

Delegations of Authority for The University of Texas Southwestern Medical Center*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|---|---|---------------------------------------|--|---|
| CURRENT PURPOSE GIFTS: Delegation of authority to accept current purpose gifts, other than securities, to UTSMC. | <i>Rule 60101, Section 5; UT Gift Acceptance Procedures, Article 2, Section 6</i> | Daniel K. Podolsky, M.D. President | Amanda Billings, Vice President for Development | November 7, 2014 (eff. November 3, 2014) |
| GIFTS: Delegation of authority to accept certain gifts (other than gifts of real property or outdoor works of art) to The University of Texas Southwestern Medical Center at Dallas made in cash or in kind in the amount of \$1,000,000 or less. | <i>Rule 60101, Section 3</i> | Daniel K. Podolsky, M.D. President | Arnim Dontes, Executive Vice President for Business Affairs | January 1, 2012 |
| GIFTS: Delegation of authority to accept certain gifts (other than gifts of real property or outdoor works of art) to The University of Texas Southwestern Medical Center at Dallas made in cash or in kind in the amount of \$1,000,000 or less. | <i>Part Two, Chapter 1, Subsection 1.3; Rule 60101, Section 3</i> | Daniel K. Podolsky, M.D. President | Each Senior Development Officer, Development Office Director of Gift Records Assistant Director of Gift Records | September 22, 2006 (cont. September 1, 2008) January 1, 2006 (cont. September 1, 2008) January 1, 2006 (cont. September 1, 2008) |
| GIFTS – NON CE-RELATED: Delegation of authority to accept gifts limited to Non CE-related industry donations (other than gifts of real property or outdoor works of art) to UTSMC made in cash or in kind. | <i>Rule 60101, Section 3</i> | Daniel K. Podolsky, M.D. President | J. Gregory Fitz, M.D., Executive Vice President, Academic Affairs, Provost and Dean | October 25, 2010 (eff. October 15, 2010) |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|--|---|---------------------------------------|--|--|
| GIFTS – NON CE-RELATED: Delegation of authority to accept gifts limited to Non CE-related industry donations (other than gifts of real property or outdoor works of art) to UTSMC made in cash or in kind. | <i>Rule 60101, Section 3</i> | Daniel K. Podolsky, M.D. President | Charles (Chuck) Ginsburg, M.D., Senior Associate Dean, Southwestern Medical School | October 25, 2010 (eff. October 15, 2010) |
| IRS FORMS RELATING TO GIFTS: Delegation of authority to execute certain IRS forms including without limitation IRS Forms 8282 and 8283. Relates to gifts accepted on behalf of the University of Texas Southwestern Medical Center at Dallas. | <i>Rule 60101, Section 8</i> | Daniel K. Podolsky, M.D. President | Amanda Billings, Vice President for Development | November 7, 2014 (eff. November 3, 2014) |
| IRS FORMS RELATING TO GIFTS: Delegation of authority to execute certain IRS forms including without limitation IRS Forms 8282 and 8283. Relates to gifts accepted on behalf of the University of Texas Southwestern Medical Center at Dallas. | <i>Rule 60101, Section 2 and 6</i> | Daniel K. Podolsky, M.D. President | Arnim Dontes, Executive Vice President for Business Affairs | January 1, 2012 |
| IRS FORMS RELATING TO GIFTS: Delegation of authority to execute certain IRS forms including without limitation IRS Forms 8282 and 8283. Relates to gifts accepted on behalf of the University of Texas Southwestern Medical Center at Dallas. | <i>Rule 60101, Section 2 and 6</i> | Daniel K. Podolsky, M.D. President | Director of Gift Records Assistant Director of Gift Records | June 15, 2005 (cont. September 1, 2008) June 15, 2005 (cont. September 1, 2008) |
| BANKING: Delegation of authority to execute and deliver contracts for banking services. | <i>Rule 10501; UTS 166; UTS 167</i> | Daniel K. Podolsky, M.D. President | Sharon Leary, Assistant Vice President, Accounting and Post Award Administration | May 4, 2012 (eff. May 1, 2012) |
| BANKING: Delegation of authority to execute and deliver contracts for banking services. | <i>Rule 10501; UTS166; UTS167</i> | Daniel K. Podolsky, M.D. President | Arnim Dontes, Executive Vice President for Business Affairs | January 1, 2012 |
| BANKING: Delegation of authority to execute and deliver contracts for banking services. | <i>Rule 10501; UTS166; UTS167</i> | Daniel K. Podolsky, M.D. President | Michael Serber, Vice President of Finance | January 1, 2012 |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|---|------------------------------------|---------------------------------------|--|--|
| BANKING: Delegation of authority to execute and deliver contracts for banking services. | <i>Rule 22201, Section 5.1</i> | Daniel K. Podolsky, M.D. President | Shawn Cohenour, Director, Office of Contracts Management | June 15, 2005 (cont. September 1, 2008) |
| CONSTRUCTION PROJECTS AND REPAIR AND REHABILITATION PROJECTS: Delegation of authority to (1) appoint architects; approve plans and construction documents; and execute and deliver related contracts, agreements, guaranteed maximum price or stipulated sum proposals, and other documents; and (2) execute and deliver contracts and agreements with architects, engineers, and other professional service providers, for all new minor construction projects and minor rehabilitations projects with an anticipated value under \$4,000,000. | <i>Rule 80403</i> | Daniel K. Podolsky, M.D. President | Sharon Leary, Assistant Vice President, Accounting and Post Award Administration | June 8, 2012 (eff. May 1, 2012) |
| CONSTRUCTION PROJECTS AND REPAIR AND REHABILITATION PROJECTS: Delegation of authority to (1) appoint architects; approve plans and construction documents; and execute and deliver related contracts, agreements, guaranteed maximum price or stipulated sum proposals, and other documents; and (2) execute and deliver contracts and agreements with architects, engineers, and other professional service providers, for all new minor construction projects and minor rehabilitations projects with an anticipated value under \$4,000,000. | <i>Rule 80403</i> | Daniel K. Podolsky, M.D. President | Michael Serber, Vice President, Office of Financial Affairs | June 8, 2012 (eff. May 1, 2012) |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|---|----------------------------------|---------------------------------------|--|---|
| CONSTRUCTION PROJECTS AND REPAIR AND REHABILITATION PROJECTS: Delegation of authority to (1) appoint architects; approve plans and construction documents; and execute and deliver related contracts, agreements, guaranteed maximum price or stipulated sum proposals; and other documents; and (2) execute and deliver contracts and agreements with architects, engineers, and other professional service providers, for all new minor construction projects of \$1,000,000 or less and for repair and rehabilitation projects of \$2,000,000 or less. | <i>Rule 80403</i> | Daniel K. Podolsky, M.D. President | <u>\$1,000,000/\$2,000,000</u> Arnim Dontes, Executive Vice President for Business Affairs | January 1, 2012 |
| CONSTRUCTION PROJECTS AND REPAIR AND REHABILITATION PROJECTS: Delegation of authority to: (1) appoint architects; approve plans and construction documents; and execute and deliver related contracts, agreements, guaranteed maximum price or stipulated sum proposals, and other documents; and (2) execute and deliver contracts and agreements with architects, engineers, and other professional service providers, for all new construction projects of \$100,000 or less and repair and rehabilitation projects of \$100,000 or less. | <i>Rule 80403</i> | Daniel K. Podolsky, M.D. President | <u>\$100,000 or less</u> Juan M. Guerra, Jr, Vice President for Facilities Management | February 1, 2016 |
| SPACE LEASE: Delegation of authority relating to execute and deliver space lease agreements with a value of \$1,000,000 or less. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Michael Serber, Vice President, Office of Financial Affairs | May 4, 2012 (eff. May 1, 2012) |
| SPACE LEASE: Delegation of authority relating to execute and deliver space lease agreements with a value of \$1,000,000 or less. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Arnim Dontes, Executive Vice President for Business Affairs | January 1, 2012 |
| SPACE LEASE: Delegation of authority relating to execute and deliver space lease agreements with a value of \$1,000,000 or less. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | Shawn Cohenour, Director, Office of Contracts Management | September 22, 2006 (cont. September 1, 2008) |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|--|-------------------|---------------------------------------|--|---------------------------|
| PURCHASE ORDERS: Delegation of authority to execute and deliver purchase orders for routine purchases of equipment, supplies, goods, and services. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Charles Cobb, MBA, Assistant Vice President for Materials Management | August 14, 2015 |
| PURCHASE ORDERS: Delegation of authority to execute and deliver purchase orders for routine purchases of equipment, supplies, goods, and services. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <u>Purchases up to \$250,000</u> Kelly Mathis, Manager, Strategic Sourcing | April 18, 2016 |
| PURCHASE ORDERS: Delegation of authority to execute and deliver purchase orders for routine purchases of equipment, supplies, goods, and services. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <u>Purchases up to \$250,000</u> Mone' Greene, Manager, Purchasing | April 18, 2016 |
| PURCHASE ORDERS: Delegation of authority to execute and deliver purchase orders for routine purchases of equipment, supplies, goods, and services. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <u>Purchases up to \$250,000</u> Kelly Briseno, Manager, Purchasing | April 18, 2016 |
| PURCHASE ORDERS: Delegation of authority to execute and deliver purchase orders for routine purchases of equipment, supplies, goods, and services. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <u>Purchases up to \$250,000</u> Randolph Martin, Manager, Purchasing | April 18, 2016 |
| PURCHASE ORDERS: Delegation of authority to execute and deliver purchase orders for routine purchases of equipment, supplies, goods, and services. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <u>Purchases up to \$100,000</u> Each Senior Buyer, Purchasing Office | April 18, 2016 |
| PURCHASE ORDERS: Delegation of authority to execute and deliver purchase orders for routine purchases of equipment, supplies, goods, and services. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <u>Purchases up to \$100,000</u> Kelly Gonzalez, Assistant Vice President for Library Services | September 25, 2015 |
| PURCHASE ORDERS: Delegation of authority to execute and deliver purchase orders for routine purchases of equipment, supplies, goods, and services. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <u>Purchases up to \$50,000</u> Each Buyer, Purchasing Office | April 18, 2016 |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|--|------------------------------------|---------------------------------------|---|--|
| PURCHASE ORDERS: Delegation of authority to execute and deliver purchase orders for routine purchases of equipment, supplies, goods, and services. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <u>Purchases up to \$15,000</u> Procurement Assistant, Purchasing Office | April 18, 2016 |
| PURCHASE ORDERS - LIBRARY PURCHASES: Delegation of authority to execute and deliver purchase orders for routine purchases of equipment, supplies, goods, and services. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | <u>Purchases up to \$50,000</u> Mori Lou Higa, Library Services | June 15, 2005 (cont. September 1, 2008) |
| PURCHASE ORDERS – STUDENT SUPPLY STORE: Delegation of authority to execute and deliver purchase orders for routine purchases of equipment, supplies, goods, and services. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <u>Purchases up to \$25,000</u> Christopher Kavanaugh, Manager, Student Supply Store | March 9, 2016 (eff. March 1, 2016) |
| PURCHASE ORDERS – UNIVERSITY HOSPITALS: Delegation of authority to execute and deliver purchase orders for the routine purchase of equipment, supplies, goods, and services for the UT Southwestern University Hospitals, up to \$100,000. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <u>Purchases up to \$100,000</u> Elizabeth (Beth) Ward, CFO, UT Southwestern University Hospitals | February 8, 2013 (eff. June 1, 2012) |
| INTERAGENCY CONTRACT WITH U.T. INSTITUTIONS: Delegation of authority to deliver contracts or agreement with U.T. System Administration or between or among U.T. institutions for resources or services. | <i>Rule 10501, Section 5.3</i> | Daniel K. Podolsky, M.D. President | Shawn Cohenour, Director, Office of Contracts Management | June 15, 2005 (cont. September 1, 2008) |
| CONTRACTS: Delegation of authority to execute and deliver contracts of any kind or nature, including but not limited to contracts for the purchase of equipment, supplies, goods, and services. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Sharon Leary, Assistant Vice President, Accounting and Post Award Administration | May 4, 2012 (eff. May 1, 2012) |
| CONTRACTS: Delegation of authority to execute and deliver contracts of any kind or nature, including but not limited to contracts for the purchase of equipment, supplies, goods, and services. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Arnim Dontes, Executive Vice President for Business Affairs | January 1, 2012 |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|--|----------------------------------|---------------------------------------|--|---|
| CONTRACTS: Delegation of authority to execute and deliver contracts of any kind or nature, including but not limited to contracts for the purchase of equipment, supplies, goods, and services. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | Michael Serber, Assistant Vice President, Office of Financial Planning | October 25, 2010 (eff. October 15, 2010) |
| CONTRACTS: Delegation of authority to execute and deliver contracts of any kind or nature, including but not limited to contracts for the purchase of equipment, supplies, goods, and services. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | Shawn Cohenour, Director, Office of Contracts Management | June 15, 2005 (cont. September 1, 2008) |
| CONTRACTS – CONTRACTS WITH APPLE FOR THE APPLE DEVELOPER PROGRAM: Delegation of authority to execute and deliver contracts limited to the online click license and support agreements for the Apple Developer Program. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Edward H. Ames, Assistant Vice President, Information Resources | February 26, 2015 |
| CONTRACTS – UNIVERSITY HOSPITALS: Delegation of authority to execute and deliver contracts for the University Hospitals, up to \$100,000. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <i>Purchases up to \$100,000</i> Elizabeth (Beth) Ward, CFO, UT Southwestern University Hospitals | February 8, 2013 (eff. February 1, 2013) |
| CONTRACTS – UNIVERSITY HOSPITALS AND MSRDP CONTRACTS: Delegation of authority to execute and deliver contracts for the University Hospitals and MSRDP contracts, up to \$1,000,000. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | Bruce Meyer, M.D., Executive Vice President, Health System Affairs | October 25, 2010 (eff. October 15, 2010) |
| SPONSORED RESEARCH: Delegation of authority to execute and deliver state, federal and other contracts or proposals for sponsored research. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Cheryl Anderson, Director, Pre/Post Award Administration | April 18, 2016 |
| SPONSORED RESEARCH: Delegation of authority to execute and deliver state, federal and other contracts or proposals for sponsored research. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Charles M. Ginsburg, M.D., Senior Associate Dean for Academic Administration | April 18, 2016 |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|---|----------------------------------|---------------------------------------|--|---|
| SPONSORED RESEARCH: Delegation of authority to execute and deliver state, federal and other contracts or proposals for sponsored research. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | J. Gregory Fitz, M.D., UT Southwestern Medical School, Executive Vice President for Academic Affairs and Provost | April 18, 2016 |
| SPONSORED RESEARCH: Delegation of authority to execute and deliver state, federal and other contracts or proposals for sponsored research. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Dwain L. Thiele, M.D., Senior Associate Dean for Strategic Development | April 18, 2016 |
| CONTRACTS – LEASE OF STUDENT HOUSING: Delegation of authority to execute and deliver contracts for the lease of student housing in the Southwestern Medical Park student apartments. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Kenneth Bourne, Director, Auxiliary Enterprises | March 9, 2016 (eff. March 1, 2016) |
| CONTRACTS – LEASE OF STUDENT HOUSING: Delegation of authority to execute and deliver contracts for student housing. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | Michael Serber, Assistant Vice President, Office of Financial Planning | February 1, 2008 (cont. September 1, 2008) |
| EDUCATIONAL AGREEMENTS: Delegation of authority to execute and deliver standard affiliation agreements, standard program agreements, graduate medical educational agreements and letter agreements for educational experience. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Arnim Dontes, Executive Vice President for Business Affairs | January 1, 2012 |
| EDUCATIONAL AGREEMENTS: Delegation of authority to execute and deliver standard affiliation agreements, standard program agreements, graduate medical educational agreements and letter agreements for educational experience. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | J. Gregory Fitz, M.D., Executive Vice President for Academic Affairs and Provost, Dean, UT Southwestern | October 1, 2009 |
| EDUCATIONAL AGREEMENTS: Delegation of authority to execute and deliver standard affiliation agreements, standard program agreements, graduate medical educational agreements and letter agreements for educational experience. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | Charles M. Ginsburg, M.D., Senior Associate Dean, Office of the Dean, Southwestern Medical School | June 15, 2005 (cont. September 1, 2008) |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|---|----------------------------------|---------------------------------------|--|--|
| INDIVIDUAL PATIENT AGREEMENTS: Delegation of authority to execute and deliver individual patient agreements. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Elizabeth (Beth) Ward, CFO, UT Southwestern University Hospitals | February 8, 2013 (eff. February 1, 2013) |
| INDIVIDUAL PATIENT AGREEMENTS: Delegation of authority to execute and deliver individual patient agreements. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | Danny Irland, Associate Vice President, MSRDP Business Operations Administration | January 1, 2006 (cont. September 1, 2008) |
| PATIENT TRANSFER AGREEMENTS: Delegation of authority to execute and deliver patient transfer agreements. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Elizabeth (Beth) Ward, CFO, UT Southwestern University Hospitals | February 8, 2013 (eff. February 1, 2013) |
| CONTRACTS AND NURSING STAFF CONTRACTS: Delegation of authority to execute and deliver contracts up to \$250,000 for the University Hospitals and to execute and deliver nursing staff contracts. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <u>Up to \$250,000</u> John Warner, M.D., MBA, Chief Executive Officer, UT Southwestern University Hospitals | February 22, 2012 |
| NURSING AFFILIATION AGREEMENTS: Delegation of authority to execute and deliver nursing affiliation agreements. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | John Warner, M.D., MBA, Chief Executive Officer for UT Southwestern University Hospitals | February 22, 2012 |
| STAFFING CONTRACTS: Delegation of authority to execute and deliver staffing contracts. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Ivan Thompson, Vice President and Chief HR Officer | January 25, 2016 |
| EMPLOYEE TRAINING AGREEMENTS: Delegation of authority to execute and deliver employee training agreements. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Ivan Thompson, Vice President and Chief HR Officer | January 25, 2016 |
| MEDICARE/MEDICAID CERTIFICATIONS: Delegation of authority to execute and deliver Medicare/Medicaid Certifications. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Elizabeth (Beth) Ward, CFO, UT Southwestern University Hospitals | February 8, 2013 (eff. February 1, 2013) |
| MEDICARE/MEDICAID CERTIFICATIONS: Delegation of authority to execute and deliver Medicare/Medicaid Certifications. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Stan Taylor, M.D., Assoc. VP and Chief Medical Officer For Ambulatory Care | February 8, 2013 (eff. February 1, 2013) |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|--|-----------------------------------|---------------------------------------|--|---------------------------|
| MEDICARE/MEDICAID CERTIFICATIONS: Delegation of authority to execute and deliver Medicare/Medicaid Certifications. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | John Warner, M.D., MBA, Chief Executive Officer for UT Southwestern University Hospitals | February 22, 2012 |
| MEDICARE/MEDICAID CERTIFICATIONS: Delegation of authority to execute and deliver Medicare/Medicaid Certifications. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Bruce Meyer, M.D., Executive Vice President, Health System Affairs | January 1, 2012 |
| INTELLECTUAL PROPERTY: Delegation of authority to execute and deliver agreements granting an interest in intellectual property. Includes typical option and license agreements and research contracts with corporate sponsors, as well as non-disclosure agreements and material transfer agreements. | <i>Rule 10501; Rule 90101</i> | Daniel K. Podolsky, M.D. President | Kathy Schutt, Director, Office of Technology Development | April 18, 2016 |
| INTELLECTUAL PROPERTY: Delegation of authority to execute and deliver agreements granting an interest in intellectual property. Includes typical option and license agreements and research contracts with corporate sponsors, as well as non-disclosure agreements and material transfer agreements. | <i>Rule 10501; Rule 90101</i> | Daniel K. Podolsky, M.D. President | Tracy Roberson, Director, Tech Commercialization, Office of Technology Development | April 18, 2016 |
| INTELLECTUAL PROPERTY: Delegation of authority to execute and deliver agreements granting an interest in intellectual property. Includes typical option and license agreements and research contracts with corporate sponsors, as well as non-disclosure agreements and material transfer agreements. | <i>Rule 10501; Rule 90101</i> | Daniel K. Podolsky, M.D. President | Daniel L. Thiele, M.D., Sr. Associate Dean, Strategic Development | April 18, 2016 |
| INTELLECTUAL PROPERTY: Delegation of authority to execute and deliver agreements granting an interest in intellectual property. Includes typical option and license agreements and research contracts with corporate sponsors, as well as non-disclosure agreements and material transfer agreements. | <i>Rule 10501; Rule 90101</i> | Daniel K. Podolsky, M.D. President | Frank Grassler, Vice President for Technology Development | April 18, 2016 |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|---|---|---------------------------------------|--|---------------------------|
| INTELLECTUAL PROPERTY: Delegation of authority to execute and deliver agreements granting an interest in intellectual property. Includes typical option and license agreements and research contracts with corporate sponsors, as well as non-disclosure agreements and material transfer agreements. | <i>Rule 10501;</i> <i>Rule 90101</i> | Daniel K. Podolsky, M.D. President | Arnim Dontes, Executive Vice President for Business Affairs | April 18, 2016 |
| INTELLECTUAL PROPERTY: Delegation of authority to execute and deliver agreements granting an interest in intellectual property. Includes typical option and license agreements and research contracts with corporate sponsors, as well as non-disclosure agreements and material transfer agreements. | <i>Rule 10501;</i> <i>Rule 90101</i> | Daniel K. Podolsky, M.D. President | J. Gregory Fitz, M.D., Dean, UT Southwestern Medical School, Executive Vice President for Academic Affairs and Provost | April 18, 2016 |
| INTELLECTUAL PROPERTY: Delegation of authority to execute and deliver agreements granting an interest in intellectual property. Includes typical option and license agreements and research contracts with corporate sponsors, as well as non-disclosure agreements and material transfer agreements. | <i>Rule 10501;</i> <i>Rule 90101</i> | Daniel K. Podolsky, M.D. President | Charles M. Ginsburg, M.D., Sr. Associate Dean for Academic Administration | April 18, 2016 |
| INTELLECTUAL PROPERTY: Delegation of authority to execute and deliver agreements granting an interest in intellectual property. Includes typical option and license agreements and research contracts with corporate sponsors, as well as non-disclosure agreements and material transfer agreements. | <i>Rule 10501;</i> <i>Rule 90101</i> | Daniel K. Podolsky, M.D. President | Shawn Cohenour, Director, Office of Contracts Management | April 18, 2016 |
| CONFIDENTIAL DISCLOSURE AGREEMENTS: Delegation of authority to execute and deliver Confidential Disclosure Agreements. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Tracy Roberson, Director, Tech Commercialization, Office of Technology Development | December 18, 2015 |
| CONFIDENTIAL DISCLOSURE AGREEMENTS: Delegation of authority to execute and deliver Confidential Disclosure Agreements. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | Frank Grassler, Vice President for Technology Development | January 1, 2013 |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|---|--|--|--|--|
| CONTINUING EDUCATION LETTER AGREEMENTS AND CONTINUING EDUCATION HOTEL CONTRACTS: Delegation of authority to execute and deliver Continuing Education related Letter Agreements and Continuing Education Hotel Contracts under \$25,000. | <i>Rule 10501</i> | Daniel K. Podolsky, M.D. President | <u><i>Under \$25,000</i></u> Erika Harden-Dews, Director, Office of Continuing Medical & Public Education | April 18, 2016 |
| IMMIGRATION DOCUMENTS: Delegation of authority to execute and deliver immigration documents. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | J. Gregory Fitz, M.D., Executive Vice President for Academic Affairs and Provost, Dean, UT Southwestern | October 1, 2009 |
| IMMIGRATION DOCUMENTS: Delegation of authority to execute and deliver immigration documents. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | Margery (Maggie) Pinson, Director, Office of International Affairs | September 1, 2008 |
| IMMIGRATION DOCUMENTS: Delegation of authority to execute and deliver immigration documents. | <i>Rule 10501, Section 5</i> | Daniel K. Podolsky, M.D. President | Charles M. Ginsburg, M.D., Senior Associate Dean, Office of the Dean, Southwestern Medical School | January 1, 2006 (cont. September 1, 2008) |
| | | | | |
| DELEGATIONS OF AUTHORITY FROM THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION TO THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER | | | | |
| HOLIDAY SCHEDULE: Delegation of authority to approve annually the holiday schedule for the respective institutions. | <i>Rule 30201, Section 1</i> | Scott C. Kelley, Executive Vice Chancellor for Business Affairs | Presidents, The University of Texas | September 1, 2010 |
| CAMPUS OFFICE LEASES – UT SOUTHWESTERN MEDICAL CENTER AT DALLAS: Delegation of authority to execute and deliver campus office leases. | <i>Rule 70301, Part 2, Section 4 and Rule 10501, Part 2, Section 6</i> | Kirk Tames, Executive Director of Real Estate | Chief Business Officer, U T Southwestern Medical Center at Dallas | September 25, 2014 |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*

| Board of Regents' Rules and Regulations | | From | Delegate | Date of Delegation |
|--|--|--|--|---------------------------|
| FACILITY USE AGREEMENT – U.T. SOUTHWESTERN MEDICAL CENTER AT DALLAS: Delegation of authority to execute and deliver facility use agreements. | <i>Rule 70301, Part 2, Section 4 and Rule 10501, Part 2, Section 6</i> | Kirk Tames, Executive Director of Real Estate | Chief Business Officer, U.T. Southwestern Medical Center at Dallas | September 25, 2014 |
| TRADEMARK LICENSE AGREEMENTS: Delegation of authority to execute and deliver trademark license agreements related to Board-owned trademarks, so long as all trademark license agreements include: (1) the grant clause posted online or a grant clause substantially similar to that grant clause, (2) a statement making the license subject to the Trademark License Agreement Terms and Conditions posted online, and (3) a Trademark Schedule showing the exact mark or marks being licensed and indicating how those marks are to appear (including color, capitalization, typesetting, and any other distinguishing features of the included marks). Ensure proper monitoring of each licensee. All trademarks with a value exceeding institutions' local contracting authority must be reviewed and processed as outlined in UTS125. | <i>Rule 10501; Rule 90101, Sects. 15.1 and 15.3; UTS125</i> | Daniel H. Sharphorn, Vice-Chancellor and General Counsel | Presidents – UT Institutions Chief Business Officers – UT Institutions and UT System Vice Provosts for Research and Vice Presidents for Research – UT Institutions Chief Legal Officers – UT Institutions | March 6, 2017 |
| SETTLEMENT OF DISPUTES (UT INSTITUTIONS EXCEPT M.D. ANDERSON): Delegation of authority to execute and deliver agreements settling certain claims, disputes, or litigation in the amount of \$20,000 or less that impact only your UT institution and no other UT institutions. | <i>Rule 10501</i> | Daniel H. Sharphorn, Vice-Chancellor and General Counsel | Chief Legal Officers – UT Institutions | September 21, 2016 |
| | | | | |

**Please be aware that this chart provides only a brief summary of each delegation memorandum. You will need to review the specific delegation memorandum that is of interest to you for detailed scope and restrictions related to such delegation. As of 10-25-16, with the exception of certain Special Procedure Contracts, the Board approval threshold for UT Southwestern Medical Center is \$2.5 million, and the OGC threshold is \$2.5 million (see Rule 10501 and UTS145). Current as of 3/21/2017.*