 semester hours of college level work with grades of "C" or better with a minimum overall 2.0 ("C") grade point average are automatically admitted to UTEP.

Category II: Applicants who do not meet the transfer hour and grade point average requirement but who meet the Freshman Category I [~~or II~~] requirements for admission are automatically admitted to UTEP as long as they are eligible to immediately re-enroll at their previous institutions.

Category III; Transfer applicants who do not meet the requirements in Category I or II are subject to individual review, as outlined in the section below on "REVIEWED ADMISSIONS."

Transfer applicants must indicate all institutions attended on the admission application and must submit a complete, official transcript from EACH school attended, regardless of the amount of work completed or the intent to have the credit transferred. Credit earned at institutions not declared on the admissions application cannot be used toward a degree at UTEP. Failure to provide complete information will be considered grounds for denial of admission, denial of transfer credit, cancellation of registration, or appropriate disciplinary action.

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(Continued)

The university honors suspension periods imposed by other colleges and universities. An applicant who is ineligible to return to any school previously attended because of suspension or dismissal or whose official records will not be released is not eligible for admission to UTEP until eligible for readmission to the previous institutions or until the official documents have been released. Information regarding the transferability of credit can be found in the ACADEMIC REGULATIONS section of this catalog under "Transfer Credit". Students who have questions concerning UTEP's evaluation of transfer credit should refer to the "Resolution of Transfer Disputes" in that same section.

**REVIEWED ADMISSIONS**

DOCUMENTS REQUIRED: Application; academic documents available; test scores, if applicable; letter of petition, if requested.

Freshmen and transfer applicants who do not meet the requirements for automatic admission or whose individual circumstances are highly unusual with respect to their academic credentials will be reviewed on an individual basis. This review gives primary consideration to the applicant's high school and college record, with regard to the types of courses taken and the grades earned in specific courses. Performance on standardized tests is also considered. Applicants may submit additional material for consideration in evaluating their potential for success at UTEP. Such material can document, for example, the applicant's work experiences and achievements, extracurricular and community activities, strengths and talents that might not be apparent from the academic record, experiences in surmounting obstacles to their further pursuit of higher education; and socioeconomic background of the applicant. Letters of recommendation from high school teachers, counselors, supervisors, and activity leaders are also appropriate.

The decision resulting from the individual review of each application will be one of the following:

1. to approve admission without condition, or
2. to approve conditional admission, which requires students to take preliminary or concurrent course work to strengthen their academic foundation in preparation for the regular UTEP curriculum, or
3. to deny admission, although a Texas resident who applies as a new freshman may be eligible to enter the University under the Start Program.

**INTERNATIONAL STUDENT ADMISSION**

The university welcomes applications from qualified international students

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(Continued)

whose academic backgrounds indicates a high probability for successful completion of the desired UTEP academic program. The high school preparation or its equivalent that would qualify the applicant for admission to recognized universities in the home country must be completed.

The university must receive complete, official, or school-certified transcripts of high school and university work sent directly from each institution involved. If the original documents are in the student's possession, copies certified by the school, college, university, or consulate may initially be submitted and the originals presented to the Admissions Office when the student arrives on campus. All transcripts in languages other than English or Spanish must be accompanied by certified translations.

A transfer applicant attending another institution on a student visa (I-20) will be considered for admission to UTEP if the requirements for transfer admission have been met and if the applicant has completed a minimum of 12 semester hours or its equivalent each long semester while in the United States. Failure to maintain this student status will result in denial of admission to UTEP.

Financial assistance for international students is extremely limited. Each student must furnish a statement of financial support from parents or sponsors stating that they are able to finance the student's education while in this country; this must be done before the student can be admitted. Further questions concerning finances should be sent to the Office of International Programs, UTEP, El Paso, TX 79968-0619.

The admission credentials of all international students will be evaluated on the basis of the admission requirements described below. International students who have attended other colleges or universities should also refer to the ACADEMIC REGULATIONS section of this catalog under "Transfer Credit" for additional information about the transferability of credit.

**INTERNATIONAL FRESHMAN ADMISSION**

DOCUMENTS REQUIRED: Application, accompanied by \$65 admission evaluation fee; satisfactory SAT, ACT, PAA or TOEFL scores; complete, official high school transcript; acceptable statement of financial support; immunization record.

A high school graduate whose academic credentials would grant admission to recognized universities in the home country will be considered for admission to the University. The academic average in areas related to the desired field of study should be equivalent to a 3.0 on a 4.0 scale.

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(Continued)

**INTERNATIONAL TRANSFER ADMISSION**

DOCUMENTS REQUIRED: Application, accompanied by \$65 admission evaluation fee; satisfactory SAT/ACT, TOEFL, or PAA scores; complete, official high school transcript; complete, official transcripts from EACH college or university attended; acceptable statement of financial support; immunization record.

An applicant from an accredited or nationally recognized college or university who has a minimum overall grade point average of 2.0 or its equivalent and who is eligible to return to all previous institutions attended will be considered for admission to the university.

**INTERNATIONAL STUDENT TEST SCORE REQUIREMENTS**

All international students who have not earned a baccalaureate degree from a college or university in the United States must submit entrance examination scores. The SAT or ACT must be taken by applicants whose high school education was in English. An SAT total of 920 or higher, with a minimum of 400 on the Verbal is required. Students taking the ACT must score a minimum of 20 on the Composite with a minimum of 21 on the English section. The TOEFL may be submitted by applicants whose high school education was in English but for whom the SAT or ACT is unavailable in the home country.

The TOEFL (Test of English as a Foreign Language) must be taken by applicants whose high school education was not in English; a minimum score of 500 is required.

The PAA (Prueba de Aptitud Academica) should be taken by applicants whose primary language is Spanish and who are not proficient enough in English to pass the TOEFL; a total score of 1,000 is required. Applicants accepted with PAA scores are admitted into the bilingual Inter-American Science and Humanities Program. Students admitted into the program are limited to regular university courses taught in Spanish and to ESOL (English for Speakers of Other Languages) courses. Once ESOL 5110 has been completed with a grade of "C" or better, the student may change majors.

Students whose academic background is unusual or is not described above should contact the Admissions Office to determine which test is most appropriate.

**READMISSION**

DOCUMENTS REQUIRED: Application; complete, official college transcripts from all institutions attended since last UTEP enrollment.

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(Continued)

Former UTEP students who last attended the university prior to January 1, 1984, must submit an application for readmission. Ineligible START students and Junior Scholars who have graduated from high school who wish to continue at UTEP as regular students should contact the Admissions Office to have their admission files reviewed and reactivated. Students who have attended other colleges or universities since last attending UTEP must submit official transcripts of that work so it can be evaluated and added to the UTEP academic record.

**EARLY ADMISSION**

The university's Early Admission Program allows students who are enrolled in their last semester of high school and who meet the requirements for regular freshman admission (Categories I and II) to enroll concurrently in university courses for which they have the appropriate background. Students interested in early admission must submit a letter of recommendation from their high school counselor or principal. Continued high school enrollment and high school graduation are conditions of the Early Admission Program. Contact the Admissions Office for additional information.

**JUNIOR SCHOLARS PROGRAM**

The Junior Scholars Program is a cooperative effort between The University of Texas at El Paso and El Paso area public and private schools that allows qualified students to enroll in regular university courses while attending high school. Hours earned in this way will count as university credit and may also be approved to apply toward high school graduation requirements. Persons interested in this program should contact:

The University of Texas at El Paso  
Junior Scholars Program  
Honors House  
El Paso, TX 79968-0607  
(915) 747-5858

**FLEXIBLE ADMISSION PROGRAM**

The Flexible Admission Program is designed for first-time, non-traditional students who have had no exposure to a university environment and who do not intend to pursue a degree at the time of their admission. Flex students may take selected basic undergraduate courses to achieve personal educational objectives or to complete courses in a particular field for professional reasons.

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(Continued)

To qualify for the Flex Program, an individual must be at least 23 years of age, be a citizen or permanent resident of the United States, and have had no previous college or university experience. Individuals admitted under this option are subject to the same tuition, fees and regulations as degree-seeking students. A student who changes from Flex to degree-seeking status is required to meet all the requirements for regular admission to the university. Should an individual seek this change, a maximum of 30 semester hours accumulated as a Flex student can be counted toward an undergraduate degree. Individuals who have completed 30 semester hours will need approval from the director of admissions to enroll in, or to continue to enroll under, the provisions of the Flex Program. The late application fee does not apply to Flex applicants, and applicants should note that admission into a non-degree program like Flex will preclude their participation in federally funded financial aid programs.

**ACADEMIC FRESH START PROGRAM** (Texas Education Code, 51.931)

An applicant for undergraduate admission who is a Texas resident may seek to enter the university under the Academic Fresh Start Program. After the applicant informs the Admissions Office in writing of this intention, the university will not consider in the admission decision any academic course work or grades earned 10 or more years prior to the starting date of the semester in which the applicant seeks to enroll. An applicant who chooses to apply under the Academic Fresh Start Program may not receive any course credit for courses taken 10 or more years prior to enrollment.

The courses excluded for Academic Fresh Start purposes may not be counted toward a degree, may not be counted in the cumulative GPA calculation, may not be used to remove any existing high school deficiencies, and may not be used to meet prerequisite requirements. These courses and grades will remain on the student's official UTEP academic transcript. A notation will be made on the student's academic transcript indicating that portion of the record which is to be involved in computing requirements for graduation.

Students with three or more semester credit hours or the equivalent awarded prior to fall semester, 1989, are exempt from the Texas Academic Skills Program regardless of any election pursuant to the "academic fresh start" statute.

The Academic Fresh Start Program Acknowledgment must be returned to the Admissions Office before the Document Due Date of the semester for which the student is applying.



THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(Continued)

TEXAS ACADEMIC SKILLS PROGRAM (TASP)

For information about the TASP, refer to the Academic Regulations section in this catalog.

UNDERGRADUATE SCHOLARSHIPS

The University of Texas at El Paso has an excellent scholarship program designed to attract and retain bright scholars to the university. These competitive scholarships are awarded for academic merit and are intended to recognize students for their outstanding academic accomplishments and future potential. The awards are made possible through the generosity of business firms, civic organizations, professional groups, individuals and university endowed funds. Please see the section entitled "Endowments, Trusts and Memorial Funds" in the General Information section of this catalog.

Scholarship awards are given primarily on entering freshmen and are usually renewable for four years (eight long semesters), when the renewal requirements are met. Some scholarships also require documented financial need. Only a few awards are available for international students. Non-resident students awarded a university competitive academic scholarship of at least \$1,000 are eligible for resident tuition rates. Scholastically successful high school, transfer, and currently enrolled student are encouraged to apply by the deadline dates of November 1 or March 1 for the following academic year.

In addition to specific qualifications required for various competitive scholarships awarded by the University, the committee or agency responsible for selection of a given scholarship may consider such factors as the following in designating recipients:

1. class ranking in lieu of minimum standardized test scores required
2. applicant's region of residence
3. applicant's personal interview
4. financial status of the applicant's school district
5. whether the applicant would be the first generation of the applicant's family to attend an institution of higher learning
6. Socioeconomic background of the applicant
7. educational level
8. leadership and extracurricular activities.

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET

The following Requests for Budget Changes (RBC) have been administratively approved as required by the Acting Vice Chancellor for Academic Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u>					
EDUCATIONAL AND GENERAL FUNDS - INSTRUCTION					
COLLEGE OF LIBERAL ARTS					
Sociology And Anthropology					
1. Salvador F. Rodriguez					320
From: Assistant Professor		100	09	38,098	
To: Assistant Professor		100	09	38,098	
Department Chair	6/01	SPLT	09	2,000	
COLLEGE OF NURSING AND HEALTH SCIENCES					
Nursing/Pharmacy Program/Office of the Dean					
2. Patricia T. Castiglia (T)					321
From: Professor (T)			09	68,105	
Dean		100	12	94,741	
To: Professor (T)	6/01		09	68,105	
Dean	6/01	100	12	94,741	
The Charles H. and Shirley T. Leavell Chair in Nursing and Health Sciences	6/01	SPLT	09	12,000	
INSTITUTIONAL SUPPORT					
Equal Opportunity/Affirmative Action Office					
EEO Officer					
3. Robert M. Almanzan	7/01	100	12	40,000	322

THE UNIVERSITY OF TEXAS AT EL PASO  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u>					
AUXILIARY ENTERPRISES FUNDS					
Student Auxiliary Services Office/ Center For Professional And Continuing Education					
4. Robert L. Stakes					323
From: Director		100	12	54,080	
To: Executive Director	7/07	100	12	70,800	
Source of Funds:					
\$ 16,723 - Auxiliary Funds					
54,077 - Educational and General Funds					
<u>\$ 70,800 - Total Salary</u>					

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

NAMING OF FACILITIES OTHER THAN BUILDINGS

The following item has been approved by the Acting Vice Chancellor for Academic Affairs in accordance with the Regents' Rules and Regulations, Part One, Chapter VIII, Section 1 and is submitted for ratification by the U. T. Board of Regents.

1. Facility: University Playhouse of the Fox Fine Arts Center  
Proposed Name: The William A. and Marie Wise Family Theatre  
Justification: Mr. and Mrs. Wise have been the most generous major benefactors to the fine arts at U. T. El Paso. They recently committed \$1 million for the performing arts. Their leadership has enabled U. T. El Paso to receive support for fine arts projects in excess of \$2.9 million from the El Paso Natural Gas Foundation (now the El Paso Energy Foundation) under the Chairmanship of Mr. Wise.

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY

The following institutional policy for the Periodic Performance Evaluation of Tenured Faculty at The University of Texas at El Paso has been approved by the Chancellor, the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel and is recommended for approval by the U. T. Board of Regents. Upon approval, the policy will be included in the institutional Handbook of Operating Procedures.

THE UNIVERSITY OF TEXAS AT EL PASO  
Guidelines for Periodic Performance Evaluation of Tenured Faculty

PREAMBLE

At The University of Texas at El Paso faculty tenure is regarded as a critical protection of free inquiry, open intellectual and scientific debate, and unfettered criticism of the accepted body of knowledge. The exploration and expansion of the frontiers of learning has, historically, involved challenge to the established order. Because academic institutions engage in a continual reexamination of ideas, they have a special obligation and need to protect freedom of expression. Academic disciplines thrive through critical analysis of conventions and theories. Thus, tenure is vital to the success of the university not simply for the protection of individual faculty members but also as an assurance to the community at large that the university is committed to the pursuit of knowledge.

GUIDELINES

- 1) The purpose of periodic evaluation of tenured faculty is to maintain a qualified and effective faculty. A tenured faculty member whose performance has been judged unsatisfactory may benefit from additional institutional support. Procedures for termination of employment may be initiated under Regents' Rules and Regulations, Part One, Chapter III, Section 6.
- 2) Tenured faculty will continue to be evaluated annually.
- 3) Each tenured faculty member will be subject to comprehensive review by the department every six years. Reviews will be conducted by committees made up of tenured members from the faculty member's department selected according to procedures established by the tenured faculty in the departments. In the case of smaller departments, the chair, in consultation with the academic dean, and tenured members of the

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

department will form an appropriate review committee made up of tenured faculty.

- 4) The faculty member shall submit to the committee a resume and a statement of professional accomplishments and may submit other supporting material. The review will consider this material as well as annual performance evaluation files for the six-year period and teaching evaluations on file in the department and any other appropriate documents. Any such documents must be made available to the faculty member in a timely manner and an opportunity for review and response provided. Faculty members will be reviewed on the basis of the faculty member's professional responsibilities in teaching, research, service, administration and other faculty duties, according to department, college and university guidelines. The faculty member will have the right to appear before the committee.
- 5) The committee will provide a written assessment of the faculty member's performance to the department chair. The chair will review this report and transmit it to the academic dean, with a copy to the faculty member.
  - a) In cases in which the department review committee finds that the faculty member has performed satisfactorily, the dean will notify the faculty member in writing and transmit the results of the evaluation to the Provost and President for review. No further action is required, although results of satisfactory reviews may be used to support salary recommendations and other forms of professional recognition.
  - b) In cases in which the department review committee finds that the faculty member has performed unsatisfactorily, the committee will include in its report specific recommendations for improvement of performance. At the written request of the faculty member, department chair, or dean the college peer review committee will review the committee report and recommendations. The faculty member will be informed in writing by the dean of his/her right to review by the college peer review committee and will be provided at least 30 days to respond.
- 6) The dean of each college in consultation with department chairs and members of the tenured faculty will annually appoint a peer review committee of tenured faculty whose members are representative of the college and are chosen on the basis of their objectivity and academic

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

strength. No faculty member may serve on this committee who is subject to comprehensive review in the same year. At the request of the faculty member, department chair, or dean, the committee will review the department committee's evaluation and recommendations for improvement. The committee may request additional relevant information. Any additional information must be made available to the faculty member in a timely manner and an opportunity for review and response provided. The faculty member will have the right to appear before the committee. The committee will provide a written assessment of the faculty member's performance to the dean with copies to the chair and faculty member.

- a) In cases in which the faculty member's performance is found satisfactory, no further action is required.
- b) For individuals whose performance indicates they would benefit from additional institutional support, the evaluation may be used to provide such support (e.g., teaching effectiveness assistance, counseling, or mentoring in research issues/service expectations). The committee will report to the dean specific recommendations for improvement and institutional support to sustain that improvement. The dean will develop with the faculty member a plan and timetable for improvement based on the recommendations of the department and college committees. This plan is subject to review by the Provost and President. If at the conclusion of the period set, the college peer review committee determines that the faculty member's performance is satisfactory, no further action is required. If the dean determines that the faculty member's performance remains unsatisfactory, this finding may become the basis for proceedings for termination. Results of the evaluation will be communicated in writing to the faculty member, the department chair, dean, the Provost, and the President for review and appropriate action.
- c) For individuals found to be performing unsatisfactorily, review to determine if good cause exists for termination under the current Regents' Rules and Regulations may be considered. All proceedings for termination of tenured faculty on the basis of periodic performance evaluation shall be only for incompetence, neglect of duty or other good cause shown and must be conducted in accordance with the due process procedures of the Regents' Rules and Regulations, Part One, Chapter III, Section 6 including an opportunity for referral of the matter to alternative dispute resolution. Such proceedings must include a list of specific charges

THE UNIVERSITY OF TEXAS AT EL PASO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

by the chief administrative officer and an opportunity for a hearing before a faculty tribunal. In all such cases, the burden of proof shall be on the institution, and the rights of a faculty member to due process and academic freedom shall be protected.

IMPLEMENTATION

- 1) Periodic review will be initiated through a lot system at the department level, with 20% of faculty reviewed each year beginning in 1998-99. Faculty members tenured or promoted since 1992-93 will be reviewed at six year intervals from the year of tenure or promotion. Tenured faculty members will be reviewed every six years after the initial review. Upon the approval of this document all tenured faculty will be notified of the date of their initial periodic review, which must be at least six months from the date of notification. Thereafter, a comprehensive review, or the award of promotion or tenure, or appointment to an endowed position, will be regarded as notification of subsequent review.
- 2) Review will be deferred at the faculty member's request if the faculty member is on leave during the scheduled review year. Promotion to the rank of full professor or appointment to an endowed position will be regarded as the equivalent of periodic review. Subsequent reviews will occur at six-year intervals from promotion or appointment to an endowed position.
- 3) The Provost will report annually to the Faculty Senate, summarizing the results of periodic review and its effectiveness. The Faculty Senate Committee on Academic Responsibility, Privilege, and Ethics is charged with monitoring this review process and with reporting its findings annually to the Faculty Senate and the Provost. If its findings warrant, the Committee shall recommend revisions of the UTEP or U. T. System Guidelines for Periodic Performance Evaluation of Tenured Faculty.
- 4) The effective date of these guidelines is January 1, 1998.





OFFICE OF THE PRESIDENT

THE UNIVERSITY OF TEXAS - PAN AMERICAN

511

1201 West University Drive • Edinburg, Texas 78539-2999 • (210) 381-2100 Office • FAX (210) 381-2150

THE UNIVERSITY OF TEXAS-PAN AMERICAN

September 25, 1997

Mrs. Francie A. Frederick  
Acting Vice Chancellor  
for Academic Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Mrs. Frederick *Francie*

The docket for the November 12-13, 1997, meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,

Miguel A. Nevarez  
President

MAN:dt

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

TABLE OF CONTENTS

	<u>Page No.</u>
Catalog Changes.....	PA- 3
Other Fiscal Items.....	PA- 4
Small Class Report.....	PA- 5
Other Matters.....	PA-12

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES

[Note: The freshman admission policy for The University of Texas-Pan American includes admission of Texas resident students in the top 10% of their high school graduating class and does not require amendment.]

The following listing summarizes the substantive changes proposed to the Catalog of The University of Texas-Pan American. These catalog changes have been administratively approved by the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel.

Graduate Catalog for 1998-2000

Fellowships

The University of Texas-Pan American awards a limited a number of fellowships. Applications may be requested from the department or college awarding the fellowship.

**Undergraduate** -- The Chemistry Department awards Robert A. Welch Foundation Fellowships to deserving junior and senior students. The awards, both full and half fellowships, are based upon departmental research programs and the student's background in chemical science. The student must be majoring in Chemistry or Biology with a Chemistry minor.

**Graduate** -- The College of Business Administration awards a limited number of fellowships to doctoral students based upon their research proposals or papers, with priority given to published papers. Applications are reviewed by the college doctoral committee. Fellowships are generally limited to expenses incurred.

The committee or officer responsible for selecting recipients of these or any other institutional scholarship or grant may, in addition to academic qualifications, consider whether the applicant is a first generation college student; financial need; high school or college honors and awards; extracurricular activities; recommendations; and work history.

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER FISCAL ITEMS

EMPLOYMENT AGREEMENTS

The following agreements have been awarded, have been approved by the Acting Vice Chancellor for Academic Affairs, and are recommended for ratification by the U. T. Board of Regents. Such employment under these agreements are subject to the Constitution and Bylaws of the National Collegiate Athletic Association, any intercollegiate athletic conference of which U. T.-Pan American is a member, and the Rules and Regulations of the Board of Regents of The University of Texas System and The University of Texas-Pan American. The violation of the provisions of such constitution, bylaws, rules or regulations shall be grounds for suspension without pay or dismissal.

1. Item: Men's Assistant Basketball Coach  
Funds: \$25,000 annually  
Period: June 23, 1997 through June 22, 1998  
Description: Agreement for employment of Men's Assistant Basketball Coach, Patrick Harrington, for the above-designated period following the coach's employment contract approved by the Office of General Counsel.
  
2. Item: Men's Assistant Basketball Coach  
Funds: \$35,000 annually  
Period: June 23, 1997 - June 22, 1998  
Description: Agreement for employment of Men's Assistant Basketball Coach, George Morgan, for the above-designated period following the coach's employment contract approved by the Office of General Counsel.

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

SMALL CLASS REPORT, FALL 1996 AND SPRING 1997

Ratification is recommended for the following list of small classes, pursuant to Section 51.403 (d) of the Texas Education Code. The list has the administrative approval of the Acting Vice Chancellor for Academic Affairs.

Course No.	Section No.	Enrollment	Justification Code*
<u>UNDERGRADUATE COURSES</u>			
ACC	2421	I	4
ACC	3328	1	9
ACC	3328	1	4
ACC	4327	1	8
ANTH	2301	1	4
ANTH	2301	1	1
ANTH	2301	2	5
ANTH	4302	1	7
ANTH	4353	1	2
ART	3382	1	9
ART	3382	1	1
ART	3383	1	9
BIOL	3410	A	7
BIOL	3410	1	7
BIOL	4407	B	9
BIOL	4407	1	9
C S	3350	1	7
C S	3390	A	1
C S	3390	1	1
C S	4335	1	9
C S	4390	1	1
C S	4390	2	1
C S	4390	3	2
C S	4390	4	1
CHEM	2102	2	8
CHEM	3304	1	7
CHEM	3305	1	5
CHEM	3306	1	9
CHEM	4104	1	7
CHEM	4105	1	5
CHEM	4302	1	3
CHEM	4378	1	9
CHEM	4378	1	9
CLSC	3410	A	9
CLSC	3410	1	9
CLSC	3420	A	9
CLSC	3420	1	9
CLSC	3513	A	9
CLSC	3513	1	9
CLSC	3530	A	9
CLSC	3530	1	9
CLSC	3530	1	9
CLSC	4311	A	8
CLSC	4311	1	8
CLSC	4314	A	8
CLSC	4314	1	8

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

SMALL CLASS REPORT, FALL 1996 AND SPRING 1997  
(Continued)

Course No.	Section No.	Enrollment	Justification Code*	
<u>UNDERGRADUATE COURSES CONT'D</u>				
CLSC	4421	A	8	B
CLSC	4421	1	8	B
CLSC	4421	1	8	B
CLSC	4631	A	8	B
CLSC	4631	A	8	B
CLSC	4631	1	8	B
COMM	2313	1	3	D
COMM	2313	2	5	D
COMM	3301	1	9	A
COMM	3306	1	8	A
COMM	3323	1	5	D
COMM	3325	1	1	H
COMM	3328	1	9	D
COMM	3328	2	1	H
COMM	3350	1	1	H
COMM	3350	2	1	H
COMM	3401	A	1	H
COMM	3401	1	1	H
COMM	4302	A	8	A
COMM	4302	1	8	A
COMM	4304	1	1	H
COMM	4315	1	8	A
COMM	4316	1	8	A
COMM	4326	2	1	H
COMM	4328	1	8	A
COMM	4335	2	1	H
COMM	4336	1	1	H
COMM	4336	1	7	H
COMM	4336	2	1	H
CRIJ	4312	1	4	H
CRIJ	4343	1	2	H
CRIJ	4363	1	1	H
CRIJ	4363	1	3	H
CRIJ	4363	2	2	H
DIET	3353	1	5	A
DIET	3354	1	6	B
DIET	3356	A	5	A
DIET	3356	1	5	A
DIET	3357	A	5	B
DIET	3357	1	5	B
DIET	3452	A	6	A
DIET	3452	1	6	A
ECO	3351	1	9	B
ECO	4359	1	9	B
ECO	4361	1	1	H
ED	2304	1	6	A
ED	2304	1	9	E
ED	3350	1	9	G
ED	3350	2	9	G
ED	4302	2	9	B

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

SMALL CLASS REPORT, FALL 1996 AND SPRING 1997  
 (Continued)

Course No.	Section No.	Enrollment	Justification Code*	
<u>UNDERGRADUATE COURSES CONT'D</u>				
EDAC	4348	1	8	A
EDEC	4392	1	1	H
EDEC	4392	34	9	B
EDEC	4394	32	8	A
ELEE	2420	A	9	B
ELEE	2420	1	9	B
ENG	1301	80	9	D
ENG	3304	1	8	A
ENG	3320	3	1	H
ENGR	1221	B	8	G
ENGR	3101	1	1	H
ENGR	3333	3	2	H
ENGR	4461	A	1	B
ENGR	4461	B	2	B
ENGR	4461	1	1	B
ENGR	4461	2	2	B
ENGR	4462	B	5	A
ENGR	4462	2	5	A
FINA	1381	1	8	
FINA	3386	1	8	A
FINA	4381	1	7	A
FINA	4381	2	5	
FR	3321	1	8	A
GEOL	4408	A	7	C
GEOL	4408	1	7	C
GERM	2331	1	1	H
HIST	2313	D	6	D
HIST	2313	J	7	D
HIST	2313	K	7	D
HIST	2313	80	5	D
HIST	3330	1	1	H
HLTH	1354	1	8	H
HLTH	1354	2	9	D
INTB	3340	1	8	B
INTB	4364	1	9	B
KIN	1161	1	6	A
KIN	1161	2	6	A
KIN	2281	1	5	D
KIN	2282	1	3	H
KIN	2282	2	4	H
KIN	2282	2	9	G
KIN	2323	1	9	B
MANA	2346	1	6	F
MANA	4300	1	4	H
MANA	4366	1	6	B
MARK	3371	5	9	B
MARK	3372	1	5	B
MARK	4379	1	1	H
MATH	3319	1	9	A
MATH	3368	1	6	D

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

SMALL CLASS REPORT, FALL 1996 AND SPRING 1997  
 (Continued)

Course No.	Section No.	Enrollment	Justification Code*
<u>UNDERGRADUATE COURSES CONT'D</u>			
MATH	4357	1	H
MATH	4364	1	H
MATH	4379	5	H
MUS	1101	3	D
MUS	1101	2	D
MUS	1207	1	D
MUS	1224	7	D
MUS	1224	6	D
MUS	1226	2	D
MUS	1226	2	D
MUS	1226	3	D
MUS	1226	1	D
MUS	1227	3	D
MUS	1227	2	D
MUS	1227	3	D
MUS	1227	3	D
MUS	1228	1	D
MUS	2122	8	A
MUS	3102	1	D
MUS	3102	1	D
MUS	3121	8	A
MUS	3210	8	D
MUS	3210	3	D
MUS	3210	5	D
MUS	3312	1	H
MUS	4203	9	A
NURS	1801	9	F
NURS	1802	8	F
NURS	1802	9	F
NURS	1802	9	F
NURS	1802	9	F
NURS	1802	9	F
NURS	2301	9	A
NURS	2301	8	A
NURS	2301	9	A
NURS	2301	8	A
NURS	2301	8	A
NURS	2301	9	A
NURS	2301	8	A
NURS	2301	9	A
NURS	2301	7	A
NURS	2301	9	A
NURS	2406	9	F
NURS	2505	7	F
NURS	2505	9	F
NURS	3407	4	B
NURS	3608	9	F
NURS	3608	9	F
NURS	4404	9	F
NURS	4404	9	F
NURS	4405	7	F



THE UNIVERSITY OF TEXAS-PAN AMERICAN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

SMALL CLASS REPORT, FALL 1996 AND SPRING 1997  
 (Continued)

<u>Course No.</u>	<u>Section No.</u>	<u>Enrollment</u>	<u>Justification Code*</u>
<u>UNDERGRADUATE COURSES CONT'D</u>			
NURS	4601	9	F
NURS	4601	9	F
NURS	4602	9	F
NURS	4607	9	F
NURS	4607	9	F
NURS	4607	9	F
PHIL	1387	9	H
PHYS	2101	7	H
PHYS	3102	2	H
PHYS	4102	1	H
POLS	3318	9	E
PSCI	1421	7	G
PSCI	2408	9	B
PSCI	2408	7	A
PSY	2331	6	D
PSY	4383	1	H
PSY	4383	1	H
READ	3310	8	B
READ	3327	2	H
REHS	2331	5	D
REHS	4402	6	A
REHS	4403	8	A
ROTC	1201	8	H
ROTC	1202	4	H
ROTC	1202	5	H
ROTC	1202	9	H
ROTC	1202	9	H
ROTC	2201	6	H
ROTC	2201	6	H
ROTC	2202	1	H
ROTC	2202	8	H
ROTC	2202	2	H
ROTC	2202	1	H
ROTC	2202	1	H
ROTC	2202	8	H
ROTC	2202	2	H
ROTC	3401	1	H
ROTC	3401	1	H
ROTC	3402	2	H
ROTC	3402	4	H
ROTC	4401	2	H
ROTC	4401	2	H
ROTC	4403	5	H
ROTC	4403	2	H
ROTC	4403	1	H
ROTC	4403	4	H
ROTC	4403	2	H
SOC	4333	1	H
SOC	4383	1	H
SOC	4383	3	H
SOC	4383	1	H
SOC	4383	1	H

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

SMALL CLASS REPORT, FALL 1996 AND SPRING 1997  
 (Continued)

Course No.	Section No.	Enrollment	Justification Code*	
<u>UNDERGRADUATE COURSES CONT'D</u>				
SPAN	3310	2	1	H
SPAN	4305	1	2	H
SPAN	4318	1	9	B
STAT	2330	2	9	D
STAT	2330	3	9	D
<u>GRADUATE COURSES</u>				
STAT	2330	3	7	D
STAT	2330	5	4	D
STAT	3337	1	1	D
ANTH	6354	1	2	H
ANTH	6354	1	3	H
ANTH	6354	2	1	H
ANTH	6354	2	1	H
ANTH	6354	3	1	H
BIOL	5307	B	2	D
BIOL	5307	1	2	D
BIOL	5312	A	2	D
BIOL	5312	1	2	D
BIOL	5314	B	3	D
BIOL	5314	A	2	D
BIOL	5314	1	3	D
BIOL	5314	1	2	D
BIOL	5408	A	3	D
BIOL	5408	1	3	D
CHEM	5301	1	3	B
COMD	6355	1	2	B
COMM	5304	1	1	H
COMM	5615	1	3	H
COMM	6322	1	1	H
COMM	6340	1	2	H
COMM	6340	1	3	H
ECO	6301	1	4	
ED	5313	3	1	H
ED	6300	6	4	A
ED	6308	1	2	A
ED	6323	20	2	H
ED	6329	1	3	H
ED	6329	1	1	H
ED	6329	20	1	H
ED	6363	2	1	H
ED	6388	1	1	H
EDPS	6330	1	1	H
EDPS	6331	2	3	D
EDPS	6331	3	1	D
EDPS	6333	1	4	D
EDPS	6333	2	3	D
EDPS	6333	3	2	D

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

SMALL CLASS REPORT, FALL 1996 AND SPRING 1997  
 (Continued)

Course No.	Section No.	Enrollment	Justification Code*
<u>GRADUATE COURSES CONT'D</u>			
ENG	6325	1	H
ENG	6328	4	D
ENG	6328	2	D
ENG	6350	3	A
ENG	6360	2	D
ENG	6390	3	H
ENG	6390	1	H
ENG	6390	3	H
HIST	5343	4	D
KIN	5351	3	H
KIN	6308	4	A
KIN	6320	1	H
MUS	6331	1	H
NURS	6303	4	B
NURS	6303	4	B
NURS	6321	4	G
NURS	6344	3	A
NURS	6344	3	A
PSY	6315	3	C
PSY	6360	4	H
SOCI	6300	1	H
SOCI	6362	2	H
SPAN	6347	1	H

\*Justification Codes:

- a. Required course for graduation (not offered each semester or term); if canceled, may affect graduation date.
- b. Required course for majors in this field; should be completed this session to keep proper course sequence.
- c. Class in a new program area.
- d. Class meets concurrently with another class.
- e. First time offering of this course.
- f. Class size limited by accreditation standards.
- g. Class size limited by laboratory facilities.
- h. Voluntary overload.

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY

The following institutional policy for the Periodic Performance Evaluation of Tenured Faculty Policy at The University of Texas-Pan American has been approved by the Chancellor, Acting Vice Chancellor for Academic Affairs and the Office of General Counsel and is recommended for ratification by the U. T. Board of Regents. Upon approval, the policy will be included in the institutional Handbook of Operating Procedures.

A. Preamble

The University of Texas-Pan American recognizes the time-honored practice of tenure for university faculty as an important protection of free inquiry, open intellectual and scientific debate, and unfettered criticism of the accepted body of knowledge. Academic institutions have a special need for practices that protect freedom of expression, since the core of the academic enterprise involves a continual reexamination of ideas. Academic disciplines thrive and grow through critical analysis of conventions and theories. Throughout history, the process of exploring and expanding the frontiers of learning has necessarily challenged the established order. That is why tenure is so valuable, not merely for the protection of individual faculty members, but also as an assurance to society that the pursuit of truth and knowledge commands our first priority. Without freedom to question, there can be no freedom to learn.

U.T. Pan American supports a system of periodic evaluation of all tenured faculty. Periodic evaluation is intended to enhance and protect, not diminish, the important guarantees of tenure and academic freedom. The purpose of an ongoing evaluation is to provide guidance for continuing and meaningful faculty development; to enhance professional skills and goals; to refocus academic efforts, when appropriate; and to assure that faculty members are meeting their responsibilities to the University and the State of Texas. Nothing in these procedures or the application of institutional evaluation policies shall be interpreted or applied to infringe on the tenure system, academic freedom, due process, or other protected rights; nor to establish a term-tenure system or to require faculty to re-establish their credentials for tenure.

B. Procedures

1. All tenured faculty will be evaluated annually, with a comprehensive periodic evaluation of all tenured faculty performed every six years following the last successful comprehensive review for tenure, promotion, or post tenure review. The six-year evaluation does not replace the annual reviews, but rather supplements them. Under special circumstances, such as approved leave, the review may be delayed with the approval of the VPAA.
2. The six-year evaluation will include review of professional responsibilities in teaching, professional achievement, patient care, professional service, and administration. Reasonable individual notice of at least six months of intent to review will be provided to a faculty member by the VPAA.

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

OTHER MATTERS  
 (Continued)

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY

3. The faculty member shall submit a resume and a summary statement of the previous six annual evaluations conducted under HOP 7.2.7, including the statistical summaries of the teaching evaluations. The faculty member may submit a brief statement of professional objectives and accomplishments and any additional materials s/he deems appropriate.
4. The evaluation will begin in the department in which the faculty member holds a tenured appointment and will be conducted by a department peer review committee which will include the department chair. The members of the committee must be tenured and will be elected by the tenured faculty of the department. If the size of a department makes a peer review committee impractical (e.g., if the department is composed of fewer than three faculty), the evaluating unit will be determined through discussions among the department faculty, the department chair and the college dean.

The departmental committee's report will include one of the following recommendations:

- A. The faculty member is meeting his/her academic responsibilities and no further action is warranted.
- B. There are areas of serious concern that justify a meeting among the faculty member, department chair, and dean to address these areas.
- C. The faculty member is deficient in meeting his/her comprehensive academic responsibilities and is referred to the dean for appropriate action.

The department chair will communicate the results in writing to the faculty member and to the dean for review. The faculty member being evaluated will have the opportunity to respond, in writing and in person, to the committee.

5. The dean will inform the faculty member, in writing, of his/her recommendation. The faculty member will have an opportunity to respond, in writing and in person, to the dean.

If the dean and the department committee conclude that the faculty member is meeting his/her academic responsibilities, no further action will be taken and the dean will inform the VPAA and President of the results. If the dean or the department committee conclude that there are areas of serious concern or that the faculty member is deficient, then a college peer review committee will be convened. This committee will be the standing College Tenure Committee.

6. The College committee will report the results of its evaluation in writing to the faculty member, the department chair, the dean, and the VPAA for review and appropriate action. The faculty member being evaluated will have the opportunity to respond, in writing and in person, to the committee.

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

OTHER MATTERS  
 (Continued)

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY

The College committee's report will include one of the following recommendations:

- A. The faculty member is meeting his/her academic responsibilities and no further action is warranted.
- B. There are areas of serious concern that justify a meeting among the faculty member, department chair, college dean and the VPAA to address these areas.
- C. The faculty member is deficient in meeting his/her comprehensive academic responsibilities and is referred to the VPAA for appropriate action, which may include remediation efforts or additional action under Regents' Rules.

- 7. The VPAA will make a recommendation to the President, and will inform the faculty member and the College committee in writing of the reasons for his/her recommendation. The faculty member will have the opportunity to respond, in writing and in person, to the VPAA. If either the President or the faculty member requests, the University Tenure Committee will be convened to review the VPAA's recommendation and advise the President.

The President will notify the faculty member in writing of his/her recommendation. The faculty member will have the opportunity to respond, in writing and in person, to the University Tenure Committee and to the President.

- 8. If a faculty member is so deficient in meeting his/her comprehensive academic responsibilities that review to determine if good cause exists for termination is considered, such action must be only for incompetency, neglect of duty or other good cause shown. Such a review on the basis of this periodic performance evaluation must be conducted in accordance with the due process requirements of the Regents' Rules and Regulations, Part One, Chapter III, Section 6, including an opportunity for referral of the matter to alternative dispute resolution. Such proceedings must also include a list of specific charges by the President and an opportunity for a hearing before a faculty tribunal. The tribunal shall be appointed in accordance with UTPA policies and Regents' Rules.

In all such cases the burden of proof will be on the institution, and the rights of a faculty member to due process and academic freedom shall be protected.

- 9. After the 1998-99 academic year this six-year evaluation will occur during the Spring semester of each academic year. The entire process will be phased in over a six-year period, beginning with the 1998-99 academic year. Because of legislative requirements, the VPAA will select a portion of the faculty scheduled for review during the 1998-99 academic year to be evaluated during the Fall rather than the Spring

THE UNIVERSITY OF TEXAS-PAN AMERICAN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY

semester. Faculty members will be evaluated on a six-year cycle determined by the academic year of their last successful comprehensive review for tenure, promotion, or post tenure review. For example, those to be evaluated in 1998-99 would have been reviewed in 1992-93, or 1986-87 or 1980-81, etc. In 1999-2000, those to be evaluated would have been reviewed in 1993-94, or 1987-88, or 1981-82, etc.

C. Review

This policy will be reviewed every two years, beginning with 1999-2000 academic year, by the VPAA in consultation with the Faculty Senate.

## THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN

September 24, 1997

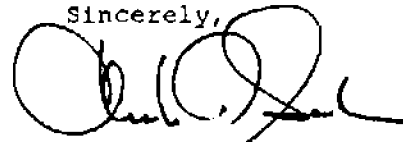
Ms. Francie Frederick  
Acting Vice Chancellor  
for Academic Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Ms. Frederick:

The docket for the November 12-13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,

A handwritten signature in black ink, appearing to read 'Charles A. Sorber', written in a cursive style.

Charles A. Sorber  
President

CAS:cc



THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

TABLE OF CONTENTS

	<u>Page No.</u>
Catalog Changes.....	PB- 3
Appointment, Reappointment or Promotion of Relatives.....	PB-10
Other Matters.....	PB-11

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES

The following listing summarizes the changes proposed to the Catalog of The University of Texas of the Permian Basin. The following changes have been administratively approved as required by the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel and are recommended for ratification by the U. T. Board of Regents.

Undergraduate Catalog for 1997-99

<u>Page Number(s)</u>	<u>Summary of Changes</u>
13	<p>Freshman Applications - In addition to completing the application for admission, the student must present an official high school transcript from an accredited school, transcripts of all college courses attempted (if any) and college entrance examination scores from either the Scholastic aptitude Test (SAT) or the American College Testing program (ACT). although the final transcripts showing date of graduation cannot be sent until after high school graduation, a tentative admissions decision, as well as scholarship consideration, can be made on the basis of an official high school transcript listing the courses taken up to the time of application, the grades and the approximate class rank. Applicants are considered freshmen if they have accumulated fewer than 30 semester credit hours of college credit. The Office of Admissions recommends that potential freshmen complete the application process in the Spring of their senior year in high school or by the following recommended dates: Fall Semester July 15, spring Semester November 15, Summer Term April 15</p> <p>Graduates of Non-Accredited High Schools and/or Home Schools, GED certificate holders - Students who have attended unaccredited high schools or who have received GED certificates will be considered for</p>

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

Undergraduate Catalog for 1997-99

Page Number(s)	Summary of Changes
	<p>admission according to the criteria listed in those sections. Graduates of home schools must also show evidence of having obtained a GED certificate.</p> <p>Freshman Entrance Requirements - All students graduating from an accredited Texas high school who are ranked in the top 10% of their high school graduation class will be admitted unconditionally to The University of Texas of the Permian Basin. Students applying to U. T. Permian Basin from an accredited high school outside of Texas or who graduated from an accredited Texas school with class rank not in the top 10% must meet the high school unit requirements and class rank and SAT or ACT score requirements as noted below.</p> <p>HIGH SCHOOL UNITS - ENGLISH - 4 units            Required: Four units of English, one of which may be writing, world literature, or journalism. Strongly recommended: The fourth unit of English should include the development of writing skills.</p> <p>MATH - 3 units - Required: Algebra I &amp; II, Geometry or Trigonometry            Strongly recommended: Students planning to enter scientific, engineering or business fields are advised to take at least four units at the level of Algebra I and higher. The additional units can be counted as electives.</p> <p>SCIENCE - 2 units - Strongly recommended: Students planning to enter scientific or engineering fields are advised to take at least three units, including chemistry and physics. The additional unit can be counted as an elective.</p>

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

Undergraduate Catalog for 1997-99

Page Number(s)	Summary of Changes
	<p>SOCIAL STUDIES - 3 units - Required: U.S. History - 1 yr.; American Government - 1/2 yr.; Economics - 1/2 yr. One additional unit from fields such as: Anthropology, area or ethnic studies, geography, philosophy, psychology, sociology or world history.</p> <p>FOREIGN LANGUAGE - 2 Units or additional science or mathematics.</p> <p>ELECTIVES - 6 Units - Any units beyond the required minimum may be counted as electives with the exception of drill subjects (e.g., driver training, military training). Strongly recommended: One half unit of fine arts (theater arts, art, music or dance).</p> <p>Graduation Class Rank - if Top 10% from an Accredited Texas High School, No High School Units Required, No Minimum Score Required for SAT or ACT, Graduation Class Rank - if greater than the 10th through the 25th Percentile from an Accredited Texas High School or top Quarter of an Accredited High School Outside of Texas, High School Units Required, No Minimum Score Required for SAT or ACT but Scores Must Be Submitted, Graduation Class Rank 2nd Quarter, High School Units Required, Minimum SAT Score of 830, Minimum ACT Score of 18, Graduation Class Rank 3rd Quarter, High School Units Required, Minimum SAT Score of 920, Minimum ACT Score of 19, Graduation Class Rank 4th Quarter, High School Units Required, Minimum SAT Score of 1100, Minimum ACT Score of 24</p> <p>Freshman applicants graduating from</p>

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

Undergraduate Catalog for 1997-99

Page Number(s)	Summary of Changes
	<p>nonaccredited high schools must have scored 1100 or more on the SAT or 24 or more on the ACT; have taken the University's diagnostic tests for composition, reading and mathematics; and show evidence of meeting the high school unit requirements.</p> <p>Freshman applicants graduating from home schools must have scored 1100 or more on the SAT or 24 or more on the ACT; be 18 years of age or older; and have taken the University's diagnostic tests for composition, reading and mathematics; and show evidence of meeting the high school unit requirements by taking the GED exam and having an average GED score of 45 or above with no individual score lower than 40.</p> <p>Freshman applicants with GED certificates must have scored 1100 or more on the SAT or 24 or more on the ACT; be 18 years of age or older; have taken the University's diagnostic tests for composition, reading and mathematics; and show evidence of meeting high school unit requirements by having an average GED score of 45 or above with no individual score lower than 40.</p> <p>Applicants who do not graduate in the top 10% of their high school class and do not meet the criteria for the recommended high school units requirement and class rank and SAT and ACT score requirements may be considered for admission. Admission may be unconditional or conditional. These applicants must submit the application, transcripts and SAT or ACT scores. The applicant must also:</p> <ul style="list-style-type: none"> <li>• Submit three letters of recommendation from individuals who can comment on the applicant's</li> </ul>

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

Undergraduate Catalog for 1997-99

Page Number(s)	Summary of Changes
	<p>potential for success in college.</p> <ul style="list-style-type: none"> <li>• Complete an interview with a representative of the Admissions Office prior to consideration for admission. In this interview the applicant will be asked to present evidence of academic ability.</li> </ul> <p>In reviewing a student for admission the Office of Admission will consider: The applicant's evidence of academic ability; whether the applicant is the first generation in his or her family to attend or graduate from college; whether the applicant is bilingual; the applicant's responsibilities while attending school; the applicant's involvement in community activities; the applicant's extracurricular activities; the applicant's professional or work experience since leaving high school; and the socioeconomic background of this family.</p> <p>Students with academic deficiencies may be admitted conditionally. To be removed from conditional status, a student must:</p> <ul style="list-style-type: none"> <li>• Complete twelve or more hours with grades of "C" or better in each course; and</li> <li>• Complete other enrollment requirements consistent with their deficiencies at the time of application.</li> </ul> <p>Provisional Admissions Program - Any Texas resident may enter The University of Texas of the Permian Basin regardless of his or her high school record or score on the Scholastic Aptitude Test (SAT) or American College Test (ACT) provided that he or she has graduated from an accredited high school with the</p>

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

Undergraduate Catalog for 1997-99

Page Number(s)	Summary of Changes
	<p>required units and subjects as prescribed above. Provisional admission students may enroll initially in the summer session (both summer terms) or the spring semester following the student's graduation from high school provided the student has not previously enrolled in credit courses in any other institution of higher education following high school graduation.</p> <p>Provisionally admitted students must complete 12 semester credit hours of course meeting the University's general education requirements selected from English, mathematics, natural sciences, social sciences during their first semester of enrollment.</p> <p>The student who demonstrates ability to perform college level work by achieving a GPA of 2.0 or above during the entire summer session (both summer terms) or during the spring semester may be admitted as a regular student in subsequent semesters.</p> <p>Provisional admission students who achieve a GPA of at least a 1.5 in their first semester or summer session (both summer terms) may be allowed to continue for the next semester or summer session. At the conclusion of the second period of enrollment, the student must have achieved a cumulative GPA of 2.0 or above to continue in the institution.</p> <p>Revised Scholarship Statement - The University of Texas of the Permian Basin encourages students to apply for scholarship assistance through all sources available to achieve the best results. Assistance from U. T. Permian Basin may be in the form of an institutional award such as the</p>

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES (Continued)

Undergraduate Catalog for 1997-99

Page Number(s)	Summary of Changes
	<p>Presidential Plus, Freshman Merit, Freshman Grant, and Partners Fund, or through scholarship funds that have been endowed with the University by individuals desiring to help worthy students. Awards for freshman students are made based on high school class rank and additional nominations demonstrating academic excellence, civic pride, and leadership qualities during their school years. Transfer student eligibility is determined based on academic performance at the previous college or university attended. Continuing students are eligible to apply for scholarships based on academic excellence achieved while attending the University. Most scholarships have a deadline for application with preference being given to those who apply promptly, meet the specified criteria, and will be attending the University as a full-time student.</p> <p>In addition to specific qualifications required for various competitive scholarships and fellowships awarded by the University, the committee or officer responsible for selection of a given scholarship or grant may consider: whether the applicant is the first generation in his or her family to attend or graduate from college; whether the applicant is bilingual; the applicant's responsibilities while attending school; the applicant's involvement in community activities; the applicant's extracurricular activities; and the applicant's professional or work experience since leaving high school.</p>



THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

APPOINTMENT, REAPPOINTMENT OR PROMOTION OF RELATIVES  
 AND  
 MARRIAGE OF CERTAIN EMPLOYEES

The following personnel actions involving the appointment, reappointment or promotion of relatives have been administratively approved by the Acting Vice Chancellor for Academic Affairs. The personnel actions are consistent with the provisions of state law and the Regents' Rules and Regulations Part One, Chapter III, Section 5, Subsection 5.3, Subdivision 5.31.

College, Department, Title, Name

College, Department, Title, Name

**PROPOSED APPOINTMENT**

**RELATIVE**

1. Information Resources Division  
 Director  
 Keith Yarbrough

Information Resources Division  
 System Analyst II  
 Mary Yarbrough (wife)

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

ADOPTION OF COLOR

The following item has been approved by the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel and is submitted for ratification by the U. T. Board of Regents.

A color has been adopted in accordance with the Regents' Rules and Regulations, Part Two, Chapter I, Section 9. The color is composed of the addition of a third color of black, orange and white being the other two colors already being used. The primary use of the black color will be in connection with athletic activities, although it is already used in the officially approved logo for the falcon and as an outline.

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(Continued)

**PERIODIC EVALUATION OF TENURED FACULTY POLICY**

The following is the initial submission of the Periodic Evaluation of Tenured Faculty Policy. This policy has been approved by the Chancellor, the Acting Vice Chancellor for Academic Affairs, and the Office of General Counsel and is recommended for ratification by the U. T. Board of Regents.

**14. Periodic Performance Evaluation of Tenured Faculty**

The following policy satisfies the U. T. System Regents' requirement for periodic performance evaluation of tenured faculty (hereafter referred to as post tenure review) at The University of Texas of the Permian Basin. Attached hereto is the "U. T. System Board of Regents Guidelines on Periodic Performance Evaluation of Tenured Faculty", dated August 14, 1997.

**14.1 Annual Review**

14.11 Every School or College shall conduct an annual review of all full-time faculty. It is the responsibility of each School or College to determine how this review will be conducted. As part of this annual review, faculty members shall provide an annual report including evidence of teaching effectiveness, scholarly productivity, service and other professional or creative activities. As a result of this annual review, each faculty member shall receive written evaluations from their Departmental Chair (where applicable) and Dean.

14.12 The annual review process is intended to be the mechanism for identifying faculty who do not meet minimum performance expectations for teaching, scholarship, and service. Through the use of the annual review, the faculty member should have the opportunity to correct any deficiencies that may influence the post tenure review.

**14.2 Additional Evaluation of Tenured Faculty**

In addition, tenured faculty will be reviewed every six years. (The six year review is to be a historical assessment of the faculty member's performance, while the annual review is strictly for the achievements of that particular year.) Reasonable individual notice of at least six months will be provided by the Dean. Notice must be given in the Spring of the academic year for review in the Fall of the academic year. At time of the notice for review, the Dean shall give the faculty member

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(Continued)

a copy of previous annual reports, student teaching evaluations, and copies of written annual evaluations from the Departmental Chair (where applicable) and the Dean for the review period. The faculty member being evaluated shall submit a resume, including a summary statement of teaching, scholarship, administration, and service accomplishments for the past six years. The faculty member may provide a statement of professional goals, a proposed professional development plan, and any other additional materials the faculty member deems appropriate.

### **14.3 Post Tenure Review Committee**

14.31 The six year review will be carried out by a standing University committee elected by the Faculty Assembly for staggered two year terms. Committee members may not be scheduled for post tenure review and, if possible, should not serve on tenure, promotion, and retention or annual faculty review committees for the duration of their term. The Post Tenure Review Committee shall consist of six members. The Faculty Assembly will elect one member from each school or college, two at large members and an additional at large member who will serve as an alternate. The alternate will attend all meetings of the committee. The members and alternate must be tenured associate or full professors. At its first meeting, the Post Tenure Review Committee shall elect its own chair who will be a voting member of the committee.

### **14.4 Procedures**

14.41 Post tenure review of the faculty members shall be conducted by the Post Tenure Review Committee and the Dean independently. The faculty member will be provided with an opportunity to meet with the committee.

14.42 The Chair of the Post Tenure Review Committee shall communicate to the faculty member in writing a preliminary report of the committee's recommendation with regard to post tenure review. The faculty member will have an opportunity to respond in writing to the committee's report. Faculty will be allowed to respond to the Post Tenure Review Committee within two weeks of receipt of the preliminary report, prior to the preparation of the final report, and will be given the opportunity to add additional material if so desired. The committee's final report will be forwarded to the Dean and the faculty member.

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(Continued)

- 14.43 The Dean shall inform the faculty member, in writing, of his or her recommendation with regard to post tenure review. The faculty member will have an opportunity to respond in writing and in person to the Dean.
- 14.44 If the Dean and the Post Tenure Review Committee conclude that the faculty member is meeting his or her academic responsibilities, the dean shall then inform the Departmental Chair (where applicable), the VPAA and the President, and the current review process shall end.
- 14.45 If the Dean and/or the Post Tenure Review Committee conclude that the faculty member is not meeting his or her academic responsibilities, then both recommendations shall be forwarded to the VPAA and the President for review and appropriate action, and the Departmental Chair (where applicable) shall be informed.

**14.5 Outcomes**

- 14.51 For individuals found to be performing well, the post tenure evaluation may be used to determine nomination for awards or other forms of performance recognition.
- 14.52 For individuals whose performance indicates they would benefit from additional institutional support, the evaluation may be used as evidence of a need for such support (e.g. teaching effectiveness assistance, counseling, or mentoring in research/service expectations).
- 14.53 If a faculty member is so deficient in meeting his or her comprehensive academic responsibilities that termination is considered, such action must be only for incompetency, neglect of duty, or other good cause shown and must be conducted in accordance with the due process requirements of the *Regents' Rules and Regulations*, Part One, Chapter III, Section 6.

**14.6 Variances**

The evaluation may not be waived but may be deferred in rare circumstances when the review period will coincide with approved leave or comprehensive review for tenure, promotion, or reappointment to an

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(Continued)

endowed position. A faculty member may appeal to his or her Dean to have the review delayed due to special circumstances not covered in the above statement.

**14.7 Implementation**

The periodic evaluation of tenured faculty process will be phased in over six years, beginning with January 1, 1998. Approximately 17% of the present tenured university faculty shall be reviewed each year. The year in which each faculty member is first reviewed shall be determined by lot, with the following exception: a faculty member appointed with or promoted to the rank of Associate Professor or Professor after the 1992-1993 academic year shall be reviewed every six years after his or her initial appointment or promotion.

**14.8 Monitoring**

It shall be the charge of the Faculty Affairs Committee of the Faculty Senate to review this process and report its findings annually to the Faculty Senate, the VPAA and the President. If its findings warrant, the committee shall recommend revisions of these procedures.

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

THE UNIVERSITY OF TEXAS AT SAN ANTONIO

September 26, 1997

Ms. Francie A. Frederick  
Acting Vice Chancellor  
for Academic Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Ms. Frederick:

The docket for the November 13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



Samuel A. Kirkpatrick  
President

SAK:jt

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

## TABLE OF CONTENTS

	<u>Page No.</u>
Contracts or Grants for Research, Development, Clinical and Educational Services \$500,000 or more.....	SA-3
Foreign Affiliation Agreements .....	SA-4
Catalog Changes .....	SA-5
Amendments to the 1996-97 Budget.....	SA-6
Other Fiscal Items Employment Agreements .....	SA-7
Parking and Traffic Regulations/Changes.....	SA-9
Other Matters.....	SA-10



THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE

The following contract or grant in an amount of \$500,000 or more has been awarded, has been administratively approved by the Chief Administrative Officer as required, and is recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Grantor: National Institutes of Health  
National Institute of General Medical Sciences  
No.: 5 S06 GM/\*\*08194-18  
New Funds: \$1,695,659  
Current Period: August 1, 1997 - July 31, 1998  
Title/Description: Minorities Research and Science Achievement Program  
MPRC-B

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**FOREIGN AFFILIATION AGREEMENTS**

The following agreements of academic cooperation with a foreign institution have been administratively approved by the Acting Vice Chancellor for Academic Affairs, and follow the standard format approved by the U. T. Board of Regents.

1. Country: Mexico  
Facility: Instituto Tecnológico Y De Estudios Superiores De Monterrey  
Date: Effective June 18, 1997  
Purpose: The University of Texas at San Antonio and the Instituto Tecnológico Y De Estudios Superiores De Monterrey will develop collaborative research projects, organize joint academic and scientific activities, exchange research and teaching personnel, and exchange publications and students.
  
2. Country: Mexico  
Facility: San Antonio-Mexico Foundation for Education  
Date: Effective September 18, 1997  
Purpose: Enable cooperation and exchange in all academic areas of mutual interest between the following universities: The University of Texas at San Antonio, Universidad Autónoma de Chiapas, Universidad Michoacana de San Nicolás de Hidalgo, Universidad Autónoma Benito Juárez de Oaxaca, Universidad Autónoma de Sinaloa, Universidad Autónoma de Tabasco, Universidad Veracruzana, and Universidad Autónoma de Yucatán.

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES

The following listing summarizes the changes proposed to the catalog of The University of Texas at San Antonio. The following changes have been administratively approved as required by the Acting Vice Chancellor for Academic Affairs and are recommended for ratification by the U. T. Board of Regents.

Change in Admissions Requirements for First-Time Freshmen in response to HB 588

Effective with the fall semester of 1999, if a first-time freshman applicant does not meet the above admissions criteria, the Office of Admissions and Registrar may also take into consideration whether the applicant would be the first generation of the applicant's family to attend or graduate from an institution of higher education; the financial status of the applicant's school district; extracurricular activities while in high school; leadership; community activities; work experience; socioeconomic background; and experience in surmounting obstacles to the pursuit of higher education.

The freshman admission policy for U. T. San Antonio includes admission of the Texas resident students in the top 10% of their high school graduating class. Thus, besides the following paragraph, no other amendment is required to comply with HB 588.

Policy Statement for Award of Scholarships and Fellowships

In addition to specific qualifications required for various competitive scholarships and fellowships awarded by the University, the Committee or agency responsible for selection of a given scholarship or fellowship may consider such factors as the following in designating recipients:

- (1) Major
- (2) Classification
- (3) Financial need and socioeconomic background
- (4) Residency
- (5) Cumulative grade point average
- (6) Institutional grade point average
- (7) High school rank
- (8) SAT and/or ACT score
- (9) Participation in extracurricular activities, community service, and employment
- (10) Leadership positions
- (11) Status as a first generation college student
- (12) English was not first language

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**AMENDMENTS TO THE 1996-97 BUDGET**

The following Requests for Budget Changes (RBC) have been administratively approved as required by the Acting Vice Chancellor for Academic Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
<b>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</b>					
<b>COLLEGE OF SOCIAL AND BEHAVIORAL SCIENCES</b>					
Division of Bicultural-Bilingual Studies					
Division of Education					
1. Bertha Perez					31
From: Associate Professor (T)		25	09	44,500	
Associate Professor (T)		75	09	44,500	
To: Associate Professor (T)	06/01	50	09	44,500	
Associate Dean for Downtown	06/01	50	12	59,333	
<b>COLLEGE OF SCIENCES AND ENGINEERING</b>					
Division of Math and Statistics					
2. Jerome P. Keating					32
From: Professor (T)		100	09	64,014	
To: Professor (T)	06/01	50	09	64,014	
Associate Dean for Downtown,	06/01	50	12	85,352	
Sciences and Engineering	06/01	Suplt	12	3,000	

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER FISCAL ITEMS

EMPLOYMENT AGREEMENTS

The following agreements have been awarded, have been approved by the Acting Vice Chancellor for Academic Affairs, and are recommended for ratification by the U. T. Board of Regents. Such employment under these agreements are subject to the Constitution and Bylaws of the National Collegiate Athletic Association, any intercollegiate athletic conference of which U. T. San Antonio is a member, and the Rules and Regulations of the Board of Regents of The University of Texas System and The University of Texas at San Antonio. The violation of the provisions of such constitution, bylaws, rules or regulations shall be grounds for suspension without pay or dismissal.

1. Item: Head Tennis Coach  
Funds: \$34,674  
Period: June 1, 1997 through May 31, 1998  
Description: Agreement for employment of Head Tennis Coach for Men's and Women's Intercollegiate Tennis Program, Rebecca B. Roberts, for the above-designated period following the standard head coach's employment contract prepared by the Office of General Counsel.
2. Item: Head Softball Coach  
Funds: \$35,406  
Period: June 1, 1997 through May 31, 1998  
Description: Agreement for employment of Head Softball Coach for Women's Intercollegiate Softball Program, Charlotte Mason, for the above-designated period following the standard head coach's employment contract prepared by the Office of General Counsel.

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER FISCAL ITEMS**

**EMPLOYMENT AGREEMENTS**  
(continued)

3. Item: Assistant Basketball Coach
- Funds: \$27,000
- Period: June 1, 1997 through May 31, 1998
- Description: Agreement for employment of Assistant Women's Basketball Coach for the Women's Intercollegiate Basketball Program, Matthew Rohrer, for the above-designated period following the standard assistant coach's employment contract prepared by the Office of General Counsel.

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**PARKING AND TRAFFIC REGULATIONS**

The following listing summarizes the substantive changes proposed to Parking and Traffic Regulations of The University of Texas at San Antonio. They have been approved by the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel and are incorporated in model regulations approved by the U. T. Board of Regents. These regulations supersede all prior Parking and Traffic Regulations and continue in effect until modified.

Parking and Traffic Regulations  
 for 1997-98

<u>Page Number(s)</u>	<u>Summary of Proposed Substantive Change</u>
15	The University of Texas Downtown Campus Addendum added to provide regulations for newly opened campus.

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY

The following institutional policy for the Periodic Performance Evaluation of Tenured Faculty at The University of Texas at San Antonio has been approved by the Chancellor, the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel and is recommended for approval by the U. T. Board of Regents. Upon approval, the policy will be included in the institutional Handbook of Operating Procedures.

Preamble to Policy on Periodic Performance Evaluation of Tenured Faculty

Tenure at UTSA is defined to mean continuous appointment as a member of the faculty. It is not granted automatically or on the basis of seniority. In accordance with the UT System Regents' Rules, tenure is granted to faculty, after a rigorous probationary period, on the basis of "meritorious performance" in teaching, research, and service and "demonstrated excellence" in teaching or research.

There are several aspects of tenure that support the University's mission in education, research, and service and also contribute to the state's economic development:

Tenure promotes a climate of free inquiry, in which faculty and students study and learn the lessons of the past, understand the present state of knowledge, and pursue new paths of inquiry without having to adapt to current intellectual preferences or fashions. Tenure promotes learning and research related to controversial subjects and protects teachers from inappropriate retribution. The resulting formulation of new knowledge and ideas improves people's lives and benefits the citizens of Texas and the nation.

Tenure allows faculty to take a long-term approach to teaching, research, and service rather than pursue short-term goals, as most other institutions must. Society benefits from the creative space that tenure provides faculty. But this time to experiment and be creative is a privilege that demands accountability. Tenured faculty must show that they are productive as teachers, researchers, and providers of service to the community. The University must hold faculty accountable in order to maintain public trust.

Tenure is a critical factor in attracting and keeping excellent faculty. Many faculty could work in the private sector and earn higher salaries. Tenure is a compensating factor that helps to



THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

encourage some talented teachers to accept the lower salaries offered by universities.

Tenure protects faculty, and indirectly students, from academic and administrative actions based on factors other than performance, such as political pressure and personal prejudice. A free society depends upon the development and expression of ideas, even politically or culturally unpopular ideas. The University, with the tenure system, has been and remains a bulwark of independent thought.

Tenure provides for the orderly induction of individual faculty into the community of mature scholars, based upon a rigorous evaluation of past accomplishment and future promise in teaching and research as measured by objective criteria and experts from outside. Excellence, rather than mere adequacy, is required.

1. Policy on Periodic Performance Evaluation of Tenured Faculty

The following constitutes the implementation at The University of Texas at San Antonio of The University of Texas System Guidelines for Periodic Performance Evaluation of Tenured Faculty approved by the Board of Regents:

- a) Every division shall conduct an annual review of all its faculty as specified by Chapter 2, Section 2.11 of the UTSA Handbook of Operating Procedures. This review may be conducted in connection with the determination of merit raises. Faculty members shall provide an annual report and evidence of teaching quality. Faculty may also provide further evidence of research and publication, service, and other professional or creative activities. Each faculty member will be informed in writing of the result of the review.
- b) Tenured faculty will be reviewed every six years. The evaluation shall be based on the faculty member's professional responsibilities in teaching, research, service, and

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

administration with due consideration to the time devoted to each. The evaluation may not be waived for any tenured faculty member but may be deferred in rare circumstances when the review period will coincide with approved leave or comprehensive review for tenure, promotion, or appointment to an endowed position. No deferral of review of an active faculty member may extend beyond one year from the scheduled review. Periods when a faculty member is on leave need not be counted in calculating when the comprehensive evaluation is required.

- c) Reasonable individual notice of at least six months of intent to review will be provided by the Division Director. This review will normally occur at the time of the annual review process. At the time of notification of the review, the Division Director shall give the faculty member a copy of previous annual reports, statistical summaries of teaching evaluations for the review period, and the results of previous annual reviews for the review period. The faculty member being reviewed shall submit a resume, including a summary statement of professional accomplishments, previous annual reports for the review period, and statistical summaries of teaching evaluations. The faculty member may provide a statement of professional goals, a proposed professional development plan, and any other additional materials she or he deems appropriate. The requirement of periodic review does not imply that individuals with unsatisfactory annual evaluations may not be subject to further review and/or appropriate administrative action.
- d) The six-year review will be carried out at the division level by a committee of tenured faculty elected each September by the voting members of the division faculty. The chair of the committee shall be elected by committee members.

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

Evaluation shall include review of the resume, evaluations of teaching for the review period, (including student evaluations and supporting evidence), annual reports for the review period, and all materials submitted by the faculty member. Upon their request, faculty members will be provided with the opportunity to meet with the review committee. The chair of the committee shall communicate the result of the review in writing to the faculty member and to the Division Director and the Dean for review and appropriate action. In addition, the results of the evaluation will be communicated by the Dean to the Provost and the President for their review and appropriate action. If the result of the review is satisfactory, no further action will be taken.

- e) If the result of the review is unsatisfactory and when the Dean determines that more intensive review of a faculty member is needed, or if the faculty member requests it, then the Dean, in consultation with the tenured faculty in his or her college shall appoint a peer committee whose members shall be representative of the college or division and who will be appointed on the basis of their objectivity and academic strength. In all colleges, committees appointed to perform more intensive review shall be comprised only of faculty of the same or higher rank as the faculty member being reviewed. Each committee shall elect its chair. A committee may request further information from faculty members under review. Upon his or her request, the faculty member will be provided with the opportunity to meet with the review committee. The committee shall report its findings within six months of its being constituted. The result of the review will be communicated in writing to the faculty member, and to the Division Director, the Dean, the Provost, and the President for review and appropriate action.

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

- f) A sixth year periodic review of tenured faculty members who are assigned full-time administrative responsibilities as Division Director, Dean, Vice President, Associate Vice President, Vice Provost, Provost or President will be conducted by the tenured full professors in the division in which the academic appointment is held and will be based on all materials submitted by the individual being reviewed relevant to their duties as a faculty member, taken as a whole, in the areas of teaching, research and service in proportion to the time devoted to each. Appropriate consideration shall be given to the demands of administrative assignments and their impact on the level of research activity, courses taught and the extent of service contributions. An elected chair of the committee of the whole of full professors will inform the faculty member in writing of the result of the review, with copies to the appropriate Division Director and/or Dean, and the Provost and President.
- g) For individuals found to be performing unsatisfactorily, review to determine if good cause exists for termination under the current Regents' Rules and Regulations may be considered. All proceedings for termination of tenured faculty on the basis of periodic performance evaluation shall be only for incompetence, neglect of duty or other good cause shown and must be conducted in accordance with the due process procedures of the Regents' Rules and Regulations, Part One, Chapter III, Section 6 including an opportunity for referral of the matter to alternative dispute resolution. Such proceedings must also include a list of specific charges by the chief administrative officer and an opportunity for a hearing before a faculty tribunal. In all such cases, the burden of proof shall be on the institution, and the rights of a faculty member to due process and academic freedom shall be protected.

THE UNIVERSITY OF TEXAS AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

- h) Phase-in: The post-tenure review process will be phased in over six years, beginning with the 1998-99 academic year. Approximately one-sixth of the present tenured faculty in each division shall be reviewed each year. The year in which each faculty member is first reviewed shall be determined by lot, with the following exception: faculty appointed with or promoted to the rank of associate or full professor after the 1993-94 academic year shall be reviewed every six years after their initial appointment or promotion.
- i) Monitoring: The Academic Freedom and Tenure Committee of the UTSA Faculty Senate is charged with monitoring this review process and with reporting its findings annually to the Faculty Senate and the University administration. If its findings warrant, the Committee shall recommend revisions of the procedures for faculty review at the University, the System-wide guidelines for post-tenure review of faculty, or both.
- j) Nothing in this institutional evaluation policy, or its interpretation, shall be interpreted or applied to infringe on the tenure system, academic freedom, due process, or other protected rights nor to establish new term-tenure systems or to require faculty to reestablish their credentials for tenure.

## THE UNIVERSITY OF TEXAS AT TYLER

September 19, 1997

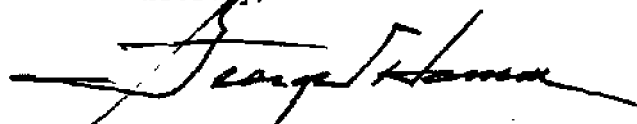
Mrs. Francie Frederick  
Acting Vice Chancellor  
for Academic Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Mrs. Frederick:

The docket for the November 13, 1997, meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



George F. Hamm  
President

GFH:lx

THE UNIVERSITY OF TEXAS AT TYLER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

TABLE OF CONTENTS

	<u>Page No.</u>
Gifts from Private Donors.....	TA- 3
Foreign Affiliation Agreements.....	TA- 4
Catalog Changes.....	TA- 5
Amendments to the 1997-98 Budget.....	TA- 9
Appointment, Reappointment or Promotion of Relatives.....	TA-10
Other Matters.....	TA-11

THE UNIVERSITY OF TEXAS AT TYLER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS

The following gift has been received, approved by the Acting Vice Chancellor for Academic Affairs, and is recommended for acceptance by the U. T. Board of Regents:

1. Donor Name: Mrs. David G. Braithwaite  
College/School/ Department: R. Don Cowan Fine and Performing Arts Center  
Purpose: For the purchase of a Steinway Grand Piano  
Asset Type: Cash  
Value: \$74,000.00



THE UNIVERSITY OF TEXAS AT TYLER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**FOREIGN AFFILIATION AGREEMENTS**

The following agreement of academic cooperation with a foreign institution has been administratively approved by the Acting Vice Chancellor for Academic Affairs, and follows the standard format approved by the U. T. Board of Regents.

1. Country: Venezuela  
Facility: Instituto PanAmericano De Educacion Fisica  
Date: Effective April 18, 1997  
Purpose: The purposes of the cooperation between The University of Texas at Tyler and Instituto PanAmericano De Educacion Fisica are to promote interest in the teaching, clinical and research activities of the respective institutions, and to deepen the understanding of the economic environment, and cultural and social issues of the respective institutions.

THE UNIVERSITY OF TEXAS AT TYLER  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**CATALOG CHANGES**

The following listing summarizes the substantive changes proposed to the Catalog of The University of Texas at Tyler. These catalog changes have been administratively approved by the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel.

University catalog p. 35 ff.

**UNDERGRADUATE ADMISSION REQUIREMENTS**

- A. All applicants must complete an Application for Admission. The application and all supporting documentation must be returned to the Office of Admissions by the posted deadline for application preceding the semester or summer term for which admission is sought.
- B. Transfer students must include an official transcript that is not more than 60 days old from each college or university attended
- C. Applicants will not be subject to discrimination on any basis prohibited by law including but not limited to race, color, age, national origin, religion, sex, veteran status, or disability.

**Freshman Admission**

Eligibility for admission as a freshman is determined primarily on the basis of three predictors of academic success: high school preparation, rank in high school class, and SAT (ACT) score. Additional consideration for admission will be based on an evaluation of the applicant's involvement and level of responsibility in extracurricular activities; involvement in responsible, non-academic affairs while attending high school, including employment and family responsibilities; status as a first generation college student; English not first language; and socioeconomic background.

**High School Preparation**

To be eligible for admission to the university as a freshman an applicant must be a high school graduate. A high school record that demonstrates achievement in the most challenging academic coursework available is the best single predictor of academic success.

The minimum high school units required for admission are:

- A. **Language Arts**  
 Required: Four units of English
- B. **Science**  
 Required: Three units of science to include at least one unit of Chemistry or Physics  
 Strongly Recommended: Three units of laboratory science, including Chemistry and Physics.
- C. **Foreign Language**  
 Required: Two units in a single language effective fall semester 2001.

THE UNIVERSITY OF TEXAS AT TYLER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(continued)

D. **Mathematics**

*Required:* Three units at the level of Algebra I or higher, e.g., algebra, geometry, elementary analysis, probability and statistics, solid geometry, calculus with analytical geometry. Informal geometry and pre-algebra will not fulfill this requirement.

*Strongly recommended:* Students planning to enter scientific, engineering and similar technical fields are advised to take at least four units of math in preparation for entering the first university calculus course in their first semester at the university.

E. **Social Studies**

*Required:* Three units

F. **Electives**

*Required:* Additional courses in the areas above and/or in fine arts and/or computer science to satisfy high school graduation requirements.

Applicants whose high school curriculum does not meet the requirements above may be granted admission. Deficiencies must be removed through course work which does not count toward the student's degree.

**High School Rank in Class and SAT (ACT) Score**

- A. An applicant who graduates from an accredited high school in one of the two years preceding the academic year for which the applicant is applying for admission and who has a grade point average in the top 10 percent of the applicant's high school graduating class will be admitted automatically (subject to applicable enrollment limits). SAT (ACT) scores must be submitted.
- B. An applicant who does not qualify for admission under item A but meets the following criteria also qualifies for regular admission:

High School Rank in Class	Minimum Total SAT	Minimum Total ACT
Top 25%	950	20
Second 25%	1000	21
Third 25%	1050	22
Fourth 25%	1100	23

- C. An applicant admitted under Items A or B above and who does not meet the required high school preparation standards may be required to participate in additional college preparatory programs before registering for regular freshman classes.

**Additional Criteria**

An applicant may be given additional consideration based upon an evaluation of the individual's involvement and level of responsibility in extracurricular activities; involvement in responsible, non-academic affairs while attending high school, including employment and family responsibilities; status as a

THE UNIVERSITY OF TEXAS AT TYLER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**CATALOG CHANGES**  
(continued)

first generation college student; English not first language; and socioeconomic background.

**Enrollment Limitations**

The 75<sup>th</sup> Texas Legislature authorized the expansion of U. T. Tyler to include freshman and sophomore classes. The legislation also placed limits on freshman and sophomore enrollment through the year 2001. In support of these limitations, the admission of freshman and sophomore students will be limited to the specified levels by admitting only the top ranked students as determined by the admissions criteria specified above.

Engineering students are not subject to the enrollment limitations. Freshman and sophomore engineering students will enroll only for courses supporting their degree programs.

**Transfer Students**

Transfer students having fewer than 42 semester credit hours of transferable college credit must meet the freshman admission requirements as specified above.

Transfer students applying for admission at the junior-senior level must demonstrate that they are prepared for junior-senior level courses. Applicants may demonstrate their preparation for junior-senior level courses by the successful completion of the Texas Academic Skills Program (TASP) tests and by having satisfied at least one of the following:

- A. Being in the process of fulfilling the requirements of an approved, joint baccalaureate studies program or a partnership program with a community/junior college.
- B. Having completed sufficient curriculum at a regionally accredited college/university to be prepared for junior and senior level courses this requirement can be satisfied by completing at least 42 semester credit hours prior to admission to U. T. Tyler with a minimum grade point average of 2.0 (4.0 basis).
- C. Having earned an associate degree from a regionally accredited community/junior college.

Students seeking special certification courses may be admitted to complete the required certification courses.

Persons who have special ability, experience, or other circumstances to demonstrate readiness for junior-senior level work may petition for admission. In addition, consideration will be given to the individual's involvement and level of responsibility in extracurricular activities; involvement in responsible, non-academic affairs, including employment and family responsibilities; status as a first generation college student; English not first language; and socioeconomic background. Petitions for special admission to the university must receive approval from the Admissions Committee prior to registration.

THE UNIVERSITY OF TEXAS AT TYLER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(continued)

Catalog p. 58

SCHOLARSHIPS

The scholarship program at The University of Texas at Tyler is designed to attract outstanding degree seeking students. Applicants must submit a scholarship application, a letter of recommendation and copies of transcripts to be considered. Applicants should file the family financial statement with the Free Application for Federal Student Aid. Many scholarships have as a requirement demonstrated financial need.

Awards of academic scholarships are based primarily on predictors of academic success, such as high school preparation, rank in high school class, standardized test scores, and/or grades/activities while attending a college or university. Additional consideration will be given to leadership qualities; financial need and socioeconomic background; community service; status as a first generation college student; work experiences; and English not first language.

Catalog p. 236

GRADUATE ADMISSION

Eligibility for admission as a graduate student is determined primarily on the basis of three predictors of success in graduate school: a baccalaureate degree from an accredited institution, grade point average computed on the last 60 undergraduate hours, and a score on such standardized tests as the Graduate Record Examination (GRE), Miller Analogies Test (MAT), or the Graduate Management Admissions Test (GMAT). Additional consideration for admission will be based upon the applicant's demonstrated commitment to his or her chosen field of study, involvement and level of responsibility in nonacademic matters including extracurricular activities, employment, community service, and socioeconomic background.

THE UNIVERSITY OF TEXAS AT TYLER  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**AMENDMENTS TO THE 1997-98 BUDGET**

The following Request for Budget Change (RBC) has been administratively approved as required by the Acting Vice Chancellor for Academic Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>REC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
<b><u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u></b>					
SCHOOL OF SCIENCES AND MATHEMATICS					
Department of Mathematics					
1. Gerald Morris					05
From: Professor (T)	9/1-5/31	100	09	57,289	
To: The Sam A. Lindsey Chair					
Professor (T)	9/1-5/31	100	09	59,095	
	9/1-5/31	SUPLT	09	5,000	
Initial Appointment to Lindsey Chair					
Sources of Funds:					
				\$32,323-Mathematics	
				31,772-Lindsey Chair	
				<u>\$64,095</u> -Total Compensation	

THE UNIVERSITY OF TEXAS AT TYLER  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**APPOINTMENT, REAPPOINTMENT OR PROMOTION OF RELATIVES  
 AND  
 MARRIAGE OF CERTAIN EMPLOYEES**

The following personnel actions involving the appointment, reappointment or promotion of relatives has been administratively approved by the Acting Chancellor for Academic Affairs. The personnel actions are consistent with the provisions of state law and the Regents' Rules and Regulations Part One, Chapter III, Section 5, Subsection 5.3, Subdivision 5.31.

<u>College, Department, Title, Name</u>	<u>College, Department, Title, Name</u>
<b>PROPOSED REAPPOINTMENT</b>	<b>RELATIVE</b>
1. School of Liberal Arts	School of Liberal Arts
Department of Literature	Department of Literature
and Languages	and Languages
Senior Lecturer	Professor & Chair
Marianthi Corneou	Roger K. Anderson (husband)

THE UNIVERSITY OF TEXAS AT TYLER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY

The following institutional policy for the Periodic Performance Evaluation of Tenured Faculty at The University of Texas at Tyler has been approved by the Chancellor, the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel and is recommended for approval by the U. T. Board of Regents. Upon approval, the policy will be included in the institutional Handbook of Operating Procedures.

Annual Reviews and Periodic Performance Evaluation of Tenured Faculty

The following constitutes the implementation at the University of Texas at Tyler of the Regental Guidelines on Periodic Performance Evaluation Of Tenured Faculty:

1. Every department (or equivalent unit) shall, through its chair (or equivalent), conduct an annual performance review of all its faculty. This review may be conducted in connection with the determination of merit raises. Faculty members shall provide an annual report and evidence of teaching quality. Faculty may also provide further evidence of research and publication, service including administration, and other professional or creative activities. Each faculty member will be informed in writing of the result of the performance review by the chair (or equivalent). The annual review of the chair's (or equivalent's) faculty status shall be determined by his or her department (or equivalent unit).
2. In addition, tenured faculty will be reviewed in a more comprehensive manner every six years. This performance evaluation shall include, but not be limited to, a compilation of the five previous yearly reviews, combined with the sixth annual review; reasonable individual notice of at least six months of intent to review will be provided by the department chair (or equivalent). At a reasonable time prior to the review, the department shall give the faculty member a copy of previous annual reports, student teaching evaluations for the review period, and the results of previous annual reviews for the review period. The faculty member shall submit a resume and annual reports, and may provide any additional materials he or she wants considered.
3. The six-year review will be carried out by the chair (or equivalent) of the department (or equivalent unit). Evaluation shall include review of the resume, student evaluations of teaching for the review period, annual reports for the review period, and all materials submitted by the faculty member. Upon his or her request, the faculty member will be provided with the opportunity to meet with the chair (or equivalent). The chair of the department (or equivalent) shall communicate the result



THE UNIVERSITY OF TEXAS AT TYLER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(continued)

- of the review in writing to the faculty member and to the dean for review and appropriate action. If in the opinion of the chair and the dean the result of the review is satisfactory, no further action will be taken except for determining merit raises and other forms of recognition.
4. If in the opinion of the chair or the dean the result of the review is unsatisfactory and the dean determines that more intensive review of a faculty member is needed, or if the faculty member requests it, the dean, in consultation with the faculty member, shall appoint a peer committee whose members shall be representative of the school or division and who will be appointed on the basis of their objectivity and academic strength. In all schools and divisions, the committee appointed to perform the more intensive review shall be, if possible, comprised of faculty of the same or higher rank as the faculty member being reviewed. The committee may request further information from the faculty member under review. Upon his or her request, the faculty member will be provided with the opportunity to meet with the review committee. The committee shall report its findings within six months of its being constituted. The result of the review will be communicated in writing to the faculty member, and to the department chair (or equivalent) and the dean for review and appropriate action.
  5. Variances: The six-year review may not be waived but may be deferred in rare circumstances, such as overlap with approved leave (deferment for the period of the approved leave), promotion to the rank of Professor (deferment for six years), or appointment to an endowed position (deferment for six years). All persons holding academic tenure are subject to the six-year review including administrators such as department chairs, deans, vice presidents and the President. The procedure for review of such persons shall be determined by his or her department (or equivalent unit).
  6. Phase-in: The periodic performance evaluation of tenured faculty will be phased in over six years, beginning with the 1998-1999 academic year. The year in which each faculty member is first reviewed shall be determined by lot, with the following exception: a faculty member appointed with or granted tenure, or promoted to the rank of Professor, after the 1992-1993 academic year shall be reviewed during the sixth year following the year in which that action becomes effective. A faculty member shall have written notice of the intent to review by the chair (or equivalent) one year prior to his or her first six year review during the phase-in.

THE UNIVERSITY OF TEXAS AT TYLER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(continued)

7. Monitoring: The Faculty Senate is charged with monitoring this performance review process and with reporting its findings annually to the faculty and the U. T. Tyler administration. If its findings warrant, the Faculty Senate shall recommend revisions of the procedures for faculty review at U. T. Tyler, the U. T. System Guidelines for Periodic Performance Evaluation of Tenured Faculty, or both.
8. Grievances: If the reviewed faculty member believes that the annual review or periodic evaluation was conducted arbitrarily, capriciously, unfairly, or with bias, then he or she may appeal through the "Grievance Procedure" as provided in the Handbook of Operating Procedures to the extent consistent with Regents' Rules and Regulations.

## THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS

September 24, 1997

Dr. Charles B. Mullins  
Executive Vice Chancellor  
for Health Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Dr. Mullins:

The docket for the November 13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



Kern Wildenthal, M.D., Ph.D.  
President

KW:cc

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

TABLE OF CONTENTS

	<u>Page No.</u>
Gifts from Private Donors and Foundations (including Endowment Matching Fund Allocation).....	HD- 3
Contracts or Grants for Research, Development, Clinical and Educational Services.....	HD- 6
\$500,000 or more.....	HD- 7
Foreign.....	HD-10
Intellectual Property Licenses	
Patent License Agreements.....	HD-15
Software License and Distribution Agreements.....	HD-19
Catalog Changes.....	HD-20
Amendments to the 1996-97 Budget.....	HD-21
Other Fiscal Items	
Equipment Loan Agreement.....	HD-25
Appointment, Reappointment or Promotion of Relatives and Marriage of Certain Employees.....	HD-26
Other Matters	
Periodic Performance Evaluation of Tenured Faculty Policy.....	HD-27

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS

The following gifts have been received, approved by the Vice Chancellor for Development and External Relations, and are recommended for acceptance by the U. T. Board of Regents:

1. Donor Name: Mr. and Mrs. Henri L. Bromberg, Jr.  
 College/School/  
     Department: Internal Medicine  
 Purpose: To upgrade the Jan and Henri Bromberg Professorship  
           in Internal Medicine to a Chair  
 Asset Type: 5,845 Shares of Comerica Inc. common stock  
 Value: \$400,930.47  
 Matching Source: Not Applicable
  
2. Donor Name: The Cain Foundation  
 College/School/  
     Department: Institution  
 Purpose: Completes the Endowment for the R. Wofford Cain  
           Distinguished Chair in Bone and Joint Disease  
           Research  
 Asset Type: Cash  
 Value: \$125,000 (represents the final installment on a  
           pledge of \$1,000,000 for two Distinguished Chairs)  
 Matching Source: Research Challenge Pool Fund
  
3. Donor Name: Gaston Episcopal Hospital Foundation  
 College/School/  
     Department: Institution  
 Purpose: To endow The Earl A. Forsythe Distinguished  
           Professorship in Biomedical Science  
 Asset Type: Cash  
 Value: \$50,000 (represents the final installment on a  
           \$125,000 commitment)  
 Matching Source: Anonymous Challenge Gift Pool Fund
  
4. Donor Name: The Hillcrest Foundation  
 College/School/  
     Department: Institution  
 Purpose: To help establish a center for research in pediatric  
           critical care  
 Asset Type: Cash  
 Value: \$50,000  
 Matching Source: Not Applicable

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS  
 (Continued)

5. Donor Name: Last Will and Testament of Robert Satterfield Sparkman  
 College/School/ Department: Surgery  
 Purpose: To establish the Robert S. Sparkman Lecture Series in Surgery  
 Asset Type: Cash  
 Value: \$75,000  
 Matching Source: Research Challenge Pool Fund
6. Donor Name: Southwestern Medical Foundation  
 College/School/ Department: Institution  
 Purpose: To support the Nancy B. and Jake L. Hamon Center for Therapeutic Oncology Research  
 Asset Type: Cash  
 Value: \$163,075.02 (represents the semi-annual distribution)  
 Matching Source: Not Applicable
7. Donor Name: Southwestern Medical Foundation  
 College/School/ Department: Institution  
 Purpose: To support the Nancy B. and Jake L. Hamon Center for Basic Research in Cancer  
 Asset Type: Cash  
 Value: \$149,686.28 (represents the semi-annual distribution)  
 Matching Source: Not Applicable
8. Donor Name: Southwestern Medical Foundation  
 College/School/ Department: Institution  
 Purpose: To support the Virginia L. O'Hara Center for Research in Biochemistry  
 Asset Type: Cash  
 Value: \$92,448.37 (represents the semi-annual distribution)  
 Matching Source: Not Applicable
9. Donor Name: Southwestern Medical Foundation  
 College/School/ Department: Institution  
 Purpose: To support the Sarah M. & Charles E. Seay Center for Basic and Applied Research in Psychiatric Illness  
 Asset Type: Cash  
 Value: \$63,787.49 (represents the semi-annual distribution)  
 Matching Source: Not Applicable

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS  
 (Continued)

10. Donor Name: Southwestern Medical Foundation  
 College/School/ Department: Institution  
 Purpose: To support the E. E. Fogelson and Greer Garson Fogelson Distinguished Chair in Urology  
 Asset Type: Cash  
 Value: \$71,615.57 (represents the semi-annual distribution)  
 Matching Source: Not Applicable
11. Donor Name: Southwestern Medical Foundation  
 College/School/ Department: Institution  
 Purpose: To support the Hall B. and Mary Lucile Shannon Distinguished Chair in Surgery  
 Asset Type: Cash  
 Value: \$115,250.68 (represents the semi-annual distribution)  
 Matching Source: Not Applicable
12. Donor Name: The Sweetheart Ball\*  
 College/School/ Department: Institution  
 Purpose: To support heart disease activities and research at The University of Texas Southwestern Medical Center at Dallas  
 Asset Type: Cash  
 Value: \$257,500 (represents the proceeds from the 1997 Sweetheart Ball)  
 Matching Source: Anonymous Challenge Gift Pool Fund

\* No letter of transmittal from the donor

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CONTRACTS OR GRANTS FOR RESEARCH, DEVELOPMENT, CLINICAL  
 AND EDUCATIONAL SERVICES

Since the Docket for the previous meeting of the U. T. Board of Regents, the following activity has taken place with regard to Contracts or Grants for Research, Development, Clinical and Education Services:

A. Number of Initial Grant and Contract Awards

<u>Docket Item #</u>	<u>Total Funds In</u>	<u>Total Funds Out</u>	<u>Supporting Materials</u>
FY 98 1-6	\$352,472	\$ 7,843	Report of Contracts/Grants



THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE

The following contracts or grants in an amount of \$500,000 or more have been awarded, have been administratively approved by the Chief Administrative Officer (or his delegate) as required, and are recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Grantor: Dallas County Community College District (El Centro)  
No.: June 23, 1997 (U. T. System Approval)  
New Funds: \$685,156  
Current Period: September 1, 1997 - August 31, 1998  
Title/Description: Agreement to facilitate the cooperation between the District and the University in the education of students in emergency medical and paramedic training for the North Texas area by the use of the University's facilities and personnel as an extension of the District's El Centro College campus
  
2. Grantor: Department of Health and Human Services  
Health Resources and Services Administration  
Maternal and Child Health Bureau  
No.: 5 MCH-P06048-09-0  
New Funds: \$661,857  
Current Period: August 1, 1997 - July 31, 1998  
Title/Description: Pediatric AIDS Network of Dallas (PANDA)
  
3. Grantor: National Institutes of Health  
National Center for Research Resources  
No.: 5 P41 RR02584-10  
New Funds: \$579,398  
Current Period: September 1, 1997 - August 31, 1998  
Title/Description: Southwestern Biomedical Magnetic Resonance Facility
  
4. Grantor: National Institutes of Health  
National Heart, Lung, and Blood Institute  
No.: 2 P01 HL06296-37  
New Funds: \$1,789,523  
Current Period: July 1, 1997 - June 30, 1998  
Title/Description: Response and Adaptation to Exercise
  
5. Grantor: National Institutes of Health  
National Heart, Lung, and Blood Institute  
No.: 2 P01 HL20948-21  
New Funds: \$3,800,060  
Current Period: July 15, 1997 - June 30, 1998  
Title/Description: Molecular Basis of Cholesterol Metabolism

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE

(FUNDS COMING IN)  
 (Continued)

6. Grantor: National Institutes of Health  
 National Heart, Lung, and Blood Institute  
 No.: 5 P50 HL55988-03  
 New Funds: \$926,627  
 Current Period: September 1, 1997 - August 31, 1998  
 Title/Description: SCOR in Ischemic Heart Disease in Blacks
7. Grantor: National Institutes of Health  
 National Human Genome Research Institute  
 No.: 5 P01 HG00202-08  
 New Funds: \$4,494,474  
 Current Period: August 22, 1997 - July 31, 1998  
 Title/Description: Structural Studies of Human Chromosome 11
8. Grantor: National Institutes of Health  
 National Institute of Arthritis and Musculoskeletal  
 and Skin Diseases  
 No.: 2 P30 AR41940-06  
 New Funds: \$604,500  
 Current Period: June 10, 1997 - May 31, 1998  
 Title/Description: Skin Diseases Research Core Center
9. Grantor: National Institutes of Health  
 National Institute of General Medical Sciences  
 No.: 5 P50 GM21681-33  
 New Funds: \$821,680  
 Current Period: July 1, 1997 - June 30, 1998  
 Title/Description: Pathophysiologic, Biochemical Changes of Thermal  
 Injury
10. Grantor: Terrell State Hospital  
 No.: August 15, 1997 (U. T. System Approval)  
 New Funds: \$503,240  
 Current Period: September 1, 1997 - August 31, 1999  
 Title/Description: Interagency Cooperation Contract whereby The  
 University of Texas Southwestern Medical Center at  
 Dallas shall provide to Terrell State Hospital  
 professional psychiatric and other mental health  
 consultants who will assist in the training of the  
 staff and the development of teaching and research  
 programs

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE

(FUNDS COMING IN)  
(Continued)

11. Grantor: Texas Department of Health  
Bureau of Clinical and Nutrition Services  
No.: TDH Document Number 7297297295 98, Change Notice  
Number 4  
New Funds: \$1,450,205  
Current Period: April 1, 1997 - August 31, 1998  
Title/Description: Interagency Cooperation Contract whereby The  
University of Texas Southwestern Medical Center at  
Dallas shall provide Title X - Family Planning  
Services under the direction of Dr. Stephen  
Heartwell to the Texas Department of Health
12. Grantor: Zale Lipshy University Hospital, Inc.  
No.: June 2, 1997 (U. T. System Approval)  
New Funds: \$1,883,923  
Current Period: September 1, 1996 - August 31, 1999  
Title/Description: Renewal Contract for professional services

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
 DEVELOPMENT AND EDUCATIONAL SERVICES

The following contracts or grants with foreign entities or individuals have been awarded, have been administratively approved by the Executive Vice Chancellor for Health Affairs, and are recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Country: Canada  
 Grantor: McMaster University  
 No.: Check dated June 12, 1997  
 New Funds: \$1,100  
 Current Period: April 1, 1997 - April 30, 1997  
 Title/Description: Support for the study entitled, "A Randomized Trial of 4 Doses of ASA for the Prevention of Perioperative Stroke in Carotid Endarterectomy" under the direction of G. Patrick Clagett, M.D., Department of Surgery
  
2. Country: Canada  
 Grantor: Medical Research Council of Canada  
 No.: Check dated June 11, 1997  
 New Funds: \$3,850  
 Current Period: Commencement date July 15, 1997  
 Title/Description: Support of a Postdoctoral Fellowship for Khiet Trinh, M.D., for the study entitled, "Regulation of Insulin Secretion by Glucose Phosphorylating Enzymes" to be conducted by Christopher B. Newgard, Ph.D., Department of Biochemistry
  
3. Country: Canada  
 Grantor: Medical Research Council of Canada  
 No.: 9704FEN-1168-37032  
 New Funds: \$24,664 (U. S. Dollars)  
 Current Period: July 15, 1997 - July 14, 1998  
 Title/Description: Support of a Postdoctoral Fellowship for Peter Chidiac, Ph.D., for the grant entitled, "Effects of G Protein Gap Activity on Signaling Dynamics" under the direction of Elliott M. Ross, Ph.D., Department of Pharmacology.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
 DEVELOPMENT AND EDUCATIONAL SERVICES

(FUNDS COMING IN)  
 (Continued)

4. Country: Canada  
 Grantor: Merck Frosst Centre for Therapeutic Research  
 No.: June 3, 1997 (U. T. System Approval)  
 New Funds: Material Transfer Agreement  
 Current Period: June 16, 1997 and shall continue in effect for six (6) months thereafter  
 Title/Description: Material Transfer Agreement for Drosophila clones encoding a putative caspase (Dredd 1.6 and Dredd 1.7) developed by John M. Abrams, Ph.D., Department of Cell Biology and Neuroscience for research conducted by Merck Frosst under the supervision of Donald W. Nicholson, Ph.D.
5. Country: Canada  
 Grantor: The John P. Robarts Research Institute  
 No.: Check dated May 14, 1997  
 New Funds: \$2,590  
 Current Period: February 1, 1997 - April 30, 1997  
 Title/Description: Supports the study entitled, "North American Cooperative Study of Symptomatic Carotid Endarterectomy" under the direction of G. Patrick Clagett, M.D., Department of Surgery
6. Country: Canada  
 Grantor: The John P. Robarts Research Institute  
 No.: Check dated July 22, 1997  
 New Funds: \$9,959.63  
 Current Period: August 1, 1997 - October 31, 1997  
 Title/Description: Supports the study entitled, "North American Cooperative Study of Symptomatic Carotid Endarterectomy" under the direction of G. Patrick Clagett, M.D., Department of Surgery
7. Country: Canada  
 Grantor: Spectral Diagnostics Inc.  
 No.: June 23, 1997 (U. T. System Approved)  
 New Funds: \$12,375  
 Current Period: March 5, 1997 - March 31, 1997  
 Title/Description: Provides the performance of assays on 220 human serum specimens

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
 DEVELOPMENT AND EDUCATIONAL SERVICES

(FUNDS COMING IN)  
 (Continued)

8. Country: France  
 Grantor: International Human Frontier Science Program  
 No.: Transfer dated June 16, 1997  
 New Funds: \$60,500  
 Current Period: June 1, 1997 - May 31, 1998  
 Title/Description: Support for the grant entitled, "Molecular Organization and Functional Role of a New Protein Localized at Endothelial Cell-to-Cell Functions" to be conducted by Thomas Sato, Ph.D., Department of Internal Medicine
9. Country: France  
 Grantor: International Human Frontier Science Program  
 No.: RG0242/1997-M  
 New Funds: \$82,208 (transfer)  
 Current Period: August 1, 1997 - July 31, 1998  
 Title/Description: Support for the grant entitled, "An Integrated Approach to the Molecular Functions of Titin and Nebulin" to be conducted by Joachim Herz, M.D., Department of Molecular Genetics
10. Country: Germany  
 Grantor: AESCULAP-MEDITEC GmbH  
 No.: Protocol Number MEL 60  
 New Funds: \$3,750 (transfer)  
 Current Period: Wire Transfer dated August 11, 1997  
 Title/Description: Support for the study entitled, "Photorefractive Keratectomy (PRK) for Myopia" under the direction of H. Dwight Cavanagh, M.D., Ph.D., Department of Ophthalmology
11. Country: Germany  
 Grantor: Alexander von Humboldt-Foundation  
 No.: Wire transfer dated May 12, 1997  
 New Funds: \$11,985 (transfer)  
 Current Period: Third year of a three year award  
 Title/Description: Support for the Max Planck Research Award for Melanie H. Cobb, Ph.D., Department of Pharmacology

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
 DEVELOPMENT AND EDUCATIONAL SERVICES

(FUNDS COMING IN)  
 (Continued)

12. Country: Germany  
 Grantor: Merckle GmbH  
 No.: Study Code LIF11  
 New Funds: \$28,920.50  
 Current Period: Check dated April 16, 1997  
 Title/Description: Support for the study entitled, "The Effect of Lofibrol on the Levels of Plasma Lipoproteins in Homozygous Familial Hypercholesterolemia" under the direction of Scott M. Grundy, M.D., Ph.D., Center for Human Nutrition
13. Country: Japan  
 Grantor: Biomedical Research Center, Osaka University Medical School  
 No.: May 28, 1997 (U. T. System Approval)  
 New Funds: Material Transfer Agreement  
 Current Period: Beginning July 29, 1997  
 Title/Description: Material Transfer Agreement for rpr-LacZ Drosophila fly strains developed by John M. Abrams, Ph.D., Department of Cell Biology and Neuroscience
14. Country: Netherlands  
 Grantor: Euro Skin Bank Foundation  
 No.: July 8, 1997 (U. T. System Approval)  
 New Funds: \$525 per square foot or released donor skin  
 Current Period: July 1, 1997 - June 30, 1998  
 Title/Description: Provide harvesting of donor skin to Euro Skin Bank Foundation of the Netherlands

(FUNDS GOING OUT)

15. Country: Canada  
 Grantor: Merck Centre for Therapeutic Research  
 No.: October 7, 1996 (U. T. System Approval)  
 New Funds: Material Transfer Agreement  
 Current Period: Beginning October 7, 1996  
 Title/Description: Material Transfer Agreement for rhICE, Granzyme B with John M. Abrams, Ph.D., Department of Cell Biology and Neuroscience

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
 DEVELOPMENT AND EDUCATIONAL SERVICES

(FUNDS GOING OUT)  
 (Continued)

16. Country: Canada  
 Grantor: Microbix Biosystems Inc.  
 No.: July 11, 1997 (U. T. System Approved)  
 New Funds: Material Transfer Agreement  
 Current Period: Beginning July 11, 1997  
 Title/Description: Material Transfer Agreement for PD-01-62 Kit C; PD-01-06 provided to Christopher J. Rhodes, Ph.D., Department of Internal Medicine
17. Country: Sweden  
 Grantor: Ludwig Institute for Cancer Research  
 No.: May 27, 1997 (U. T. System Approved)  
 New Funds: Material Transfer Agreement  
 Current Period: Beginning May 27, 1997  
 Title/Description: Material Transfer Agreement for SED Antisera Against SMAD2 provided to Jonathan M. Graff, M.D., Ph.D., Department of Developmental Biology
18. Country: Sweden  
 Grantor: Ludwig Institute for Cancer Research  
 No.: June 23, 1997 (U. T. System Approved)  
 New Funds: Material Transfer Agreement  
 Current Period: Beginning June 23, 1997  
 Title/Description: Material Transfer Agreement for SMAD, Through 5 Antisera provided to Jonathan M. Graff, M.D., Ph.D., Department of Developmental Biology



THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

PATENT LICENSE AGREEMENTS

The following agreements have been awarded, follow an approved standard agreement or have been administratively approved by the Office of General Counsel and are recommended for ratification by the U. T. Board of Regents.

1. Licensee: Abitis Pharmaceuticals, Inc. (ABITIS)  
 Farmers Branch, Texas 75244  
 Fee-Royalty: An option fee of two thousand dollars (\$2,000).  
 Period: The term of the agreement extends from June 6, 1997  
 until December 6, 1997  
 Summary of License  
 Provisions: The option grants Abitis Pharmaceuticals, Inc. an  
 exclusive option to acquire an exclusive worldwide  
 license to manufacture, use and sell anti-pyretic  
 and anti-inflammatory peptide agents.  
 Description of  
 Licensed Product(s): The technology, an antipyretic and anti-  
 inflammatory peptide was developed by a University  
 of Texas Southwestern Medical Center at Dallas'  
 researcher.
  
2. Licensee: Bayer Pharmaceutical Division  
 West Haven, Connecticut 06516-4175  
 Fee-Royalty: A fee of ten thousand dollars (\$10,000).  
 Period: Upon payment of the fee, Bayer Pharmaceutical  
 Division shall have a fully paid up license.  
 Summary of License  
 Provisions: The transfer of materials license allows Bayer  
 Pharmaceutical Division to use the antibodies for  
 internal research use including drug screening,  
 discovery and development.  
 Description of  
 Licensed Product(s): The technology, 2-12 antibody against 17 $\beta$ -  
 Hydroxysteroid Dehydrogenase Type II and monoclonal  
 EC3-3 antibody against 17 $\beta$ -Hydroxysteroid  
 Dehydrogenase Type III, was developed by a  
 University of Texas Southwestern Medical Center at  
 Dallas' researcher.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

PATENT LICENSE AGREEMENTS  
 (Continued)

3. Licensee: Merck & Co., Inc.  
 Whitehouse Station, New Jersey 08889-0100

Fee-Royalty: Research Program Compensation: \$500,000. A variety of different fees and royalties are described in the agreement. Under the non-exclusive grant of rights: (i) an up-front license issue fee of \$10,000; (ii) a \$50,000 commercial introduction milestone payment; (iii) a 2% royalty on net sales of genetic vaccine products; (iv) a 1% royalty on net sales of non-genetic vaccine products; (v) a 3% royalty on net sales of diagnostic products. Under the exclusive grant of rights: (vi) a \$200,000 phase III clinical trial initiation milestone payment; (vii) a \$200,000 commercial introduction milestone payment; (viii) a 4% royalty on net sales of genetic vaccine products; (ix) a 2% royalty on net sales of non-genetic vaccine products; (x) a 4% royalty on net sales of diagnostic products. Provisions for reducing royalties up to 50% for royalties paid to third parties are included, as well as a combination products markdown limited to a "basement" rate of 33% of the base royalty rate.

Period: Research Collaboration: From the date of the last party to execute the agreement for a period of twenty four (24) months. License Agreement: From the date of the last party to execute the agreement to either the last to expire patent or seven (7) years after sale of licensed products on a country-by-country basis, whichever is longer.

Summary of License Provisions: An exclusive license to intellectual properties developed under the research collaboration; and a non-exclusive license to use our proprietary "Expression Library Immunization" technology in all fields. The research collaboration shall be conducted under the supervision of Stephen Johnston, Ph.D., in the Department of Internal Medicine.

Description of Licensed Product(s): A research collaboration and license agreement with Merck & Company, Inc. includes a research collaboration agreement totaling \$500,000 for development of tuberculosis vaccines.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

PATENT LICENSE AGREEMENTS  
 (Continued)

4. Licensee: Roche Bioscience  
 Palo Alto, California 94301
- Fee-Royalty: A non-refundable license issue fee of \$30,000.  
 Period: Effective April 18, 1997 upon payment of the  
 license issue fee, Roche Bioscience shall have a  
 fully paid up license.
- Summary of License  
 Provisions: The non-exclusive license allows Roche to use the  
 data for internal purposes only.
- Description of  
 Licensed Product(s): The technology, data related to the structure of  
 the MAP kinase ERK2 in its low activity  
 conformation in complex with ATP, was developed by  
 a University of Texas Southwestern Medical Center  
 at Dallas researcher.
5. Licensee: Dr. J. Thomas Vaughan, Jr.  
 Lynnfield, Massachusetts 01940
- Fee-Royalty: Assignee agrees to waive all rights as an inventor  
 under the Board's Rules and Regulations and pay  
 seventy-five percent of the first \$14,000 of net  
 cumulative income to Board. Thereafter, assignee  
 will pay twenty-five percent of all net cumulative  
 income to Board. Assignee is responsible for  
 paying all patent maintenance fees.
- Period: The assignment is effective June 3, 1997 and shall  
 expire concurrently with expiration of the patent.
- Summary of License  
 Provisions: This agreement assigns the Board's rights to an  
 inventor on the patent, Dr. J. Thomas Vaughan, Jr.  
 If the assignee does not pay at least \$10,500 in  
 payments to the Board within three years, the  
 assignee agrees to reassign the rights to Board.
- Description of  
 Licensed Product(s): U. S. Patent 4,888,555 entitled, "Physiological  
 Phantom Standard for NMR Imaging and Spectroscopy"

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

PATENT LICENSE AGREEMENTS  
 (Continued)

6. Licensee: VetGen, LLC  
 Ann Arbor, Michigan 48108
- Fee-Royalty: A license issue fee of \$5,000; a patent issue fee of \$5,000; a running earned royalty of five percent on net sales; minimum annual royalties of \$5,000 for 1998 and \$10,000 thereafter.
- Period: The term of the agreement extends from June 9, 1997 until patent rights have expired.
- Summary of License Provisions: The exclusive license allows VetGen, LLC to manufacture, use and sell diagnostic tests for equine severe combined immunodeficiency disease.
- Description of Licensed Product(s): The technology, a diagnostic test for equine severe combined immunodeficiency disease was developed by a University of Texas Southwestern Medical Center at Dallas researcher.
7. Licensee: World Health Organization  
 Geneva, 27-Switzerland
- Fee-Royalty: A license issue fee of \$10,000.
- Period: From June 4, 1997 until the material is exhausted by licensee.
- Summary of License Provisions: A contractual service/non-exclusive license agreement with the World Health Organization of Geneva, Switzerland.
- Description of Licensed Product(s): Production of 5mg T. brucei ornithine decarboxylase and delivery of a protocol for its use in inhibitor screening assays to potentially identify drug candidates for treatment of African trypanosomiasis and leishmaniasis developed in the laboratory of Dr. Margaret Phillips.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

SOFTWARE LICENSE AND DISTRIBUTION AGREEMENTS

The following agreements have been awarded, follow an approved standard format or have been approved by the Office of General Counsel and are recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Licensee: HKL Research, Inc.  
 Charlottesville, Virginia 22903  
 Fee-Royalty: A running earned royalty of 8% of net revenues for the first year, 10% for the second year, and 12% for the third and subsequent years.  
 Period: Eight years beginning July 1, 1997.  
 Description: The technology, software improvements to existing programs, was developed by a University of Texas Southwestern Medical Center at Dallas' researcher. The exclusive license allows HKL Research, Inc. to make, have made, copy, have copied, use, modify, and sublicense the software throughout the world, for use in analysis and visualization of x-ray crystallography data.
  
2. Licensee: Washington University  
 St. Louis, Missouri 93110  
 Fee-Royalty: One-time license issue fee of \$15,000 payable within one hundred twenty (120) days of licensee's receipt of the software. The license may be extended to other affiliates of its health care network for \$15,000 per installation.  
 Period: Perpetual license effective August 1, 1997.  
 Description: The "ATHENA: Remote Charge Capture System" was developed by the University of Texas Southwestern Medical Center at Dallas for use within the Department of Internal Medicine. The system allows for the downloading of patient demographic information onto laptop computers, which can then be used by data abstractors to enter patient billing information from remote locations. Once entered, the data can then be easily uploaded onto the main server computer, drastically reducing the time and effort necessary for collecting, downloading and accessing patient information.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES

The following listings summarize the changes proposed to the Catalogs of The University of Texas Southwestern Medical Center at Dallas. The following changes have been administratively approved, as required, by the Executive Vice Chancellor for Health Affairs and are recommended for ratification by the U. T. Board of Regents.

The University of Texas Southwestern Allied Health Sciences School  
 Catalog for 1995-98

Page 16 In response to the Hopwood decision (HB 588), the U. T. Southwestern Allied Health Science School Faculty Council adopted a statement of admission policy in December of 1996. That statement made explicit a number of new elements that could be considered by the ten different programs in the School, with an aim toward enriching and diversifying the student body while remaining true to the principles established by Hopwood.

The University of Texas Southwestern Graduate School of Biomedical Sciences  
 Catalog for 1997-2000

Page 5 and 6 U. T. Southwestern Graduate School of Biomedical Sciences admission policies were modified to assure an absence of race-related bias. The selection criteria are now described in greater detail and include measures that will promote the admission of a highly qualified student body reflecting the diversity of the population of Texas and the nation.

The University of Texas Southwestern Medical School  
 Catalog for 1996-99

Page 23 U. T. Southwestern Medical School modified its admissions policy to comply with the Hopwood decision (HB 588) to assure that admission decisions are neutral in regard to race and ethnicity. At the same time, the school incorporated criteria that would contribute to the make up of an applicant pool reflective of the diversity of the citizens of Texas.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET

The following Requests for Budget Changes (RBC) have been administratively approved as required by the Executive Vice Chancellor for Health Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u>					
SOUTHWESTERN MEDICAL SCHOOL					
MICROBIOLOGY					
1. Richard J. Baer					19
From: Professor of Microbiology (Tenure), Holder of the Roy and Christine Sturgis Chair in Biomedical Research and Holder of the H. Lloyd and Willye V. Skaggs Professorship in Medical Research		100	12	109,244	
To: Professor of Microbiology (Tenure), Holder of the H. Lloyd and Willye V. Skaggs Professorship in Medical Research and Holder of the Sherry Wigley Crow Cancer Research Endowed Chair in Honor of Robert Lewis Kerby, M.D.	6/1	100	12	109,244	

Source of Funds:

\$ 33,866 - State Funds - Microbiology  
 20,746 - State Funds - Molecular Microbiology Graduate Program  
 17,382 - NIH R01-CA46593-10  
37,250 - American Cancer Society  
\$109,244 - Total Salary

Appointed as Holder of the Sherry Wigley Crow Cancer Research Endowed Chair in Honor of Robert Lewis Kerby, M.D.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
(Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
SOUTHWESTERN MEDICAL SCHOOL (Continued)					
OFFICE OF THE DEAN					
2. Perrie M. Adams					18
From: Associate Dean (Tenure)		100	12	119,044	
To: Holder of the Margaret D. Harris Professorship in Alzheimer's Research and Associate Dean (Tenure)	6/1	100	12	119,044	

Source of Funds:

\$ 58,323 - State Funds - Office of Grants Management  
 2,724 - State Funds - Office of the Dean - GSBS  
57,997 - State Funds - Research Enhancements - Academic Affairs  
119,044 - Total Salary

Appointed as Holder of the Margaret D. Harris Professorship in Alzheimer's Research.

PHARMACOLOGY

3. Melanie H. Cobb					22
From: Professor of Pharmacology (Tenure)		100	12	96,044	
To: Professor of Pharmacology (Tenure) and Holder of the Jane and Bill Browning, Jr. Chair in Medical Science	8/1	100	12	96,044	

Source of Funds:

\$ 20,927 - State Funds - Pharmacology  
 28,571 - State Funds - Cell Regulation Graduate Program  
46,546 - Designated Funds  
96,044 - Total Salary

Appointed as Holder of the Jane and Bill Browning, Jr. Chair in Medical Science.



THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
SOUTHWESTERN MEDICAL SCHOOL (Continued)					
PSYCHIATRY					
4. Myron F. Weiner					23
From: Professor of Psychiatry (Tenure), Vice Chairman of Clinical Affairs and Holder of the Aradine S. Ard Chair in Brain Science		100	12	168,644	
To: Professor of Psychiatry (Tenure), Vice Chairman of Clinical Affairs, Holder of the Aradine S. Ard Chair in Brain Science and Holder of the Dorothy L. and John P. Harbin Chair in Alzheimer's Disease Research	8/14	100	12	168,644	

Source of Funds:

\$ 49,489 - State Funds - Psychiatry  
 20,125 - State Funds - Alzheimer's Disease Center - Psychiatry  
 23,212 - NIH 5-P30-AG12300-04  
 7,030 - NIH 5-P30-AG12300-04  
 7,030 - NIH 5-P30-AG12300-04  
 14,053 - Presbyterian Hospital  
 9,972 - University of California - San Diego  
37,733 - Aradine S. Ard  
\$168,644 - Total Salary

Appointed as Holder of the Dorothy L. and John P. Harbin Chair in Alzheimer's Disease Research.

INSTITUTIONAL SUPPORT

OFFICE OF PURCHASING

5. Alvin J. Behrens					17
From: Director of Purchasing		100	12	80,244	
To: Assistant Vice President	5/19	100	12	98,000	

Promotion.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

TRANSFER OF FUNDS

RBC

SOUTHWESTERN MEDICAL SCHOOL  
 OFFICE OF THE DEAN

6. Amount of Transfer \$191,255 20

From: Dean's Unallocated Faculty Salaries

To: Obstetrics and Gynecology

Transfer of funds to compensate for a decrease in contract income from  
 Parkland Memorial Hospital.

OFFICE OF THE DEAN

7. Amount of Transfer \$335,000 21

From: Dean's Unallocated Faculty Salaries

To: Psychiatry

Transfer of funds to compensate for a decrease in contract income from  
 Parkland Memorial Hospital.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

OTHER FISCAL ITEMS

EQUIPMENT LOAN AGREEMENT

The following items have been approved by the Executive Vice Chancellor for Health Affairs and are submitted for ratification by the U. T. Board of Regents.

1. Items Loaned: Quantitative Muscle Assessment (QMA) Evaluation System  
 Lender: The Computer Source (TCS)  
 Department: Center for Neurologic Diseases  
 Purpose of Loan: For the purpose of evaluation for a period not to exceed forty-five days from date of receipt.
  
2. Items Loaned: Hewlett-Packard SPP1000 XA/8CPU/512MB Computer (Serial Number 65773)  
 Four 2GB disk drives  
 One SCSI controller  
 One Ethernet  
 HP 730 workstation - monochrome  
 Lender: Hewlett-Packard Company  
 Department: Eugene McDermott Center for Human Growth and Development  
 Purpose of Loan: For internal development, testing and/or marketing purposes during the term of June 1, 1997 through May 31, 1999.
  
3. Items Loaned: Neurosurgical Stereotaxic Instrument, Trent Wells Model 30860 (Serial # None; Stock # 6515-438754; Cost \$4,381.15)  
 Camera, Still Picture (Serial # 0811; Stock # 6720-035690; Cost \$1,400)  
 Bone Surgery Set Hall Model AU3600 (Serial # None; Stock # none; Cost \$796.25)  
 Lender: Veterans Affairs Medical Center  
 Department: Neurology  
 Purpose of Loan: Used by Dr. Duke Samson, Department of Neurosurgery, in conducting research studies and training of neurosurgery students, interns, and residents, as well as fellows.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

APPOINTMENT, REAPPOINTMENT OR PROMOTION OF RELATIVES  
 AND  
 MARRIAGE OF CERTAIN EMPLOYEES

The following personnel actions involving the appointment, reappointment or promotion of relatives have been administratively approved by the Executive Vice Chancellor for Health Affairs. The personnel actions are consistent with the provisions of state law and the Regents' Rules and Regulations Part One, Chapter III, Section 5, Subsection 5.3, Subdivision 5.31.

1. PROPOSED REAPPOINTMENT	RELATIVE
<u>College, Department, Title, Name</u>	<u>College, Department, Title Name</u>
<b>SOUTHWESTERN MEDICAL CENTER</b>	
Orthopaedic Surgery	Orthopaedic Surgery
Professor and Chairman	Assistant Professor
Robert Bucholz	Marybeth Ezaki (wife)
Physiology	Physiology
Professor and Chairman	Associate Professor
James Stull	Kristine Kamm (wife)
Psychiatry	Psychiatry
Professor and Chairman	Assistant Professor
Maurice Korman	Claire Korman (wife)

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY

The following institutional policy for the Periodic Performance Evaluation of Tenured Faculty at The University of Texas Southwestern Medical Center at Dallas has been approved by the Chancellor, the Acting Vice Chancellor for Academic Affairs and the Office of General Counsel and is recommended for approval by the U. T. Board of Regents. Upon approval, the policy will be included in the institutional Handbook of Operating Procedures.

The University of Texas Southwestern Medical Center at Dallas  
Policy for Periodic Evaluation of Tenured Faculty

Preamble

The University of Texas Southwestern Medical Center recognizes the time-honored practice of tenure for university faculty as an important protection of free inquiry, open intellectual and scientific debate, and unfettered criticism of the accepted body of knowledge. Academic institutions have a special need for practices that protect freedom of expression, since the core of the academic enterprise involves a continual reexamination of ideas. Academic disciplines thrive and grow through critical analysis of conventions and theories. Throughout history, the process of exploring and expanding the frontiers of learning has necessarily challenged the established order. That is why tenure is so valuable, not merely for the protection of individual faculty members but also as an assurance to society that the pursuit of truth and knowledge commands our first priority. Without freedom to question, there can be no freedom to learn.

The University of Texas Southwestern Medical Center supports a system of periodic evaluation of all tenured faculty. Periodic evaluation is intended to enhance and protect, not diminish, the important guarantees of tenure and academic freedom. The purpose of periodic evaluation is to provide guidance for continuing and meaningful faculty development; to assist faculty to enhance professional skills and goals; to refocus academic and professional efforts, when appropriate; and to assure that faculty members are meeting their responsibilities to the University and the State of Texas. The U. T. Board of Regents is pledged to regular monitoring of this system to make sure that it is serving its intended purposes and does not in any way threaten tenure as a concept and practice. In implementing the plan, component institutions shall maintain an appropriate balance of emphasis on teaching, research, service, and other duties of faculty.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

Policy

Periodic evaluations, while distinct from the annual evaluation process now required of all employees, may be integrated with the annual evaluation process to form a single comprehensive faculty development and evaluation process. Nothing in these policies or the application of institutional evaluation policies shall be interpreted or applied to infringe on the tenure system, academic freedom, due process, or other protected rights; nor to establish new term-tenure systems or to require faculty to reestablish their credentials for tenure.

The periodic evaluation of tenured faculty shall include the following minimum elements:

1. Evaluation of tenured faculty will continue to be performed annually with a comprehensive periodic evaluation of all tenured faculty performed every five years (except in rare circumstances such as overlap with approved leave, promotion or other comprehensive review, or review for appointment to an endowed position). The requirement of periodic review does not imply that individuals with unsatisfactory annual evaluations may not be subject to further review and/or appropriate administrative action.
2. The evaluation shall include review of the faculty member's duties such as teaching, research, service, administration (if applicable), and, for faculty with clinical responsibilities, patient care.
3. Reasonable individual notice of at least six months of intent to review will be provided to a faculty member.
4. The faculty member being evaluated shall submit a resume, including a summary statement of professional accomplishments, and shall submit or arrange for the submission of annual evaluations and teaching evaluations. The faculty member may provide copies of a statement of professional goals, a proposed professional development plan, and any other additional materials the faculty member deems appropriate.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

5. Initial evaluation of the faculty member's performance will be carried out by the department chair and reported to the dean for review. Evaluation shall include review of the current resume, student evaluations of teaching for the review period, annual evaluations for the review period, and all materials submitted by the faculty member.
6. Although peer review is not required by institutional policy, a peer review process may be initiated by the faculty member, department chair (or equivalent) or dean. If peer committees are involved, the members shall be representative of the school and will be appointed, on the basis of their objectivity and academic strength, by the dean in consultation with the tenured faculty in the school. If peer review is involved, the faculty member will be provided with an opportunity to meet with the committee or committees.
7. Results of the evaluation will be communicated in writing to the faculty member, the department chair, the dean, and the president for review and appropriate action. Possible uses of the information contained in the report should include the following:
  - a) For individuals found to be performing well, the evaluation may be used to determine salary recommendations, nomination for awards, or other forms of performance recognition.
  - b) For individuals whose performance indicates they would benefit from additional institutional support, the evaluation may be used to provide such support (e.g., teaching effectiveness assistance, academic counseling, or mentoring in research issues/service expectations).
  - c) For individuals found to be performing unsatisfactorily, possible review for termination under current Regents' Rules and Regulations may be considered. All proceedings for termination of tenured faculty shall be only for good cause shown and must be conducted in accordance with the due process procedures of Regents' Rules and Regulations, Part One, Chapter III, Section 6, including an opportunity for referral of the matter to alternative dispute resolution. Such proceedings must

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY  
(Continued)

include a list of specific charges by the chief administrative officer and an opportunity for a hearing before a faculty tribunal. In all such cases, the burden of proof shall be on the institution, and the rights of a faculty member to due process and academic freedom shall be protected.



September 25, 1997

Dr. Charles B. Mullins  
Executive Vice Chancellor  
for Health Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Dr. Mullins:

The docket for the November 12-13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



Thomas N. James, M.D., President

TNJ:dw

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

## TABLE OF CONTENTS

	<u>Page No.</u>
Business Contracts for Services (including Minor Construction Projects) .....	G- 3
Contracts or Grants for Research, Development, Clinical and Educational Services.....	G- 4
\$500,000 or more.....	G- 5
Foreign.....	G- 6
Affiliation Agreements.....	G- 7
Intellectual Property Licenses	
Patent License Agreements.....	G- 8
Catalog Changes.....	G- 9
Amendments to the 1996-97 Budget.....	G-12
Other Fiscal Items.....	G-18
Other Matters.....	G-19

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**BUSINESS CONTRACTS FOR SERVICES**

The following MINOR CONSTRUCTION PROJECTS have been administratively approved by the Chief Administrative Officer (or his delegate) and are recommended for ratification by the U. T. Board of Regents:

(FUNDS GOING OUT)

- |    |                            |   |
|----|----------------------------|---|
| 1. | Contractor:                | J. W. Kelso Company, Incorporated   |
|    | Amount:                    | \$593,600   |
|    | Source of Funds:           | Institutional Project Funds   |
|    | Date of Contract:          | June 16, 1997   |
|    | Estimated Completion Date: | September 10, 1997  |
|    | Project Title:             | Psychiatric Renovations - 3rd Floor -<br>Rebecca Sealy Hospital   |
|    | Brief Description:         | Renovations to the third floor of Rebecca<br>Sealy Hospital to be utilized by the<br>UTMB Psychiatric Department. |
|    | HUB:                       | No  |
| 2. | Contractor:                | Traffic Maintenance and Construction  |
|    | Amount:                    | \$538,666   |
|    | Source of Funds:           | Institutional Project Funds   |
|    | Date of Contract:          | June 30, 1997   |
|    | Estimated Completion Date: | December 19, 1997   |
|    | Project Title:             | Harborside Drive Traffic Signal Improvements  |
|    | Brief Description:         | Traffic signal improvements located at<br>1902 Harborside Drive.  |
|    | HUB:                       | Woman   |

(BUSINESS CONTRACTS SUPPLEMENTAL INFORMATION)

Total Number of Contracts:	2
Total Number Awarded to HUBS:	<u>1</u>
Woman	1 50%
% of Total Number of Contracts Awarded to HUBS:	50%
 Total Funds:	 \$1,132,266
Total Funds Awarded to HUBS:	<u>\$ 538,666</u>
Woman	\$ 538,666
% of Total Funds Awarded to HUBS:	48%

**BUILDING SPACE LEASES:** The following building space lease has been awarded, has been administratively approved by the Chief Administrative Officer (or his delegate) and is recommended for ratification by the U. T. Board of Regents:

(FUNDS COMING IN)

- |    |                    |  |
|----|--------------------|--|
| 3. | Agency:            | Family Healthcare Centers, Inc.  |
|    | Funds:             | \$691,668  |
|    | Period:            | July 1, 1997 through June 30, 2000   |
|    | Title/Description: | UTMB to sublease to Family Healthcare Centers, Inc.<br>19,213 square feet of net usable space located at 700<br>University Blvd. in Galveston, Texas |

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**CONTRACTS OR GRANTS FOR RESEARCH, DEVELOPMENT, CLINICAL  
AND EDUCATIONAL SERVICES**

Since the Docket for the previous meeting of the U. T. Board of Regents, the following activity has taken place with regard to Contracts or Grants for Research, Development, Clinical and Education Services:

A. Number of Initial Grant and Contract Awards

<u>Docket Item #</u>	<u>Total Funds In</u>	<u>Total Funds Out</u>	<u>Supporting Materials</u>
FY 98 1-7	\$ 131,800		Report of Contracts/Grants

B. Number of Fiscal Amendments to Grant and Contract Awards

<u>Docket Item #</u>	<u>Total Funds In</u>	<u>Total Funds Out</u>	<u>Supporting Materials</u>
FY 98 8	\$ 36,875		Report of Contracts/Grants

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE

The following contracts or grants in an amount of \$500,000 or more have been awarded, have been administratively approved by the Chief Administrative Officer (or his delegate) as required, and are recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Grantor: Correctional Managed Health Care Advisory Committee  
 No.: CMHC 98-003  
 New Funds: \$432,665,022  
 Current Period: September 1, 1997 - August 31, 1999  
 Title/Description: UTMB will provide medical and psychiatric services to TDCJ offenders in Geographical Services Areas 3,4,5,6,7 and 8.
  
2. Grantor: Interagency Council on Early Childhood Intervention  
 No.: C8069  
 New Funds: \$845,115  
 Current Period: September 1, 1997 - August 31, 1998  
 Title/Description: UTMB will implement a program of early childhood intervention services for all identified children with developmental delay in the approved service area.
  
3. Grantor: Texas Department of Health  
 No.: 7237237237 97-03E-17  
 New Funds: \$990,617  
 Current Period: October 1, 1996 - September 30, 1997  
 Title/Description: UTMB will provide supplemental food vouchers, nutrition education and immunization services to WIC card participants.

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
DEVELOPMENT AND EDUCATIONAL SERVICES

The following contracts or grants with foreign entities or individuals have been awarded, have been administratively approved by the Executive Vice Chancellor for Health Affairs, and are recommended for ratification by the U. T. Board of Regents.

(FUNDS GOING OUT)

1. Country: Germany  
Grantor: Dr. Henning Saß  
New Funds: \$3,000  
Current Period: May 27, 1997 - July 20, 1997  
Title/Description: To render consultative medical services to UTMB's physicians on behalf of UTMB's patients.
  
2. Country: Italy  
Grantor: Dr. Luca Imeri  
New Funds: \$1,280  
Current Period: August 28, 1997 - until completed  
Title/Description: To provide initial processing and subsequent data reduction of thirty-two (32) computer files generated from the laboratory of Dr. Mark Opp.

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**FOREIGN AFFILIATION AGREEMENT**

The following agreement of academic cooperation with a foreign institution has been administratively approved by the Executive Vice Chancellor for Health Affairs, and follows the standard format approved by the U. T. Board of Regents.

1. Country: Peru  
Facility: The National Institute of Health of Peru  
Date: Effective July 23, 1997  
Purpose: The University of Texas Medical Branch at Galveston and The National Institute of Health of Peru will cooperate to promote interest in the research activities of the respective institutions, and to deepen the understanding of the economic, cultural and social issues involved in the work of both institutions.

**NON-STANDARD AFFILIATION AGREEMENT**

The following non-standard affiliation agreement has been administratively approved as to form and content by the Executive Vice Chancellor for Health Affairs and the Office of General Counsel.

2. Facility: University of Houston College of Pharmacy  
Date: Effective September 1, 1997  
Purpose: Health care educational experience program established primarily for the benefit of pharmacy students enrolled in the B.S. Pharm. and/or Pharm. D. programs at the University of Houston College of Pharmacy.

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**PATENT LICENSE AGREEMENT**

The following agreement has been awarded, follows an approved standard agreement or has been administratively approved by the Office of General Counsel and is recommended for ratification by the U. T. Board of Regents.

1. Licensee: Galveston Manufacturing Company, Inc.  
Santa Fe, Texas 77517
- Fee-Royalty: A running royalty equal to 3 1/2% of net sales for Licensed Product; one half of gross revenues received by Licensee from any sublicensee.
- Period: Effective January 1, 1996 to the full end of the term or terms for which Patent Rights have not expired, and if only Technology Rights are licensed and no Patent Rights are applicable, for a term of fifteen (15) years.
- Summary of License Provisions: Board grants to Licensee a royalty-bearing, exclusive license under Licensed Subject Matter to manufacture, have manufactured, and/or sell Licensed Products within Licensed Territory for use within Licensed Field.
- Description of Licensed Product(s): Products include The Galveston Metacarpal Brace



THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES

The following listing summarizes the changes proposed to the Catalog of The University of Texas Medical Branch at Galveston. The following changes have been administratively approved, as required, by the Executive Vice Chancellor for Health Affairs and are recommended for ratification by the U. T. Board of Regents.

**Graduate School of Biomedical Sciences Catalog for 1998-99**

<u>Page Number(s)</u>	<u>Summary of Changes</u>
20-21	Admission Requirements: (1) clarify degrees required for admission; added list of factors considered by program admission committees in making admission decisions; changed wording to clarify that consideration of the admission factors is a competitive process.
23	Delete prerequisite for Cell Biology graduate program.
24	Item 4 under Master of Medical Science degree moved to Medical Humanities on page 25.
25	Medical Humanities graduate program admission requirements: (1) delete statement placing condition on students with BA degree; (2) add item 4 moved from page 24; (3) add statement to Microbiology and Immunology admission requirements regarding interview and valuing research experience; (4) delete Master's School of Nursing requirements; (5) add Nursing Ph.D. requirements.
26	Pharmacology and Toxicology graduate program: State courses expected as prerequisite. Preventive Medicine and Community Health: change wording to say applicants need an appropriate educational background for the area of specialization they seek to pursue.

**School of Allied Health Sciences General Information Bulletin for 1998-99**

<u>Page Number(s)</u>	<u>Summary of Changes</u>
12	Addition: (insert before "Specific Program Requirements"): "Evaluation of Applicants" criteria is intended to provide general admissions criteria. Specific program admissions requirements remain.
76	Addition: (insert as first paragraph beneath heading "School of Allied Health Sciences"): In keeping with House Bill 588, published criteria for Competitive Scholarships is added.

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**CATALOG CHANGES**  
 (Continued)

**School of Medicine Catalog for 1998-99**

<u>Page Number(s)</u>	<u>Summary of Changes</u>
27	Prescribed Courses: Delete, replace this section with the following addition.
27	Addition: Admission Policy in compliance with House Bill 588. This policy describes the requirements for entrance and selection factors used in the selection of medical students at The University of Texas Medical School at Galveston.
104	Addition: Policy for awarding scholarships in compliance with House Bill 588. This policy lists the types of scholarships and the criteria and other factors taken into consideration in awarding scholarships.

**School of Nursing Undergraduate and Master's Catalog for 1998-99**

<u>Page Number(s)</u>	<u>Summary of Changes</u>
9	Changes in the CPR requirements to conform with the current American Heart Association standards. Previous catalog wording did not notify students of timeline.
10	Clarifies that students must achieve specific minimum criteria on each of the three sections of the Nurse Entrance Test (NET). Previous catalog wording did not provide this information.
10	Includes interview process as a new requirement for admission into the generic track nursing program.
10	Identifies selection factors used by faculty in conducting a competitive evaluation among generic track applicants.
10	Informs prospective student of application deadline for generic track.
11	Changes in the CPR requirements to conform with the current American Heart Association standards. Previous catalog wording did not notify students of timeline.
11	Replaces previous criteria concerning National League of Nursing (NLN) advanced placement exams which are no longer admission requirements for applicants to the Flexible Option Track.
11	Identifies selection factors used by faculty in conducting a competitive evaluation among flexible option track applicants.

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(Continued)

School of Nursing Undergraduate and Master's Catalog for  
1998-99 (Continued)

<u>Page Number(s)</u>	<u>Summary of Changes</u>
25	Master's Nursing Program: Elaboration of admission requirements and indication of movement from Graduate School of Biomedical Sciences to the School of Nursing.
26	Identifies selection factors used by faculty in conducting a competitive evaluation among master's nursing program applicants.
103	Ensures compliance with House Bill 588 requiring that competitive scholarship policies and procedures be published in the catalog.

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**AMENDMENTS TO THE 1996-97 BUDGET**

The following Requests for Budget Changes (RBC) have been administratively approved as required by the Executive Vice Chancellor for Health Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>No. Mos.</u>	<u>Full-time Salary Rate</u>	<u>RBC</u>
<b><u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u></b>					

SCHOOL OF MEDICINE

Surgery

Professor

1. Glenn C. Hunter (T)	6/9-8/31	100	12	213,600	37
------------------------	----------	-----	----	---------	----

Source of Funds:

\$213,600 - MSRDP Grant

\$213,600 - Total Salary

Microbiology and Immunology

2. Stanley M. Lemon					43
---------------------	--	--	--	--	----

From: Professor and Chairman (T)		100	12	210,000	
----------------------------------	--	-----	----	---------	--

To: The Samuel Baron Distinguished Professorship in Microbiology and Immunology; Professor and Chairman (T)	6/1-8/31	100	12	210,000	
---	----------	-----	----	---------	--

Initial Appointment to The Samuel Baron Distinguished Professorship in Microbiology

Source of Funds:

\$155,000 - State

55,000 - MSRDP Grant

\$210,000 - Total Salary

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
SCHOOL OF MEDICINE					
Anatomy and Neurosciences (WOS); Obstetrics and Gynecology					
3. Chandrasekhar Yallampalli (T)					39
From: Associate Professor, Anatomy and Neurosciences and Obstetrics and Gynecology		100	12	79,791	
To: Associate Professor, Anatomy and Neurosciences and Obstetrics and Gynecology	8/1-8/31	100	12	87,000	
Source of Funds:					
\$ 56,550 - State					
<u>30,450</u> - DHHS Grant					
<u>\$ 87,000</u> - Total Salary					
Human Biological Chemistry and Genetics; Physiology and Biophysics (WOS)					
4. David G. Gorenstein					41
From: Professor, Human Biological Chemistry and Genetics and Physiology and Biophysics (T)		100	12	162,240	
To: The Charles Marc Pomerat Distinguished Professorship in Biological Sciences; Professor, Human Biological Chemistry and Genetics and Physiology and Biophysics (T)	6/1-8/31	100	12	162,240	
Initial Appointment to Pomerat Distinguished Professorship					
Source of Funds:					
\$131,664 - State					
<u>30,576</u> - DHHS Research Grant					
<u>\$162,240</u> - Total Salary					

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
SCHOOL OF MEDICINE					
Microbiology and Immunology (WOS); Pathology					
5. Robert B. Tesh					40
From: Professor, Microbiology and Immunology and Pathology (T)		100	12	140,000	
To: The George Dock Distinguished Professorship in Pathology; Professor, Microbiology and Immunology and Pathology (T)	6/1-8/31	100	12	140,000	
Initial Appointment to Dock Distinguished Professorship					
Source of Funds:					
\$ 12,167 - State					
62,498 - DHHS Research Grant					
<u>65,335</u> - MSRDP Grant					
<u>\$140,000</u> - Total Salary					

SCHOOL OF MEDICINE; GRADUATE SCHOOL					
Internal Medicine (WOS); Physiology and Biophysics; Graduate School					
6. Luis Reuss					45
From: Professor and Chairman, Physiology and Biophysics; Professor, Internal Medicine and Graduate School (T)		100	12	194,000	
To: The Mason Guest Distinguished Professorship in Physiology and Biophysics; Professor and Chairman, Physiology and Biophysics; Professor, Internal Medicine and Graduate School (T)	6/1-8/31	100	12	194,000	
Initial Appointment to Guest Distinguished Professorship					
Source of Funds:					
\$152,440 - State					
<u>41,560</u> - Academic Support - Physiology					
<u>\$194,000</u> - Total Salary					

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
SCHOOL OF MEDICINE; GRADUATE SCHOOL					
Microbiology and Immunology; Office of Multicultural Affairs; Graduate School					
7. Clifford W. Houston					44
From: Professor, Microbiology and Immunology and Graduate School; Associate Vice President for Multicultural Affairs, Office of Multicultural Affairs (T)		100	12	113,300	
To: The Herman Barnett Distinguished Professorship in Microbiology and Immunology; Professor, Microbiology and Immunology and Graduate School; Associate Vice President for Multicultural Affairs, Office of Multicultural Affairs (T)	6/1-8/31	100	12	113,300	
Initial Appointment to Barnett Distinguished Professorship					
SCHOOL OF MEDICINE; MARINE BIOMEDICAL INSTITUTE; TEXAS DEPARTMENT OF CRIMINAL JUSTICE					
Preventive Medicine and Community Health (WOS); Orthopaedic Surgery and Rehabilitation; Marine Biomedical Institute (WOS); Managed Health Care					
8. Jason H. Calhoun					38
From: Professor, Preventive Medicine and Community Health; Professor and Chairman, Orthopaedic Surgery and Rehabilitation; Adjunct Member, Marine Biomedical Institute; Medical Director, Managed Health Care (T)		100	12	303,900	

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

Item, Department, Title, Name	Effective Date	%	Full-time Salary		RBC
			No. Mos.	Rate	
SCHOOL OF MEDICINE; MARINE BIOMEDICAL INSTITUTE; TEXAS DEPARTMENT OF CRIMINAL JUSTICE					
Preventive Medicine and Community Health (WOS); Orthopaedic Surgery and Rehabilitation; Marine Biomedical Institute (WOS); Managed Health Care					
8. Jason H. Calhoun (Continued)					38
To: The John Sealy Distinguished Centennial Chair in Rehabilitation Sciences; Professor, Preventive Medicine and Community Health; Professor and Chairman, Orthopaedic Surgery and Rehabilitation; Adjunct Member, Marine Biomedical Institute; Medical Director, Managed Health Care (T)					
	7/1-8/31		100	12	303,900
Initial Appointment to the Sealy Distinguished Centennial Chair in Rehabilitation Sciences					
Source of Funds:					
\$125,660 - State					
<u>142,500</u> - Managed Health Care					
\$268,160 - Total Salary					
<u>35,740</u> - MSRDP Augmentation					
<u>\$303,900</u> - Total Compensation					
SCHOOL OF ALLIED HEALTH SCIENCES					
Office of the Dean of Allied Health Sciences; Interdisciplinary Allied Health Education; Occupational Therapy (WOS)					
9. Charles Christiansen					46
From: Dean of Allied Health Sciences, Office of the Dean of Allied Health Sciences; Professor, Interdisciplinary Allied Health Education and Occupational Therapy (T)					
			100	12	131,600



THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
(Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
SCHOOL OF ALLIED HEALTH SCIENCES					
Office of the Dean of Allied Health Sciences; Interdisciplinary Allied Health Education; Occupational Therapy (WOS)					
9. Charles Christiansen (Continued)					46
To: The George T. Bryan Distinguished Professorship in the Allied Health Sciences; Dean of Allied Health Sciences, Office of the Dean of Allied Health Sciences; Professor, Interdisciplinary Allied Health Education and Occupational Therapy (T)					
	6/1-8/31	100	12	131,600	

Initial Appointment to Bryan Distinguished Professorship

TRANSFER OF FUNDS

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON

10. Amount of Transfer	\$9,500,000	47
From: Estimated Hospital Income		
To: Chemistry	\$3,000,000	
Operating Room - Supplies		
Orthopaedics	2,000,000	
Materials Management	2,000,000	
Emergency Services		
Transportation	1,000,000	
Inpatient Pharmacy	1,000,000	
Healthcare Information	500,000	
Total	<u>\$9,500,000</u>	

This transfer is to increase Hospital Revenue and to apply to the Department's listed above for fiscal year 1996-97.

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER FISCAL ITEMS

PURCHASE ORDER - \$500,000 OR MORE

The following purchase order has been administratively approved by the Chief Administrative Officer (or his delegate) and is recommended for ratification by the U. T. Board of Regents:

(FUNDS GOING OUT)

1. Agency: Kodak Health Imaging  
Funds: \$780,470  
Title/Description: Kodak Health Imaging will supply one Kodak Image Distribution Computerized Radiology System for the Radiology Department at UTMB

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

PERIODIC EVALUATION OF TENURED FACULTY POLICY

The following is the initial submission of the Periodic Evaluation of Tenured Faculty Policy. This policy has been approved by the Chancellor and the Executive Vice Chancellor for Health Affairs and is recommended for ratification by the U. T. Board of Regents. Upon approval, the policy will be included in the institutional Handbook of Operating Procedures.

**The University of Texas Medical Branch  
Policy for Periodic Performance Evaluation of Tenured Faculty**

It is the policy of The University of Texas Medical Branch at Galveston, in accordance with the "Guidelines for Periodic Performance Evaluation of Tenured Faculty" established by the Board of Regents on August 14, 1997, to conduct comprehensive performance reviews of all tenured faculty. These reviews will be conducted by peer review in each of the Schools of Allied Health, Nursing and Medicine with oversight by the Deans of the Schools and the Vice President for Academic Affairs.

In concert with the principles enunciated by the Board of Regents, and using traditional governance structures, the faculty of each of the Schools has developed an implementation plan appropriate for the School. These plans include the following elements for periodic evaluation:

1. Evaluation of tenured faculty will continue to be performed annually with a comprehensive periodic evaluation of all tenured faculty performed every six years. The evaluation may not be waived for any tenured faculty member but may be deferred in rare circumstances when the review period will coincide with approved leave, comprehensive review for promotion, or appointment to an endowed position. No deferral of review of an active faculty member may extend beyond one year from the scheduled review. Periods when a faculty member is on leave will not be counted in calculating when the comprehensive evaluation is required. The requirement of periodic review does not imply that individuals with unsatisfactory annual evaluations may not be subject to further review and/or appropriate administrative action.
2. The evaluation shall include review of the faculty member's professional responsibilities in teaching, research, service, patient care, and administration.
3. Reasonable individual notice of at least six months of intent to review will be provided to a faculty member.
4. The faculty member being evaluated shall submit a resume, including a summary statement of professional accomplishments, and shall submit or arrange for the submission of annual reports and teaching evaluations. The faculty member may provide copies of a statement of professional goals, a proposed professional development plan, and any other additional materials the faculty member deems appropriate.

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

PERIODIC EVALUATION OF TENURED FACULTY POLICY (Continued)

5. Initial evaluation of the faculty member's performance will be carried out by a peer review panel, but in any event must be reported to the chair (or equivalent) and dean for review. Evaluation shall include review of the current resume, student evaluations of teaching for the review period, annual reports for the review period, and all materials submitted by the faculty member.
6. Peer committee members shall be representative of the department/school and will be appointed/elected, on the basis of their objectivity and academic strength, by the dean pursuant to processes as defined in school policies. The faculty member will be provided with an opportunity to meet with the peer review committee or committees.
7. Results of the evaluation will be communicated in writing to the faculty member, the department chair/dean, the vice president for academic affairs, and the president for review and appropriate action.

Possible uses of the information contained in the report include the following:

- For individuals found to be performing well, the evaluation may be used to determine salary recommendations, nomination for awards, or other forms of performance recognition.
- For individuals whose performance indicates they would benefit from additional institutional support, the evaluation may be used to provide such support (e.g., teaching effectiveness assistance, counseling, or mentoring in research issues/service expectations).
- For individuals found to be performing unsatisfactorily, review to determine if good cause exists for termination under the current Regents' Rules and Regulations may be considered. All proceedings for termination of tenured faculty on the basis of periodic performance evaluation shall be only for incompetency, neglect of duty or other good cause shown and must be conducted in accordance with the due process procedures of the Regents' Rules and Regulations, Part One, Chapter III, Section 6 including an opportunity for referral of the matter to alternative dispute resolution. Such proceedings must also include a list of specific charges by the chief administrative officer and an opportunity for a hearing before a faculty tribunal. In all such cases, the burden of proof shall be on the institution, and the rights of a faculty member to due process and academic freedom shall be protected.



M. David Low, M.D., Ph.D.  
President  
and  
Alkek-Williams Distinguished Professor

September 26, 1997

Dr. Charles B. Mullins  
Executive Vice Chancellor  
for Health Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Dr. Mullins:

The docket for the November 12-13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,

A handwritten signature in cursive script, appearing to read 'M. David Low'.

M. David Low, M.D., Ph.D.  
President

MDL:ke

HH - 1

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

TABLE OF CONTENTS

	<u>Page No.</u>
Gifts from Private Donors and Foundations (including Endowment Matching Fund Allocation).....	HH- 3
Contracts and Grants for Research, Development, Clinical and Educational Services.....	HH- 5
\$500,000 or more.....	HH- 6
Foreign.....	HH- 7
Catalog Changes.....	HH- 8
Amendments to the 1996-97 Budget.....	HH-14
Other Matters	
Dual Positions of Honor, Trust, or Profit.....	HH-19
Periodic Evaluation of Tenured Faculty Policy.....	HH-20

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**GIFTS FROM PRIVATE DONORS AND FOUNDATIONS**

The following gifts have been received, approved by the Executive Vice Chancellor for Health Affairs, and are recommended for acceptance by the U. T. Board of Regents:

1. Donor Name: C. R. Bard Foundation, Inc.  
 College/School/  
 Department: Medical School, Department of Urology  
 Purpose: For support of the Edward J. McGuire, M.D.  
 Distinguished Chair in Urology  
 Asset Type: Cash  
 Value: \$100,000 .
  
2. Donor Name: The Fondren Foundation  
 College/School/  
 Department: The Institute of Molecular Medicine for the  
 Prevention of Human Diseases  
 Purpose: To support the Institute of Molecular Medicine for  
 the Prevention of Human Diseases  
 Asset Type: Cash  
 Value: \$50,000
  
3. Donor Name: Hermann Eye Fund  
 College/School/  
 Department: Medical School, Department of Ophthalmology and  
 Visual Science  
 Purpose: General - Department of Ophthalmology and Visual  
 Science  
 Asset Type: Cash  
 Value: \$50,000
  
4. Donor Name: Hermann Hospital \*  
 College/School/  
 Department: The Institute of Molecular Medicine for the  
 Prevention of Human Diseases  
 Purpose: To support the Institute of Molecular Medicine for  
 the Prevention of Human Diseases  
 Asset Type: Cash  
 Value: \$1,283,333.37

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS  
 (Continued)

5. Donor Name: The Vivian L. Smith Foundation for Neurologic Research  
 College/School/ Department: Medical School, Department of Neurosurgery  
 Purpose: For neurological research  
 Asset Type: Cash  
 Value: \$150,000
6. Donor Name: The Vivian L. Smith Foundation  
 College/School/ Department: The Institute of Molecular Medicine for the Prevention of Human Diseases  
 Purpose: To support the Institute of Molecular Medicine for the Prevention of Human Diseases  
 Asset Type: Cash  
 Value: \$200,000
7. Donor Name: T.L.L. Temple Foundation  
 College/School/ Department: Medical School, Department of Neurology  
 Purpose: To support an Acute Stroke Therapy Program  
 Asset Type: Cash  
 Value: \$525,000

\* No letter of transmittal from the donor



THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CONTRACTS OR GRANTS FOR RESEARCH, DEVELOPMENT, CLINICAL  
 AND EDUCATIONAL SERVICES

Since the Docket for the previous meeting of the U. T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical and Education Services:

A. Number of Initial Grant and Contract Awards

<u>Docket Item #</u>	<u>Total Funds In</u>	<u>Total Funds Out</u>	<u>Supporting Materials</u>
FY 98 1-13	\$ 829,575	-0-	Report of Contracts/Grants

B. Number of Fiscal Amendments to Grant and Contract Awards

<u>Docket Item #</u>	<u>Total Funds In</u>	<u>Total Funds Out</u>	<u>Supporting Materials</u>
FY 98 -0-	-0-	-0-	Report of Contracts/Grants

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE

The following contract or grant in an amount of \$500,000 or more has been awarded, has been administratively approved by the Chief Administrative Officer as required, and is recommended for ratification by the U. T. Board of Regents.

(FUNDS GOING OUT)

1. Grantor:	Houston Academy of Medicine - Texas Medical Center Library
New Funds:	\$1,826,699
Current Period:	September 1, 1997 - August 31, 1998
Title/Description:	Memorandum of Agreement

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

FOREIGN CONTRACTS OR GRANTS FOR RESEARCH,  
DEVELOPMENT AND EDUCATIONAL SERVICES

The following contracts or grants with foreign entities or individuals have been awarded, have been administratively approved by the Executive Vice Chancellor for Health Affairs, and are recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Country: Sweden  
Grantor: Carmel Pharma Ab  
No.: None  
New Funds: \$6,582  
Current Period: July 21, 1997 - September 30, 1997  
Title/Description: Investigation of Potential Surface Contamination of Antineoplastic Agents
  
2. Country: Germany  
Grantor: Ethicon GmbH & Co. KG  
No.: None  
New Funds: \$97,845  
Current Period: May 1, 1997 - April 30, 1998  
Title/Description: Histological, Immunohistochemical, and Molecular Biological Investigation of the Influence of Material Caused Tissue Reactions on the Periodontal Regeneration Using Different Resorbable and Non-Resorbable GTR Membrances: A Study in the Beagle Dog
  
3. Country: France  
Grantor: Institut de Recherches Internationales Servier  
No.: None  
New Funds: \$49,400  
Current Period: April 1, 1997 - March 31, 1998  
Title/Description: Metabolic Mechanisms for the Inotropic Effects of Trimeltazidine in Postischemic Heart

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES

The following listing summarizes the changes proposed to the Catalogs of The University of Texas Health Science Center at Houston. The following changes have been administratively approved, as required, by the Executive Vice Chancellor for Health Affairs and are recommended for ratification by the U. T. Board of Regents.

Dental Branch Catalog for 1997-99

Page Number(s)	Summary of Changes
46	Inserts a section entitled "Admission Statement" that describes the mission of the Dental Branch and states the goal of its undergraduate, graduate and post-graduate programs.
46	Supplements information to the General Information section of the DDS program that describes the purpose and composition of the Dental Admissions Committee and the process used by the Admissions Committee to select applicants to be offered positions in the program.
47	Inserts section entitled "Procedure and Criteria for Dental School Admissions" to replace sections entitled "Evaluation of Applicants, Academic Performance, Admissions Test, and Health Professions Evaluation," that describes the process and factors for considering applicants for the DDS program.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES  
(Continued)

Page Number(s)	Summary of Changes
49-50	Replace section entitled "Admission to Advanced Standing and Foreign Dental Graduates" with section entitled "Transfer and Advanced Standing Applicants" that clarifies the language to be more specific and describes requirements which govern the admission of transfer students, advanced standing applicants to the first year and advanced standing applicants to the second and third year of the DDS program.
74	Inserts sections entitled "General Information, Application Procedure and Criteria for Acceptance" to replace the section entitled "Application and Acceptance Procedure" for the graduate, postgraduate and certificate programs that describes the role of the Advanced Education Committee in the selection process, the application procedures and specific criteria for acceptance into these programs.
97-98	Inserts sections entitled "General Information, Application Procedure and Criteria for Acceptance," to replace section entitled "Admissions Requirements and Application and Acceptance Procedures," for the Dental Hygiene Program that describes the role of the Dental Hygiene Advisory Committee in the selection process, the application procedures and the specific criteria for acceptance into the program.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**CATALOG CHANGES**  
(Continued)

Page Number(s)	Summary of Changes
53	Inserts section entitled "DDS Program Scholarships" to replace section entitled "Scholarships and Grant Funds" for the DDS program that describes competitive academic scholarships and endowed scholarships, the role of the scholarship subcommittee of the Dental Admissions Committee in the selection process and the criteria for selection of recipients.
77	Inserts section entitled "Post Graduate Education Scholarships" to supplement section entitled "Financial Aid" that describes competitive academic scholarships and endowed scholarships available to students, the role of the scholarship subcommittee of the Advanced Education Committee in the selection process and the criteria for selection of recipients.
101	Inserts section entitled "Dental Hygiene Scholarships" to supplement section entitled "Financial Aid" in the Dental Hygiene Program that explains that the School of Dental Hygiene does not have any scholarship awards directly under its capacity to award, but indicates that several local organizations/companies do provide scholarship funding, the process by which these local organizations make selections with the assistance of the Dental Branch and the primary factors considered in the decision.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**CATALOG CHANGES**  
 (Continued)

**Medical School Catalog for 1997-99**

Page Number(s)	Summary of Changes
45	Inserts section entitled "Admissions Criteria" that describes the criteria used to select applicants for the MD Program.

**Graduate School of Biomedical Sciences Catalog for 1997-99**

Page Number(s)	Summary of Changes
*	Inserts section entitled "Factors Considered in Admissions Decisions" following the section entitled "Applicants for the MS Degree Program" and immediately preceding section entitled "Application Procedures" that describes the role of the Admissions Committee and the factors used to evaluate applicants to the MS and PhD Programs for admission and financial assistance.

\*Since the catalog is in the process of being printed, a page number is not available at this time.

**School of Nursing Catalog For 1997-99**

Page Number(s)	Summary of Changes
*	Inserts sections entitled "General Information, Application Procedure and Criteria for Admission" that supplements current information in the BSN Program section and describes the role of the Admissions, Progression and Graduation Committee in the selection process, the application procedure and specifies the criteria for admission to the program.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**CATALOG CHANGES**  
 (Continued)

Page Number(s)	Summary of Changes
*	Inserts sections entitled "Application Procedure and Criteria for Admission" that supplements current information in the MSN Program, describes the application procedure and specifies the criteria for admission to the program.
*	Inserts sections entitled "Application Procedure and Criteria for Admission" that supplements current information in the DSN Program, describes the application procedure and specifies the criteria for admission to the program.
*	Inserts section entitled "Competitive Academic Scholarships" that describes available competitive academic scholarship awards and the criteria for selection of recipients.

\*Since the catalog is in the process of being printed, page numbers are not available at this time.

**School of Public Health Catalog for 1997-99**

Page Number(s)	Summary of Changes
*	Replace sections entitled "Admissions Requirements, Degree Requirements, and Advisory Committee" in order to clarify the wording to be more specific in describing the evaluation process and what criteria will be considered in admission to the MPH Program.



THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CATALOG CHANGES  
 (Continued)

Page Number(s)	Summary of Changes
*	Replaces sections entitled, "Doctor of Public Health, Admissions Requirements and Degree Requirements" in order to clarify the wording to be more specific in describing the evaluation process and what criteria will be considered in admission to the DrPH program.
*	Replaces section entitled "Application Procedures and Admissions Process" in order to clarify the language used to describe the procedure for application, the process for admissions and the criteria and material reviewed in evaluating each criterion for all public health degree programs.
*	Replaces section entitled "Financial Assistance" in order to clarify the language used to describe traineeships, scholarships and fellowships that are available to students in Public Health degree programs and the criteria for selection of the recipients.

\*Since the catalog is in the process of being printed, no page numbers are available at this time.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**AMENDMENTS TO THE 1996-97 BUDGET**

The following Requests for Budget Changes (RBC) have been administratively approved as required by the Executive Vice Chancellor for Health Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
<b><u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u></b>					
HOUSTON MEDICAL SCHOOL					
Biochemistry and Molecular Biology					
1. William Dowhan (T)					106
From: Professor and Chairman		100	12	165,034	
To: Professor	7/1-8/31	100	12	135,034	
Professor and Chairman					
2. Rodney E. Kellems (T)	7/1-8/31	100	12	165,000	100
Source of Funds:					
\$ 135,000 - State					
<u>30,000</u> - Supplement					
<u>\$ 165,000</u> - Total Salary					

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>No. Mos.</u>	<u>Full-time Salary Rate</u>	<u>RBC</u>
HOUSTON MEDICAL SCHOOL					
Emergency Medical Services					
3. Michael H. McCallum					108
From: Assistant Professor of Emergency Medicine and Chairman		100	12	194,999	
To: Assistant Professor of Emergency Medicine	8/1-8/31	100	12	150,000	
Source of Funds:					
\$ 52,424 - State					
<u>14,786</u> - MSRDP Grant					
67,210 - Total Salary					
<u>82,790</u> - MSRDP Augmentation					
<u>\$150,000</u> - Total Compensation					
Otolaryngology - Head and Neck Surgery					
4. Lincoln C. Gray (T)					107
From: Professor		100	12	93,000	
To: Professor	8/1-8/31	100	12	88,350	
Source of Funds:					
\$ 60,961 - State					
4,417 - MSRDP Grant					
<u>22,972</u> - Federal Grant					
<u>\$ 88,350</u> - Total Salary					

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
HOUSTON MEDICAL SCHOOL					
Surgery - General					
5. Frank G. Moody					099
From: Professor, Director and Denton A. Cooley Professorship in Surgery (T)		100	12	175,000	
To: Professor, Director and Denton A. Cooley Professorship in Surgery (T)	6/1-8/31	100	12	140,000	

Source of Funds:

\$ 85,100 - State

54,900 - Grant

\$140,000 - Total Salary

Orthopedic Surgery

Clinical Associate Professor and Chairman

6. Thomas O. Clanton	5/1-8/31	10	12	500,000	095
----------------------	----------	----	----	---------	-----

Source of Funds:

\$ 10,000 - Orthopedic Surgery - State

40,000 - Orthopedic Surgery - MSRDP - Augmentation

\$ 50,000 - Total Compensation

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
HOUSTON MEDICAL SCHOOL					
Jail Administration					
7. James D. Hefner					094
From: Associate Dean, Lyndon Baines Johnson Hospital		100	12	217,954	
To: Associate Dean, Harris County Programs	5/1-8/31	100	12	217,954	
HOUSTON DENTAL BRANCH					
Student Affairs, Prosthodontics					
8. Hugh P. Pierpont (T)					105
From: Professor		100	12	87,009	
To: Professor and Assistant Dean, Student Affairs	7/1-8/31	100	12	102,000	

Source of Funds:

\$ 89,000 - Student Affairs - State  
 10,000 - Supplement as Assistant Dean, Student Affairs  
3,000 - Supplement as Director of Graduate Education  
\$102,000 - Total Salary

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>No. Mos.</u>	<u>Full-time Salary</u>		<u>RBC</u>
				<u>Rate</u>	<u>Rate</u>	
HOUSTON DENTAL BRANCH						
Craniofacial Growth and Development						
9. David S. Turner						096
From: Associate Professor and Chairman		100	12	94,628		
To: Associate Professor	5/1-8/31	100	12	94,628		
Craniofacial Growth and Development						
Associate Professor of Orthodontics and Chairman						
10. Marion L. Messersmith	6/1-8/31	100	12	120,000		097
Prosthodontics						
11. Edgar N. Starcke						104
From: Clinical Professor		80	12	42,210		
To: Clinical Professor and Acting Chairman	7/1-8/31	80	12	57,210		
Source of Funds:						
\$42,210 - Prosthodontics - State						
<u>15,000</u> - Supplement as Acting Chairman						
<u>\$57,210</u> - Total Salary						
12. Thomas L. Hurst (T)						103
From: Associate Professor and Chairman		100	12	91,725		
To: Associate Professor	7/1-8/31	60	12	76,725		
HOUSTON SCHOOL OF ALLIED HEALTH SCIENCES						
Interdisciplinary Studies						
Visiting Assistant Professor and Interim Chairman						
13. James P. Turley	7/1-8/31	100	12	80,000		101

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

APPROVAL OF DUAL POSITIONS OF HONOR, TRUST, OR PROFIT

The following item has been approved by the Executive Vice Chancellor for Health Affairs in accordance with Regents' Rules and Regulations, Part One, Chapter III, Section 13 and are submitted for ratification by the U. T. Board of Regents.

1. Name: Patricia Starck  
Title: Dean, Nursing School and John P. McGovern Professor  
Position: Appointment to the Health Care Advisory Council of the Sunset Advisory Commission  
Period: August 1997 through November 1998  
Compensation: None  
Description: On August 6, 1997, Lieutenant Governor Bob Bullock appointed Senator J. E. Buster Brown as Chairman of the Sunset Advisory Commission. Senator Brown appointed the Health Care Advisory Council to open communications with health care professionals in his district and brainstorm for solutions to Texas' health care problems.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

PERIODIC EVALUATION OF TENURED FACULTY POLICY

The following institutional policy for the Periodic Performance Evaluation of Tenured Faculty at The University of Texas Health Science Center at Houston has been approved by the Chancellor and the Executive Vice Chancellor for Health Affairs and is recommended for approval by the U. T. Board of Regents. Upon approval, the policy will be included in the institutional Handbook of Operating Procedures.

POLICY OVERVIEW

The University of Texas Health Science Center at Houston (UTHSC-H) supports a system of periodic performance evaluation of all faculty for the purposes of enhancing the productivity of the individual faculty member, facilitating the professional development of the faculty member, and encouraging, assisting, and guiding the faculty member toward achieving his/her career goals. This review process allows each faculty member to discuss and plan goals, aspirations, and needs with his/her department chair/equivalent, and to relate them to the goals and missions of the department, school, and institution. Such a process will ultimately benefit not only the individual, but also the department/unit, school, and institution through increased faculty productivity.

The UTHSC-H annual faculty review will be performed with the intent to accomplish these purposes. Further, a comprehensive review performed every six years will serve to encompass a broader view of the faculty member's accomplishments in the preceding six-year period and will facilitate setting future goals. This comprehensive review will constitute the review required by the Regents' guidelines on periodic evaluation of tenured faculty and will apply to all faculty, tenured and non-tenured.

This policy will serve as guidelines for the faculty review process with details for implementation to be specified by the individual schools, and will be reviewed every two years by the executive vice president for research and academic affairs in consultation with the Interfaculty Council, beginning with the 1999-2000 academic year.

When implementing this policy, refer also to Appendix C--Guidelines, which contains three separate policy addendums that must be consulted in conjunction with this policy: Faculty Reviews; Charge to Department Chairs or Equivalent; Charge to the Deans; and Guidelines for Six-Year Review Committees.



THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(Continued)

**PROCEDURE**

**I. Annual Review**

A. Annual reviews will be conducted by the department chairs or division directors with input from departmental faculty. In the School of Public Health, reviews will be conducted by a school-designated faculty committee. The review will include the chair/equivalent's evaluation of the faculty member, peer evaluations, and, for all teaching faculty, student/trainee evaluations. Records of the reviews will be retained by both the department/school and the faculty member.

B. All tenured, tenure-track, and non-tenure-track faculty members, including department chairs and other school academic administrators, will be evaluated annually. (For review of department chairs, the dean of the appropriate school will perform the functions listed herein as duties of the chair/equivalent. (For review of deans will be conducted by the UTHSC-H president.)

C. The review process will look back to evaluate accomplishments since the previous review period, assess whether goals previously identified were met, and assess problems encountered if goals were not met.

D. The review process will look forward to determine goals, expectations, and assignments; identify and resolve obstacles or conflicts to achieving goals and assignments; and determine appropriate resources needed to accomplish the goals and assignments.

E. Goals and assignments will be determined in conjunction with the chair/equivalent and should be aligned with the UTHSC-H and school promotion and tenure criteria; aligned with the UTHSC-H and departmental/school missions; and clearly communicated and agreed on by the faculty member and the chair/equivalent.

Signatures of both the faculty member and the chair/equivalent will certify that, in schools with department chairs, a meeting was held in which the goals and assignments were discussed. If agreement between the faculty member and the chair/equivalent on the goals and assignments cannot be reached, the faculty member and/or chair/equivalent may document in writing the reason(s) for the non-agreement. If desired, the faculty member or the chair/equivalent may seek input from the dean.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

F. It is the faculty member's right and obligation to enter into the annual review process as an active participant to further his/her career goals and to serve the university in a manner that optimally uses his/her skills and facilitates accomplishment of the university missions.

II. Six-Year Review

A. Every six years, the previous annual review documents will be compiled along with supporting material furnished by the faculty member for a comprehensive six-year review to be conducted by a school review committee. The annual review at six years will be conducted prior to the six-year review to allow evaluation and input by the chair/equivalent and peers prior to review by the school review committee. In the case of faculty members with tenure, the six-year review will constitute the review required by the Regents' guidelines on periodic evaluation of tenured faculty<sup>a</sup>. Reasonable individual notice of at least six months of intent to review will be provided to the faculty member.

In rare circumstances (such as approved leave or comprehensive review for tenure, promotion, or appointment to an endowed position), the six-year review may be delayed with the approval of the dean for up to one year from the regularly scheduled review. In no case will review of a tenured faculty member be waived. For faculty who are also academic administrators, the six-year review will be held in conjunction with the review of administrators described in the Introduction section of the *Handbook of Operating Procedures* titled Review of Administrators.

B. The faculty member will be expected to be his/her own advocate and will submit, along with a current curriculum vitae, a summary of professional accomplishments and annual reports and teaching evaluations. The faculty member is encouraged to submit a summary of professional objectives and any additional material s/he deems appropriate to include with the previous annual review documents. During the review, the faculty member may meet with the review committee, according to guidelines established by the individual schools.

C. The six-year review will be conducted by a school-wide faculty committee approved by the dean with input from the school faculty and department chair/equivalent. The review process will take into account the statements of expectations and assignments from letters of appointment and annual reviews, and will include review of the faculty member's professional responsibilities in teaching, research, service, patient care, and/or administration. Further information may be requested at any step from the faculty member under review.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

The review outcome will be communicated in writing to the faculty member, the chair/equivalent, and the dean within a specified time, to be determined by the school. The dean and chair/equivalent will review the outcome of the review and take appropriate action (refer to Section D. below).

D. Information obtained in the review will be used to recognize level of performance and determine appropriate action:

- Category 1. For faculty with satisfactory performance, the review may be used to determine salary recommendations, nomination for awards, or other forms of performance recognition.
- Category 2. For faculty whose performance indicates they would benefit from additional institutional assistance, the review may be used to determine what type of assistance may be provided, e.g., teaching effectiveness assistance, counseling, mentoring in research issues/service expectations, etc.
- Category 3. For faculty whose performance is unsatisfactory, review for termination as provided in the Regents' Rules and Regulations Part One, Chapter III, Section 6.3, may be considered.

E. Faculty in Category 2 must receive specific advice from the chair/equivalent in conjunction with the dean on how to improve. The faculty member will receive in writing the guidelines for improvement along with assistance to be provided and a time line for accomplishments. Improvement will be monitored in subsequent annual evaluations.

F. Faculty in Categories 2 and 3 will have the opportunity to submit additional information to the chair/equivalent and/or the dean.

G. Faculty in Category 3 will have appropriate action initiated by the department chair/equivalent or the dean that may include the following:

1. Dismissal for cause of tenured faculty by processes detailed in the HOOP (4.08 Termination for Good Cause) and in the Regents' Rules and Regulations (Part I, Chapter III, Section 6.3).
2. Termination of non-tenured faculty members by non-reappointment by processes detailed in the HOOP (4.09 Reappointment and Non-reappointment).

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

All proceedings for termination of tenured faculty based on a periodic performance evaluation will be only for incompetency, neglect of duty, or other good cause shown and must be conducted in accordance with the due process procedures of the Regents' Rules and Regulations, including an opportunity for referral of the matter to alternative dispute resolution. The faculty member will be provided a list of specific charges and an opportunity for a hearing before a faculty tribunal, in accordance with the Regents' Rules and Regulations, Part I, Chapter III, Section 6. In all cases, the burden of proof will be on the institution, and the rights of the faculty member will be protected.

H. Faculty in all three categories whose performance indicates they would benefit from additional institutional support may be provided such support (e.g., teaching ineffectiveness assistance, counseling, or mentoring in research issues/service expectations).

I. Copies of completed six-year reviews for each faculty member will be sent to the dean of the school who will forward a copy to the executive vice president for research and academic affairs with comments, as appropriate. Copies of tenured faculty reviews will also be sent to the president.

J. The six-year comprehensive review will occur in conjunction with the annual reviews. The process will be phased in over a six-year period, beginning with the 1997-98 academic year. Tenured faculty members will be evaluated on a six-year cycle determined by the academic year of their award of tenure or the academic year of their last comprehensive review. Non-tenured faculty will be evaluated on a six-year cycle determined by the academic year of their hire or the academic year of their last comprehensive review.

\*Board of Regents, August 1997 Meeting, UT System Guidelines for Periodic Review of Tenured Faculty

## THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO

September 26, 1997

Dr. Charles B. Mullins  
Executive Vice Chancellor  
for Health Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Dr. <sup>Charlie</sup>~~Mullins~~:

The docket for the November 13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



John P. Howe, III, M.D.  
President

JPH:gb

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

TABLE OF CONTENTS

	<u>Page No.</u>
Gifts from Private Donors and Foundations (including Endowment Matching Fund Allocation).....	HS- 3
Business Contracts for Services (including Minor Construction Projects).....	HS- 4
Contracts and Grants for Research, Development, Clinical and Educational Services \$500,000 or More.....	HS- 6
Catalog Changes.....	HS- 8
Amendments to the 1996-97 Budget.....	HS- 9
Other Matters.....	HS-11

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS

The following gifts have been received, approved by the Executive Vice Chancellor for Health Affairs, and are recommended for acceptance by the U. T. Board of Regents:

1. Donor Name: The Ewing Halsell Foundation  
 College/School/  
     Department: U. T. Health Science Center at San Antonio  
 Purpose: Texas Research Park Building Fund  
 Asset Type: Cash  
 Value: \$375,000
  
2. Donor Name: Louis Stumberg  
 College/School/  
     Department: U. T. Health Science Center at San Antonio  
 Purpose: Texas Research Park Building Fund  
 Asset Type: 500 warrants of Travelers' Group Inc. with a value  
 of \$45,187.50 and 1,000 shares of Integrated Device  
 Technology, Inc. with a value of \$10,468.75  
 Value: \$55,656.25
  
3. Donor Name: Chromosome 18 Registry & Research Society  
 College/School/  
     Department: Cellular and Structural Biology Department  
 Purpose: Chromosome 18q Research  
 Asset Type: Cash  
 Value: \$50,000
  
4. Donor Name: Research to Prevent Blindness  
 College/School/  
     Department: Ophthalmology Department  
 Purpose: Ophthalmology Research  
 Asset Type: Cash  
 Value: \$100,000
  
5. Donor Name: Valero Energy Corporation \*  
 College/School/  
     Department: School of Nursing  
 Purpose: Nursing School Expansion  
 Asset Type: Cash  
 Value: \$75,000

\*No letter of transmittal from the donor

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**BUSINESS CONTRACTS FOR SERVICES**

The following contracts have been awarded, have been administratively approved by the Chief Administrative Officer (or his delegate) and are recommended for ratification by the U. T. Board of Regents:

(FUNDS GOING OUT)

1. Agency: Business and Professional Service  
 Funds: 15% collection fee on funds received under payment arrangements; 30% collection fee on delinquent balance of each account collected without the filing of suit  
 Period: May 1, 1997 through April 30, 1998  
 Title/Description: Business and Professional Service agrees to furnish collection services for the Medical Service Research and Development Plan on this campus.  
 HUB: No
  
2. Agency: Commercial and Medical Credit Services  
 Funds: 15% collection fee on funds received under payment arrangements; 30% collection fee on the delinquent balance of each account collected without the filing of suit  
 Period: May 1, 1997 through April 30, 1998  
 Title/Description: Commercial and Medical Credit Services agrees to furnish collection services for the Medical Service Research and Development Plan on this campus.  
 HUB: No
  
3. Agency: Executive Credit Control, Inc.  
 Funds: 15% collection fee on funds received under payment arrangements; 30% collection fee on the delinquent balance of each account collected without the filing of suit  
 Period: May 1, 1997 through April 30, 1998  
 Title/Description: Executive Credit Control, Inc., agrees to furnish collection services for the Medical Service Research and Development Plan on this campus.  
 HUB: No



THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

BUSINESS CONTRACTS FOR SERVICES  
(Continued)

(BUSINESS CONTRACTS SUPPLEMENTAL INFORMATION)

Total Number of Contracts:	3
Total Number Awarded to HUBS:	<u>0</u>
% of Total Number of Contracts Awarded to HUBS:	0%
Total Funds:	variable
Total Funds Awarded to HUBS:	<u>\$ 0</u>
% of Total Funds Awarded to HUBS:	0%

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE

The following contracts or grants in an amount of \$500,000 or more have been awarded, have been administratively approved by the Chief Administrative Officer (or his delegate) as required, and are recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Grantor: Santa Rosa Children's Hospital  
 No.: None  
 New Funds: \$528,522  
 Current Period: January 1, 1996 - December 31, 1996  
 Title/Description: Department of Pediatrics Professional Staffing of Santa Rosa Children's Hospital Pediatric Intensive Care Unit
2. Grantor: National Institutes of Health  
 National Institute of Dental Research  
 No.: No. 1 P01-DE11688-01A1  
 New Funds: \$701,600  
 Current Period: June 1, 1997 - March 31, 1998  
 Title/Description: Advanced Dental Restorative Systems
3. Grantor: Department of Veterans Affairs  
 Audie L. Murphy Memorial Veterans Hospital Division  
 No.: No. V671P2815  
 New Funds: \$1,029,477  
 Current Period: July 1, 1997 - June 30, 1998  
 Title/Description: Department of Anesthesiology Professional Staffing for Clinical Anesthesia Services
4. Grantor: Bexar County Hospital District  
 d/b/a University Health System  
 No.: None  
 New Funds: \$812,500  
 Current Period: January 1, 1997 - December 31, 1997  
 Title/Description: Department of Anesthesiology Professional Staffing for Anesthesiology Services
5. Grantor: National Institutes of Health  
 National Institute on Drug Abuse  
 No.: No. 5 U01 DA07471-04  
 New Funds: \$506,646  
 Current Period: May 1, 1997 - April 30, 1998  
 Title/Description: Center for Behavioral Studies in AIDS & Substance Abuse

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CONTRACTS AND GRANTS - \$500,000 OR MORE  
 (Continued)

6. Grantor: National Institutes of Health  
 National Institute of Diabetes and Digestive and  
 Kidney Diseases  
 No.: No. 5 R01 DK42273-07  
 New Funds: \$510,322  
 Current Period: July 1, 1997 - June 30, 1998  
 Title/Description: Genetic Epidemiology of NIDDM in Mexican Americans
7. Grantor: Bexar County Hospital District  
 d/b/a University Health System  
 No.: Contract No. 98492  
 New Funds: \$723,885  
 Current Period: January 1, 1996 - December 31, 1996  
 Title/Description: Agreement for Pediatric Physician Services
8. Grantor: National Institutes of Health  
 National Cancer Institute  
 No.: No. 5 P50 CA58183-06  
 New Funds: \$2,148,695  
 Current Period: August 1, 1997 - July 31, 1998  
 Title/Description: Spore in Breast Cancer
9. Grantor: Public Health Service  
 Maternal and Child Health Bureau  
 No.: No. 2MCH-P06009-10-0  
 New Funds: \$640,000  
 Current Period: August 1, 1997 - July 31, 1998  
 Title/Description: South Texas AIDS Center for Children and Their  
 Families

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CATALOG CHANGES

The following listing summarizes the changes proposed to the Catalog of The University of Texas Health Science Center at San Antonio. The following changes have been administratively approved, as required, by the Executive Vice Chancellor for Health Affairs and are recommended for ratification by the U. T. Board of Regents.

UTHSCSA Catalog for 2000-2002

<u>Page Number (s)</u>	<u>Summary of Changes</u>
Page 64	New policy as mandated by House Bill 588 requiring adoption and publication of admissions policy
Page 64	New policy as mandated by House Bill 588 requiring adoption and publication of scholarship awards policy

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET

The following Requests for Budget Changes (RBC) have been administratively approved as required by the Executive Vice Chancellor for Health Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
<b>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</b>					
MEDICAL SCHOOL					
Medicine					
1. John W. Williams, Jr. (T)					38
From: Associate Professor		100	12	110,175	
To: Associate Professor	7/1	100	12	125,000	
Source of Funds:					
\$ 52,130 - Grant from Dartmouth College					
<u>72,870</u> - Audie Murphy VA Hospital					
<u>\$125,000</u> - Total Salary					

Increase salary as a result of receipt of VA Research Career Award.

Pediatrics					
2. Celia Kaye (T)					39
From: Professor		100	12	154,278	
To: Chairman and Professor	5/15	100	12	243,080	
Source of Funds:					
\$155,000 - State Funds					
<u>88,080</u> - MSRDP Augmentation					
<u>\$243,080</u> - Total Compensation					

Selected and promoted to Chairman of Pediatrics.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>No. Mos.</u>	<u>Full-time Salary Rate</u>	<u>RBC</u>
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES (continued)</u>					
DENTAL SCHOOL					
General Dentistry					
3. Robert A. Dale (T)					37
From: Associate Professor		100	12	81,416	
To: Associate Professor and Interim Chair	8/1	100	12	89,558	

Dr. Dale to become Interim Chair of General Dentistry upon Dr. Berrong's transfer to the Office of the Dean of the Dental School.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS

APPROVAL OF DUAL POSITIONS OF HONOR, TRUST, OR PROFIT

The following item has been approved by the Executive Vice Chancellor for Health Affairs in accordance with the Regents' Rules and Regulations, Part One, Chapter III, Section 13 and is submitted for ratification by the U. T. Board of Regents.

1. Name: John P. Howe, III, M.D.  
Title: President  
Position: President-elect of Texas Medical Association  
Period: May 16, 1997 through May 15, 1998  
Compensation: None  
Description: In May, 1997, Dr. Howe was elected to the position of President-elect of the Texas Medical Association (TMA). TMA is a professional organization of more than 35,000 physician and medical student members and has 120 component county medical societies around the state. The Association represents 85 percent of the doctors of medicine licensed and residing in Texas. TMA's key objective is to improve the health of all Texans.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

PERIODIC PERFORMANCE EVALUATION OF TENURED FACULTY POLICY

The following institutional policy for the Periodic Performance Evaluation of Tenured Faculty at The University of Texas Health Science Center at San Antonio has been approved by the Chancellor, the Executive Vice Chancellor for Health Affairs and the Office of General Counsel and is recommended for approval by the U. T. Board of Regents. Upon approval, the policy will be included in the institutional Handbook of Operating Procedures.

Introduction/Purpose

In the document entitled "U.T. System Guidelines for Periodic Evaluation of Tenured Faculty", the U.T. Board of Regents has stated that the purpose of periodic evaluation is to:

1. provide guidance for continuing and meaningful faculty development;
2. assist faculty to enhance professional skills and goals;
3. refocus academic and professional efforts, when appropriate, and
4. assure that faculty members are meeting the responsibilities to the University and the State of Texas.

This general interpretation of periodic evaluation encompasses a joint responsibility between administration and faculty to enhance and promote the quality and effectiveness of faculty in fulfilling the mission of The University of Texas Health Science Center at San Antonio and to provide for excellence in meeting the goals of the academic programs. In this context, it has applicability to all faculty.

The periodic review of tenured faculty is based on the premise that a faculty member has previously demonstrated an exceptional degree of professional competence and scholarly achievement, as well as the attitudes and intellectual qualities that make the individual a desirable and continuing member of the faculty. Therefore, the purpose of the periodic evaluation is to ascertain that a tenured faculty member continues to be of value to the school and institution in implementing its academic programs. Each faculty member should be judged in the context of his or her assigned responsibilities. These responsibilities, however, may not necessarily be the same as those upon which tenure was originally awarded.

Process

The University of Texas Board of Regents mandated that the periodic review of tenured faculty shall be scheduled at five-year intervals following the initial year of being granted tenure but may be deferred in circumstances when



THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(Continued)

the review period will coincide with approved leave, or comprehensive review for tenure, promotion, or appointment to an endowed position. In the event that one or more of the foregoing circumstances is applicable, an adjustment would be made in the schedule for evaluation.

The process of evaluation shall be conducted by each academic Department (or equivalent). Each Department (or equivalent) will elect from the full-time tenured faculty a minimum of three individuals to serve as a Post Tenure Evaluation Committee (PTEC). If there are too few tenured faculty members to form a PTEC, departments may combine to form a PTEC with the concurrence of the appropriate dean. It is the responsibility of the Department (or equivalent) to establish the procedure for electing the PTEC. The members of the PTEC will serve a three-year term with one-third of the committee rotating off each year. Faculty members who are scheduled for periodic evaluation are not eligible to serve that year on the Committee. One additional tenured faculty member from outside of the department (or equivalent) will serve on the PTEC. This member will be selected by the Department Chair (or equivalent) to serve a term of one year.

Faculty members can anticipate scheduled reviews every five years from the initial year of being granted tenure. The Department Chair (or equivalent) will notify the faculty of the review at least six months in advance. It is important that the Department (or equivalent) provide the faculty member with adequate support services during this period of collection and preparation of the necessary documentation.

At the beginning of each academic year, the PTEC shall be informed by the Department Chair (or equivalent) of faculty who are scheduled for review so that materials can be submitted and reviewed during the Spring semester.

The Department Chair shall provide the PTEC with past years' evaluations of the faculty member including a statement of major responsibilities and an assessment of the level of performance. Any additional information that would aid in the evaluation should also be included. It is the responsibility of the faculty member to provide the following information to the PTEC:

- 1) Current Curriculum Vitae
- 2) A statement supporting the fulfillment of major responsibilities with appropriate documentation

The faculty member will be provided with the opportunity to meet with the PTEC upon request.

A written report of the Post Tenure Evaluation will be forwarded by the Chair of the PTEC to the faculty member two weeks before it is sent to the Department Chair (or equivalent) and to the Dean. The report will contain one

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(Continued)

of the following performance ratings: Satisfactory Performance, Marginal Performance, Unsatisfactory Performance.

1) Satisfactory Performance: for those faculty performing at a level that is consistent with the expectation of their Department and School.

2) Marginal Performance: for those faculty whose performance indicates that they would benefit from additional institutional support, the review may be used to provide assistance in developing teaching effectiveness, mentoring in research, additional training in clinical work. Faculty who receive a recommendation of Marginal Performance will be expected to seek remedial aid and 24 months later submit their credentials for re-evaluation.

3) Unsatisfactory Performance: for those faculty whose performance is deemed unsatisfactory, review to determine if good cause exists for termination under the current Regents' Rules and Regulations may be considered. In this case all proceedings for termination of tenured faculty on the basis of periodic performance evaluation shall be only for incompetency, neglect of duty or other good cause shown and must be conducted in accordance with the due process procedures of the Regents' Rules and Regulations, Part One, Chapter III, Section 6 including an opportunity for referral of the matter to alternative dispute resolution. Such proceedings must include, in writing, a list of specific charges signed by the President of the Health Science Center. The opportunity for a hearing before a tribunal composed of faculty members appointed by the President of the Health Science Center in accord with the policies and procedures contained in the Regents' Rules and Regulations, and the UTHSCSA Handbook of Operating Procedures, must be made available. In all cases, the burden of proof shall be on the Health Science Center and the rights of the faculty member to due process and academic freedom must be protected.

**Monitoring the Process**

A successful periodic evaluation of tenured faculty will depend on a well executed process at the level of the Department Post Tenure Evaluation Committee as well as an institutional commitment to assist and support faculty development. Follow-up review for faculty who would benefit from such support is also critical to the success of the process.

The responsibility for overseeing the process of post tenure review will be shared by the Vice President for Institutional Effectiveness and Planning and the Departmental PTECs.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**OTHER MATTERS**  
(Continued)

Addendum: Initiation of the Process for Previously Tenured Faculty

Faculty members who are subject to post tenure review will be randomly selected by a process established by the Department (or equivalent). This is to begin in the Fall of 1998 so that up to 20% of the faculty are reviewed each year over a five-year period.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

OTHER MATTERS  
(Continued)

NAMING OF FACILITIES OTHER THAN BUILDINGS

The following item has been approved by the Chancellor and the Executive Vice Chancellor for Health Affairs in accordance with the Regents' Rules and Regulations, Part One, Chapter VIII, Section 1, and is being submitted for ratification by the U. T. Board of Regents.

1. Facility: Medical Student Lecture Hall, Room 3.104  
Proposed Name: Carlos Pestana, M.D. Lecture Hall  
Justification: As a member of the medical school faculty, Dr. Pestana has impacted the lives of all UTHSCSA medical students, encompassing the classes of 1971-2001. After 30 years of teaching, with additional service in the administration as associate dean of academic affairs, Dr. Pestana announced his retirement effective December 31, 1997. The Medical Alumni Association seeks to establish a scholarship fund in honor of Dr. Pestana, in conjunction with the naming of the lecture hall.

THE UNIVERSITY OF TEXAS  
MD ANDERSON  
CANCER CENTER

659

September 22, 1997

Office of the President

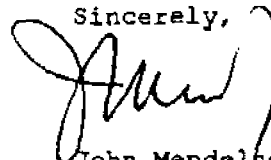
Dr. Charles B. Mullins  
Executive Vice Chancellor  
for Health Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Dr. Mullins:

The docket for the November 12-13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



John Mendelsohn, M.D.  
President

JM:amj  
Attachment

CC-1

TEXAS MEDICAL CENTER  
1515 HOLCOMBE BOULEVARD • HOUSTON, TEXAS 77030 • (713) 792-2121  
*A Comprehensive Cancer Center Designated by the National Cancer Institute*

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

TABLE OF CONTENTS

	<u>Page No.</u>
Gifts from Private Donors and Foundations (including Endowment Matching Fund Allocation).....	CC- 3
Contracts or Grants for Research, Development, Clinical and Educational Services.....	CC- 4
\$500,000 or More .....	CC- 5
Intellectual Property Licenses	
Patent License Agreements.....	CC- 7
Amendments to the 1996-97 Budget .....	CC-10
Amendments to the 1997-98 Budget.....	CC-12

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**GIFTS FROM PRIVATE DONORS AND FOUNDATIONS**

The following gifts have been received and are recommended for acceptance by the U. T. Board of Regents:

1. Donor Name: John S. Dunn Research Foundation  
College/School/ Department: Institution  
Purpose: Capital Campaign  
Asset Type: Cash  
Value: \$500,000
2. Donor Name: Anonymous  
College/School/ Department: Neuro-Oncology  
Purpose: Gene Therapy Research  
Asset Type: Cash  
Value: \$1,000,000
3. Donor Name: Mr. Leroy Melcher  
College/School/ Department: Institution  
Purpose: Capital Campaign  
Asset Type: Cash  
Value: \$500,000

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**CONTRACTS OR GRANTS FOR RESEARCH, DEVELOPMENT, CLINICAL  
 AND EDUCATIONAL SERVICES**

Since the Docket for the previous meeting of the U. T. Board of Regents, the following activity has taken place with regard to Contracts or Grants for Research, Development, Clinical and Education Services:

A. Number of Initial Grant or Contract Awards

<u>Docket Item #</u>	<u>Total Funds In</u>	<u>Total Funds Out</u>	<u>Supporting Materials</u>
FY 98 1-8	\$303,683	\$ -0-	Report of Contracts/Grants

B. Number of Fiscal Amendments to Grant or Contract Awards

<u>Docket Item #</u>	<u>Total Funds In</u>	<u>Total Funds Out</u>	<u>Supporting Materials</u>
FY 98 9-11	\$778,547	\$ -0-	Report of Contracts/Grants



THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE

The following contracts or grants in an amount of \$500,000 or more have been awarded, have been administratively approved by the Chief Administrative Officer (or his delegate) as required, and are recommended for ratification by the U. T. Board of Regents.

(FUNDS COMING IN)

1. Grantor: Biocyte Therapeutics, Inc.  
 No.: SR97-009  
 New Funds: \$1,061,616  
 Current Period: June 16, 1997 and shall continue in force for a period of four years  
 Title/Description: PEA3 as a Tumor Suppressor for HER-2/neu-overexpressing Cancer
2. Grantor: National Institutes of Health  
 National Cancer Institute  
 No.: 3 P30 CA16672-22S2  
 New Funds: \$2,502,586  
 Current Period: August 28, 1996 through June 30, 1998  
 Title/Description: Cancer Center Support Grant
3. Grantor: National Institutes of Health  
 National Institute of Dental Research  
 No.: 5 P50 DE11906-02  
 New Funds: \$704,150  
 Current Period: August 1, 1997 through July 31, 1998  
 Title/Description: Novel Diagnosis and Therapy of Early Oral Cancers
4. Grantor: National Institutes of Health  
 National Cancer Institute  
 No.: 5 P01 CA34936-13  
 New Funds: \$1,790,946  
 Current Period: August 1, 1997 through July 31, 1998  
 Title/Description: Mutational Model for Childhood Cancer
5. Grantor: National Institutes of Health  
 National Cancer Institute  
 No.: 5 U10 CA10953-30  
 New Funds: \$1,981,216  
 Current Period: August 1, 1997 through July 31, 1998  
 Title/Description: Radiological Physics Center
6. Grantor: National Institutes of Health  
 National Cancer Institute  
 No.: 1 R01 CA75614-01  
 New Funds: \$652,829  
 Current Period: August 1, 1997 through June 30, 1998  
 Title/Description: Gimme 5--Interactive Multimedia Education (G5-IMME)

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

CONTRACTS OR GRANTS - \$500,000 OR MORE  
(Continued)

(FUNDS COMING IN)

7. Grantor: National Institutes of Health  
National Cancer Institute  
No.: 5 U19 CA68437-03  
New Funds: \$1,287,423  
Current Period: September 1, 1997 through July 31, 1998  
Title/Description: Lung Cancer Chemoprevention Research Programs

**PATENT LICENSE AGREEMENTS**

The following agreements have been awarded, follow an approved standard agreement or have been administratively approved by the Office of General Counsel and are recommended for ratification by the U. T. Board of Regents.

1. Licensee: Corixa Corporation  
Seattle, Washington 98104
- Fee-Royalty: Licensee agrees to pay MDA \$11,121.18 out-of-pocket expenses; a non-refundable license documentation fee in the amount of \$40,000; a running royalty equal to a percentage of Licensee's net sales of licensed products sales covered in the country as follows: 3% on annual net sales of less than \$25MM; 3.5% on net sales greater than \$25MM but less than \$75MM and 4% on annual net sales above \$75MM; for licensed products sales not covered in the country royalties payable on net sales will be 33.3% of above applicable rates; 50% of sublicense consideration and \$100,000 milestone payment for NDA or PLA approval by FDA of each indication
- Period: Effective July 2, 1997 to the last to expire of any patents that issue on the Patent Rights, or if only Technology Rights are licensed and no Patent Rights are applicable, for a term of fifteen (15) years.
- Summary of License Provisions: Board grants to Licensee a royalty-bearing, license under Licensed Subject Matter to make, have made, research, use and/or sell, or have sold Licensed Products within the Licensed Territory for use within Licensed Field.
- Description of Licensed Product(s): Product includes the Methods and Compositions for Stimulating T-Lymphocytes for the use of immuno cancer therapy.

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**PATENT LICENSE AGREEMENTS**  
 (Continued)

2. Licensee: Baylor College of Medicine  
 Houston, Texas 77030
- Fee-Royalty: All royalties, income and other payments shall be paid to and distributed by MDA as follows: 75% to MDA and 25% to Baylor. Both parties agree that MDA is authorized to deduct and retain from income received from third parties an amount of 15% of income before calculating the 25% due Baylor.
- Period: Effective July 24, 1997 and shall continue in force for as long as any application is pending in any jurisdiction in the world or for the life of the last to expire patent.
- Summary of License Provisions: Baylor and MDA wish to enter into an agreement by which they agree on the allocation of responsibilities and benefits resulting from the commercialization of the Patent Application between them.
- Description of Licensed Product(s): Products that include rifampicin as part of any catheter flush solution or as part of the coating combination of catheter surfaces
3. Licensee: Ligand Pharmaceuticals Incorporated  
 San Diego, California 92121
- Fee-Royalty: A one-time non-refundable license documentation fee of \$7,500
- Period: Effective July 17, 1997 to a term of fifteen (15) years
- Summary of License Provisions: Board grants to Licensee, within Licensed Territory for use within Licensed Field, a royalty-free, non-exclusive license under Licensed Subject Matter for the purpose of commercial use and development within Licensee's own and collaborative research programs.
- Description of Licensed Product(s): Product includes the Establishment of a Human Breast Carcinoma Cell Line

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

**PATENT LICENSE AGREEMENTS**  
 (Continued)

4. Licensee: Becton Dickinson Infusion Therapy Systems, Inc.  
 Sandy, Utah 84070
- Fee-Royalty: Becton shall pay to MDA \$45,000 within 30 days  
 of the effective date of this agreement and  
 shall reimburse MDA for all reasonable costs  
 incurred by MDA during the term of agreement in  
 preparing, filing, prosecuting and maintaining  
 the M-EDTA Patent, the M-EDTA CIP Patent and the  
 Improvement Patents with such reimbursements not  
 to exceed \$100,000.
- Period: Effective August 15, 1997 until 90 days after  
 the cessation or conclusion of the Clinicals,  
 but no later than two years after effective  
 date.
- Summary of License  
 Provisions: Board grants Licensee an exclusive worldwide  
 right and license with Board and MDA in the  
 Field of Infusion Therapy Devices and/or in the  
 Field of Peritoneal Dialysis Devices in and to  
 the M-EDTA Patent, the M-EDTA CIP Patent and the  
 Improvement Patents.
- Description of  
 Licensed Product(s): Product includes M-EDTA Patent or the M-EDTA CIP  
 Patent wherein the M-EDTA Technology involves  
 the use of anti-microbials in combination with  
 chelators, anti-thrombotics and/or anti-  
 coagulants.

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

**AMENDMENTS TO THE 1996-97 BUDGET**

The following Requests for Budget Changes (RBC) have been administratively approved as required by the Executive Vice Chancellor for Health Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
<b><u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u></b>					
THE TUMOR INSTITUTE - MEDICAL STAFF					
Division of Surgery -Gynecologic Oncology					
1. Thomas W. Burke (Tenure)					35
From: Associate Professor & Physician-in-Chief, Ad Interim		100	12	246,629	
To: Associate Professor & Physician-in-Chief, Ad Interim	6/1	100	12	246,629	
Source of Funds:					
\$130,315 - Gynecologic Oncology					
<u>116,314</u> - PRS Augmentation					
<u>\$246,629</u> - Total Compensation					
2. Thomas W. Burke (Tenure)					37
From: Associate Professor & Physician-in-Chief, Ad Interim		100	12	246,629	
To: Associate Professor & Physician-in-Chief	7/1	100	12	256,629	
Source of Funds:					
\$ 30,000 - Office of COO					
110,315 - Gynecologic Oncology					
<u>116,314</u> - PRS Augmentation					
<u>\$256,629</u> - Total Compensation					

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET  
 (Continued)

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		REC
			No. Mos.	Rate	
<b><u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u></b>					
THE TUMOR INSTITUTE - MEDICAL STAFF					
Division of Pathology - Pathology					
3. Janet M. Bruner (Tenure)					36
From: Professor		100	12	180,895	
To: Professor	6/1	100	12	180,895	
	6/1	SUPLT	12	10,000	
Source of Funds:					
\$ 87,447 - Pathology					
10,000 - PRS Administrative Salary Supplement					
93,448 - PRS Augmentation					
<u>\$190,895</u> - Total Compensation					
GENERAL ADMINISTRATION					
Office of the Vice President for Managed Care & Outreach Programs					
Associate Vice President, Cancer Manager Health Plan					
4. Barry J. Russo	8/1	100	12	120,800	38

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

670

AMENDMENTS TO THE 1997-98 BUDGET

The following Requests for Budget Changes (RBC) have been administratively approved as required by the Executive Vice Chancellor for Health Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
<b><u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u></b>					
HOSPITAL AND CLINIC ACTIVITIES					
Office of the VP for Hospital & Clinics					
Executive Vice President & Chief Operating Officer					
1. Kevin Wardell	9/22	100	12	335,000	35
GENERAL ADMINISTRATION					
Office of the Chief Financial Officer					
Chief Financial Officer					
2. Leon Leach	9/29	100	12	260,000	36
GENERAL INSTITUTIONAL EXPENSE					
Computing Support Center					
Director of Support & Training for Information Services					
3. Ronald Fovargue	9/18	100	12	110,000	1



## THE UNIVERSITY OF TEXAS HEALTH CENTER AT TYLER

September 25, 1997


Dr. Charles B. Mullins  
Executive Vice Chancellor  
for Health Affairs  
The University of Texas System  
601 Colorado Street  
Austin, Texas 78701

Dear Dr. Mullins:

The docket for the November 13, 1997 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,

  
Director

GAH:kg

HT-1

THE UNIVERSITY OF TEXAS HEALTH CENTER AT TYLER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

TABLE OF CONTENTS

	<u>Page No.</u>
Amendments to the 1996-97 Budget.....	HT- 3
Amendments to the 1997-98 Budget.....	HT- 5

THE UNIVERSITY OF TEXAS HEALTH CENTER AT TYLER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET

The following Requests for Budget Changes (RBC) have been administratively approved as required by the Executive Vice Chancellor for Health Affairs and are recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
<b><u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u></b>					
INSTRUCTION					
Family Practice					
Associate Professor of Family Practice					
1. Kaparaboyna Kumar	7/01-8/31	100	12	124,000	23
Source of Funds:					
\$ 80,600 - Family Practice					
43,400 - MSRDP Funds					
<u>\$124,000</u> - Total Compensation					
PATIENT SUPPORT					
Specialty Care Services					
Assistant Professor of Medicine					
2. Ali Moussaoui	7/21-8/31	100	12	124,000	24
Source of Funds:					
\$ 80,600 - Specialty Care Services					
43,400 - MSRDP Funds					
<u>\$124,000</u> - Total Compensation					
Primary Care Services					
Associate Professor of Medicine					
3. Wayne Karaki	7/01-8/31	100	12	124,000	25
Source of Funds:					
\$ 80,600 - Primary Care Services					
43,400 - MSRDP Funds					
<u>\$124,000</u> - Total Compensation					

THE UNIVERSITY OF TEXAS HEALTH CENTER AT TYLER  
 U. T. BOARD OF REGENTS' MEETING  
 NOVEMBER 13, 1997

AMENDMENTS TO THE 1996-97 BUDGET

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
<b>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</b>					
PATIENT SUPPORT (con't)					
Primary Care Services (con't)					
Assistant Professor of Medicine					
4. Diane Dougherty	5/01-8/31	100	12	119,000	26
Source of Funds:					
\$ 77,350 - Primary Care Services					
<u>41,650 - MSRDP Funds</u>					
<u>\$119,000 - Total Compensation</u>					
Assistant Professor of Medicine					
5. Amy Simpson	7/01-8/31	100	12	124,000	27
Source of Funds:					
\$ 80,600 - Primary Care Services					
<u>43,400 - MSRDP Funds</u>					
<u>\$124,000 - Total Compensation</u>					
Pediatrics					
Assistant Professor of Pediatrics					
6. Elizabeth Gordon	7/21-8/31	100	12	104,000	28
Source of Funds:					
\$ 67,600 - Pediatrics					
<u>36,400 - MSRDP Funds</u>					
<u>\$104,000 - Total Compensation</u>					

THE UNIVERSITY OF TEXAS HEALTH CENTER AT TYLER  
U. T. BOARD OF REGENTS' MEETING  
NOVEMBER 13, 1997

AMENDMENTS TO THE 1997-98 BUDGET

The following Request for Budget Change (RBC) has been administratively approved as required by the Executive Vice Chancellor for Health Affairs and is recommended for ratification by the U. T. Board of Regents.

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve-month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
<b><u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u></b>					
INFECTIOUS DISEASE					
Center for Pulmonary Infectious Disease Control					
Director of Center for Pulmonary Infectious Disease Control & Professor of Medicine & Distinguished Infectious Disease Scientist for the Margaret E. Byers Cain Chair for TB Research					
1. Peter Barnes	9/01-8/31	100	12	144,000	1

Source of Funds:

\$135,000 - Center for Pulmonary Infectious Disease Control/Specialty Care Services  
9,000 - MSRDP Funds  
\$144,000 - Total Compensation